This is the 1st affidavit of Ian Linkletter in this case and it was made on 15 October 2020

> No. S208730 Vancouver Registry

IN THE SUPREME COURT OF BRITISH COLUMBIA

PROCTORIO, INCORPORATED

PLAINTIFF

AND:

IAN LINKLETTER

DEFENDANT

AFFIDAVIT

I, Ian Linkletter, Learning Technology Specialist, of c/o 1512-808 Nelson Street, in the City of Vancouver, Province of British Columbia, AFFIRM THAT:

1. I am the Defendant in this action and as such have personal knowledge of the facts and matters hereinafter deposed to, save and except where same are stated to be made on information and belief, and where so stated, I verily believe them to be true.

2. I affirm this affidavit in support of an application to dismiss this action against me, under the *Protection of Public Participation Act*.

3. In this affidavit, I will first discuss my background, how I came to be concerned about Proctorio, and why I was motivated to contribute to the ongoing public dialogue around the ethics of Proctorio and other academic surveillance software. Then, I will respond to the claims made against me by Proctorio. Finally, I will discuss the effect that Proctorio's lawsuit and its without notice *ex parte* injunction has had on me.



4. In preparing this affidavit, I have reviewed the Affidavit of John Devoy sworn August 31, 2020 (the "Devoy Affidavit"), which was tendered by Proctorio to obtain its without notice *ex parte* injunction against me, before I was served with the notice of civil claim in this action. In this affidavit I will respond only to those parts of the Devoy Affidavit that are relevant to the present application.

A. THE PUBLIC INTEREST IN PROCTORIO AND OTHER ACADEMIC SURVEILLANCE SOFTWARE

My background, education and work

5. I hold a Bachelor of Arts from The Evergreen State College in Olympia, Washington, awarded in 2005, and a Master of Library and Information Science from The University of Western Ontario (now known as Western University) in London, Ontario, awarded in 2006.

6. For the past thirteen years I have worked exclusively in the education technology field. A copy of my resume is attached as **Exhibit A**. A summary of my academic presentations is attached as **Exhibit B**.

7. From 2007 to 2009, I worked for Fanshawe College in London, Ontario as an Educational Technologist. In this capacity I supported teaching staff in their use of learning technology to enhance teaching and learning.

8. From 2010 to 2011, I worked for the British Columbia Institute of Technology in Burnaby, British Columbia as a Technical Support Liaison, providing similar services to teaching staff at that institution. I also supported students using learning technology in their studies.

9. On October 10, 2011, I commenced employment as a User Support Specialist in the Faculty of Education of the University of British Columbia ("UBC") in Vancouver, British Columbia. In this position, I provided learning technology support to faculty engaged in online courses.

10. In 2012, I was promoted to the position of Learning Technology Specialist. In this position I support faculty members who are engaged in the delivery of online courses. I help faculty select the most effective learning technologies, teach them how to use those technologies, and support them as they use the technologies to teach. For example, a faculty member authoring a new online

course could consult with me to evaluate different technologies for supporting communication. We would review their learning objectives and pedagogical intent, and then review the different tools available. Once they choose the tool(s) they wish to use, we would implement those tools in a way that maximizes the benefits based on all available evidence (research, student feedback, other faculty experiences). I would provide training to the instructor on using the tools and support them with any questions or issues that arise over the course of their teaching.

11. I am fortunate to be able to express my values through my work as a member of the University. I believe in education as a social good, the pursuit of knowledge, and the importance of free inquiry and expression. I hold dearly my responsibility to protect students and ensure that the technology they are required to use is ethical. I take a "First, do no harm" approach to my work. I am especially interested in privacy and data use policies, and have been critical of for-profit education technology companies whose practices are inadequate.

My concern about academic surveillance software

12. While I am generally a proponent of the appropriate use of learning technology, I am ethically committed to protection of student safety and privacy. Some technologies carry more risks than others, especially those that collect personal information from students. I have grown increasingly concerned about software that uses invasive surveillance techniques to monitor student behaviour online.

13. I have supported online learning in the Faculty of Education at UBC for almost 9 years, and I have worked with hundreds of faculty and teaching staff in that time. Prior to the COVID-19 pandemic, I had no first-hand experience with academic surveillance software designed to record students and analyze their behaviour.

14. When BC's universities suspended in-person instruction due to the COVID-19 pandemic, they dramatically increased the use of technology to enable remote teaching and academic continuity for students.

15. Due to this transition to online learning, UBC expanded the use of Proctorio, an academic surveillance software product made by the plaintiff. Proctorio purports to ensure integrity during academic testing by monitoring and recording students while they write tests, processing these

recordings through algorithms that purport to detect "suspicious" activities, and flagging these activities to the instructor.

16. Students began to express concerns online about technologies such as Proctorio, including on the two community websites I use the most: Twitter and Reddit. Attached as **Exhibit C** is a March 16, 2020 thread from the /r/ubc community on Reddit where students expressed concerns about the invasiveness of Proctorio. In this thread UBC students said Proctorio increased their stress and made them feel under suspicion.

17. This thread raised my awareness of technologies such as Proctorio and made me realize the risks of this technology were not well understood. I, for one, did not understand them.

18. I began to research the impact of academic surveillance software on students. One important article on this topic is "Our Bodies Encoded: Algorithmic Test Proctoring in Higher Education" by Shea Swauger, published in the peer-reviewed journal *Hybrid Pedagogy* on April 2, 2020 at <u>https://hybridpedagogy.org/our-bodies-encoded-algorithmic-test-proctoring-in-higher-education/</u>. Attached as **Exhibit D** is a copy of this article.

19. My conduct in this matter is based on my belief that technology must be transparent to be ethical. Students have the right to know what information is being collected about them, and for what purpose, particularly when it is going to be used to assess their academic honesty. Proctorio uses an opaque proprietary system to measure "behaviour", calculate "abnormalities", and then assign a "suspicion level" to each student. I am concerned that misuse or misunderstanding of this technology could lead to academic discipline for honest students, and unnecessary stress for everyone.

20. I continued to read student discussions of Proctorio on Reddit and other social media sites. As I learned more about academic surveillance software, several related concerns arose. I became concerned that it causes undue levels of anxiety for test-takers, that it presents barriers to students with disabilities, and that the software's algorithms may perpetuate racist and sexist biases. It was this concern that provided the context of my actions. Anxiety

21. A 2018 study by Professors Tammy Kolski and Jennifer Weible titled "Examining the Relationship Between Student Test Anxiety and Webcam Based Exam Proctoring" found connections between various observed behaviours and test anxiety. These behaviours, which include eye movement and head movement, are among those measured by Proctorio and used to calculate a student's suspicion level. Attached as **Exhibit E** is a copy of this article downloaded from https://www.westga.edu/~distance/ojdla/fall213/kolski_weible213.html .

22. A 2019 study by Professors Daniel Woldeab and Thomas Brothen titled "21st Century Assessment: Online Proctoring, Test Anxiety, and Student Performance" investigated the use of academic surveillance technology on test-taker anxiety. It concludes in part that "the general wariness of technology combined with students' fear of testing makes online proctored exams very difficult for some students, in particular those who already exhibit trace anxiety." Attached as Exhibit F is downloaded a copy of this article from http://ijede.ca/index.php/jde/article/view/1106/1727.

Barriers to students with disabilities

23. Proctorio and other academic surveillance software is known to present barriers to students with disabilities. For example, accessibility technologies such as screen readers are either completely incompatible with Proctorio, or may cause the system to flag users of these technologies as abnormal or suspicious.

24. Attached as **Exhibit G** is a document titled "Accessibility concerns in Proctorio," published by the University of Missouri at https://teachingtools.umsystem.edu/support/solutions/articles/11000085024-accessibility-concerns-in-proctorio.

25. Attached as **Exhibit H** is a document titled "Proctorio," published by the University of Colorado at Boulder at <u>https://oit.colorado.edu/services/teaching-learning-applications/proctorio</u>. Among other things, it says:

"Proctorio is not accessible to individuals who are blind, low vision, use the keyboard only, and some individuals who have difficulty with mobility. These students should be offered an alternative testing environment. These students would face insurmountable problems if forced to use Proctorio, specifically with being able to complete Proctorio's System Diagnostic Test and pre-check processes."

26. In "Our Bodies Encoded", author Shea Swauger wrote:

Students with certain medical conditions such as neuromuscular disorders or spinal injuries that prohibit them from sitting for long periods of time, those who need to use the restroom frequently, or anyone who needs to administer medication during a test will be flagged.

and

When eye-tracking is used, students with visual impairments such as blindness or nystagmus or students who identify as autistic or neuro-atypical may be flagged. Even common test-taking behaviors such as reading the question out loud, listening to music, or behaviors such as hyperactivity associated with ADHD can be flagged.

Discrimination

27. Another concern about Proctorio and other academic surveillance software is that the technology it uses carries racist and sexist biases.

28. In her January 2019 article, "Emotion-reading tech fails the racial bias test," Professor Lauren Rhue discusses her research into facial recognition software (not Proctorio) that, in her view, exhibited racial bias. Attached as **Exhibit I** is a copy of this article downloaded from <u>https://theconversation.com/emotion-reading-tech-fails-the-racial-bias-test-108404</u>.

29. Universities that use Proctorio have acknowledged that racial bias may be an issue. Attached as **Exhibit J** is a document titled "Proctorio – Privacy Information," published by the University of Colorado at Boulder at <u>https://oit.colorado.edu/services/teaching-learning-applications/proctorio/privacy-information</u>. Among other things, it says that "There is some evidence that algorithm-based facial detection software is biased towards white, male users."

30. In "Our Bodies Encoded", author Shea Swauger wrote that "A Black student at my university reported being unable to use Proctorio because the system had trouble detecting their face, but could detect the faces of their white peers."

Public interest in academic surveillance technologies

31. I am not alone in my concern about the use of academic surveillance technologies. This has been a topic of public interest and concern for several years, although public interest has grown with the increased use of Proctorio during the COVID-19 pandemic.

32. Several articles illustrate the public interest in this topic:

- a. "This anti-cheating app brings Big Brother to a campus near you" by Jackson Cantrell, published September 23, 2015 at http://blogdailyherald.com/2015/09/23/anti-cheating-app-takes-big-brother-campus-near/. Attached as Exhibit K is a copy of this article.
- b. "Online Exam Proctoring Catches Cheaters, Raises Concerns" by Jean Dimea, published May 10, 2017 at <u>https://www.insidehighered.com/digital-learning/article/2017/05/10/online-exam-proctoring-catches-cheaters-raises-concerns</u>. Attached as Exhibit L is a copy of this article.
- c. "Mass school closures in the wake of the coronavirus are driving a new wave of student surveillance" by Drew Harwell, published April 1, 2020 at https://www.washingtonpost.com/technology/2020/04/01/online-proctoring-college-exams-coronavirus/. Attached as Exhibit M is a copy of this article.
- d. "Unfeeling AI and Assessment" by Lee Skallerup Besette, published April 8, 2020 at https://www.insidehighered.com/blogs/university-venus/unfeeling-ai-and-assessment. Attached as Exhibit N is a copy of this article. A response attributed to "The Proctorio Team" was published April 30, 2020 at https://www.insidehighered.com/blogs/university-venus/response-proctorio. Attached as Exhibit O is a copy of the response.
- e. "Compassion over suspicion: UW should not use exam monitoring software" by Marium Raza, published April 24, 2020 at <u>www.dailyuw.com/opinion/article_0ad544ac-85ea-11ea-96b5-7f1ff1e9de2a.html</u>
 . Attached as Exhibit P is a copy of this article.

- f. "Keeping Online Testing Honest? Or an Orwellian Overreach" by Shawn Hubler, published May 10, 2020 at <u>https://www.nytimes.com/2020/05/10/us/online-testing-cheating-universities-coronavirus.html</u>. Attached as Exhibit Q is a copy of this article.
- g. "Proctoring Apps Subject Students to Unnecessary Surveillance" by Jason Kelley and Lindsay Oliver, published August 20, 2020 at https://www.eff.org/deeplinks/2020/08/proctoring-apps-subject-students-unnecessary-surveillance . Attached as Exhibit R is a copy of this article.
- h. "Online school means online tests, along with computerized surveillance" by Rachel Metz, published August 29, 2020 at <u>https://www.cnn.com/2020/08/29/tech/online-school-test-surveillance/index.html</u>
 . Attached as Exhibit S is a copy of this article.
- i. "Students Are Rebelling Against Eye-Tracking Exam Surveillance Tools" by Todd Feathers and Janus Rose, published September 24, 2020 at <u>https://www.vice.com/en_us/article/n7wxvd/students-are-rebelling-against-eye-tracking-exam-surveillance-tools</u>. Attached as Exhibit T is a copy of this article.
- j. "How It Feels When Software Watches You Take Tests" by Anushka Patil and Jonah Engel Bromwich, published September 29, 2020 at <u>https://www.nytimes.com/2020/09/29/style/testing-schools-proctorio.html</u>. Attached as Exhibit U is a copy of this article.

33. Since Proctorio started this lawsuit against me, public interest in academic surveillance software in general, and Proctorio in particular, has continued to increase.

34. Attached as **Exhibit V** is an open letter in my defence that was posted at <u>https://blog.communityofpraxis.ca/2020/09/03/in-defence-of-ian-linkletter/</u>.

35. On September 25, 2020, the Electronic Frontier Foundation published an article called "Students Are Pushing Back Against Proctoring Surveillance Apps". It reports on dozens of student petitions against proctoring surveillance, including active petitions specifically against the

use of Proctorio by students at California State University Fullerton, University of Colorado Boulder, Miami University, and University of Tennessee Chattanooga. Attached as **Exhibit W** is a copy of this article downloaded from <u>https://www.eff.org/deeplinks/2020/09/students-are-pushing-back-against-proctoring-surveillance-apps</u>.

36. Another recent article about student protests against the use of Proctorio (and technologies like it) is titled "As college resumes, students protest against invasive proctoring apps" and published on September 26, 2020 by DigitalTrends. Attached as **Exhibit X** is a copy of this article downloaded from <u>https://www.digitaltrends.com/news/cuny-brooklyn-baruch-college-proctoring-apps-student-surveillance-proctorio/</u>.

37. Students at UBC have started a petition on change.org calling for UBC to end its relationship with Proctorio. Attached as **Exhibit Y** is a copy of this petition downloaded from <u>https://www.change.org/p/ainsley-carry-ubc-ca-ubc-students-reject-proctorio-s-invasive-technology-unethical-practices-defundproctorio</u>.

38. On October 1, 2020, UBC students sent an open letter to a number of UBC administrators calling for an end to UBC's relationship with Proctorio and a number of related measures. The letter claims to have 142 signatories as of October 6. Attached as **Exhibit Z** is a copy of this letter downloaded from <u>https://docs.google.com/document/d/1117835S2RQkQN_-</u>Ij8nZ2qEzCKgNWqle-O70nKdzpaA/edit .

The June 2020 Proctorio incident at UBC

39. In his Affidavit, Mr. Devoy purports to describe at paras. 53-61 a series of events "so that the Court has a complete picture of the contacts between Proctorio and Mr. Linkletter" (para. 53). In fact, Mr. Devoy paints a picture that is far less than complete.

40. Mr. Devoy describes (at para. 55) an incident on June 26, 2020 where a student shared a partial screenshot from a private conversation with a Proctorio support agent, which suggested that the support agent had not provided timely or effective support during a timed exam.

41. What the Devoy Affidavit does not make clear is that in response to this student's post, the CEO of Proctorio, Mike Olsen (using the alias "artfulhacker"), posted more of the transcript of the

student's private conversation with the Proctorio support agent. He prefaced the transcript with this statement:

If you're gonna lie bro... don't do it when the company clearly has an entire transcript of your conversation. You even gave Roy a positive emoji after he helped you... shame on you.

Here is the transcript (because I can't confirm you are the person who had the chat we will mask all your messages to Roy for privacy):

42. In my view it was wholly inappropriate for Proctorio to do this, even if it believed that the student had been misleading in his or her original Reddit post. This demonstrated the company monitored online student discussions on Reddit and was willing to use data in its possession to publicly discredit students. It left me uncertain and uneasy over what (if any) personal information the CEO could and could not access from his location in the United States.

43. Because of my concern at this conduct, I tweeted a screenshot of Proctorio's Reddit post on June 26, 2020 at 11:21pm. Attached as **Exhibit AA** is a screenshot of this tweet, captured September 27, 2020 from <u>https://twitter.com/Linkletter/status/1276762580015435776</u>.

44. Proctorio subsequently apologized for posting the transcript of the student's conversation with its support agent. Proctorio's CEO, again posting using his online alias "artfulhacker", wrote "I removed the transcript, you don't need to prove anything, apologies for posting it." Attached as **Exhibit AB** is a PDF of a June 27 2020 tweet where I posted a screenshot of this apology. Attached as **Exhibit AC** is an annotated display of the Proctorio-related screenshots I posted in this tweet.

45. This incident became a subject of controversy on campus. Attached as **Exhibit AD** is "Proctorio CEO releases student's chat logs, sparking renewed privacy concerns", an article by Shereen Lee, published June 30, 2020 by *The Ubyssey*, the student newspaper of UBC, at <u>https://www.ubyssey.ca/news/proctorio-chat-logs/</u>.

46. Attached as **Exhibit AE** is an article titled "CEO of exam monitoring software Proctorio apologises for posting student's chat logs on Reddit' by Naaman Zhou for *The Guardian*, published July 1, 2020 at <u>https://www.theguardian.com/australia-news/2020/jul/01/ceo-of-exammonitoring-software-proctorio-apologises-for-posting-students-chat-logs-on-reddit</u>.

47. On July 3, 2020, UBC's Office of the Provost published the "Letter to the community regarding Proctorio" which is Exhibit F to the Devoy Affidavit.

48. On July 3, 2020, the Alma Mater Society (UBC's student union) and a number of undergraduate student societies wrote an open letter to UBC recommending, among other things, that "UBC must end its relationship with Proctorio and other invasive, algorithmic remote test proctoring software." Attached as **Exhibit AF** is a copy of this letter as published at <u>https://www.ams.ubc.ca/news/open-letter-regarding-the-usage-of-proctorio/</u>.

49. Partly in response to growing community concerns, UBC convened a working group to develop a set of principles for the use of what it calls "remote invigilation tools." The working group was led by the Office of the Provost at UBC Vancouver and included faculty and students.

50. Attached as **Exhibit AG** is the document produced by the working group, titled "Principles for appropriate use of remote invigilation tools." It acknowledges student concerns about several aspects of Proctorio's operations:

- a. "Remote invigilation tools can lead to added stress for students beyond what they might otherwise experience in an in-person exam, which can affect their performance."
- b. "Having cameras (and sometimes microphones) on during an exam, while students are in their living spaces, also raises privacy concerns since instructors and other students wouldn't otherwise be able to see these spaces or hear what is happening in them."
- c. "Algorithmic remote proctoring software may disproportionately flag students with disabilities and health needs and students with dependents."
- d. "There may be access issues for students in rural communities with limited internet access, and students of ethnic backgrounds that differ from the data set the algorithm is based off of may face difficulties entering exams."

e. "Many students are understandably worried that they will be flagged for behaviours that appear suspicious but are actually incidental to writing the exam or out of their conscious control."

51. Attached as **Exhibit AH** is a UBC document titled "Preparing to Teach in Fall 2020: Guiding Principles," published at <u>https://keepteaching.ubc.ca/</u>. Included in discussion of principle #5 is guidance to instructors to "Carefully weigh the pros and cons of using remote proctoring software such as Proctorio, in light of practical and ethical concerns such as hardware accessibility and student privacy." The document links to the "Principles" document discussed above.

52. The Office of the Provost and Vice-President Academic, Okanagan Campus of UBC has also included a link to the "Principles" document on its "Faculty Resources for Academic Integrity" document. Attached as **Exhibit AI** is a copy of this document as published at https://provost.ok.ubc.ca/initiatives/learning-services/faculty-resources-for-academic-integrity/.

53. The June 2020 incident, the AMS letter and the UBC principles were discussed in an article published on October 5, 2020 in the *Ubyssey* student newspaper titled "AMS suggests faculty not use Proctorio, remote invigilation tools in guidelines" by Kathryn Helmore. Attached as **Exhibit AJ** is a copy of this article as published at <u>https://www.ubyssey.ca/news/ams-proctorio-guidelines/</u>

B. THE CLAIMS MADE BY PROCTORIO

My August 2020 tweets

54. I admit to writing the tweets that Proctorio complains of in paragraphs 19 and 21 of its notice of civil claim. I did so to contribute to the public discussion on the ethics and efficacy of academic surveillance software such as Proctorio.

55. However, I deny Proctorio's claims that I infringed any copyright, circumvented any technological protection measure, or breached any confidence. My lawyers will outline the legal basis for my defence in their application. In the paragraphs that follow, I will outline the facts relevant to my publication and respond to the alleged facts set out in the Devoy Affidavit.

Proctorio's agreement with UBC

56. The Devoy Affidavit, at paras. 62-67, purports to set out the terms of Proctorio's agreement with UBC (the "Proctorio-UBC Agreement"). In particular, para. 67 of the Devoy Affidavit sets out sections 5.1 and 5.2 of the Proctorio-UBC Agreement, which are said by Mr. Devoy to contain "broad confidentiality provisions."

57. Mr. Devoy did not exhibit the current Proctorio-UBC Agreement to his affidavit. However, I am aware of a Proctorio-UBC Agreement dated March 4, 2018, which my friend Bryan Short obtained from UBC under the *Freedom of Information and Protection of Privacy Act*. Attached as **Exhibit AK** is a copy of the agreement obtained by Mr. Short. Attached as **Exhibit AL** is a letter dated August 31, 2018 from UBC's Office of the University Counsel regarding the request.

58. The Devoy Affidavit does not set out section 5.3 of this agreement, which is entitled "Confidentiality Exceptions." Section 5.3 states in part:

Notwithstanding the foregoing, the provisions of Sections 5.1 and 5.2 will not apply to Confidential Information that (i) is publicly available or in the public domain at the time disclosed; (ii) is or becomes publicly available or enters the public domain through no fault of the recipient; (iii) is rightfully communicated to the recipient by persons not bound by confidentiality obligations with respect thereto; (iv) is already in the recipient's possession free of any confidentiality obligations with respect thereto at the time of disclosure; (v) is independently developed by the recipient; or (vi) is approved for release or disclosure by the disclosing Party without restriction. ...

Proctorio's license to other YouTube users

59. Proctorio's claim against me is based in part on videos that Proctorio uploaded to YouTube, a publicly accessible video sharing website.

60. All users of YouTube, including Proctorio and myself, are subject to YouTube's Terms of Service as a condition of using YouTube. Attached as **Exhibit AM** is a printout of YouTube's Terms of Service.

61. One of the terms of YouTube's Terms of Service reads as follows:

License to Other Users

You also grant each other user of the Service a worldwide, non-exclusive, royalty-free license to access your Content through the Service, and to use that Content, including to reproduce, distribute, prepare derivative works, display, and perform it, only as enabled by a feature of the Service (such as video playback or embeds). For clarity, this license does not grant any rights or permissions for a user to make use of your Content independent of the Service.

62. All of my tweets were made using functionality enabled by a feature of YouTube, as I will explain below.

Proctorio's unlisted YouTube videos

63. In his Affidavit, Mr. Devoy states that Proctorio hosted explanatory videos "on a private channel on YouTube" (paras. 11 and 32). This is not true.

64. In fact, Proctorio's channel is public and available at this address: <u>https://www.youtube.com/channel/UCrOZb5jmkpwnv7pU_mIdkOA</u>. Attached as **Exhibit AN** is a screenshot of Proctorio's YouTube channel as it appeared on September 24, 2020.

65. What Proctorio actually did was post *unlisted* videos on its *public* YouTube channel. An unlisted video is like an unlisted telephone number. Unlisted telephone numbers do not appear in the telephone directory. However, anyone with an unlisted phone number can dial it, and anyone with the number can share it.

66. Unlisted YouTube videos work the same way. The author of an unlisted video can make it available to others by sharing its unique URL, in the same way that the holder of an unlisted telephone number can give their telephone number to friends and family.

67. Attached as **Exhibit AO** is a copy of the YouTube Help page titled "Change video privacy settings," as it appeared at https://support.google.com/youtube/answer/157177?co=GENIE.Platform%3DDesktop&hl=en . It explains the three available privacy settings on YouTube: public, private, and unlisted. It states that "Unlisted videos and playlists can be **seen and shared by anyone with the link**" (emphasis in original). It also notes that "Anyone with the link can also reshare it."

68. As indicated in YouTube's Help documentation, a person who views an unlisted YouTube video is free to use the features of YouTube to share that video with others. This is done by clicking the "Share" button in the YouTube interface. YouTube then presents the user with a variety of options, including embedding the unlisted video in a web page, posting a link to the unlisted video to social media sites such as Facebook, Twitter, Reddit, and Tumblr, or copying the URL of the unlisted video to the clipboard, to be disseminated in other ways. Attached as **Exhibit AP** is a screenshot of the "Share" options available when viewing an unlisted video on YouTube.

69. Another way to make an unlisted video available to others is by "embedding" it within a web page. This is what Proctorio does in its Help Centre. An embedded video appears as a media player (a box allowing the user to play a video) on a website hosted by another person or company, such as Proctorio. While the embedded video appears to be part of Proctorio's web page, it is actually hosted on YouTube.

70. An example of an unlisted YouTube video from the same public channel is embedded in another web page is a press release published by Proctorio at https://www.prweb.com/releases/2017/11/prweb14950071.htm. A screenshot of this web page is attached as Exhibit AQ. As explained above, if clicked on, the video can be opened on YouTube at https://youtu.be/BqnlPUcy30s . A screenshot of the unlisted video on YouTube is attached as Exhibit AR.

71. Embedded unlisted videos can be shared in the same manner as unlisted videos viewed on the YouTube web page. I have prepared a demonstration to illustrate:

- a. Attached as **Exhibit AS** is an embedded unlisted YouTube video displayed on a web page. When the user's mouse moves over the video, buttons are shown at the top of the video. These include the Share button shown in the upper-right corner.
- b. Attached as **Exhibit AT** is the screen that appears if a user clicks the Share button on an embedded unlisted YouTube video. It offers users the ability to post a link to the unlisted video to social media sites such as Facebook and Twitter, and it allows the user to copy the URL of the unlisted video to the user's clipboard, to be disseminated in other ways.

- c. If the user clicks the YouTube channel profile picture (shown in the upper-left corner of the video in Exhibit AS) or the ellipses on the share screen (shown in Exhibit AT), the unlisted YouTube video will appear in YouTube. Attached as Exhibit AU is a screenshot of how the unlisted video appears on YouTube. A Share button is visible in the lower right.
- d. If the user clicks the Share video, a menu will appear. Attached at **Exhibit AV** is an example of this menu. The options available include embedding the unlisted video in a web page, posting a link to the unlisted video to social media sites such as Facebook, Twitter, Reddit, and Tumblr, or copying the URL of the unlisted video to the clipboard, to be disseminated in other ways.

Proctorio's YouTube videos are available on publicly-facing websites

72. In his Affidavit, Mr. Devoy states that I "shared publicly links to at least seven of the plaintiff's confidential and proprietary videos which are accessible only to administrators and instructors via the Help Center" (para. 19). The second half of this sentence – that the videos "are accessible only to administrators and instructors via the Help Center" – is not true.

73. At least three of the videos that I shared – the Abnormalities, Behavior Flags, and Behavior Settings videos – were available on UBC's public facing website.

74. Attached as **Exhibit AW** is a document titled "Instructor Guide to Proctorio at UBC." This document was originally posted on a publicly-accessible website at https://keepteaching.ubc.ca/files/2020/03/proctorio-instructor-guide.pdf . This version has since been removed and replaced with another one, but the previous version is available in the Internet Archive at

https://web.archive.org/web/20200422170942/https://keepteaching.ubc.ca/files/2020/03/proctori o-instructor-guide.pdf . On page 5, it contains links to the Behavior Settings and Abnormalities videos, with direct URLs to those videos in footnotes 11 and 12. On page 6, it contains links to the Gradebook and Behavior Flags videos, with direct URLs to those videos in footnotes 13 and 14. Since my August 2020 tweets, Proctorio has disabled the links to the Behavior Settings, Abnormalities and Behavior Flags videos. The link to the Gradebook video is still functional. 75. Attached as **Exhibit AX** is a screenshot taken by me of a publicly-accessible web page, <u>https://lthub.ubc.ca/guides/proctorio-instructor-guide/</u> on September 6, 2020. Indicated in the screenshot are publicly available links to the Behavior Settlings, Abnormalities, Gradebook, and Behavior Flags videos.

Proctorio disabled links to its YouTube videos within hours or minutes of my tweets

76. At paragraph 40 of the Devoy Affidavit, Mr. Devoy states that "Proctorio took immediate steps to protect its Proprietary Information by disabling the links published, so that they no longer led to the videos." Indeed, Proctorio disabled these links within hours, and in one instance just minutes, of my tweets.

77. I published my tweet linking to the Abnormalities video on Sunday, August 23, 2020 at 9:21pm. A screenshot of this tweet is attached as **Exhibit AY**. By 11:32pm the same evening, Proctorio had disabled the link.

78. I published my tweet linking to the Behaviour Flags video on Monday, August 24, 2020 at 8:06pm. A screenshot of this tweet is attached as **Exhibit AZ**. By 8:18pm the same evening, Proctorio had disabled the link.

79. I published my tweet linking to the Display Room Scan video on Monday, August 24, 2020 at 8:23pm. A screenshot of this tweet as preserved in the Internet Archive is attached as Exhibit BA. By 10:13pm the same evening, Proctorio had disabled the link.

80. I published my tweet linking to the Abnormal Eye Movement video on Monday, August 24, 2020 at 8:44pm. A screenshot of this tweet is attached as **Exhibit BB**. By 10:13pm the same evening, Proctorio had disabled the link.

81. I published my tweet linking to the Abnormal Head Movement video on Monday, August 24, 2020 at 8:45pm. A screenshot of this tweet is attached as **Exhibit BC**. By 10:13pm the same evening, Proctorio had disabled the link.

82. I published my tweet linking to the Record Room video on Monday, August 24, 2020 at 8:47pm. A screenshot of this tweet is attached as **Exhibit BD**. By 10:13pm the same evening, Proctorio had disabled the link.

83. I published my tweet linking to the Behaviour Settings video on Monday, August 24, 2020 at 8:51pm. A screenshot of this tweet is attached as **Exhibit BE**. By 10:13pm the same evening, Proctorio had disabled the link.

84. Attached as **Exhibit BF** is my tweet from Monday, August 24, 2020 at 10:14pm where I note all the videos had been removed.

Academy Screenshot Tweet

85. I was surprised to see that Proctorio had disabled the links to the videos that I had included in my tweets, for two reasons. First, it shows the intensity with which Proctorio was surveilling my activities on social media, even late on a Sunday night. Second, it shows that Proctorio was willing to undermine its own technical support resources (as embedded on public websites and Proctorio Academy) rather than allow its activities to be subjected to public scrutiny.

86. It was with this second concern in mind that I published a tweet on August 29, 2020 (the "Academy Screenshot Tweet") that included a screenshot from the Proctorio Academy website (the "Academy Screenshot"). I believe the Academy Screenshot Tweet is what Proctorio refers to in paragraph 21 of its notice of civil claim, and in paragraph 42 of the Affidavit of John Devoy.

87. As I discussed above, I was and am gravely concerned about Proctorio's lack of transparency about how its algorithms work, and how it labels student behaviours as "suspicious." My purpose in tweeting this screenshot was to show how Proctorio was so concerned with secrecy that it would undermine its own support resources in order to avoid scrutiny of its activities. My purpose was not to disclose any confidential material, and as I will outline below, nothing in my screenshot was not already available online.

88. Proctorio demanded that I delete the Academy Screenshot Tweet after it obtained an injunction against me on September 2, 2020. While I did not believe that the injunction order required me to delete anything that I had already published, I was afraid that Proctorio would bring further proceedings against me if I refused to acquiesce to their demands. I therefore deleted the Academy Screenshot Tweet from Twitter.

89. Attached as **Exhibit BG** is a screenshot taken from the Internet Archive showing the Academy Screenshot Tweet as it appeared before I deleted it. Because of the injunction, out of an abundance of caution I have placed this exhibit inside a sealed envelope.

90. The Academy Screenshot Tweet stated the following:

All of us have to demand transparency.

How EXACTLY does this non-magical software work? Why is Proctorio hiding this information? Their OWN COURSE on how Proctorio works was censored this week after I shared some of the videos.

91. To illustrate this point, I attached the Academy Screenshot to the Academy Screenshot Tweet. The Academy Screenshot was a screenshot of a single page from the Proctorio Academy Course Material which shows three "Video unavailable" boxes where Proctorio's embedded unlisted YouTube videos previously appeared.

Information about Proctorio's functionality is widely available on the Internet

92. The web page shown in the Academy Screenshot contained three embedded unlisted YouTube videos: "Behavior Settings", "Behavior Flags", and "Abnormalities". As discussed in paragraph 75, these videos were available on UBC's public "Proctorio Instructor Guide" web page, as seen in Exhibit AW. Indeed, UBC's publicly accessible Proctorio Instructor Guide described them in greater detail than the Academy Screenshot.

93. Contrary to the assertions at paragraph 45 of the Devoy Affidavit, information about the functionality of Proctorio is already widely available on publicly-accessible websites. The following is an illustrative sample of the kinds of information available:

- a. The University of British Columbia has published "Proctorio Instructor Guide" at https://lthub.ubc.ca/guides/proctorio-instructor-guide/. Attached as Exhibit BH is a copy of that document as it appeared on September 24, 2020. Information relating to every aspect of the Proctorio Academy screenshot is present, including Proctorio Behaviour Settings, Settings Customization, Behaviour Flags, and Abnormalities.
- b. The British Columbia Institute of Technology has published "Job Aid: Proctorio Options Explained" at <u>https://ltc.bcit.ca/help/wp-</u>

<u>content/uploads/2020/04/Proctorio-Features-Explained.pdf</u>. Attached as **Exhibit BI** is a copy of that document as it appeared on September 24, 2020. This 17 page document covers Proctorio Behaviour Settings, Settings Customization, Behaviour Flags, and Abnormalities in depth.

- c. The University of Texas has published "Exam Security with Proctorio" at https://utexas.instructure.com/courses/633028/pages/exam-security-with-proctorio . Attached as **Exhibit BJ** is a copy of that document as it appeared on September 24, 2020. This public course covers Proctorio Behaviour Settings and Settings Customization. Embedded in the course are three unlisted videos from Proctorio's YouTube channel, entitled "Exam Settings 2019", "Accessing the Proctorio Gradebook", and "Proctorio Gradebook Overview".
- d. The University of Missouri St. Louis has published "UMSL Proctorio Faculty Guide" at https://www.umsl.edu/~campustesting/files/PDFs/Proctorio%20Faculty%20Guide https://www.umsl.edu/~campustesting/files/PDFs/Proctorio%20Faculty%20Guide https://www.umsl.edu/~campustesting/files/PDFs/Proctorio%20Faculty%20Guide https://www.umsl.edu/~campustesting/files/PDFs/Proctorio%20Faculty%20Guide https://www.umsl.edu/~campustesting/files/PDFs/Proctorio%20Faculty%20Guide https://www.umsl.edu/~campustesting/files/PDFs/Proctorio%20Faculty%20Guide https://www.umsl.edu/~campustesting/files/PDFs/Proctorio%20Faculty%20Guide https://www.umsl.edu/~campustesting% <
- e. The University of Colorado Boulder has published "Proctorio Gradebook in Canvas" at https://oit.colorado.edu/sites/default/files/docs/Canvas%20Proctorio%20Gradebo ok.pdf . Attached as Exhibit BL is a copy of that document as it appeared on September 24, 2020. Information relating to every aspect of the Proctorio Academy screenshot is present, including Proctorio Behaviour Settings, Settings Customization, Behaviour Flags, and Abnormalities.
- f. The Ohio State University has published several documents:
 - i. "Proctorio Exam Set-up" at <u>https://teaching.resources.osu.edu/toolsets/carmencanvas/guides/proctorio-</u>

<u>exam-set</u>. Attached as **Exhibit BM** is a copy of that document as it appeared on September 24, 2020.

- ii. "Proctorio Lockdown Options" at <u>https://teaching.resources.osu.edu/toolsets/carmencanvas/guides/proctorio-</u> <u>exam-set/proctorio-lockdown-options</u>. Attached as **Exhibit BN** is a copy of that document as it appeared on September 24, 2020.
- iii. "Proctorio Recording Options" at https://teaching.resources.osu.edu/toolsets/carmencanvas/guides/proctorio-exam-set/proctorio-recording-options. Attached as Exhibit BO is a copy of that document as it appeared on September 24, 2020.
- iv. "Proctorio Verification Options" at https://teaching.resources.osu.edu/toolsets/carmencanvas/guides/proctorio-exam-set/proctorio-verification-options . Attached as Exhibit BP is a copy of that document as it appeared on September 24, 2020.
- v. "Proctorio Gradebook" at https://teaching.resources.osu.edu/toolsets/carmencanvas/guides/proctorio-gradebook . Attached as Exhibit BQ is a copy of that document as it appeared on September 24, 2020.
- vi. "Proctorio Suspicion Level" at https://teaching.resources.osu.edu/toolsets/carmencanvas/guides/proctorio-suspicion-level . Attached as Exhibit BR is a copy of that document as it appeared on September 24, 2020.
- g. Academic publisher McGraw-Hill has published an interactive "Proctorio Self-Guided Demo" website to market Proctorio to purchasers of textbooks at https://www.mheducation.com/highered/connect/proctorio/click-demo.html. Attached as Exhibit BS is a compilation of screenshots that I produced from this demonstration on September 26 and 27, 2020.

C. PROCTORIO'S LAWSUIT AND EXPARTE INJUNCTION AGAINST ME

94. On September 2, 2020, Proctorio obtained an *ex parte* injunction order without notice to me. I first learned about the injunction, and this lawsuit, when a reporter from the Vancouver Sun called me at work to ask for comment.

95. This injunction has made me fearful of criticizing or even discussing Proctorio in public and in private. The injunction is worded in broad terms, to the point where I am concerned that I would be unable to assist faculty members if they chose to use Proctorio and needed help. Mr. Devoy says in his affidavit that "an injunction... will not cause any harm to the defendant, as he will continue to be able to access the Application Documentation if required for use in his employment at UBC" (para. 50). However, the injunction seems to prevent me from even directing a faculty member to Proctorio's help materials should they ask for assistance. Sharing links to YouTube videos is also a normal part of my practice, but this is prohibited by the injunction.

96. As I have discussed above, informed public discussion and critique of Proctorio and other academic surveillance software requires an understanding of what the software actually does. Some of the best information about this is already available to the public on the internet, including the material I have cited and exhibited to this affidavit. However, the broad wording of the injunction – which even prevents me from sharing hyperlinks to anything that Proctorio might consider "confidential information" – has significantly constrained my ability to participate in the public debate about academic surveillance software.

97. Further, Proctorio seems to interpret the injunction even more broadly than I had understood the plain words of the order to mean. For example, when Proctorio served me with the injunction order and the notice of civil claim, their lawyer emailed me and said:

Please comply with this order. In particular, you will need to take down the screen shot of the Proctorio Academy course material in your August 29 Tweet. Further we would ask that you remove the links to and references to the 7 Help Center videos which you tweeted on August 23 and 24.

98. Attached as **Exhibit BT** is a copy of this email, without attachments.

99. Shortly after I retained counsel, on September 9, 2020, Proctorio's lawyer emailed my counsel to demand that I remove the Proctorio Academy tweet. Attached as **Exhibit BU** is a copy of this email.

100. Although I did not believe that the injunction order required me to delete anything that I had already published, I was afraid that Proctorio would bring further proceedings against me if I refused to acquiesce to their demands.

101. My counsel replied to Proctorio's lawyer by letter on September 11, 2020. Attached as **Exhibit BV** is a copy of this letter.

102. Attached as **Exhibit BW** is a transcript of the proceedings before Giaschi J. on September 2, 2020, which my lawyers obtained from the court reporter.

103. Proctorio's lawsuit against me has had a profound effect on my life. It has caused me a great deal of stress, and has aggravated a pre-existing medical condition. It has caused difficulty in my home life and has caused my wife and I to put our plans to start a family on indefinite hold, because of financial and health considerations. It has also put considerable financial strain on me and my family.

104. Proctorio's lawsuit has also caused me to fear for my employment. Though I am being sued as an individual, both the lawsuit and media coverage of it mention that I am an employee of UBC. If I should need to find alternate employment, Proctorio's allegations that I have somehow improperly shared information could be misunderstood or misconstrued by potential employers.

105. The continuing injunction against me also limits my ability to defend my reputation. For example, a colleague suggested to me that the media coverage of this lawsuit made it sound like I could have shared videos of students. This is absolutely not the case, but the injunction prevents me from showing colleagues or supervisors what I did share.

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AFFIRMED BEFORE ME at Vancouver, British Columbia, on 15 October 2020

British Columbia. JOHN TRUEMAN Barrister 1512 - 808 Nelson Street Vancouver, BC, V6Z 2H2

-lan Likette

Ian Linkletter

lan Linkletter

ian@linkletter.org 604 730 1073 Vancouver, British Columbia This is Exhibit A referred to in the Affidavit of Ian inkletter affirmed before me on 15 Oct 2020.

A Commissioner for taking Affidavits for British Columbia

EXPERIENCE

The University of British Columbia | Fall 2012 – Present | Vancouver, British Columbia

Learning Technology Specialist, Educational Technology Support

- Led UBC team chat pilot, working with faculty and LT Hub to evaluate Mattermost as an Enterprise learning technology.
- Project Manager and Technology Lead of Reconciliation Through Indigenous Education Massive Open Online Course.
- Project Manager of Bringing Mental Health to Schools, a self-paced massive course for teachers in grades 7 12.
- Responsible for learning technology administration and support of over 170 fully online Faculty of Education courses.
- Supervises Tech Rover, a Co-op student responsible for providing just in time technology support to faculty.
- Provides educational consultation support to faculty regarding learning technology selection and integration.
- Founder of Learning Technology Users Group, a community to improve communication and collaboration across UBC.
- · Researches, monitors, and evaluates developments in educational technologies and design practices.
- Analyzes, assesses, and addresses IT risk, mitigating when possible and creating contingency plans when needed.

The University of British Columbia | Fall 2011 – Fall 2012 | Vancouver, British Columbia

User Support Specialist, Professional Development & Community Engagement

- Responsible for LMS administration and support of PDCE's Blackboard and WebCT Vista courses.
- · Liased with faculty, course authors, and external clients to develop online courses and materials.
- Proposed, planned, and implemented use of institutional content within LMS to improve efficiency.

British Columbia Institute of Technology | Fall 2010 – Fall 2011 | Burnaby, British Columbia

Technical Support Liason, Educational Technology Support

- Responsible for administration and support of BCIT's D2L Brightspace-based learning management system.
- Led online development of over 20 online courses.

Fanshawe College | Fall 2007 – Fall 2010 | London, Ontario

Educational Technologist, Learning Systems Services

- Responsible for administration of FanshaweOnline, Fanshawe's D2L Brightspace-based Learning Management System.
- Provided senior level technical support to over 30,000 users, solving the most highly escalated problems.
- Researched technology trends on behalf of the Chief Information Officer and Learning Systems Services Manager.
- · Liased between stakeholders and vendors to develop project proposals, requirements, and implementation plans.
- · Created and executed testing plans for LMS upgrades. Wrote user guides and documentation.
- Automated enrollments into over 5,000 courses per year, eliminating seasonal overtime for two dozen administrators.
- Integrated third-party products into LMS, including Turnitin, Elluminate, and Respondus Lockdown Browser.

Fanshawe College | Fall 2006 – Fall 2007 | London, Ontario

Library Technician, Library and Media Services

- · Researched and proposed WebCheckout, the successful candidate for a college-wide equipment booking system.
- · Provided information and reference service to patrons

EDUCATION

The University of Western Ontario | London, Ontario

Master of Library and Information Science, 2006

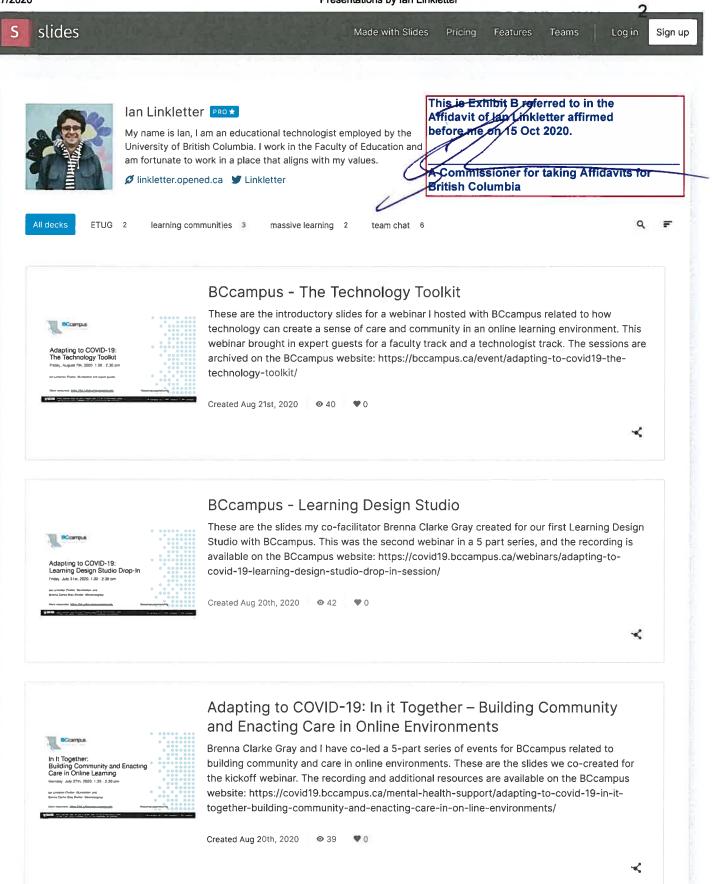
Coursework focused on technology, management, and provision of reference/instruction service.

The Evergreen State College | Olympia, Washington

Bachelor of Arts, 2005

• Major concentration in social science, minor concentration in business.

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Open Educational Technology

Presentations by Ian Linkletter

Presentation and exploration to UBC's Faculty of Education regarding open educational technology in our context, the advantages of open technologies, and how to get started.

Created Aug 20th, 2020 • 72 • 1

lan Linkletter, Faculty of Education https://slides.com/opentechworkshop/live

Open Educational Technology in the

Faculty of Education

	Faculty Mentor Session - Accessibility Presentation A presentation to UBC Faculty of Education faculty and staff who wish to improve accessibility
Faculty Mentor Session Making your Canvas materials accessible	In their online courses. Created Aug 18th, 2020
with Dr. Leah Macfadyen and Ian Linkletter Follow along: https://slides.com/linkletter/accessibility-presentation/live	
	*

lan [.]	the	learning	designer?
iun.	CI IC	icurning.	ucoigner:

This was really fun. I had the opportunity to think deeply about if I could be a learning designer. I realized along the way that I may already be one.

🛛 Hi, I'm Ian Linkletter 🗌

Created Mar 12th, 2020 💿 125 🖤 0

Educational Technologist Faculty Support Project Manager Learning Designer A career in online higher educatio

Early Childhood Education Community

This presentation was to a group of instructors teaching in Early Childhood Education in the Faculty of Education at UBC. I was asked to share strategies for teaching large sections (36 students) online.

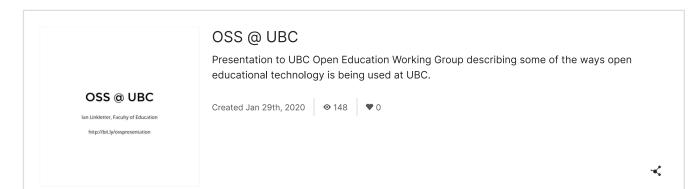
Collaboration Community
Large online classes: how to stay connected?

Early Childhood Education



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	<presenter> You down with OpenETC? <audience response=""> !</audience></presenter>	
OpenETC, how can we explain it, We take you frame by frame it	Who's down with OpenETC? Yeah you know me!	
Cate studies from the fringes of editach. You down with OpenETC? https://yeahyouknowme Sade: http://bipuwhopenet	Created Nov 1st, 2019 👁 260 🎔 0 Source: Jason Toal	
		Κ.

Protecting our values in the shadow of tech giants	Protecting our values in the shadow of tech giants June 21st, 2019 - My five minute Gasta at the Educational Technology Users Group conference, hosted by Thompson Rivers University in Kamloops, BC. Video of this talk is available: https://www.youtube.com/watch?v=PG_uvL7pvG8 Created Sep 23rd, 2019 $@$ 33 $♥$ 0	
		~

Mental Health for Pre-service Teachers: Learn as You Go!	Mental Health for Pre-Service Teachers: Learn as You Go! I presented with Dr. Natasha Boskic at Canadian Network for Innovation in Education (CNIE) 2019. Created May 27th, 2019 $@$ 317
Faculty of Education, UBC	~

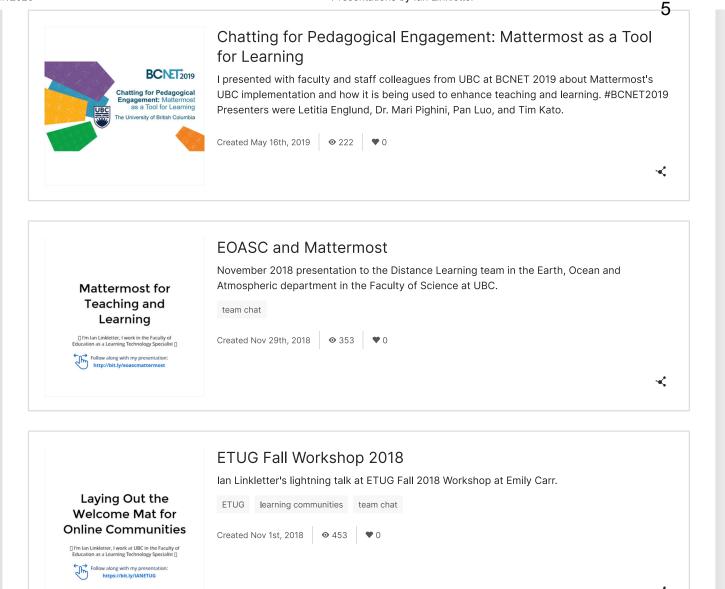


Rethinking Discourse in an Online Learning Environment

I presented (with Stoo Sepp) at the Canadian Network for Innovation in Education (CNIE) 2019. UBC recently piloted an open source team chat technology called Mattermost, which has now been implemented at Enterprise scale for courses and cohorts. Implemented wisely, chat has the potential to increase timeliness of feedback, encourage student to student collaboration, and increase a sense of community within an online course. This technology has been transformative in how it blends real-time and asynchronous modalities, challenges the formality of a traditional discussion forum, and encourages ongoing participation. This session will cover real case studies from UBC's Faculty of Education and provide a space to engage with them in groups.

Created May 27th, 2019 💿 202 🖤 0

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Team Chat A Technology for Learning	Festival of Learning 2018 Ian Linkletter's Festival of Learning 2018 presentation about the UBC Team Chat project. Iearning communities team chat Created May 28th, 2018 • 782	
Follow along with my presentation: https://bit.ly/teamchatfol		×

May 2018 - Ian Linkletter's team chat presentation for CLW



Choose Your Own Learning: anytime communication with chat

By Ian Linkletter

learning communities team chat

Follow along: http://slides.com/linkletter/clw18/live

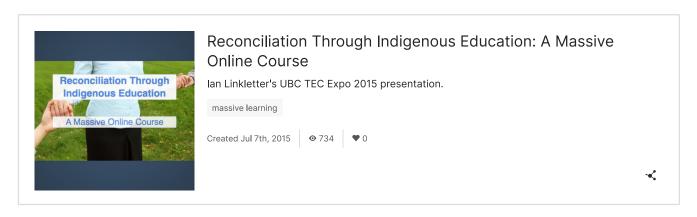
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Created May 3rd, 2018 🛛 👁 417 🖤 0

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	Mattermost – LLED 481 Presentation July 2017 - Ian Linkletter's Mattermost presentation for LLED 481.
Team Chat in Education LIBE 481 Presentation - July 18, 2017 Several people are typing Follow along: http://slides.com/linkletter/lied481/live	team chat Created Jul 5th, 2017 ∞ 596 ♥ 0
UBC Mattermost	UBC Mattermost Chat Pilot March 2017 - Ian Linkletter's Mattermost presentation for Learning Analytics Visual Analytics (LAVA) group at UBC.
Chat Pilot Several people are typing Follow along: http://slides.com/linkletter/mmlava/live	team chat Created Mar 23rd, 2017 🛛 👁 690 🖤 0



	An edX Case Study Ian Linkletter's ETUG 2015 Spring Workshop presentation.	
An edX Case Study	ETUG massive learning	
Ian Linkletter Learning Technology Specialist, UBC	Created Jun 1st, 2015	
Follow along on any device: http://slides.com/linkletter/etugspring2015/		
		~

Presentations by Ian Linkletter

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Made with Slides	Leave Feedback	Facebook	
Slides for Teams	Report an Issue		
Slides for Developers			

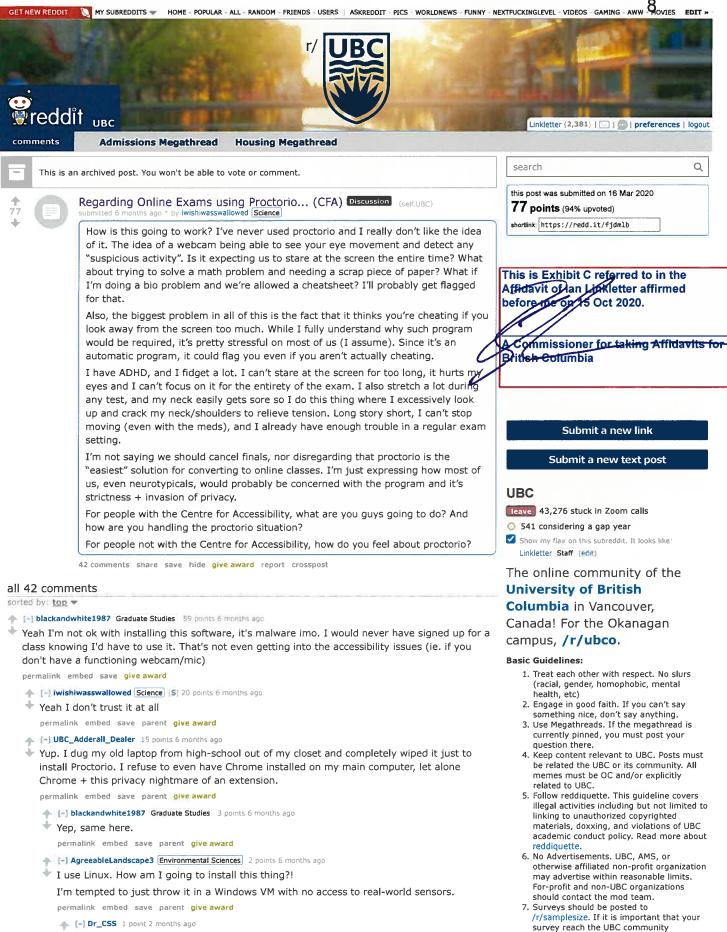
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7

9/30/2020

Regarding Online Exams using Proctorio... (CFA) : UBC

GET NEW REDDIT MY SUBREDDITS 🐨



You can do that+pass through the webcam via usb

specifically, please contact the mods.

9/30/2020

permalink embed save parent give award

It's straight out of 1984.

wipe clean.

recording my every move.

permalink embed save give award

permalink embed save give award

Exactly this.

[-] Cheesyhoney Finance 37 points 6 months ago

[-] chinesedenim Neuroscience 29 points 6 months ago

[-] [deleted] 6 months ago

[deleted]

permalink embed save parent give award

permalink embed save give award

[-] AgreeableLandscape3 Environmental Sciences 5 points 6 months ago

[-] blackandwhite1987 Graduate Studies 14 points 6 months ago

[-] Kinost Political Science 12 points 6 months ago

permalink embed save parent give award

my prof is more lenient, more people could get away with cheating.

that no one gets away with super obvious and stupid attempts.

[-] iwishiwasswallowed Science [S] 4 points 6 months ago

permalink embed save parent give award

[-] talaron Graduate Studies 21 points 6 months ago

proprietary software has flagged.

[-] arhsj Computer Science 21 points 6 months ago

permalink embed save give award

Doesn't matter if it has access to my whole system including webcam

even on an in person exam I freeze up and can't think when an invigilator is looking over my

shoulder. i can't imagine what writing an exam with proctorio would be like knowing they're

First, the security issues are a big thing. Second while I get that it's unlikely(?), the whole idea

the room/resources and the end of the exam. It's like the signal detection theory: if my prof is very strict with how they review footage, the chance of being flagged as cheating is higher - but if

I've TA'd for multiple years now with all different kinds of profs and instructors, and I guarantee

therefore discourage cheating, and 5% to check the most "suspicious" cases by hand to make sure

No instructor has the time to watch hours and hours of video recordings, and no instructor will try

to start an academic misconduct case based on "suspicious eye movements" that some random

The important thing to note with Proctorio is that suspicious actions only get flagged. It's

ultimately up to your instructor to review those flags and decide whether or not you cheated.

you that the purpose of this is 95% to give you the feeling that you *could* get caught and

that I could be flagged as cheating and potentially wrongfully accused is scary. What do you do in that situation? I have no witnesses, no way to support that nothing happened between my scan of

It is very invasive. I was only willing to use it because I had a spare laptop that I could

In addition, we strongly frown upon reposts and LQ posts, and such posts may be removed.

Posts/comments not adhering to these guidelines will be removed without notice. At the discretion of the mod team, offenders will be banned.

If you see a post or comment which is not in accordance with these guidelines, please use the report feature to bring it to the attention of the moderators, as opposed to engaging with it.

If you feel the moderation team has taken unfair or unwarranted action against you, or you have a question about these guidelines, please contact us.

FAQs/Megathreads:

View the full auidelines

- Post all admissions questions in this Admissions Megathread, please
- Incoming first year? No idea where to start? Look here for a tutorial on how to register and pick your classes.
- **Official UBC Admissions Website**

Filter the subreddit

Filter With NO Memes

Filter With NO Course Questions

View Only Memes

View Only Photography & Art

Student Resources:

- RENEW YOUR UPASS
- UBC Registration Tools helpful tools for course registration / grades analysis.
- **UBC Club and Student Group Database** - Reviews of on-campus clubs and an actually usable alternative to the AMS Clubhouse directory.
- **UBC Grades** grade distributions for previous offerings of all classes offered at UBC. Complemented by UBC Profstats, which offers grading variations by instructors.
- CourseRatings.ca Reviews of UBC Courses
- Unofficial transcripts a bookmarklet that will generate transcripts from SSC pages.
- UBC Pre-Reg Tree Navigator see all the courses that you can, can't, or need to take.
- Room Schedule/Timetable find class and room schedules (and empty rooms to study in!)
- Vancouver General Hospital Access & Assessment Centre for referrals and assessment. It is a good starting point for getting help with improving your mental health.
- AMS Services: resources for UBC students such as a food bank, Safewalk, tutoring, advocacy and mental health/addiction resources such as AMS Vice and SpeakEasy.
- Centre For Accessibility For obtaining academic concessions, and other mattters relating to accommodation any physical and mental hardships.
- Student Support A general index of all UBC support services for students, with support services for academic, financial, health and other issues.
- Student Health Service medical clinic w/ Mental Health & Sports Medicine
- UBC Wayfinding campus map and building information
- UBC Lost and Found lost something on campus? Check here!
- Free software You can get Office, MATLAB, a VPN, and more, all for free.

Other Useful Places:

Housing Chances & Improving your **YRH Chances**

if you have any logistical questions hopefully I'd be able to help. In most configurations of Proctorio, your instructor will likely choose to have you do a 360 degree

scan where you have to physically move your webcam/laptop 360 degrees so that they can see your surroundings and what you have in front of you. This is a good time to show what you have on the table in front of you. If you have a cheat sheet or blank paper, it's also a good time to hold them up to show your instructor who will review the footage that it is in fact a cheat sheet or blank pieces of paper (I actually have done a final using Proctorio before that allowed a cheat sheet). Usually your instructor will provide more instructions if these kinds of things are allowed.

Overall, my experience with Proctorio has been pretty good. I also like to stretch, stretch my neck, take my glasses off to rub my eyes, etc. while taking an exam and I've even taken exams in the kitchen with the fridge ramping up every few minutes and have had no problems with my profs. If you have ADHD it might be worthwhile to talk to your prof beforehand and maybe see if they have any suggestions.

permalink embed save give award

[-] iwishiwasswallowed Science [S] 5 points 6 months ago

So to be clear, do they record you the entire time? Or what exactly is the "eye detecting" and other stuff talking about? Just want to know.

And yes I should talk to my prof, thank you for your reply.

permalink embed save parent give award

▲ [-] arhsj Computer Science 15 points 6 months ago

https://old.reddit.com/r/UBC/comments/fjdmlb/regarding online exams using proctorio cfa/

I've used Proctorio 4 times before for 2 different online courses (combined 2 midterms, 2 finals) so

Yes they do record you the entire time. They use an algorithm to determine if specific movements are suspicious and suspicious movements will be flagged to your instructor. permalink embed save parent give award

▲ [-] AgreeableLandscape3 Environmental Sciences 6 points 6 months ago

your instructor will likely choose to have you do a 360 degree scan where you have to physically move your webcam/laptop 360 degrees so that they can see your surroundings and what you have in front of you.

This is a MASSIVE invasion of personal privacy, especially if you consider the fact that it's possible, nay, probable, that the company behind this software isn't even going to delete that video or might even analyse it with AI or sell it.

permalink embed save parent give award

[-] CyberneticTitan Engineering Physics 3 points 6 months ago

When you took the exam was it given with a time window? i.e. you have a 4 hour window between 2PM and 6PM to complete a two-hour exam. Or, was it everyone started at the same time?

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[-] arhsj Computer Science 7 points 6 months ago

I've experienced both. For one of my classes, there was a 24 hr window to complete it (mainly since this was PSYC 102 and there was no in-person section that was *exactly* the same). For the other, everyone started at the same time with a 10-15 minute buffer for technical issues (this was ATSC 113 so there was an in-person exam on the *exact* same material so everyone started at the same time).

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[-] ScaryScaling 20 points 6 months ago

I feel like it will be very hard to focus writing the exam in my room, which is where my OCD is worst. And while I hate to come off as overly sensitive, it's supposed to be my personal alone space and I feel like it is somewhat being violated with having to record myself sitting in my room for hours.

Also I drink a lot of tea and water during exams to help keep me keep calm, which will mean I may have to use the washroom several times. I had no problem with this writing with CFA, but how am I supposed to prove that I did not cheat by going off the webcam for few minutes? Especially with some of my exams being extended to more than 4 hours long, expecting me to never leave my seat seems quite harsh.

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▲ [-] iwishiwasswallowed Science [S] 11 points 6 months ago

I feel you. My ADHD is the worst in my house too. I drink a lot of drinks during exams as well, and my exams are extended.

Hope we both figure something out, because proctorio is just not the ideal way for us.

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[-] ScaryScaling 6 points 6 months ago

Yeah, well my professors didn't announce what method they were going to use, but I assume at least one of them is going to decide on Proctorio or something similar.

Since the CFA seems to plan on remaining open, I am considering asking if I can still take the same exam through a computer, but instead on campus with CFA rather than at home.

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[-] integrals_are_oceans 6 points 6 months ago

This definitely resonates with me - I have OCD as well, and it makes me a little awkward to think that there is a video that a prof could see of me doing a compulsion while writing my exam.

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[-] AgreeableLandscape3 Environmental Sciences 7 points 6 months ago

And while I hate to come off as overly sensitive, it's supposed to be my personal alone space and I feel like it is somewhat being violated with having to record myself sitting in my room for hours.

This is absolutely not being overly sensitive. This is a basic right to privacy that is being violated.

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🔺 [-] ghlitters 3 points 6 months ago

I took a distance education course (PSYC 314) with a Proctorio final last term and they allowed a 5 minute washroom break where you had to announce you were taking a break when you left and when you came back, but you only got that one break. The exam was only 2.5 hours so if yours is longer you might get more breaks.
permalink embed save parent give award

[-] AgreeableLandscape3 Environmental Sciences 5 points 6 months ago

Also, this is a big problem: What if your PC doesn't even have a camera or microphone? What if you use an OS that the program isn't compatible with?

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- /r/UBCSocial
- UBC Engineering
- UBC Computer Science Student Society
- /r/Vancouver
- The Ubyssey your student-run campus newspaper
- CiTR your student radio station

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To select your faculty flair, just click "edit" on the right bar, above this message. If your desired program flair is not available, contact us.

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account activity

30/2	2020	Regarding Online Exams using Proctorio (CFA) : UBC
	[-] Navin	Ad Arts 1 point 6 months ago
		gram is designed to work on all platforms since it's just a Chrome extension. Give their site a check where the details and features of their software: https://proctorio.com/support#minRegs
		k embed save parent give award
		greeableLandscape3 Environmental Sciences 1 point 6 months ago
		available for Firefox?
		alink embed save parent give award
		[-] Navin_Ad [Arts] 1 point 6 months ago
		It doesn't seem like it. It strictly requires you to use it on Chrome.
		permalink embed save parent give award
		[-] AgreeableLandscape3 Environmental Sciences 3 points 6 months ago
		Great, I have to install Chrome, which is another program that is awful for privacy.
		permalink embed save parent give award
		[-] Navin_Ad Arts 1 point 6 months ago
		You're never safe from Big Brother Google, even if you don't use Chrome, so
		permalink embed save parent give award
	[-] Agreeable	Landscape3 Environmental Sciences 3 points 6 months ago
	Another qu	estion: What do they do with this data <i>after</i> your exam is graded? There is a lot of personal data here literal video of you in your house. Are they going to be like Facebook and just keep it forever? Maybe sell it
	as a side hu	ustle?
		nbed save give award
		Ad Arts 1 point 6 months ago
	allows t the "mil your da	dvertising label for the product is to be believed, they will be doing nothing with your data. The system only he course instructor to review the footage, not even the company can get its hands on the footage because of litary-grade, zero-knowledge encryption." The guarantee that "[their] privacy design means we can't even see ta. There is nothing for us to sell to third parties." :: https://proctorio.com/support)
	As some	e have already said on this thread, no one will be bothered to check the whole footage of you taking a test.
	-	tem will flag suspicious events based on its algorithm, where the instructor can manually review it. Do take t with a grain of salt, I'm just saying what other people have said about the software. I haven't actually used it
	permalin	k embed save parent give award
		greeableLandscape3 Environmental Sciences 3 points 6 months ago
	with	probably okay with profs looking at the recording provided that I get assurance that it's permanently deleted in a definite time frame. However, I do not trust the company's claims at all.
	[-] Silent_Sibi	lance 3 points 6 months ago
	If they actu Multiple dis	ally flag based on normative movement patterns and don't turn that off for me, I'm totally screwed. abilities accommodated by CfA, including digital exams. I write with an adaptive mouse, voice dictation and onitor. Also got a healthy dose of clinical ADHD, so pretty much everything is going to look abnormal.
	accommoda	o be optimistic and believe that CfA will pull through with some transparent answers and decent ations in the next week. If the writing platform isn't confirmed by early next week though, I'm going to be ned about proper accommodation and fair evaluation.
		nbed save give award
		rgo 3 points 6 months ago
*		people getting info on this Proctorio thing from? I've looked through all my announcements on Canvas and anything. Maybe it's just Sauder?
		literally a block away from VGH. Is it going to flag noises too? ie ambulance, sirens, helicopters, etc.
		nbed save give award
	▲ [-] f 1 [Computer Engineering 3 points 6 months ago
		some online only UBC courses use it.
	permalinl	k embed save parent give award
	🔺 [-] A	greeableLandscape3 Environmental Sciences 1 point 6 months ago
		quite possibly every course this term.
		nalink embed save parent give award
+	[-] asswaterv	2 2 points 5 months ago
ŧ	the only thi app monito a lot of peo	ng scarier than letting a third party app monitor your surroundings is thinking it's okay to let a third party r your surroundings. refuse. they have to find another way to let you test during the pandemic because as ple have said , we wouldn't be signed up for these classes if we knew about this shitshow of a program
	permalink en	nbed save give award
+	[-] bucs_is_fu	m Business and Computer Science 1 point 6 months ago

- Which course is this for?
 - permalink embed save give award

Every course potentially

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[-] iwishiwasswallowed Science [S] 5 points 6 months ago

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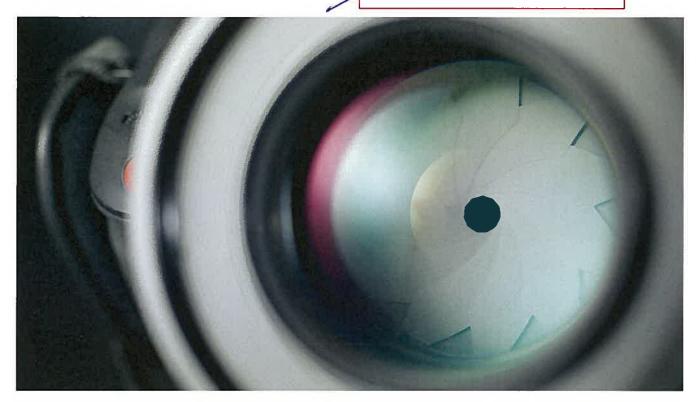
Mybrid Pedagogy

This is Exhibit D referred to in the Affidavit of lan Linkletter affirmed before me on 15 Oct 2020.

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A Commissioner for taking Affidavits for British Columbia



Our Bodies Encoded: Algorithmic Test Proctoring in Higher Education

SHEA SWAUGER · 02 APRIL 2020 · ED-TECH

Cheating is on the rise, we can't trust students, and the best strategy to protect academic integrity is to invest in massive surveillance systems. At least, that's the narrative that ed-tech companies catering to higher education are selling based on their products and marketing campaigns. One of the products that's currently being adopted by colleges and universities is algorithmic test proctoring -- essentially software designed to automatically detect cheating in online tests -- but we haven't had enough critical conversation about what values are embedded in these systems and the potential harm they can cause students. If I take a test using an algorithmic test proctor, it encodes my body as either normal or suspicious and my behaviors as safe or threatening. As a cisgender, able-bodied, neurotypical, white man, these technologies generally categorize my body as normal and safe, and because of this, they would not endanger my education, well-being, employment, or academic standing. The majority of the students on my campus don't share my identities and could have a very different experience being read by test proctoring algorithms. We need to understand the potential ways that algorithmic test proctoring can discriminate against students based on their bodies and behaviors, why higher education is willing to endanger students in the first place, and what we can do about it.

What It Is and Why It's Here

Over the last fifteen years, higher education has been increasing the number of online courses and programs it offers. While the methods for cheating in online classes are often the same as those used in-person, the institutional fear of increased cheating from

Our Bodies Encoded: Algorithmic Test Proctoring in Higher Education

online students has encouraged a new and lucrative market for ed-tech companies. Common online cheating methods include using unauthorized information aids while taking a test and/or having someone besides the student enrolled in the class take the test on their behalf, practices that predate both the internet and online tests. While in-person test proctoring has been used to combat test-based cheating, this can be difficult to translate to online courses. Ed-tech companies have sought to address this concern by offering to watch students take online tests, in real time, through their webcams. If the outsourced test proctor sees any evidence of cheating, as defined by the company or the institution, they can flag the behavior to be reviewed later by the course owner. After tests are completed, a course owner will be made aware of any flagged behavior. It is ultimately up to the course owner, not the test proctor, to determine if flagged behavior is a violation of academic misconduct and, if so, how to address it. Some of the more prominent companies offering these services include <u>Proctorio</u>, <u>Respondus</u>, <u>ProctorU</u>, <u>HonorLock</u>, <u>Kryterion Global Testing Solutions</u>, and <u>Examity</u>.

Several companies including Proctorio, Respondus, ProctorU, and others have adapted the outsourced human-proctoring model to include algorithmic proctoring, sometimes called "automated proctoring." Instead of a third party employee watching students take tests individually, tests are recorded, <u>including audio and video</u> of students, and run through internally-developed machine learning algorithms that "watch" each video and flag suspicious behavior in real time. Flagged sections of the test are sent to the course owner who, as before, determines if cheating was present or not. In order to do this, these algorithms require a large dataset to establish a baseline of "normal" bodies and behavior from which to make decisions. In this case, the data are recordings of people taking tests, exhibiting both cheating and non-cheating behavior, and the algorithm is taught by developers which bodies and behaviors are suspicious and which are "normal". At some point, with enough data and modifications, these companies deem their algorithms to be able to accurately identify cheating. While it's unclear exactly how many institutions are implementing algorithmic test proctoring, on the low end we can say there are at least <u>tens of thousands of online tests every month</u> proctored by third parties. From my conversations with representatives from Proctorio and ProctorU, in 2018 they administered about four million algorithmically proctored tests combined.

Potential Harms

Algorithmic test proctoring's settings have discriminatory consequences across multiple identities and serious privacy implications. For example, certain test settings flag loud noises or leaving the view of the camera as suspicious. These settings will disproportionately impact women who typically take on the majority of childcare, breast feeding, lactation, and caretaking roles for their family. Students who are parents may not be able to afford childcare, be able to leave the house, or set aside quiet, uninterrupted blocks of time to take a test. Even though <u>Title IX includes protections for pregnancy and parental status</u>, default test settings like these classify the day-to-day logistics of caring for children and dependents as a threat to academic integrity.

Students with certain medical conditions such as neuromuscular disorders or spinal injuries that prohibit them from sitting for long periods of time, those who need to use the restroom frequently, or anyone who needs to administer medication during a test will be flagged. In order for a student to identify themselves at the beginning of a test, they have to hold their ID stationary in front of their computer's camera and reverse-orient it to a frame on the screen, a task that requires fine motor skills that able-bodied students sometimes struggle with, and which students with certain disabilities may not be able to do. When eye-tracking is used, students with visual impairments such as blindness or nystagmus or students who identify as autistic or <u>neuro-atypical</u> may be flagged. Even common test-taking behaviors such as reading the question out loud, listening to music, or behaviors such as <u>hyperactivity associated</u> with ADHD can be flagged. While there can sometimes be accommodations for things like bathroom breaks, the fact is that most proctoring software's default settings label any bodies or behaviors that don't conform to the able-bodied, neurotypical ideal as a threat to academic integrity.

While racist technology calibrated for white skin isn't new (everything from <u>photography</u> to <u>soap dispensers</u> do this), we see it deployed through face detection and facial recognition used by algorithmic proctoring systems. Students with black or brown skin <u>have been asked</u> to shine more light on themselves when verifying their identities for a test, a combination of both embedded

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10/7/2020

Our Bodies Encoded: Algorithmic Test Proctoring in Higher Education

15 computer video cameras and facial recognition being designed by and for white people. A Black student at my university reported being unable to use Proctorio because the system had trouble detecting their face, but could detect the faces of their white peers. While some test proctoring companies develop their own facial recognition software, most purchase software developed by other companies, but these technologies generally function similarly and have shown a consistent inability to identify people with darker skin or even tell the difference between Chinese people. Facial recognition literally encodes the invisibility of Black people and the racist stereotype that all Asian people look the same.

At the beginning of a test, these products ask students to verify their identity by matching their appearance with a photo ID. As <u>Os</u> <u>Keyes</u> has demonstrated, facial recognition has a <u>terrible history with gender</u>. This means that a software asking students to verify their identity is compromising for students who identify as trans, non-binary, or express their gender in ways counter to cis/heteronormativity. If a student's gender expression or name on their ID are different from their current gender expression or name, the algorithm may flag them as suspicious. When this happens, they may have to undergo another level of scrutiny to authenticate their identity, an already common and traumatic experience for trans and gender non-conforming students. If these students are not alerted of this possibility before the test begins, it may force them to either discontinue the test and risk their grade, or out themselves to their course owner when they may not want to, risking more trauma and discrimination including being <u>denied</u> financial aid, being forced to leave their institution, or have their lives put in physical danger.

Course owners who use these products are given access to recorded video and audio of their students when they take tests, which can include the inside of students' homes and bedrooms. A common feature of proctoring systems is to allow course owners to download the recordings of their students to keep on a local device, and course owners can view the recordings of their students as many times as they want, when and wherever they want. These features and settings create a system of asymmetric surveillance and lack of accountability, things which have always created a risk for abuse and sexual harassment. Technologies like these have a long history of being abused, largely by heterosexual men at the expense of women's bodies, privacy, and dignity. For example, <u>university professors have used texting and social media to stalk their students, TSA employees targeted women to scan their bodies and share the images, police helicopters recorded people naked and having sex, National Security Agency employees shared sexually explicit photos they intercepted and <u>used wiretapping technology to spy on current or former lovers, civic employees used CCTV to watch women undress in their homes</u>, and <u>domestic abusers used IoT devices to gaslight</u> wives and partners. These are just a few examples, but they represent how toxic masculinity has used technology to abuse women.</u>

Additionally, proctoring systems often record the approximate location of where a student is when taking the test, which if not on campus is often in their homes. Having a course owner know where their students live can be dangerous for students, as is enabling course owners to have unaccountable access to video recordings of their students' bodies and homes.

Why Are We Encoding Bodies?

Given that these products create so much potential harm to students, it raises the question of why universities license them. Even if the risks to students were acknowledged by higher education institutions -- and at present, they aren't -- these companies are offering a product that resonates with several implicit values and practices of higher education that ultimately outweigh the risk to student safety: discriminatory exclusion, the pedagogy of punishment, technological solutionism, and the Eugenic Gaze.

Discriminatory Exclusion

Anytime people from a non-dominant group seek to participate in education, predictable counter arguments emerge that rest on the belief that their inclusion would harm current students, academic standards, productivity, etc. Algorithmic proctoring companies capitalize on colleges' and universities' preexisting discriminatory fears by first stoking those fears and then selling products to alleviate them. Below is an excerpt from a <u>Proctorio promotional video</u> [Editor's note: this video was removed just after the publication of this article.]

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Online education is moving the world's students into the future at an alarming rate. With the ability to take learning outside the brick walls of our institutions, a vast number of people now have access to education. But this presents a problem of how to maintain academic integrity in a globally competitive job market. Instructors and students alike want to make sure they're on a level playing field when it comes to academic achievements. Proctorio defends your accomplishments by holding dishonest people accountable, all the while protecting your privacy...

This promotional video plays upon the fear that if we include people not normally inside the "brick walls of our institutions" they will somehow threaten academic integrity. In short, we on the inside are honest, those on the outside are dishonest, and the rate at which "they" are joining "us" is cause for alarm. Proctorio is not an outlier in this; their messaging is representative of how most test proctoring companies market themselves to higher education.

Colleges and universities have a long history of this kind of exclusion. In 1956, a group called the Educational Fund of the Citizen's Council began distributing pro-segregation propaganda claiming that if we allow Black students to attend white schools, Black students will lie, cheat, and generally cause disciplinary problems and the best response to them is increased disciplinary and policing tactics. In "The Case Against Coeducation: An Historical Perspective," Carol K. Coburn outlines some of the biological inferiority arguments given to exclude women from men's only institutions. When Cambridge University held a vote to determine if women should be granted equivalent degrees to men, male students protested by "burning effigies of female scholars and throwing fireworks into the windows of women's colleges." Higher education in the United States has feared including marginalized people from the beginning and test proctoring companies market directly to that fear. Their promotional messaging functions similarly to <u>dog whistle</u> politics which is commonly used in <u>anti-immigration rhetoric</u>. It's also not a coincidence that these technologies are being used to exclude people not wanted by an institution; <u>biometrics and facial recognition have been connected to anti-immigration policies</u>, supported by both Republican and Democratic administrations, going back to the 1990's.

Without having to say so directly, test proctoring companies are communicating *firstly*, that non-traditional students, students of color, international students, and students typically excluded from higher education are threats because they are more likely to cheat and need to be held accountable, and *secondly*, that additional surveillance technology (which they will sell you) would protect your institution from them.

The Pedagogy of Punishment

Algorithmic proctoring companies are the logical fulfillment of higher education's proclivity for disciplinary practices applied to academic integrity in an online environment. Borrowing from Henry A. Giroux, Kevin Seeber describes the pedagogy of punishment and some of its consequences in regards to higher education's approach to plagiarism in his book chapter "<u>The Failed Pedagogy of</u> <u>Punishment: Moving Discussions of Plagiarism beyond Detection and Discipline</u>." The pedagogy of punishment ignores that what constitutes cheating, plagiarism, and citation are culturally constructed, seemingly arbitrary on first approach, and a source of anxiety for incoming students, especially those not acculturated to higher education. When introducing new students to academic conduct policies, we create an environment based on threats and fear, communicate to them that they aren't trustworthy, and that if they break the rules, they will incur severe discipline. We've built up increasingly sophisticated surveillance methods for detecting when students cheat but fail to communicate to them the contextual, political, and historical forces that created our academic practices for citation, evaluation, and testing.

Sean Michael Morris and Jesse Stommel's <u>ongoing critique of Turnitin</u>, a plagiarism detection software, outlines exactly how this logic operates in ed-tech and higher education: 1) don't trust students, 2) surveil them, 3) ignore the complexity of writing and citation, and 4) monetize the data. That last point applies to test proctoring companies as well, but instead of stealing the intellectual property of students, these companies are monetizing data about students' bodies to increase the value of their own intellectual property: their algorithms and software. As a business model, this is an ideal scenario for the private sector. Colleges and universities require students to let companies record their bodies and collect biometric data, which these companies then use to refine their product and sell it back to universities. In some cases, institutions pass the cost of using the technology to the students who then pay proctoring companies directly, averaging about \$25 per test. There isn't a clearer example of surveillance capitalism in education.

Technological Solutionism

Cheating is not a technological problem, but a social and pedagogical problem. Technology is often blamed for creating the conditions in which cheating proliferates and is then offered as the solution to the problem it created; both claims are false. Cheating predates the internet and will not be solved by a tool, a product, or an algorithm, even when that cheating happens online. Our habit of believing that technology will solve pedagogical problems is endemic to narratives produced by the ed-tech community and, as Audrey Watters writes, is tied to the Silicon Valley culture that often funds it. Scholars have been dismantling the narrative of technological solutionism and neutrality for some time now. In her book "Algorithms of Oppression," Safiya Umoja Noble demonstrates how the algorithms that are responsible for Google Search amplify and "reinforce oppressive social relationships and enact new modes of racial profiling." Her body of work includes authoritative critiques of algorithmic bias, technological redlining, and how racism and sexism pervade technology and online culture. Another scholar at the forefront of this conversation is Anna Lauren Hoffmann, who coined the term "data violence" to describe the impact harmful technological systems have on people and how these systems retain the appearance of objectivity despite the disproportionate harm they inflict on marginalized communities. Algorithmic discrimination and data violence can sometimes be more difficult to call out than traditional forms of discrimination and violence, not just because the data and code are kept in a black box of intellectual property, but because people are less likely to believe that data and code are even capable of discrimination and violence in the first place. Lastly, Ruha Benjamin has been developing an abolitionist toolkit using race critical code studies to not only cut through technological solutionist propaganda, but deconstruct the white supremacy that underpins what she coins the "New Jim Code."

The Eugenic Gaze

Algorithmic test proctoring encodes ideal student bodies and behaviors and penalizes deviations from that ideal by marking them as suspicious, which threatens students with academic misconduct investigations and exclusion from the educational community. This system of measuring bodies and behaviors, associating certain bodies and behaviors with desirability and others with inferiority, engages in what Lennard J. Davis calls the Eugenic Gaze. To understand this, let's break down the terms "Eugenic" and "Gaze." Eugenics is an ideology with the goal of improving the genetic quality of humans through the erasure of undesirable traits. While most eugenics programs focus on race, they often expand their list of undesirable traits which have included, "...(1) the feeble-minded; (2) paupers; (3) alcoholics; (4) criminals...; (5) epileptics; (6) the insane; (7) the constitutionally weak; (8) those with specific diseases; (9) the deformed; and (10) the deaf, blind, and mute..." Eugenics programs attempt to remove people who have "undesirable" traits through anti-immigration policies, selective breeding programs, marriage restrictions, forced sterilization, murder, and genocide.

Higher education is deeply complicit in the eugenics movement. Nazism borrowed many of its ideas about racial purity from the American school of eugenics, and universities were instrumental in supporting eugenics research by publishing copious literature on it, establishing endowed professorships, institutes, and scholarly societies that spearheaded eugenic research and propaganda. Those researchers (and often university presidents) went on to promote federal policies that supported eugenics goals in areas as far reaching as immigration, economics, housing, law, and medicine. Roughly 70,000 Americans were forcibly sterilized as a direct result of these policies.

A <u>Gaze</u>, like the <u>Male Gaze</u> or the <u>White Gaze</u>, is a culturally dominant perspective that seeks to create a power difference between a dominant and nondominant group of people by defining the terms through which they are seen, valued, and discussed. Gazes usually share similar features such as unequal power dynamics, surveillance, control, and conformity. The Eugenic Gaze seeks to measure people's bodies and behaviors, compare them to an idealized norm, and either reform people who don't fit that norm through punishment or exclude them from the community altogether. Algorithmic test proctoring uses the Eugenic Gaze by measuring student's bodies and behavior (machine learning and facial recognition software), defining what bodies and behaviors are associated

Our Bodies Encoded: Algorithmic Test Proctoring in Higher Education

with the ideal student (cisgender, white, able-bodied, neurotypical, male, non-parent, non-caretaker, etc.), attempts to reform students who deviate from the ideal student (flagging them as suspicious), or exclude them from the community (academic misconduct investigations which can lead to expulsion). The Eugenic Gaze is a combination of white supremacy, sexism, ableism, cis/heteronormativity, and xenophobia. When we apply the Eugenic Gaze using technology, the way we do with algorithmic test proctoring, we're able to codify and reinforce all of those oppressive systems while avoiding equity-based critiques because of our belief in the neutrality of data and technology.

What Do We Do Now?

Don't use algorithmic test proctoring. Instead, focus on pedagogical techniques that you can use to design assessments, online or in person, that draw from personal experience or require students to apply concepts in unique contexts. If you have to use algorithmic test proctoring, make sure students know about the test settings and ID requirement well before they take a test, and assure them that you will not take any behavior flagged as "suspicious" into consideration that isn't described explicitly in the syllabus. Talk with students about academic integrity, not just about the rules and consequences, but the culture that constructed it and how surveillance capitalism and privacy play a role. If students are uncomfortable with algorithmic test proctoring, support and empower them to communicate this to the administration and, where possible, give them the ability to opt-out. Advocate on behalf of students; start a conversation at your institution about what this technology communicates to students who are forced to use it, what values it represents, and how those may be different from the stated values of the institution. Lastly, read <u>Safiya Umoja Noble, Anna Lauren Hoffmann, Ruha Benjamin, Audrey Watters</u>, and <u>Os Keyes</u>. Each of these scholars offers important analyses and critiques of technology, but also a vision for how it can be used towards justice and care; they've helped me understand and continue to give me hope.

Conclusion

Algorithmic test proctoring is a collection of machine learning algorithms that reinforce oppressive social relationships and inflict a form of data violence upon students. It encodes a "normal" body as cisgender, white, able-bodied, neurotypical, and male. It surveils students and disciplines anyone who doesn't conform to "normal" through a series of protocols and policies that participate in a pedagogy of punishment, ultimately risking students' academic career and psychological, emotional, and physical safety. Companies that build these technologies are able to exploit higher education's proclivity for discrimination because academia is still afraid of letting the wrong people in. Technology isn't neutral or objective, it didn't cause cheating, and it won't ultimately stop it. It is, however, able to encode and amplify discriminatory beliefs and cast them into invisible and powerful systems that can harmfully impact our choices and our bodies.

Cathy O'Neil writes:

Big Data processes codify the past. They do not invent the future. Doing that requires moral imagination, and that's something only humans can provide. We have to explicitly embed better values into our algorithms, creating Big Data models that can follow our ethical lead. Sometimes that will mean putting fairness ahead of profit.

Collectively, higher education has failed to embed ethical values into educational technology. Algorithmic test proctoring, and many technologies like it, sacrifice student agency in favor of discriminatory exclusion, the pedagogy of punishment, surveillance capitalism, technological solutionism, and the Eugenic Gaze. Educators have an obligation to object, resist, and subvert these systems, to push towards a practice that embodies justice, liberation, and love, and to remain vigilant for the next technological "solution" that promises to "fix" students or education.

Published in

Ed-tech • What is Online Learning? • Reviewer: Daniel Lynds • Reviewer: Maha Bali / مها باللي / Photo credit: ShareGrid on Unsplash

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An Open Letter On the Future of Hybrid Pedagogy

We've spent the last several weeks rebuilding the journal on a new platform, looking carefully through our archives, to curate and foreground the most relevant articles we've published.



What is the nature of gratitude? What does it challenge – or allow – us to do? And how does it change when we think of it as being *active*? I talk with Amy Slay and Kate Bowles to learn more.



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Examining the Relationship Between Student Test Anxiety and Webcam Based Exam Proctoring

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Jennifer Weible Central Michigan University weibl1jl@cmich.edu This is Exhibit 5 referred to in the Afficiavit of lan Linkletter affirmed before me on 15 Oct 2020. 20

A Commissioner for taking Affidavits for British Gotumbia

Abstract

With increased pressures on maintaining a stellar academic performance for future academia or occupational possibilities, students may suffer test anxiety at some point in their higher education journey. For decades, empirical, observational, research has been conducted to determine the psychological and physiological effects of test anxiety. This exploratory research examines the insitu behaviors displayed by students while taking online course exams through use of a virtual proctor and how that relates to student self-reported indications of test anxiety. While the top ten behaviors observed to occur most frequently (e.g., directional change in gaze, furrowed eyebrows) do not align with reported physiological responses of test anxiety, the findings of this exploratory research can prepare instructors for what behaviors they can expect to see from their students while taking virtual proctored exams. In interviews, students self-identified behavioral coping skills used while taking their exams. This unexpected finding was consistent with the behaviors demonstrated by students and invites the opportunity for instructors to incorporate material within their eLearning courses that will help students become calmer while taking their online exams.

Introduction

Across the span of their education, students have taken examinations as an evaluation of their abilities and accomplishments, often with increased pressures to have superior performances for future academic admissions or occupational possibilities. As a result, 38.5% of students will suffer from test anxiety at some point over the course of their higher education career (Gerwing, Rash, Gerwing, Bramble, & Landine, 2015). Test anxiety is a multidimensional construct that has been defined as "a set of phenomenological, physiological, and behavioral responses during an evaluation situation" (Zeidner, 1998, p. 17) that invoke "an unpleasant feeling or emotional state that has physiological and behavioral concomitants" (Dusek, 1980, p. 88). The Test Anxiety Inventory (TAI), a self-report instrument, measures worry and emotionality (Ali & Moshin, 2013) and has been used to assess test anxiety in high school and college-aged students with the intention of helping develop effective coping skills.

Along with an increasing awareness of test anxiety, the prevalence of eLearning (also referred to in literature as "online learning" or "web-based distance education") has become an accepted means of delivering quality, accessible education to students (Li & Irby, 2008) while allowing education providers to increase efficiency and retain students (Wolff, Wood-Kustanowitz, & Ashkenazi, 2014). In the eLearning environment, virtual proctoring of exams is more prevalent (Sanjoe, n.d)

and can provide a level of security for exam integrity similar to a human proctor in live proctoring locations (Bedford, Gregg, & Clinton, 2009).

The research is deep in identifying test anxiety in primary and secondary education students (Ergene, 2003; Talbot, 2016); it is less so in reporting the prevalence of test anxiety among college students (Gerwing et al., 2015), particularly in online learning settings. With 13 consecutive years of growth in students taking eLearning courses, and yearly increases of 3.9% (Allen & Seaman, 2016), this is problematic. Therefore, in this exploratory study, we seek to explore the connections between higher education students' behaviors during recorded virtual exam sessions and text anxiety with the aim of developing pedagogical recommendations for online instructors.

Literature Review

In this literature review, the focus will be on three areas. The first will review test anxiety in students with an emphasis on the physiological behaviors identified in clinical trials consistent with test anxiety. The second area will discuss the use of the Test Anxiety Inventory in measuring students' self-report of test anxiety. The third area will examine the purposeful use of virtual proctoring in the eLearning academic environment.

Test Anxiety in Students

Students who experience test anxiety likely do not develop this condition once they are in college. Between 10% to 30% of students started to experience physical, emotional and behavioral indications of test anxiety when they were in elementary school (King & Ollendick, 1989). Often treatment is not sought (Ergene, 2003) until reaching high school when students may come to understand that their behaviors are related to test performance and seek help (Hill & Wigfield, 1984). Still, many learners struggle with test anxiety into their college years (Gerwing et al., 2015).

Both the psychological and physiological effects of test anxiety on academic performance are wideranging and have been found to lower motivation and impair cognitive performance as well as inhibit academic performance (Chapell et al., 2005; Chin, Williams, Taylor, & Harvey, 2017; Peleg-Popko, 2004). Studies on cognitive anxiety and exam performance showed that an increase in anxiety can have either a positive or a negative effect on students' academic performance—a small increase in anxiety could increase performance, whereas a large increase in anxiety could lower the students' performance levels drastically (Humara, 1999). Examining 188 senior high school students from New Zealand, Chin et al. (2017) concluded that "test anxiety accounts for approximately 5–10% of the variance in exam grades" (p. 1). Several factors can influence a student's test anxiety including perception of understanding content, lack of time management skills, academic pressures, and other personal factors including self-efficiency and self-control of thoughts, actions, and emotions (Duraku, 2016). Additionally, exam taking can become a major source of anxiety when the scores serve as gate-keepers to future opportunities and career pathways (Peleg-Popko, 2004) because of greater student expectations and pressures from their parents and schools to perform well.

Physiologically, anxiety can negatively affect academic performance; "the emotional component describes the tension that students have during the test, which is manifested through muscle tension, accelerated heart rate, nervousness, or sweaty palms" (Asghari, Abdul Kadir, Elias, & Baba, 2012, p.4), as well as experiencing perspiration, dry mouth, and muscle spasms (Harris & Coy, 2010). In addition, anxious students may experience nausea, dizziness, and panic before, during, and even after a test (Talbot, 2016). Weinberger, Schwartz, & Davidson (1979) conducted research on the behaviors displayed in a college classroom by 201 undergraduate male students and concluded the following physiological reactions occurred when a student reported experiencing test anxiety: forehead tension (as evidenced by furrowed eyebrows), perspiration or sweating, shortness of breath/irregular breathing, pursed lips, clenched jaw, and motor agitation (gross or minor as

evidenced by restlessness or fidgeting).

Test Anxiety Inventory (TAI)

Test anxiety has been studied formally since George Mandler and Seymour Sarason (1952). Throughout the decades, psychologists have continued researching the symptomology, causes, and treatments of test anxiety, predominantly conducting studies in clinical, experimental designs. The TAI is a self-reporting questionnaire measuring the degree of test anxiety a person experiences as a situation-specific personality trait (Spielberger, 1980) and is one way that test anxiety can be quantified. While there are at least eight other inventories for self-assessment of test anxiety, Ali and Moshin (2013) conducted a meta-analysis of the TAI, concluding that the TAI was considered a valid and a reliable measure of test anxiety when used with high school students, undergraduate college students, and graduate college students.

Exam Proctoring in eLearning

To meet the needs of today's college students, many institutions are incorporating eLearning courses into their program offerings. Even when overall higher education enrollment is declining, eLearning enrollment has continued to grow (Allen & Seaman, 2016). With this increase, educators question how to maintain academic rigor while holding all students to the same standards of academic integrity, particularly within online course exams. Many institutions offering eLearning programs are concerned about academic security and are implementing virtual proctoring software because of its low cost, functionality, and protection against academic dishonesty behaviors of their students when taking online exams (Baron & Crooks, 2005; Bedford et al., 2009; Karim, Kaminsky, & Behrend, 2014).

Few studies have examined the effect of virtual proctoring on students when taking exams. Karim et al. (2014) found that remote proctoring did not directly affect test-taker reactions and performance, but it did decrease instances of cheating. Research by Romero-Zaldivar, Pardo, Burgos, & Delgado Kloos (2012) on second-year engineering students at a Madrid university identified how virtual recording tools can capture the minutiae of an event taking place within the students' learning experience. Their research outcomes supported that using virtual proctoring tools makes the online exam-taking environment an ideal forum to observe student test-taking behaviors, stating, "the detailed observation of the student activities in their course workspace offers a reliable framework to predict their academic achievement" (Romero-Zaldivar et al., 2012, p. 1065).

In using a virtual proctor, the webcam focuses on just one student and is more neutral, detached, and uninterrupted than a human proctor (Marcus, Raul, & Ramirez-Velarde, 2008). Respondus Monitor, one such virtual proctor option, requires that students' complete exams in front of a computer-mounted or manufacturer-installed webcam and provides the instructor with live streaming images of the student and their environment while taking the assessment ("Respondus", n.d.). Knowing that the instructor will be reviewing their recorded exam session can affirm for the students the importance of academic integrity and being ethical in their exam-taking efforts. St. Clair (2015) suggested the use of a sample test to model the use of virtual proctoring software, to address problems, and reduce eLearning student anxiety.

There is scant research on the relationship between test anxiety and behaviors, particularly in online learning. Having been well researched in clinical trials, there is little empirical research on test anxiety and test-taking behaviors during human proctored exam sessions. Much of the research conducted on student behavior during online exams has examined behaviors in the context of large standardized tests such as the ACT, LSAT, or GRE (Camara, 2002) and not classroom contexts. In addition, the research has focused primarily on issues of cheating and exam security, rather than on student exam-taking actions or non-cheating-related behaviors (Kerton & Cervato, 2014). Additionally, there is no research to date that captures in situ behaviors of students taking a virtually

proctored exam or any correlation between behaviors during these exams and indicators of test 23 anxiety.

As more students enroll in eLearning courses, the need for exam proctoring to provide a quality educational experience and maintain academic rigor rises in importance. With the pressures felt by higher education students that perpetuate increased amounts of worry and emotionality, as well as the additional stress of the unfamiliar proctoring of exam sessions for academic integrity reasons, higher learning students could experience greater test anxiety reactions than is reported in the literature.

Methodology

To address the lack of research on test anxiety and virtual proctoring, this exploratory study investigates the following research questions:

1. How do the behaviors displayed by higher education students during their web invigilated proctored exam session align with their Test Anxiety Inventory outcome score?

2. Was there a correlation between the higher education students' observed exam scores and the Test Anxiety Inventory outcome score?

3. How do eLearning students' perceptions regarding physiological test anxiety reactions parallel with their observed behaviors during the web invigilated proctored exam session and their Test Anxiety Inventory outcome score?

Participants and Setting

The participants were eLearning students from a large public four-year university in the Midwest and a community college in the Midwest (a two-year institution). All students were enrolled in one of Author One's 2014-2017 undergraduate psychology courses (14 courses total) that had concluded prior to the exam-taking sessions being viewed for this research. Each course included four or five exams. After each course ended, written consent was obtained from participants to include their recorded exam sessions and their TAI results in the study, as well as participate in an interview. Participants were advised, as a part of their consent, that partaking in this study would not compromise their academic standing at either institution. Student demographic data was removed from all recordings and reportings and each was assigned a random number for identification of the participant. The actual names of students were replaced with fictitious names.

Tools and Instruments

Test Anxiety Inventory. This study utilized the Test Anxiety Inventory (TAI) as a self-report measure of text anxiety. Consisting of 20 questions, students responded to each question based on a 4-point Likert type scale consisting of four options: (1) Almost Never, (2) Sometimes, (3) Often and (4) Almost Always. The completed Test Anxiety Inventory (TAI) surveys were calculated for the total and the two subscales (Worry and Emotionality) scores.

Virtual Proctoring System. Both higher education institutions in this study had a contract with Respondus, Inc. for use of Respondus Monitor as a virtual proctoring tool. All Respondus Monitor recordings of the participants' exam-taking sessions were embedded within the LMS used by the institutions for course delivery. At the start of each exam, during the mandatory setup steps prior to the exam being activated, the student acknowledged the use and purpose of Respondus Monitor. The next steps validated student authentication, requested a 360-degree environment scan and a webcam check, and finally required an acknowledgment that the student understood the parameters of the web invigilated exam session, including the institutions' policies on academic integrity.

Examining the Relationship Between Student Test Anxiety and Webcam Based Exam Proctoring

Observation Matrix. The observational protocol was developed prior to this study (Kolski & Weible, in review). The observation matrix consisted of behavioral indicators of test anxiety (e.g., furrowed eyebrows, perspiration, deep breathing, pursed lips, motor agitation) found in traditional college classrooms (Weinberger et al., 1979). In addition, student behaviors (e.g., rubbing lips with fingers, reading exam questions, shifting eye gaze, propping of the head) were added based on Author One's four years of experience with reviewing recorded exam sessions, plus the ability to document unexpected behaviors that were displayed.

Interview. Structured interviews with students were conducted using open-ended questions designed to understand the students thinking about their recorded, virtual proctored, exam-taking experience. Included were questions about their perceptions of behaviors displayed while taking exams as well as their insightfulness regarding test anxiety.

Data Collection

At the start of each course, students were given the opportunity to choose either a human proctor or a virtual proctor for completing their course exams with 88% (238 out of 272) of these students selecting the Respondus Monitor tool for virtual proctoring of each of their course exams. Students who made the decision to use an approved testing center location and/or a human proctor were excluded from this research population. From consented students (n=37), approximately 60 hours of video was obtained and stored. Video recordings of exam sessions were assigned a number for data entry and analysis purposes. Following the course's conclusion, all consented students (n=21) completed the TAI survey and five interviews were completed with students who had high, middle, and low TAI scores.

Observational data was collected by examining 25.43 hours of archived video recordings of students as they took their virtual proctored exams; the recording of the first exam for each student and a second randomly selected recording of one of the subsequent exams. When initiating the virtual proctoring, 100% of the students acknowledged understanding the purpose and use of Respondus Monitor as a form of securing exam integrity. 82% of the students showed pictured identification which was consistent with the person seen on the recording; others neglected to show identification. 84% completed the environmental scan slowly so a clear visualization of the student's workspace being free from books, notes, or electronic devices was obtained.

Data Analysis

For the video analysis, the observational protocol was used to quantify observed behavioral data. The frequency counts of observed behaviors from the coding matrix, exam scores, and the TAI scores were statistically analyzed using descriptive statistics, parametric inferential statistics, and correlation procedures to examine the relationships between the quantified observational data, exam scores, and the TAI scores. Of the 40 behaviors included on the observational protocol (see Table 1), the most prevalent 23 behaviors (indicated in bold font) were included in the data analysis.

Table 1

*Audible Sigh	Coughs	Leans Backward from Camera	Reads Question Out Loud
Chews on Clothes	Cracks Knuckles/Neck/Back	Leans Inward Toward Camera	Relaxed Posture
Chews on Fingers	Deep Breathing	Leans Sideways	Rigid Posture
Chews on Objects	Eyebrows Furrowed	Lip Licking or Lip Biting	Rubs or Picks at Lips with Fingers
Chews or Smokes	Eyebrows Raised	Lip Reads	Scratches Some Part of Head/Face
Chews Other	Eyes Squinted	Moves Head Left/Right	Smiles or Smirks
Clears Throat	Eyes Widen	Moves Head Up/Down	Squirms or Shifts in Seat
Closes Eyes	Gaze Shifts to Left	Perspires	Stretches
Consumes a Beverage	Gaze Shifts to Right	Props Head with Hand(s)	Twists or Plays with Hair
Consumes Food	Gazes Upward	Pursed Lips	Yawns

Behaviors Included on the Observational Protocol

* Behaviors in bold font were included in the descriptive statistical analysis.

The interviews were transcribed and coded using a priori codes based on test anxiety literature as well as allowing for emerging codes. These coded segments were compared with the student's observed behaviors and their TAI results.

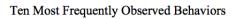
Results

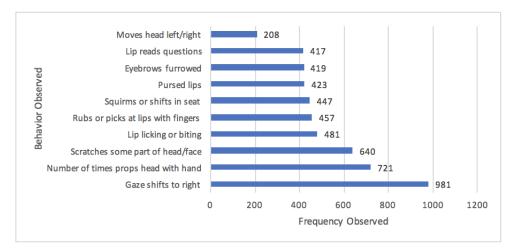
Of the 37 participants in this study, 92% were female (n=34) and 8% were male (n=3). Students were from both a two-year community college (n= 13, 35%) and a four-year university (n=24, 65%) who completed their eLearning course exams using the Respondus Monitor virtual proctor technology. The length of time a student took to complete their exam ranged from 10 minutes to 49 minutes, with the average length of time being approximately 23 minutes (M=23.17 minutes, SD=8.84).

Relationship Between Behaviors, TAI Score, and Exam Score

The ten most frequently observed behaviors were: eye gaze shifting to the right, propping their head with their hand, scratching some part of the head or face, lip licking or lip biting, rubbing or picking at the lips, shifting or squirming in their seat, pursed lips, eyebrows furrowed, lip reading the exam questions, and moves head left/right (See Figure 1). The behaviors of overt perspiration, chewing or smoking tobacco, and demonstrating a rigid posture, as noted by Weinberger, Schwartz, & Davidson (1979), were not observed in any of the students' recorded exams.

Figure 1





To examine the relationship between the participants' TAI total score and the top ten most frequently observed behaviors, a Pearson correlation coefficient was conducted (see Table 2).

Table 2

Relationship Between the Participants' TAI Total and Each of the Top Ten Observed Behaviors

					С	orrelation	IS					
		Total TAI score	Gaze shifts to right	Props head with hand	Scratches some part of head/face	Pursed Lips	Rubs or picks at lips	Lip licking or biting	Squirms or shifts in seat	Eyebrows Furrowed	Moves head left/right	Lip Reads Questions
Total TAI score	Pearson Correlation	1	0.172	0.038	0.273	-0.086	0.179	.600**	-0.198	0.144	0.186	0.248
	Sig. (2- tailed)		0.456	0.870	0.232	0.711	0.437	0.004	0.389	0.534	0.420	0.278
Gaze shifts to	Pearson Correlation		1	0.041	-0.040	0.114	0.057	0.230	0.208	-0.015	-0.136	.332"
right	Sig. (2- tailed)			0.758	0.764	0.386	0.666	0.078	0.110	0.912	0.298	0.010
Props head with	Pearson Correlation			1	.582**	-0.072	.569**	- 0.021	.359"	0.226	-0.066	0.161
hand	Sig. (2- tailed)				0.000	0.584	0.000	0.876	0.005	0.082	0.616	0.218
Scratches some part	Pearson Correlation				1	-0.034	.470"	0.208	0.231	0.173	-0.010	0.224
of head/face	Sig. (2- tailed)					0.798	0.000	0.111	0.076	0.186	0.942	0.085
Pursed Lips	Pearson Correlation					1	0.048	- 0.029	0.233	.496**	0.167	-0.035
	Sig. (2- tailed)						0.717	0.827	0.073	0.000	0.202	0.791
Rubs or picks at	Pearson Correlation						1	0.133	.379"	.345"	0.225	.257*
lips	Sig. (2- tailed)							0.312	0.003	0.007	0.084	0.047
Lip licking or biting	Pearson Correlation							1	-0.019	0.043	0.191	.602"
-	Sig. (2- tailed)								0.886	0.742	0.144	0.000
Squirms or shifts	Pearson Correlation								1	0.207	0.059	0.159
in seat	Sig. (2- tailed)									0.113	0.654	0.225
Eyebrows Furrowed	Pearson Correlation									1	.302*	0.109
	Sig. (2- tailed)										0.019	0.409
Moves head	Pearson Correlation										1	0.229
left/right	Sig. (2- tailed)											0.078
Lip Reads Questions	Pearson Correlation											1
	Sig. (2- tailed)											

**. Correlation is significant at the 0.01 level (2-tailed).
*. Correlation is significant at the 0.05 level (2-tailed).

A moderate, positive correlation was found between the TAI total score and the behavior of lip licking or biting [r(19)=.600, p<.01], indicating a moderately significant association between the behavior of lip biting or licking and a moderate total score on the TAI. Of the ten behaviors that were used for data analysis, no other behaviors showed to have a significant correlation at the p=0.05 (2-tailed) level when compared to the TAI total score.

Table 3

Furrowed Eyebrow Behaviors as a Predictor of Increased TAI Total Score

			ANOVA ^a			
		Sum of		Mean		
Mode	1	Squares	df	Square	F	Sig.
1	Regression	750.243	1	750.243	5.238	.034 ^b
	Residual	2721.567	19	143.240		
	Total	3471.810	20			

a. Dependent Variable: Total TAI score

b. Predictors: (Constant), Eyebrows Furrowed

			dardized ficients	Standardized Coefficients	
Model		В	Std. Error	Beta	t
1	(Constant)	41.783	3.739		11.176
	Eyebrows Furrowed	1.236	.540	.465	2.289

A one-way ANOVA was also calculated by comparing the participants' total TAI score based on each of the 23 observed behaviors used for data analysis (see Table 3 and Table 4). A significant difference was found among the furrowed eyebrow behavior [F(1,19) = 5.238, p<.05] and the behavior of lip licking or biting [F(1,19) = 8.043, p<.05] and the student having an increased TAI total score.

Table 4

Lip Licking or Biting Behaviors as a Predictor of Increased TAI Total Score

		8	ANOVA	r		
	Model	Sum of Squares	df	Mean Square	F	Sig.
1	Regression	1032.553	1	1032.553	8.043	.011 ^b
	Residual	2439.257	19	128.382		
	Total	3471.810	20			

a. Dependent Variable: Total TAI score

b. Predictors: (Constant), Lip licking or biting

			ndardized fficients	Standardized Coefficients		
Model		В	Std. Error	Beta	t	
1	(Constant)	40.017	3.722		10.753	
	Lip licking or biting	.602	.212	.545	2.836	

To examine the relationship between the participants' observed exam score and their TAI total score a Pearson correlation coefficient was conducted. A moderate, negative correlation was found between the observed exam score and the total TAI score [r(19)=-.503, p<.05] indicating the higher the exam score is moderately associated with lower values of the TAI total score.

A final Pearson correlation was calculated examining the relationship between the participants' observed exam score and the 23 observed behaviors that were used for data analysis. A weak positive, statistically significant correlation was found between the observed exam score and the behavior of eyebrows furrowing [r(58)=.326, p<.05] indicating a slight association between the behavior of furrowed eyebrows and a higher score on the observed exam score. A weak negative, statistically significant correlation was found between the observed exam score and the behavior of furrowed eyebrows and a higher score on the observed exam score and the behavior of

lip biting or licking [r(58)=-.324, p<.05] indicating a slight association between the increased behavior of lip licking or biting and a lower score on the observed exam score. A moderate, statistically significant negative correlation was found between the observed exam score and the behavior of clearing their throat [r(58)=-.499, p<.01] indicating that the increased behavior of clearing the throat was associated with a lower score on the exam. No other behaviors were found to have a significant correlation at the p=0.05 (2-tailed) level compared to the observed exam score.

Student Interviews

Of the five students who partook in structured interviews regarding their perceptions of test anxiety and behavioral indicators for test anxiety, two students' TAI total (T) score were at the 92nd percentile rank or higher (T > 61); two students' TAI total (T) score were at the 36th percentile rank or lower (T < 35); and one student's TAI total (T) score was at the 57th percentile rank (T = 39). When asked if the students were self-aware about test anxiety, each responded congruently with what their TAI total score represented. Both TAI high scoring students (Val and Emily) identified "knowing since being young" that they felt anxious when taking tests. Per Emily, "as I got older [middle school or early high school] I put a label of test anxiety on it".

Connections Between Behavior and Test Anxiety

By examining the interviews, behaviors, and TAI scores, connections between behaviors, TAI scores, and perceived test anxiety of students were found. From this analysis, several themes emerged:

- Test anxiety more often appeared as motor agitation;
- Behaviors can indicate cognitive processing;
- Students are self-aware of the behaviors they exhibit while taking tests; and
- Instructors can incorporate strategies within their courses to help students reduce anxiety.

Motor agitation as an indicator of test anxiety. Five of the top ten behaviors observed are classified as a form of motor agitation (propping their head with their hand, scratching some part of the head or face, rubbing or picking at the lips, shifting or squirming in their seat, and moves head left/right). Val, who self-reported experiencing test anxiety responses (TAI=62), shared "I'm sure there are many things I do without even acknowledging them". Emily, who also self-reported experiencing test anxiety, "I would scratch my head or face or neck, I would pull up at my shirt collar or if I was wearing a necklace I'd fidget with the necklace. If I had a clicky pen I'd click it". These results indicate that some form of motor agitation as an observed behavior can happen in response to test anxiety.

Behaviors indicate cognitive processing. During the interviews, two students – both who scored low on the TAI indicating a lack of test anxiety - acknowledged they were likely to be seen reading the exam questions. Robin, who did not self-report experiencing test anxiety responses (TAI=27), stated "[you saw] me thinking, [meaning] me reading questions to myself". Bethany, who did not self-report experiencing test anxiety responses (TAI=28), stated "you probably saw me talk to myself. I talk to myself a lot. I'll read the questions and think out loud through reading the questions". These results indicate that the observed behavior of lip reading questions is a cognitive processing behavior more than a behavioral indication of test anxiety.

In addition, Bryan acknowledged the shifting of his gaze as "I probably had a lot of eye motions ... it's my way of thinking. If I'm looking away from the screen for a bit, it is likely because I don't know the answer very well to that question or it calms me down to answer the question better." When queried further, Bryan stated, "I know the software is good at detecting if you were to do stuff so there isn't anything more I could do [in front of the camera] than what wouldn't be done in front of the teacher." These results indicate that a directional change in the students' gaze while taking their exam can be used as a cognitive processing or visual distraction coping skill rather than a behavioral indicator of academic dishonesty.

Self-awareness in students. When all students were queried if there were specific behaviors they recalled having demonstrated during their virtual proctored exam, their responses were consistent with what was observed on their individual exam recordings. Per Bethany, "I'll lip read or actually read the questions out loud." Val stated, "I take a deep breath or stretch." Also, Bryan said, "I probably shifted my eyes from the screen to look out the window beside me". Students were also asked if they used any coping mechanisms for staying calm while taking exams. Bethany, who scored low on the TAI (28), stated, "I talk through my thinking". Robin, who also scored low on the TAI (27), shared, "if I know it, I just answer and move on. If I don't, I'll make a note of that question number and then move on and come back once I reach the end. If I still don't know it, well then I don't". Bryan, as noted above, who had a middle TAI score (39), commented, "If I'm looking away from the screen … it calms me down to answer the question." Both Val and Emily, who had high TAI scores (62 and 76 respectively) acknowledged "taking a deep breath" to help calm themselves down, with Emily adding, "my coping was to fidget".

Teacher strategies to reduce test anxiety. During the analysis, a final theme emerged: teacher pedagogical strategies such as allowing behavioral coping skills and including content to familiarize students with virtual proctoring technology can lessen students' anxiety while taking online exams. First, flexibility in allowing students to identify problematic behaviors and suggest solutions can reduce their anxiety. The behavioral coping mechanisms students demonstrated while taking their virtually proctored exams could be identified by the instructor as problematic. Understanding and allowing these, however, were found to support the students while taking their exams. The students interviewed identified the following exam-taking coping skills, which were also observed to have been demonstrated in their recorded exam sessions: per Emily, "my coping was to fidget"; per Val, "take a deep breath, stretch and just try to slow down my thinking as I read the question"; and per Robin, "taking notes on questions and writing down questions". Considering the students were not to have any notes, books, or electronic resources near their computer when taking the exam, the two students interviewed (Robin and Emily) who referenced taking notes had communicated with Author One prior to taking the first exam about what could be done to allow this behavior. A plan was discussed that the student would show the blank paper to the camera at the start of the exam to ensure that no additional material was available to the student.

Second, implementing clear directions and routine procedures were also effective pedagogical strategies. Two of the students stated that content embedded into Author One's eLearning course was helpful for reducing their anxiety. Emily, who self-reported experiencing high test anxiety, stated, "I am not technology savvy, but you gave us simple directions to follow and that made me less nervous". Or per Bryan, "you made it smooth and it was easy to set up ... with Respondus I didn't have to [download] each time. When I was ready to take a test, I could just enable the lockdown browser and take the test. I loved the convenience." These statements indicate how instructors can implement measures to help students reduce test anxiety when utilizing virtual proctoring for assessments within online courses.

Discussion

Empirical research indicated that overtly perspiring or shortness of breath/irregular breathing were primary indicators of test anxiety (Weinberger, Schwartz, & Davidson, 1979). In this study, these indicators were not found. However, lip licking and biting, motor agitation, and eyebrows furrowed, previously identified as signs of test anxiety (Asghari et al., 2012; Harris & Coy, 2010; Weinberger et al., 1979), were prevalent. In addition, students reported that these behaviors were consistent with their feelings of test anxiety. For example, Emily, who scored high on the TAI, further supported this finding when she was observed, and she also stated, that she consciously engaged in multiple

behaviors consistent with motor agitation while taking her exams. Although we found a moderate, positive correlation between elevated TAI score and the behavior lip licking or biting, more research is needed in this area for generalization to a larger population.

In alignment with Lufi, Okasha, and Cohen (2004), our outcomes indicated that higher TAI scores correlated with lower test scores. In addition, we found a connection between furrowed eyebrows and increased exam scores, while lip licking or biting and clearing the throat were correlated with lower exam scores. While the TAI has been studied in experimental or observational classroom settings (Ali & Mohsin, 2013; Chapell et al., 2005; Ergene, 2003; Gerwing et al., 2015; Lufi et al., 2004; Peleg-Popko, 2004), others have not examined the relationship between exam scores and the TAI in eLearning, specifically with the use of virtual proctoring. Our study expands the range of its use with findings that are consistent with prior research.

With the behavior most frequently observed in this study being the student's gaze shifting to the right, an assumption could be made that any change in the student's gaze (e.g., right, left, upward, or downward) might suggest they were cheating. However, the review of each student's 360-degree environment scan revealed no electronic devices or print material nearby that may have provided assistance in answering their exam questions. Additionally, Bryan's comments indicate that the virtual proctor is an incentive to not cheat. Furthermore, his observed gaze shifting behaviors were indicators of cognitive processing and coping mechanisms. Although changes in the direction of gaze could indicate academic dishonesty, instructors need to investigate fully before drawing conclusions. While no academic integrity violations were found in this study, the purposeful use of virtual proctoring is to secure academic integrity standards (Baron & Crooks, 2005; Karim et al., 2014). These can best be achieved when instructors follow through on behavioral indications consistent with cheating taking place.

Implications

Instructors within eLearning courses using virtually proctored exams can use multiple tactics to help address students' needs and best support their exam-taking abilities. Some suggested strategies are:

1. Early in the course, develop open lines of communication between the instructor and students about exam-taking concerns (e.g. wanting to use paper and pencil while taking an exam);

2. Include opportunities for students to become comfortable with the virtual proctoring software in advance of the first course exam (e.g., use of a no-risk quiz); and

3. Discuss behaviors with students prior to assuming that academic impropriety has occurred.

As noted by Bryan and Emily, having prior practice and protocols about the use of virtual proctor technology helped reduce their anxiety and provided them with a better exam-taking experience. This aligns with previous findings (St. Clair, 2015) in which the use of a sample-quiz was found to be an easy, yet effective, best practice for reducing eLearning students' test anxiety. In addition, building into eLearning courses simple instructions for using virtual proctoring software or websites can help reduce technology concerns for students that could foster higher levels of anxiety. This emerging finding of behaviors demonstrated by students that are consistent with self-identified coping skills while taking exams invites the opportunity for instructors to incorporate material within their eLearning courses that will help students become calmer while taking their eLearning course exams.

Conclusions

With college students having to cope with academic performance pressures, it is of interest for instructors and educational researchers to understand what behaviors students are demonstrating

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while taking virtual proctored exams. The use of virtual proctored exams allows researchers to observe for indications of test anxiety through a different lens compared to what is offered in the existing literature. To help students who display behaviors consistent with test anxiety cope better, instructors can incorporate effective test-taking strategies into their eLearning courses that can positively influence the students' academic performance (Talbot, 2016).

For the instructors questioning if virtually proctored exams would increase anxiety in eLearning students, the results of this study do not support that assumption. Instead, a greater case can be made that virtual proctoring best meets the convenience, cost, and flexibility needs of eLearning students identified by Shea and Bidjerano (2014). As more students are enrolling in eLearning courses (Allen & Seaman, 2016), the time is ripe for dialog about resources that could be included to educate students on strategies for decreasing anxiety reactions while taking their virtually proctored exams.

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21st Century Assessment:

Online Proctoring, Test Anxiety, and Student Performance

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Abstract: It is safe to say that online leaning has found a permanent place in higher education. Conventional higher education institutions are also gradually embracing it across the United States. As online learning surfaces as the new model of contemporary education both in the United States and worldwide, ensuring exam integrity in the online environment is becoming a major challenge to many higher education institutions. To meet this challenge, many of these institutions are outsourcing the examination aspect of their education to online proctoring service providers. The present study, which was conducted on a total of 631 students, assesses the effect of online proctored exams on student test anxiety and exam performance. This study shows that high trait test anxiety results in lower exam scores and that this is especially true for those students with high text anxiety taking exams in an online proctored setting.

Keywords: online proctoring, learning, test anxiety, worry, emotionality.

Résumé : On peut affirmer sans risque que l'apprentissage en ligne a trouvé une place permanente dans l'enseignement supérieur. Les établissements d'enseignement supérieur conventionnels l'adoptent également progressivement aux États-Unis. Alors que l'apprentissage en ligne apparaît comme le nouveau modèle de l'éducation contemporaine tant aux États-Unis que dans le monde entier, assurer l'intégrité des examens dans l'environnement en ligne devient un défi majeur pour de nombreux établissements d'enseignement supérieur. Pour relever ce défi, bon nombre de ces établissements confient l'aspect examen de leur formation à des fournisseurs de services de surveillance en ligne. La présente étude, qui a été menée sur un total de 631 étudiants, évalue l'effet des examens en ligne surveillés sur l'anxiété des étudiants et leur performance aux examens. Cette étude montre que lorsque le niveau d'anxiété détecté par le test est élevé, les résultats d'examen sont plus faibles et que cela est encore plus fort pour les étudiants dont l'anxiété est élevée qui passent des examens dans un contexte de surveillance en ligne.

Mots-clés : surveillance en ligne, apprentissage, test d'anxiété, inquiétude, émotivité



Introduction

Online learning is an integral part of contemporary education in the United States, and globally. A 2011 study conducted by Ambient Insight Research indicates that over 1.25 million students took all their courses online (Adkins, 2011). The 2018 Babson Survey Research Group report shows that between 2015 and 2016 over 30% of post-secondary students—or 6.5 million—took at least one course online; the majority of these students, almost 70%, were from public institutions (Seaman, Allen & Seaman, 2018). Likewise, a 2018 Canadian study based on over 200 higher education institutions, indicated a significant growth in online learning, with those institutions increasing their online offerings by 11% (Bates, 2018). Indeed, Bhagat, Wu and Chang (2016) reported that online learning integrated with social network connectivity is providing students and educators with an ecosystem of interaction and troves of learning resources. This is in part because online learning allows students the convenience and flexibility to better fit their studies in with their work and other obligations and, as Mann and Henneberry (2012) show, many of those enrolled in online courses are of typical college age, i.e., 18-24.

Although the role of technology in the teaching and learning environment is well established and goes back centuries, the recent rapid development of information and communication technologies has made the role of educational technology in teaching even more important (Stošić, 2015). In a 2013 study Harden asserted that given our advancements in information technology, the college classroom itself has in part become virtual. Certainly, technology can significantly improve the learning experience of students – bringing concepts and curricula to life in new ways (Woldeab, Lindsay & Brothen, 2017).

A number of scholars (e.g., Carey, 2016; DeMillo, 2011) have stressed that the centuries-old models upon which US higher education is based is inadequate for the twenty-first century, and that information technology is capable of providing quality and affordable forms of higher education. However, this shift has its own challenges. While it might be true that the new paradigm gives conventional higher education institutions an opportunity for growth and the ability to expand their course offerings, it is also posing a challenge in how to best ensure exam integrity. Therefore, this study aims to fill the following research gap: while online proctoring may provide a solution to the question of exam integrity, the negative effects of such an intrusive type of monitoring on students and exam performance are not yet well known.

Review of Related Literature

Online Proctored Assessments

As conventional higher education institutions broaden their online offerings, more and more students are taking their exams online (Stowell & Bennett, 2010). This trend is not limited to online offerings either. As far back as 2001 Alexander, Bartlett, Truell, and Ouwenga noted that many educators in traditional face-to-face courses were also moving their exams online, among others, to save time grading and to free up class time. This trend pointed to the need for maintaining academic integrity through proctored online assessments.

Hylton, Levy and Dringus (2016) state that "deception and dishonesty in online exams are believed to link to their unmonitored nature where users appear to have the opportunity to collaborate or utilize unauthorized resources during these assessments" (p. 53). Likewise, Faucher and Caves (2009) stressed that academic dishonesty most often happens when students have opportunities to cheat because of reduced surveillance. Indeed, the Witherspoon, Maldonado and Lacey (2012) study showed that nearly 80% of those surveyed were involved in academic misconduct; the same researchers noted that those involved indicated that they would engage in misconduct if the opportunity arose. On the other hand, Karim, Kaminsky and Behrend (2014) concluded their review by warning of the unintended negative effects on test takers when remote proctoring is used to reduce cheating.

Test Anxiety

As every aspect of education requires some kind of assessment, students throughout their education journey are expected to have their learning measured in a variety of ways. The results are used not only to gauge their gains in knowledge but also the effectiveness of the instruction itself and the overall viability of programs and curricula (Salend, 2009). However, these assessments can also subject students to test anxiety, which can negatively impact their performance (Huberty, 2009).

Studies on test anxiety go back to the early 20th century, with the first one published in 1914 by Folin, Denis and Smillie. Almost four decades later many more studies emerged on test anxiety and its connection to performance (e.g., Liebert & Morris, 1967; Morris, Davis & Hutchings, 1981; Sarason, Mandler, & Craighill, 1952) leading to the present distinction between state and trait anxiety. For example, Mandler and Sarason (1952) used responses on a test anxiety survey to characterize research participants as having either high or low test anxiety, and found that students with low test anxiety did much better on intelligence tests than students with high test anxiety.

Early studies in this area theorized test anxiety to be one-dimensional (e.g., Sarason, 1961). However, that understanding shifted in the late 1960s, as *state test anxiety* was understood to contain elements of

worry and emotionality. Indeed, Hong and Karstensson (2002) noted that "state test anxiety refers to the transitory, anxious affect state provoked by a specific evaluative situation, while trait test anxiety refers to the tendency to be anxious in any evaluative situation" (p. 349). Contrary, *trait test anxiety* can differ in its intensity, extent, and the range of circumstances in which it happens and consequently is the more general condition. Individuals with test anxiety are typically considered to have the more narrowly-defined state test anxiety; this means that their elevated levels of stress are situation specific, as they are the result of certain evaluative activities, or conditions, such as difficulty of the material or the student's lack of preparedness (Cassady, 2009). On the other hand, researchers have found that students who display greater trait anxiety experience higher state test anxiety than students with lower trait anxiety (Head, Engley & Knight, 1991). In this research study, because of the difficulty of assessing students' individual situations, we used a more general trait measure to assess students' test anxiety and then determine its relationship to test performance.

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Test Anxiety and Online Proctored Examinations

In an educational assessment setting, test anxiety may often distort and disguise the true abilities of students (Meijer, 2001). However, the understanding around online proctored examinations and test anxiety is very limited. In fact, our literature search looking into proctored online exams and test anxiety was unfruitful. The few studies in this area have to do with unproctored exams (e.g., Stowell & Bennett, 2010), or with online exams defined as students testing in a secure computer laboratory (e.g., Cassady & Gridley, 2005) and suggest that online exams are better for students with high test anxiety.

For example, the Stowell and Bennett (2010) study looking into the use of course management software to conduct face-to-face class exams online (i.e., unproctored online exams) found that students who typically show high levels of test anxiety in a classroom had less anxiety when taking their exams online, while the opposite was true for students showing low classroom anxiety. Similarly, the study of Cassady and Gridley (2005), which compared students who took their exams using paper and pencil and those online (i.e., in the computer laboratory), found that students who took their exams online reported lower levels of perceived test anxiety.

Conversely, the present research study builds on a preliminary study conducted by Woldeab and others in 2017, which suggested that one of the main concerns students have about online proctoring is proctor intrusiveness. More specifically, the purpose of this current study is to assess if actual online proctored exams induce higher levels of test anxiety and result in lower student performance.

Method

Participants

This study looked at 631 undergraduate students attending a large midwestern US university. The data was collected from a large introductory psychology course with three types of sections: face-to-face, online, and hybrid. Data collection took place during the fall and following spring semesters. These students completed all the relevant measures we used in this study and also gave their consent for us to use their data. Of the total of 631, 44 students took their final exam via ProctorU (PU group) and served as the "experimental" group. The remaining 587 took their exams in the computerized testing center (TC group) and thus served as the "control" group. We therefore report our findings derived from both the experimental and the control groups, who took both the pre- and the post-surveys.

Students taking their exams with ProctorU, an online proctoring service provider, took their exams individually by signing up online and coordinating their exam time with ProctorU staff. They were monitored by ProctorU staff via a webcam. Those who took their exams through the proctored computer testing center did so in the presence of their peers and were monitored by the testing center staff.

Participation in this study was entirely voluntary. Research participants were provided a consent form, which gave specific information about the study, including the purpose as well as the risks and benefits of their participation. Research participants were asked to electronically sign the consent form both before the pre-survey and before the post-survey. To encourage participation in the study, the faculty of the participating courses agreed to give two extra course points to those surveyed.

Measures

To assess reactions to proctored online examinations, participants were given pre- and post-surveys that measured their overall expectations about and reactions to proctored online examinations. For this study, we examined student responses to the post-survey item asking them to rate – on a scale of comfortable to uncomfortable – their experience of being monitored by a proctor.

To measure participants' trait anxiety, we used the Westside Test Anxiety Scale developed by Driscoll (2007). The 10-item scale was developed to assess performance impairments. Most of the questions in this scale directly address performance impairments related to cognitive symptoms of anxiety, i.e., lack of attentiveness, poor memory, or worry. According to Driscoll (2007), the scale consists of six items assessing impairment, and four items assessing worry and dread. The questionnaire includes no items on physiological over-arousal. In fact, the "Westside scale thus has high face validity, in that it includes the highly relevant cognitive and impairment factors but omits the marginally relevant over-

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arousal factor" (Driscoll, 2007, p. 2). Numerous studies have shown repeatedly that lower scores in the Westside scale are related with better test performance, which shows the scale to be a reliable and valid measure (Driscoll, 2007).

To ensure we were measuring participants' trait anxiety rather than anxiety about an impending exam, the Westside measure was administered in the pre-survey during the first week of the semester. Also for this study, we focused on the final survey questionnaire item that asked students whether and to what extent the ProctorU proctors made them uncomfortable. Finally, we used participants' ACT scores, GPAs, and cumulative final grade as control measures.

Results

In this study, we set out to explore online proctoring and test anxiety, specifically whether: a) high test anxiety students report difficulties with online proctoring, and b) online proctored exams induce higher levels of test anxiety and result in lower student performance. A total of 631 students completed all the relevant measures we used in this study and also gave their consent for us to use the resulting data.

As noted above, a total of 44 of these students took their final exam monitored by ProctorU and served as the "experimental" (PU) group. The remaining 587 took their exams in the computerized testing center and thus served as the "control" (TC) group. Because we did not randomly assign students to experimental and control groups, we assessed their scores on five variables relevant to our study's purpose that would indicate if the groups were comparable: the Westside Anxiety scale; final exam performance; ACT scores; GPA; and total credits completed (see Table 1).

Group	n	GPA	ACT	Comp Cr	Anxiety	Exam
Testing Ctr	587	3.35	27.39	48.46	28.33	156
ProctorU	44	3.30	26.16	59.98	28.48	155

Table 1: Group Means

First, we examined students' scores on the Westside Anxiety scale. Both groups had nearly identical scores—differing by about two hundredths of a standard deviation and obviously not statistically different from each other. Second, final exam performance between the two groups differed by only one point out of a possible 200 (155 vs 156) and was also obviously non-significant. Thus, the two central variables of interest in this study did not differ overall between the two groups. As to our concern for getting a clear picture of the effect of online proctoring on exam performance, we found

no indication that trait test anxiety and academic ability differed between our two comparison groups and therefore skewed the results.

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We next examined students' scores on the Comprehensive portion of the American College Testing college readiness assessment (ACT), their cumulative grade point averages (GPA), and their cumulative college credits completed (CCR), all obtained from the registrar's office. The GPAs of the two groups also did not differ practically or statistically (3.30 vs 3.35). However, the groups did differ on the two other variables. TC students had higher ACT scores (M = 27.39, SD = 3.15) than PU students (M = 26.16, SD = 3.61), and this difference was statistically significant by t-test (t = 2.09, p = .037). Conversely, PU students had completed more credits (M = 59.98, SD = 40.94) than TC students (M = 48.46, SD = 32.72) and this difference was also significant (t = 2.22, p = .027). For the purposes of this study, we argue that these differences balance out somewhat because academic ability can be assessed with either of these measures. That interpretation is supported by the finding reported above that final exam performance between the two groups did not differ. Together, these findings provide support to the contention that the PU and TC groups were similar in important ways so that the different exam environments can be assessed accurately.

Overall, we found a relationship between trait test anxiety as measured by the Westside scale, and students' exam performance (r = -.167 p = .000); not surprisingly, we found higher anxiety was associated with lower exam scores, just as decades of research on test anxiety has found. More importantly, this relationship was stronger for PU students (r = -.443, p = .003) and we found in a test for differences between correlations that this difference was marginally significant (z = .47, p = .06).

In a closer examination of our data, we found that the greater relationship for trait test anxiety and poorer final exam performance among the PU students was mostly restricted to those with high anxiety scores. High anxiety PU students (scores at the median score of 29 and higher) performed less well on the exam than those below the median (High anxiety M = 144.62, SD = 24.83; Low anxiety M = 162.25, SD = 24.63; t = 2.52, p = .015). Finally, the high anxiety PU students' reactions to the online proctors suggest why this was the case. Students reported that proctors made them uncomfortable (i.e., had lower scores on the comfort scale) more so than those with a mean anxiety score below 29 (High anxiety M = 2.09, SD = .868; Low anxiety M = 2.67, SD = 1.02; t = 2.00, p = .052). Thus, we found strong indications that online proctoring had a negative effect on students with high anxiety.

Discussion

Our review of the literature did not reveal a significant body of previous studies in which to situate our findings. As demonstrated by our review, there are very few studies in the realm of online proctoring specifically relating to the nexus of test anxiety and exam performance. The few studies we came across do not deal with online proctoring (i.e., webcam monitored examinations—especially those using live proctors). Online proctoring is relatively new and systematic research has not yet caught up with this technology. We therefore assert that more research in this area is needed—some of which we report here—and draw three conclusions from our review of the literature and our data. First, it seems clear that online learning will continue to grow and that this will increase the need for online testing. Second, we found little prior research on how the significant move to online testing in higher education affects different types of students. Third, the results from this study support the findings of a preliminary study conducted by Woldeab and others (2017), which indicated that an area needing further research is online test anxiety. Consistent with previous research showing that high trait anxiety interferes with exam performance, students in this study had lower scores if their anxiety levels were high, but this effect was greater for those students in the online proctoring group who reported high trait test anxiety.

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In the research reported here, we have identified an issue that seems to have escaped the attention of researchers studying online learning—test anxiety. The particular effect we found combines what may be a general wariness of technology with some students' fear of testing. The fact that students showed practically no difference in their final exam scores, when comparing online proctoring with in-person testing, indicates that the negative effects of online proctoring may generally be hidden. But these negative effects appeared when we examined the scores of students with high trait test anxiety. Further inspection found this online proctor effect—that having a live proctor watching them was most upsetting to the high anxiety students and that it interfered with their exam performance—to be in line with a preliminary study conducted by Woldeab and others (2017). We found evidence for a person-situation interaction—that test anxiety interacted with the testing situation and resulted in those students who were monitored by an online proctor obtaining lower scores if their anxiety was high. This interaction resulted in some students being disadvantaged by a common feature of online test monitoring services.

Conclusions

The findings of this study should pave the way for further exploration in this area and help us expand our understanding of how online proctoring affects the important relationship of student anxiety to exam performance. Certainly, further research in this area would help more students to be successful. However, perhaps more important is that we have identified a need that college and university counseling centers should address in their programming, and that instructors should consider when using online proctoring: the general wariness of technology combined with students'

fear of testing makes online proctored exams very difficult for some students, in particular those who already exhibit trait anxiety.

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Authors

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Accessibility concerns in Proctorio

Several settings may pose accessibility issues. Keyboard-only users (using standard keyboard navigation and input functions) and students with visual impairments (who are using a screen reader) will most likely be presented with barriers or issues that may cause problems or increased frustration.

Proctorio Settings That May Cause Issues

The following Proctorio settings have been identified as barriers for students who rely on screen readers.

- Record Room This feature requests that the student rotate the laptop to show the entire room to ensure they are alone and there are no notes or other aids available.
- Auto ID Check This requires students to hold a photo ID up to the camera so it can be captured. They have to position the ID so that it shows within a frame on the screen.
- Verify Signature If this is enabled, students need to use a mouse, trackpad, or stylus to sign a blank at the bottom of the screen. As currently implemented, this does not work at all with screen readers.

Excusing a Student from a Proctorio Test

If a student is able to take a regular Canvas test, but not one using Proctorio, they can be easily excused altogether from using the app.

Canvas Exam Flexibility List FINAL	
	This is Exhibit S referred to in the Affidavit of lan Linkletter affirmed before me or 15 Oct 2020. A Commissioner for taking Affidavits for British Columbia

To excuse a student from using Proctorio

- 1. Go to the test in a <u>Chrome browser (https://www.google.com/chrome/)</u> with the <u>Proctorio Extension</u> (<u>https://getproctorio.com/)</u> installed.
- 2. Click on Moderate Quiz.
- 3. Locate the student's row in Moderate Quiz.
- 4. Click the checkbox in the Proctorio column at the far right.
- 5. Click the blue Change Proctorio Access Settings button near the bottom.

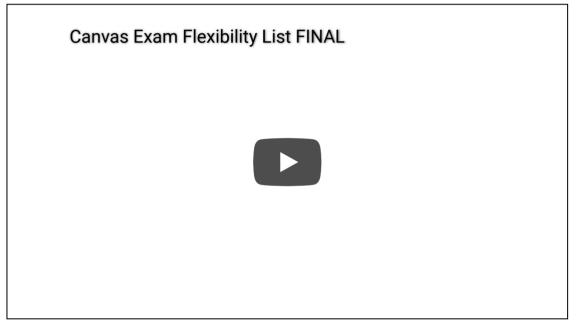
Copy the Exam Password Proctorio generates. The quiz will be still be password protected, and this will need to be given to the student.

Keyboard Navigation

Students who rely on keyboard navigation will not be able to use Proctorio. You may exempt students from having to use Proctorio. Some features of Proctorio will not work with some screen readers. The Signature, ID Card, and Room Scan options should not be used if you need to use Proctorio for students with vision impairments. They will also encounter issues with Face Verification.

To allow students to bypass using Proctorio

- 1. Go to the quiz or test in your course
- 2. Click Moderate Quiz
- 3. In the far right column, uncheck the box for any students you need to exempt from Proctorio



For some students, other alternative means of taking the test may have to be provided. Please contact your disability services office for assistance.

Creating an Accessible Test

Another approach would be to change Proctorio settings that cause problems. Instructors may disable settings for students needing accommodations. This could be done in a copy of the test, assigned just to specific students.

To make a copy of the quiz

- 1. In Quizzes, find the line with the Quiz you want to copy
- 2. Click on the three-dot menu at the end of that row
- 3. Choose Copy
- 4. Choose the course you wish to copy to, most likely the one you are in
- 5. Choose a module in which to place it if you wish
- 6. Click Copy
- 7. The process is not instantaneous and may require one- to two-minutes to complete.

Assign the copy to the student(s)

- 1. Navigate to the copy and click **Edit**.
- 2. Scroll down to the Assign section at the bottom of the page.
- 3. Click the **X** by Everyone and choose the student from the list. If the student does not appear immediately, type the first letters of their first or last name until they appear, then select.
- 4. Fill in the **Due** date, and, if desired, the **Available** from and **Until** dates.
- 5. Optional: scroll up and change the time limit on the test.
- 6. Click the Save button

Edit the Proctorio settings

- 1. In Google Chrome with the Proctorio extension enabled, navigate to the copy of the test and click Edit.
- 2. Click Proctorio Settings.
- 3. For students with visual disabilities, disable at least Record Room, Auto ID Check, and Verify Signature.
- 4. For students who lack web cameras, disable at least Verify Video, Record Video, and Capture ID. If a student lacks a microphone, also disable Verify Audio, and Record Audio.
- 5. Click Save and Publish.

Contact

- For general Proctorio questions, email teachingtools@umsystem.edu
- MU students needing accommodations should contact the <u>Disability Center (https://disabilitycenter.missouri.edu/)</u> at <u>disabilitycenter@missouri.edu (mailto:disabilitycenter@missouri.edu)</u> or 573-882-4696.
- UMKC students needing accommodations should contact <u>Student Disability Services</u> (<u>https://info.umkc.edu/disability-services/)</u> at <u>disability@umkc.edu (mailto:disability@umkc.edu)</u> or 816-235-5612.
- UMSL does not presently have Proctorio available.
- Missouri S&T students needing accommodations should contact <u>Student Disability Services</u> (<u>https://dss.mst.edu/</u>) at <u>dss@mst.edu (mailto:dss@mst.edu)</u> or 573-341-6655.

Modified on: Mon, Jun 15, 2020 at 12:20 PM

Proctorio campus support

teachingtools@umsystem.edu (mailto:teachingtools@umsystem.edu)

More articles in Proctorio

- Proctorio (/support/solutions/articles/11000084097-proctorio)
- <u>Determining if Proctorio is right for my class (/support/solutions/articles/11000084298-determining-if-proctorio-is-right-for-my-class)</u>
- Initially setting up Proctorio (/support/solutions/articles/11000084254-initially-setting-up-proctorio)
- <u>Adding Proctorio to a Canvas Quiz (/support/solutions/articles/11000091391-adding-proctorio-to-a-canvas-quiz)</u>
- Teaching tips for Proctorio (/support/solutions/articles/11000086046-teaching-tips-for-proctorio)
- <u>Understanding the Proctorio gradebook (/support/solutions/articles/11000084208-understanding proctorio-gradebook)</u>

- <u>Adding a Proctorio Syllabus Statement (/support/solutions/articles/11000084321-adding-a-proctorio-syllabus-statement)</u>
- <u>Preparing students to take a test with Proctorio (/support/solutions/articles/11000084323-preparing-students-to-take-a-test-with-proctorio)</u>
- Proctorio's privacy policies (/support/solutions/articles/11000084764-proctorio-s-privacy-policies)
- <u>Accessibility concerns in Proctorio (/support/solutions/articles/11000085024-accessibility-concerns-in-proctorio)</u>

See all 16 articles (/support/solutions/folders/11000015240)

eLearning Training sessions

- Sep 24 9:30am <u>Delivering Lecture Content with Panopto on PCs</u>
 <u>(https://calendar.missouri.edu/event/delivering lecture content with panopto on pcs 9505?</u>
 <u>utm campaign=widget&utm medium=widget&utm source=Mizzou+Events)</u>
- Sep 24 10am <u>Canvas Assignments, Quizzes, and Grades</u>
 (<u>https://calendar.missouri.edu/event/canvas assignments quizzes and grades 8226?</u>
 <u>utm campaign=widget&utm medium=widget&utm source=Mizzou+Events)</u>
- Sep 24 10am <u>Zoom Overview for Instructors</u>
 (<u>https://calendar.missouri.edu/event/zoom overview for instructors 5676?</u>
 <u>utm_campaign=widget&utm_medium=widget&utm_source=Mizzou+Events)</u>

Temporary Remote Course Instruction effective Wednesday, Sept. 23

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Proctorio

𝖾 Last Updated: 09/18/2020

FEATURES	соѕт	WHO CAN GET IT	GET STARTED	SUPP

Features

Proctorio is being provided to all instructors for exam proctoring. Proctorio enables instructors to utilize machine learning and facial detection technology to remotely proctor exams to help discourage cheating. Proctorio scans a student's ID and face, and then uses Canvas to verify the student's identity. Then, Proctorio captures audio, motion, and systemic changes while the student takes their exam to identify suspicious behaviors. Additional features include:

- Customizing the rules for each exam, including exempting individual students from using
 Proctorio
- Flagging suspicious behavior for subsequent review by the instructor to determine if cheating has occurred

Should I use Proctorio?

Proctorio allows students to complete an assessment at a remote location, such as their home, while helping to ensure the integrity of the exam. It provides a degree of assurance that test-takers are the individuals they claim to be, while significantly deterring misconduct.

While there are benefits to using Proctorio as we teach and learn remotely, it is important to consider whether Proctorio is appropriate to use for your exam. Learning remotely, in addition to https://oit.colorado.edu/services/teaching-learning-applications/proctorio#accessibility

taking exams remotely, has caused added stress on students. Introducing a new technology, which some find invasive, as a part of exams may add to this stress. OIT is hosting several **Proctorio training sessions** to show faculty how to effectively use this service.

If you decide to move forward with using Proctorio, please review our <u>Proctorio Instructor</u> tutorials, as well as the Instructor FAQ page.

Accessibility

Proctorio is not accessible to individuals who are blind, low vision, use the keyboard only, and some individuals who have difficulty with mobility. These students should be offered an alternative testing environment. These students would face insurmountable problems if forced to use Proctorio, specifically with being able to complete Proctorio's System Diagnostic Test and precheck processes.

Contact <u>dsproctor@colorado.edu</u> for support with proctoring alternatives if a student registered with Disability Services contacts you to request alternate testing arrangements.

For other questions about accessibility and accommodations in Proctorio, please reach out to a student's Access Coordinator or contact Disability Services at <u>dsproctor@colorado.edu</u>.

Cost

Proctorio is a common-good service to the campus, which means there is no direct cost to you or your department.

Who can get it?

This service is available to all faculty and students at CU Boulder. Proctorio is available to all non-Leeds Summer 2020 Canvas courses. Review the <u>Set up Proctorio for a Quiz in Canvas tutorial</u> for more information.

Get Started

Faculty

- Review OIT's Proctorio instructor tutorials:
 - Set up Proctorio for a Quiz in Canvas
 - Set up a Practice Quiz
 - Post-exam Review

https://oit.colorado.edu/services/teaching-learning-applications/proctorio#accessibility

- Exempt a Student from Using Proctorio (Recommended Method)
- Exempt a Student from Using Proctorio (Alternative Method)
- Attend a training to learn how to use Proctorio
- Visit the Proctorio Instructor FAQ page for additional guidance
- Use OIT's <u>Sample Syllabus Statement</u> to inform students of your plan to use Proctorio

Students

- Review OIT's Proctorio Getting Started for Students tutorial to make sure you're prepared for the exam
- Visit the Proctorio Student FAQ page for additional guidance
- Review Proctorio's Minimum System
 Requirements before you begin

Support

- Proctorio provides 24/7 support online at support@proctorio.com, via chat, or by phone at 480-428-4089 or 1-866-948-9248.
- Proctorio publishes information about issues users may have (e.g., slowness, outages). You can access this information on their Website Uptime page.
- Attend one of OIT's Proctorio training sessions to learn first-hand about this service.
- Contact the IT Service Center for additional assistance at <u>oithelp@colorado.edu</u> or 303-735-4357.

Instructions / Tutorials

Proctorio - Exempt a Student from Using Proctorio (Alternative Method)

Proctorio - Exempt a Student from Using Proctorio (Recommended Method)

https://oit.colorado.edu/services/teaching-learning-applications/proctorio#accessibility

Proctorio - Post-Exam Review

Proctorio - Set up a Practice Quiz

Proctorio - Set up Proctorio for a Quiz in Canvas



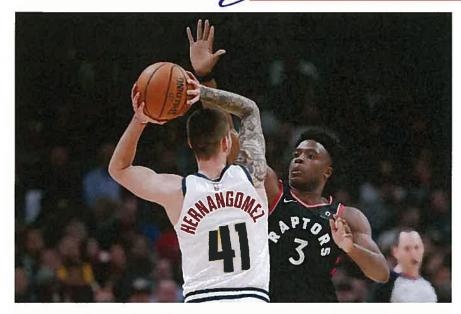
Feedback





Academic rigour, journalistic flair

This is Exhibit I referred to in the Affidavit of Ian Linkletter affirmed before me on 18 Oct 2020. A Commissioner for taking Affidavits for British Columbia



A new study compares the press photos of NBA players. Isaiah J. Downing-USA TODAY Sports

Emotion-reading tech fails the racial bias test

January 3, 2019 6.23am EST

Facial recognition technology has progressed to point where it now interprets emotions in facial expressions. This type of analysis is increasingly used in daily life. For example, companies can use facial recognition software to help with hiring decisions. Other programs scan the faces in crowds to identify threats to public safety.

Unfortunately, this technology struggles to interpret the emotions of black faces. **My new** study, published last month, shows that emotional analysis technology assigns more negative emotions to black men's faces than white men's faces.

This isn't the first time that facial recognition programs have been shown to be biased. Google labeled **black faces as gorillas.** Cameras identified **Asian faces as blinking**. Facial recognition programs struggled to correctly **identify gender for people with darker skin**.

My work contributes to a growing call **to better understand the hidden bias in artificial intelligence** software.

Measuring bias

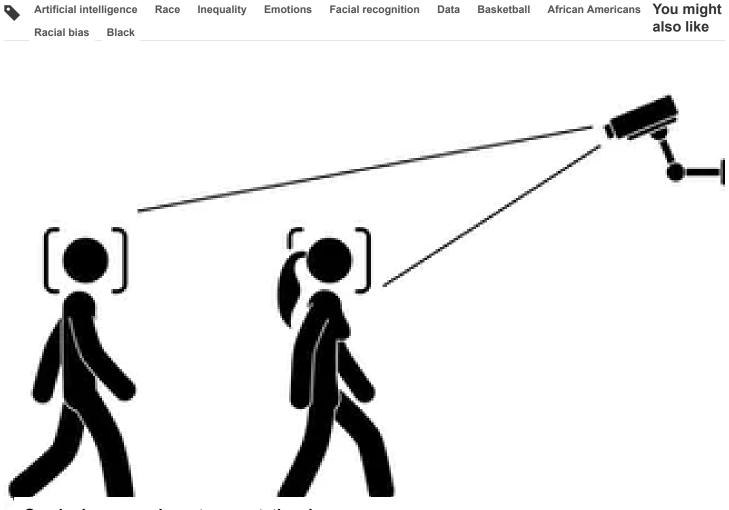
To examine the bias in the facial recognition systems that analyze people's emotions, I used a data set of 400 NBA player photos from the 2016 to 2017 season, because players are similar in their clothing, athleticism, age and gender. Also, since these are professional portraits, the players look at the camera in the picture.

Author



Lauren Rhue Assistant Professor of Information Systems and Analytics, Wake Forest University

ensure fairness to all groups because facial recognition, like most artificial intelligence, is often invisible to the people most affected by its decisions.



Gender is personal – not computational

I ran the images through two well-known types of emotional recognition software. Both assigned black players more negative emotional scores on average, no matter how much they smiled.

For example, consider the official NBA pictures of **Darren Collison** and **Gordon Hayward**. Both players are smiling, and, according to the facial recognition and analysis program Face++, Darren Collison and Gordon Hayward have similar smile scores – 48.7 and 48.1 out of 100, respectively.

However, Face++ rates Hayward's expression as 59.7 percent happy and 0.13 percent angry and Collison's expression as 39.2 percent happy and 27 percent angry. Collison is viewed as nearly as angry as he is happy and far angrier than Hayward – despite the facial recognition program itself recognizing that both players are smiling.



Basketball players Darren Collision (left) and Gordon Hayward (right). basketball-reference.com

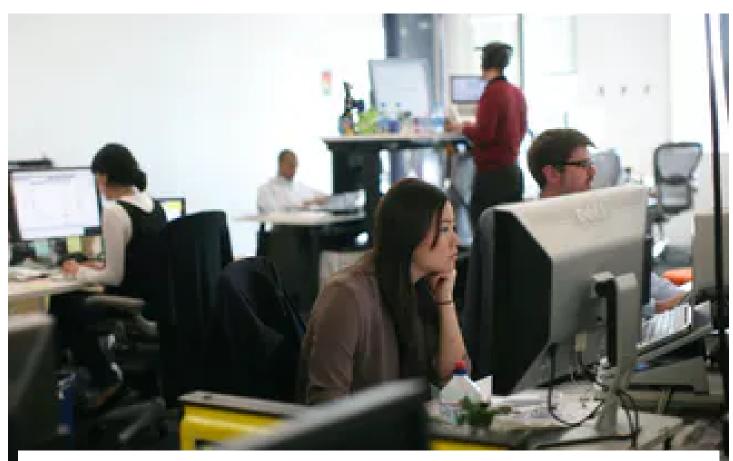
Face++

Face++ rated the emotions on facial expressions of basketball players out of 100. Black faces were, on average, rated as angrier and unhappier than white faces.

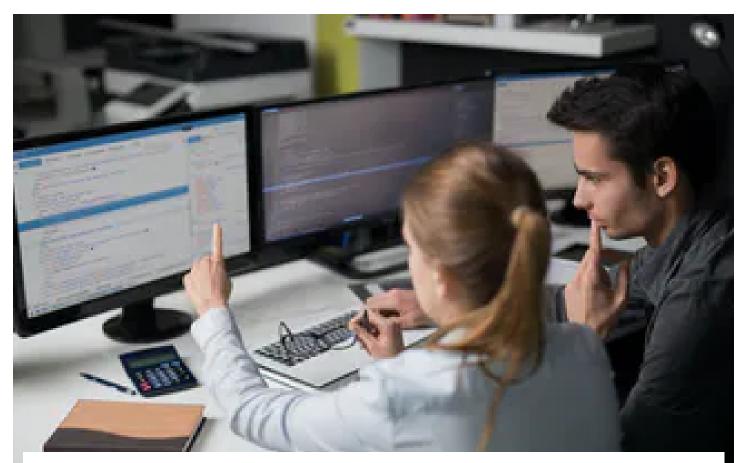
White Black Fear 1:156 4.839			
Surprise 3.770 7.401			
Anger 2.149 4.800			
Disgust 10.299 10.967			
Sadness 1:772 1.863			
Neutral 17.749 19.198			
Smile	50.189	56.674	
Нарру	52.301	61.654	
0.000 25.000	50.000	75.000	100.000

In contrast, **Microsoft's Face API** viewed both men as happy. Still, Collison is viewed as less happy than Hayward, with 98 and 93 percent happiness scores, respectively. Despite his smile, Collison is even scored with a small amount of contempt, whereas Hayward has none.

Across all the NBA pictures, the same pattern emerges. On average, Face++ rates black faces as twice as angry as white faces. Face API scores black faces as three times more contemptuous than white faces. After matching players based on their smiles, both facial analysis programs are still more likely to assign the negative emotions of anger or contempt to black faces.



Tech companies spend big money on bias training – but it hasn't improved diversity numbers



Programmers need ethics when designing the technologies that influence people's lives



Quantifying the Holocaust: Measuring murder rates during the Nazi genocide

Face API

Face API rated the emotions on facial expressions of basketball players out of 100. White faces were seen, on average, as happier than black faces.

		White	Black			
Contempt 0.300	1.000					
Sadness 0.200	0.400					
Surprise 0.000	0.100					
Fear 0.000	0.000					
Disgust 0.000	0.000					
Anger	0.200					
Smile				61.100	67.200	
Нарру				61.100	67.200	
0.0	00	25.000	50	0.000	75.000	100.000

Chart: The Conversation, CC-BY-ND · Source: SSRN (2018) · Get the data

Stereotyped by AI

My study shows that facial recognition programs exhibit two distinct types of bias.

First, black faces were consistently scored as angrier than white faces for every smile. Face++ showed this type of bias. Second, black faces were always scored as angrier if there was any ambiguity about their facial expression. Face API displayed this type of disparity. Even if black faces are partially smiling, my analysis showed that the systems assumed more negative emotions as compared to their white counterparts with similar expressions. The average emotional scores were much closer across races, but there were still noticeable differences for black and white faces.

This observation aligns with other research, which suggests that black professionals must amplify positive emotions to receive parity in their workplace performance evaluations. Studies show that people perceive black men as more physically threatening than white men, even when they are the same size.

Some researchers argue that facial recognition technology is more objective than humans. But my study suggests that facial recognition reflects the same biases that people have. Black men's facial expressions are scored with emotions associated with **threatening behaviors** more often than white men, even when they are smiling. There is good reason to believe that the use of facial recognition could formalize preexisting stereotypes into algorithms, automatically embedding them into everyday life.

Until facial recognition assesses black and white faces similarly, black people may need to exaggerate their positive facial expressions – essentially smile more – to reduce ambiguity and potentially negative interpretations by the technology.

Although innovative, artificial intelligence can perpetrate and exacerbate existing power dynamics, leading to **disparate impact** across racial/ethnic groups. Some societal accountability is necessary to

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Proctorio - Privacy Information

O Last Updated: 09/17/2020

Overview

Instructors use online exam proctoring tools like Proctorio when giving exams to help ensure academic integrity. Proctorio uses an authentication process to verify the student's identity, then captures audio, motion, and systemic changes to identify suspicious behaviors. At the conclusion of the exam, time-stamped comments, screenshots of potential violations, as well as video and audio recordings of the student taking the exam, are available on the instructor's dashboard. CU Boulder currently uses Proctorio's automated level of proctoring. Information related to what data is collected, accessed, and stored at this level is detailed below.

Automated Proctoring

The default service provided by OIT to the campus is Proctorio's automated proctoring service. When a student's exam begins, they will be auto-authenticated. Proctorio automatically analyzes and captures images of test-takers and their IDs, then displays both in the Proctorio Gradebook and flags any anomalies for review. While the student takes the exam, the Proctorio integration with Canvas records audio and motion in the testing environment.

Depending on the rules of the exam, set by the instructor, Proctorio may also check for any disallowed activity, such as accessing certain websites or peripherals (such as a second monitor). Proctorio can further prevent functions like copy/paste, as well as any software that could mirror, record or otherwise track the exam. Proctorio uses AI to flag potential suspicious activity.

Proctorio operates within the Chrome browser and requires a browser extension to download. It https://oit.colorado.edu/services/teaching-learning-applications/proctorio/privacy-information can be removed after testing. It is not active before the exam is accessed, nor after the exam is complete. $\frac{60}{60}$

Upon completion of the exam, if Proctorio has flagged any suspicious behavior, it provides timestamps and screenshots of those behaviors to the instructor.

Frequently Asked Questions

Open All Collapse All

What data does Proctorio collect?

At the automated level of proctoring, Proctorio collects your first name, last name, CU Boulder email address, phone number, and a photo of your Buff One Card or government issued photo ID.

During the exam, Proctorio uses the camera and microphone on your device to record the environment you are testing in. Proctorio also requires you to share your screen so that it can record the activity on your computer while you take the exam.

What data does Proctorio access?

At the automated level of proctoring, Proctorio uses the camera and microphone on your device to record the environment you are testing in. Proctorio also requires you to share your screen so that it can record the activity on your computer while you take the exam. At your instructor's request, Proctorio might require you to use your computer's camera to make a 360 degree scan of your testing environment.

Who has access to the information collected by Proctorio?

At the automated level of proctoring, in addition to Proctorio, your instructor, Teaching Assistant, and OIT have access to the information collected.

Does Proctorio sell the information it collects?

No. The information that Proctorio collects is owned by the University of Colorado Boulder.

How is the information captured by Proctorio used?

Proctorio uses your first name, last name, CU Boulder email address, phone number, and a photo of your government-issued photo ID or Buff One Card to verify your identity before you take an exam.

After an exam, Proctorio shares recordings it captured with your instructor. Your instructor may review this information to determine if any cheating has occurred.

Proctorio's proctors are rigorously screened before hire and well-trained in best practices related to proctoring, privacy, data security, and customer service. Proctorio also employs firewalls and encryption to protect student data, audio and video. Student data is never sold or rented to third parties. Proctorio is FERPA- and COPPA-compliant, and California Student Privacy Certified.

For more information, please review Proctorio's privacy policy or contact the IT Service Center at oithelp@colorado.edu or (303) 735-4357.

Does Proctorio use facial recognition software?

Proctorio uses facial detection software, rather than facial recognition software. Facial detection software is used to detect the presence of a face. Facial recognition or identification software is used to detect the presence of a particular person's face. Proctorio's software is used to determine if the camera is working and that only one person is present. Next, Proctorio attempts to determine whether a student looks away from the exam for too long, detect if the student leaves the testing environment, and determine if a secondary face is present within the testing environment. Proctorio does not collect or store any biometric information.

(Citation: Inside Higher Ed, 4/30/2020, "University of Venus, Response from Proctorio")

▼ Is Proctorio's facial detection software discriminatory?

There is some evidence that algorithm-based facial detection software is biased towards white, male users. However, Proctorio does not use their software to track the identity of the

Proctorio - Privacy Information | Office of Information Technology

⁶² user; in fact, Proctorio does not collect any biometric information. The facial detection software is used only to confirm that the camera is working properly and to record the test-taker's movements during the proctored exam. If Proctorio cannot identify your face during the verification process, you will not be permitted to take the exam. If this happens to you, please contact your instructor to request alternate arrangements for taking your exam.

▼ What happens to the recordings after an exam? Are they retained for a certain period of time?

Recordings are stored for one year (366 days) after the last attempt.



Feedback



Lifestyle Softt Quizzes Multimedia About Us



This anti-cheating app brings Big Brother to a campus near you

By. Jackson Cantrell / September 23, 2015 / No Comments

It's no secret we're being watched. In the virtual world, websites keep millions of terabytes of personal click and page-visit history. On the street, you can find ATMs and supermarket cameras quietly videotaping passersby. <u>An estimated one trillion photos</u> will be taken this year, many not even by humans, and there's a good chance you'll pop up in thousands of them. Even if you're <u>relaxing on top of a 200 foot tall wind</u> turbine, privacy can be compromised.



Nobody is safe.

Polls

Which "Stranger Things" character are you?

	0	
Mike	0	
MIKe	0	
Dustin		
	0	
Lucas	0	
Eleven	0	
LIUTUI	0	
Jonathan		
Mana	0	
Nancy	0	
Steve		
	0	
Hopper	0	
Joyce	0	
Joyce	Vote	

View Results

Recent Posts

The Ultimate Finals Playlist Is Your Favorite Christmas Song Lawful? Tips to Make it Out of Finals Alive (On the Inside, As Well!) Michael Bublé's Christmas Songs Ranked IO Reasons Why It's Totaliy OK That You're Procrastinating Right Now

This is Exhibit K referred to in the Affidavit of lan Linkletter affirmed before me on 15 Oct 2020. A Commissioner for taking Affidavits for British Columbia

None of this really phased me. So what if I appear as some random dude in the background of thousands of photos this year? I have nothing to hide. Hell, I even kind of like my personal shopping suggestions on Amazon. This was until I registered for NEUR1030: Neural Systems.

My change of heart had nothing to do with the class itself. The transformation from happy nonchalance to paranoia occurred in the computer lab, where we were asked to take a pre-test on our personal computers.

The first direction was to download an anti-cheating Chrome extension called "Proctorio."



It would be hard to design a more ominous logo.

The following dialogue opened up asking for permissions:

It can:

- Read and change all your data on the websites you visit
- · Read data you copy and paste
- Capture content of your screen
- Manage your downloads
- Detect your physical location
- Manage your apps, extensions, and themes
- Read your browsing history

The fun doesn't stop there.

The following information will be collected during the duration of the exam:

- Your microphone
- Your webcam
- Your physical location
- Your identity
- Your clipboard
- Your mouse location
- Your browser size
- Your browser tabs and windows
- Your head movements
- Your eye movements
- Your mouth movements
- Your entire screen
- Any website you visit
- Any other applications running
- Number of display screens connected

I've enabled apps to use my webcam and microphone before, but never to remotely access my computer, record browsing history, and collect my head, mouth, and eye movements. But since I needed to take the pre-test to take the class, I had no choice other but to accept.

My concerns aside, I continued onto the next couple of steps. The test wasn't for a grade, so I wanted to get through it as fast as I could. All was going smoothly until my microphone wouldn't connect.

I opened "System Preferences" and fiddled with my settings but nothing seemed to work. Just as I was raising my hand to ask the computer lab assistant for help, a chat window popped up on my screen.

Enter "Jon L," the 24/7 Proctorio Support specialist.

I unfortunately wasn't able to screenshot this part of the chat, but I received a message saying something along the lines of this.

Jon L: "Hey it looks like you're having trouble with your microphone. Do you mind if I help?"

I hadn't requested remote help or even pushed a button. Jon L. just appeared. He simply knew I was having trouble with my microphone.

I replied. "Yeah."

Jon L: Ok is it alright if I help you? :)

The smiley face caught me off guard. What kind of tech support communicates in text faces?

Again I hesitantly replied "Yeah."

To which he said:

Jon L: I will be here when you get back

All of a sudden the screen blinked black, then applications started closing and windows popped up and disappeared. My computer shut off.

And it turned back on, re-opened the webpage and reopened the chat box:

Jon L: Looks like you are good to go! :) Jon L: I will be here if you need anything Jon L: good luck on your exam :) Jon L: Looks like you got it! Feel free to close this chat box now :)

Note the consecutive smiley faces.

I was confused. I immediately called over a lab assistant and told him what had happened. My first reaction was, *How the hell is this okay?*

Upset, I typed:

\rightarrow How can I trust you Jon L?

Jon L is typing...

\rightarrow How can I trust you Jon L? Jon L: I helped you so far, haven't I? haha

The first thing I did after I finished the exam was delete Proctorio from my browser. Even if you are not using the service, Proctorio retains a record of the user's previous permissions to access to your screen, camera, browsing history and much more during the testing period. Despite this, the Neuroscience department did not provide instructions nor recommendations on how to delete or disable the Chrome extension.

After a quick look at the website, I felt a tad reassured. The home page explains that Proctorio does not collect personal information about users, and that it encrypts and protects all data it records.

Searching for more information, I called Proctorio to schedule an interview, and I was put in contact with Jon Lacivita, a customer advocate at Proctorio.

I'll say that again. Jon Lacivita. Jon L.

Does this company have only one employee?

Jon graciously answered all my probing questions about tracking students and stealing data. It turns out that Proctorio is completely compliant with the Student Privacy Pledge, a third party organization that evaluates companies to make sure they're keeping up with the latest privacy standards.

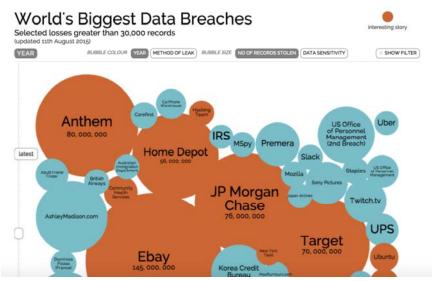
I also learned that Proctorio collects anonymized data during the test, and that the instructor is the only entity who can connect the data to personal information, such as name and student I.D. number.

"When it comes to chat and remote access, we don't get any information as far as their name or anything that is going to be personally identifiable. That information actually stays with the school," Lacivita said.

On one hand, Proctorio is taking a major step towards eliminating cheating and legitimizing online courses, which have the potential to provide low-cost education to millions worldwide. The service can also provide extremely useful information to professors on which tested areas individuals and the class needs to focus on in order to improve.

In my Neuro 1030 lecture, Professor Monica Linden said the service will save the department "considerable time and money."

However, it is important to note that we are coming off a year of the worst <u>corporate</u> and <u>government hacks</u> in history. It may be difficult to access Proctorio's database, but it's certainly not impossible.



An infographic of the year's largest corporate hacks. Click on the image for more info.

To figure out how Proctorio fits into Brown's forever-expanding web of third-party computer-related sites and services, I emailed Dr. TJ Kalaitzidis, an Instructional Designer at Brown. He explained to me that Brown did not have a current contract with Proctorio.

"We have not made a decision to support it," he said. "Since this is a pilot, there is no contract and we are not maintaining any data."

Computers hold a goldmine of vulnerable personal information, especially as more aspects of day-to-day life are handled electronically. <u>Proctorio is committed to</u> <u>protecting the privacy of its users</u>, but a hack of its data and abilities is not out of the question and could be catastrophic.

As Brown decides whether to contract out Proctorio, or any program like it, we must weigh the protections against cheating and the saved time and money against possible intrusions of student privacy.

And at the end of the day, how hard is it to proctor a test in person?

Update Feb. 24, 2016: Users who downloaded the Proctorio Chrome extension in 2016 were presented with text stating that the extension can:

- $\cdot\,$ Read and change all your data on the websites you visit
- Capture content of your screen
- \cdot Manage your downloads
- $\cdot\,$ Manage your apps, extensions and themes

Update Aug. 27, 2018: This post has been clarified to reflect the stated capabilities of Proctorio's extension. Proctorio has stated that its extension cannot access a user's personal files, exercise complete control over a user's personal computer or remotely turn off a computer. The revised post also reflects that while Proctorio retains a record of permissions to perform certain actions while the service is not being used, the company has stated that the extension does not actually perform such actions when not in use. The revised post also clarifies that a list of actions the Proctorio Chrome extension said it could perform was accurate as of February 2016. Two images have

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been removed from the post to better represent the stated capabilities of Proctorio's extension.

Images via Jackson Cantrell, graphic <u>via</u>, video screenshot <u>via</u>, other images via <u>Proctorio.com</u> and screenshots of the Brown Canvas Proctorio module.

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#Transforming Teaching & Learning

Online Exam Proctoring Catches Cheaters, Raises Concerns

Many administrators and faculty members say online exam proctoring works and is vital to expanding online programs. But some question, at what cost?

By Jean Dimeo // May 10, 2017



PROCTORU Proctors observing online exam test takers.

As the number of online courses and degree programs greatly expanded during the past decade, so did the number of exams administered online. Tens of thousands of online exams now are taken each month by students enrolled in online courses -- 30,000 exams a month at Western Governors University alone -- as well as by a growing number of learners in face-to-face classes.

At the same time, the number of for-profit online exam proctoring services has ballooned as colleges and universities seek effective, cost-efficient ways of catching cheaters. **Inside Digital Learning** found at least 15 online proctoring companies, more than twice the number that were around just five years ago, industry officials say. Some institutions also have their own online exam proctoring teams to supplement the services they purchase.

The products offered by for-profit proctoring services are sophisticated and wide ranging, and their costs have dropped amid increased competition and technology enhancements. Some believe that exams proctored using artificial intelligence and biometric authentication with iris scans are not too far off.

Still, a number of faculty members and administrators continue to voice concerns -- some about the services' capabilities, some about students' privacy and some about both. "I think that [online proctor

services] do a better job than face-to-face proctoring," said Kathy Austin, assistant vice president for Information Technology at Texas Tech University. But she added: "The respect for students' rights and privacy outweighs any need to make sure all these technologies are deployed."

Enabling Online Advancement

Diane Horton, online proctor coordinator and online services manager for the University of North Carolina system, said proctoring services have enabled the UNC system to expand its online course offerings. "The mission of UNC schools is to make higher education available to more members our community," Horton said, noting that the average age of UNC students is rising and that older learners typically need more flexibility in terms of class schedules and exam times. "For me, this is very exciting."

UNC's 17 campuses have 30,000 to 40,000 exams proctored online annually. Like many institutions, UNC still proctors some online course exams face to face on campus or at testing centers.

There are a couple of reasons why the number of for-profit online proctoring exam services have greatly increased in recent years. Adel Lelo, senior manager of assessment solutions at Western Governors University, said colleges and universities are much more aware of the services, and in general, are more accepting that the companies can provide reliable, secure results.

"It's a proven concept, and more institutions are jumping on board," Lelo said. "There are more of [the services], which is a good thing."

WGU, which was established 20 years ago to deliver online-only competency-based programs, has 30,000 exams proctored online each month, which Lelo said makes it the nation's largest consumer of online proctored exams.

The Nuts and Bolts

There are several categories of proctor services, listed below from lowest to highest in price. Online exams are taken at a computer that has an internal or external camera.

Auto authentication. Before the exam starts, the student takes a photo of her ID and face, answers a few challenge questions and enters a biometric keystroke signature (typically the student's first and last name).

Live authentication. After the student performs ID verifications, answers challenge questions and enters a keystroke signature, a live proctor does a facial comparison.

Automatic proctoring. After the student is authenticated, the test taker and her environment are monitored for sounds, motions and systemic changes.

Record-and-review proctoring. After completing authentication, the person is videotaped from the start to finish of the exam. A proctor later reviews the video.

Live proctoring. After completing authentication, the student and her surroundings are monitored by a live proctor, who can trouble shoot potential testing infractions as they occur.

For exams viewed by proctors, students typically have to schedule exam times hours or days in advance. For exams that don't require a human, exams usually can be taken at any time. "Even at 3 a.m.," Lelo said.

Online exam fees range from \$7 to \$15 for automated authenticated proctoring to \$10 to \$25 for an exam proctored in-real time by a person. Colleges and universities that have tens of thousands of exams proctored annually typically pay lower fees. (Officials at the institutions interviewed for this article would not reveal their actual costs.)

Institutions either charge students a fee for each online test they take, or they raise all online students' technology or general fees to cover total online exam costs.

Proctoring services are testing mobile options, but mainly to authenticate the exam takers. "The [learning management systems] are not ready yet for taking tests on the phone," said Michael London, president and CEO of Examity, an online proctoring service that has 175 college and university clients.

Mike Olsen, co-founder of Proctorio, said his company supports exam taking on tablets, but not phones because it is difficult to get a clear view of the test taker. Proctorio has about 400 higher ed and K-12 clients.

Plus, said Jennifer Lerner, associate vice president for e-Learning at Northern Virginia Community College (NOVA): "I don't think students want to take an exam on a little phone."

Reduces Cheating, Boosts Convenience

Company officials and college administrators interviewed for this article said that online-proctored exams, especially those that are videotaped or monitored during the test, deter cheating because cheaters get caught. "Students know they are being monitored," said Douglas Winneg, senior vice president of Software Secure/PSI, which works with 400 higher ed institutions worldwide. "Students who cheat on an online exam won't cheat again."

"Proctoring an exam online is easier than proctoring a paper-based face-to-face exam," said Kevin Nathanson, UNC's online proctor manager, adding that it's harder to catch students cheating on face-to-face exams because there usually only is one instructor in a room with many test takers.

In addition to effectiveness, online exam proctoring offers convenience for students. For example,

NOVA has campuses in the Washington, D.C., suburbs that are plagued by traffic. "Students are happy," Lerner said. "The only thing needed is a good Internet connection."

NOVA, which boasts 23,000 students taking online courses, has about 12,000 exams proctored online each month.

WGU contracts with 2,000 testing centers nationwide, but 90 percent of its 80,000 students take all tests online with a live proctor. "Our students are working professionals, so if the testing centers are only available 9 to 5 Monday through Friday, then that doesn't help for them," Lelo said.

WGU has spent years improving online exam monitoring. It provides each new student with an external webcam, which must be placed at a 45-degree angle to the person when he takes an exam. Lelo said the external web camera gives the proctor a much better view of the test taker's head movements and the testing environment than an internal one does. (For more information about WGU's efforts, click here.)

Proctors intervened in 3 to 5 percent of WGU online exams, but often that's because a person, usually a child, entered the room, or the test taker leaned back in his chair, Lelo said.

Surprising Student Reaction

Proctored exams online aren't without issues. Texas Tech has been reviewing online proctoring services for about three years, and recently completed pilots with two firms. One thing the institution wasn't expecting was negative reactions from some students.

"A lot of the comments we received were about being intrusive in their lives, that someone was watching them the whole time they were being proctored," said Justin Louder, associate vice provost, Worldwide eLearning at Texas Tech, noting students often take exams in their dorm or bedrooms. "They thought it was big brother invading their computers."

Some Texas Tech test takers were asked by live proctors to remove pictures from their surroundings and some minority students were told to shine more light on themselves.

"I don't think the vendor intended to be discriminatory," Texas Tech's Austin said, declining to name the proctor service. Nevertheless, the university stopped the pilot with that firm.

Louder wondered how long the proctoring companies keep videos of students taking exams and where they are housed. "If they are in the cloud, who has access to those recordings?" said the UNC assistant professor of education.

Proctoring services say exam videos and other data are securely stored. Examity, for instance, stores videos in a certified data center server, and then archives them after a defined period of time in line with Family Educational Rights and Privacy Act (FERPA) guidelines and industry best

practices, London said.

Software Secure/PSI's Winneg said the storage period is determined by each institution. Video and audio recordings, as well as encrypted images of IDs, are stored in the Amazon S3 servers, and metadata about exams is separated and stored in a secure hosting facility, he added.

Mollie McGill, director of programs and membership for WICHE Cooperative for Educational Technologies (WCET), said some proctoring companies tell institutions that accreditors require proctoring services for online exams, which is not true. And McGill, along with faculty and administrators interviewed, said there are no standards for online test proctoring -- and that institutions need to develop them.

There are other issues as well. "I had students who had difficulties installing the software," said Oskar Harmon, associate professor of economics at the University of Connecticut who has been teaching online courses since 2004. "I also had a student who was doing an exam at a public library and his connection was dropped."

Finally, some academics are critical of administering any exams online. Jill Leafstedt, executive director for teaching and learning innovations at California State University, Channel Islands, said many online exams only show that the test takers could memorize facts.

"Our exams require thought and application of knowledge," said Leafstedt, who also is the university's senior academic technology officer. "We want to know that they learned, not what they can regurgitate."

CSU Channel Islands has 6,000 full-time students. Five to 7 percent of the university's courses are offered online, but all exams are proctored face to face, Leafstedt said.

More Students, More Exams

Going forward, company and colleges officials interviewed by **Inside Digital Learning** said the number of exams proctored online will continue to swell because of the steady growth in online courses and learners. "Adults are becoming the new normal student and need the ability to balance school and work," London said.

In the near term, competition and new technologies will continue to force exam prices down. But over time, big proctoring companies will acquire smaller firms or will go out of business. "The winners will be the ones that provide the most services," Winneg said. "It's all about personal touch and faculty freedom."

Jarrod Moran, founder of ProctorU, which has about 850 higher ed clients, said the industry still is

young and "has a long way to go. We always have to have technologies that are better than the older technologies."

Still, the need for face-to-face exams will never go away because learners need choices and because some subjects don't lend themselves to online tests. For example, Lerner said NOVA conducts inperson exams for Arabic language classes and some math courses.

"There is no such thing as a perfect testing method, including at testing locations," Lelo said. "All we can do is to make it more difficult to cheat, while making testing as accessible as possible."

Read more by Jean Dimeo

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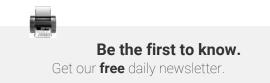
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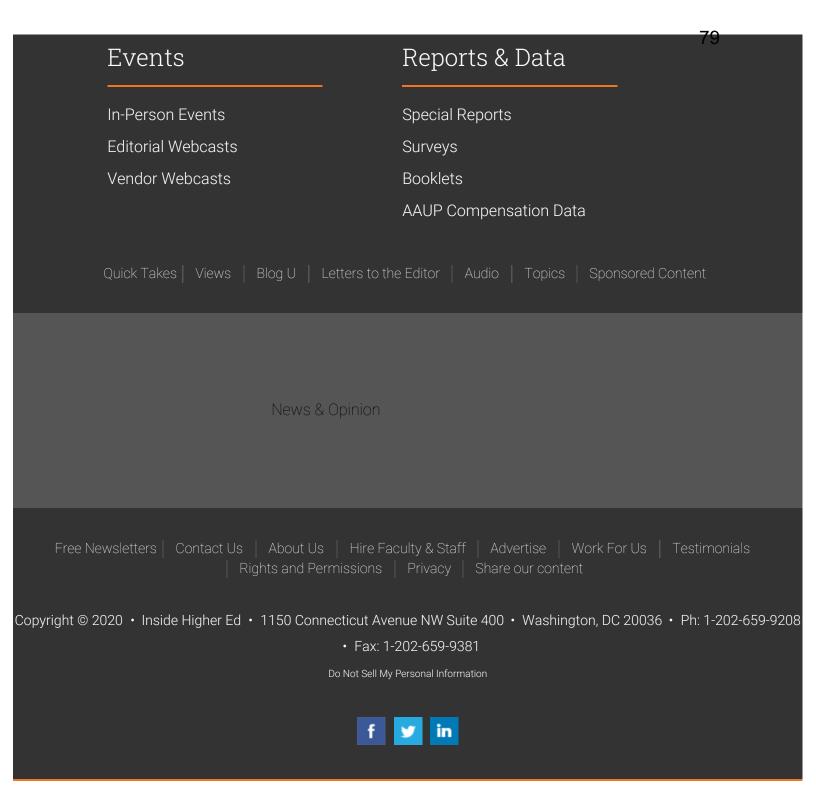
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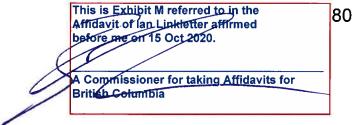
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Mass school closures in the wake of the coronavirus are driving a new wave of student surveillance

Colleges are racing to sign deals with 'online proctor' companies that watch students through their webcams while they take exams. Education advocates say the surveillance software forces students to choose between privacy and their grades.

By Drew Harwell

April 1, 2020 at 7:00 a.m. PDT

When University of Florida sophomore Cheyenne Keating felt a rush of nausea a few weeks ago during her at-home statistics exam, she looked into her webcam and asked the stranger on the other side: Is it okay to throw up at my desk?

He said yes. So halfway through the two-hour test, during which her every movement was scrutinized for cheating and no bathroom breaks were permitted, she vomited into a wicker basket, dabbed the mess with a blanket and got right back to work. The stranger saw everything. When the test was finished, he said she was free to log off. Only then could she clean herself up.

"Online proctor" services like these have already policed millions of American college exams, tapping into students' cameras, microphones and computer screens when they take their tests at home. Now these companies are enjoying a rush of new business as the <u>coronavirus</u> pandemic closes thousands of American schools, and executives are racing to capture new clients during what some are calling a once-in-a-lifetime opportunity.

The live proctors these companies hire ensure test-takers abide by a strict set of rules. They watch the students' faces, listen to them talk and can demand they aim their cameras around the room to prove their honesty. Some companies also use facial-recognition, eye-tracking and other software that purports to detect cheating and rates the students' "academic integrity."

Looking off-screen for too long, for instance, can raise a test-taker's "suspicion" score, potentially leading them to fail the exam. The companies sign contracts with the schools, which cover some of the cost, though many charge students, too: One company, ProctorU, charges students about \$15 per test, while another, Proctorio, offers a \$100 "lifetime fee."

"It's insanity. I shouldn't be happy. I know a lot of people aren't doing so well right now, but for us — I can't even explain it," Proctorio's chief executive Mike Olsen said in an interview. "We'll probably increase our value by four to five X just this year."

The explosive growth casts light on what could be a pivotal moment for mass surveillance in the United States as privacy concerns clash with the unprecedented realities of a modern pandemic. Hundreds of thousands of students have been sent home from universities, and millions of high school students have seen their local schools closed for the rest of the year.

With more schools pushing to track students' locations across campus and their testing behaviors at home, education advocates worry the systems are invading students' personal lives and reducing the practice of learning to a forensic investigation, where students are presumed cheaters until proven upright.

"To take a test you need to let a stranger have a video recording of your room? Are you kidding me?" said Bill Fitzgerald, a researcher at the nonprofit group Consumer Reports who specializes in education technology.

"These platforms exist because they are selling a narrative that students can't be trusted," he said. "The people who have the most to lose here are the students, and they're the farthest away from the decision. ... Students are paying tens of thousands of dollars to have their higher-ed institutions sell them out."

Students bothered by the system's intrusive eye previously were given the option of taking their exams the oldfashioned way, in a classroom or a testing center. But with campuses shut down, students' participation has become effectively mandatory — just before their final exams.

The systems have already unnerved students like Neil Buettner, a 28-year-old Marine Corps veteran and student at Harford Community College in Churchville, Md., who was incensed by the demands made by the online proctor service Honorlock before taking his microeconomics exam.

"It's talking about how it wants to access my computer, my microphone, the webcam. Monitor what's in the room around me, scan my room. It wants to scan my ID!" he said in an interview. When his professor said he had no option to take the test in person, he opted instead to drop the class. "It's just a huge step backward," Buettner said. "Everyone's giving up their freedom just for the virus."

Those concerns have not dented the appeal of companies like Proctorio, which staffs four sales offices in the United States and Europe and oversaw more than 1.2 million students during the December peak. Olsen said he expects their business could more than triple by the school year's end.

The company, which typically adds 100 new universities as clients in a single year, is now fielding about 120 leads a day. Big universities that would normally churn through a months-long negotiation now want to rush deals through in a matter of days. And reluctant administrators and professors, he said, are suddenly finding "they're being forced" to try it out.

The coronavirus lockdowns have also forced some companies to allow their proctors to work remotely instead of in a supervised office — raising alarms among privacy advocates over who's gaining access to students' bedroom video streams. One company, Examity, whose proctor centers in India were recently closed, has posted job listings for full-time contractors who would start watching test-takers as early as this month.

The software's invasive demands on students have also sparked fury among some professors. A faculty group at the University of California at Santa Barbara wrote a letter to campus leaders last month that argued that the adoption of ProctorU could turn the university into "a surveillance tool."

"We recognize ... there are trade-offs and unfortunate aspects of the migration online that we must accept," they wrote. "This is not one of them. We are not willing to sacrifice the privacy and digital rights of our students for the expediency of a take-home final exam." (A ProctorU attorney responded with a letter threatening legal action over the group's "defamatory correspondence.")

ProctorU's chief executive, Scott McFarland, said the skeptics are outnumbered by newly interested school leaders: On a single day last month, his office fielded nearly 1,000 calls from educators asking about the service. The company, he said, has worked largely with colleges and private high schools, but the pandemic has opened the possibility of expanding into grade school exams.

"It was a slow wave, but this changes everything and makes it more like a tsunami event," he added. "There's just so much opportunity in places we haven't really chased before."

At the start of a ProctorU test, students are told to show the proctor their student ID cards, their rooms and the tops of their desks to prove they don't have any cheating material at hand. During the test, the proctor listens through the student's microphone to ensure he or she does not ask for help from someone out of view.

The proctor gains access to the test-takers' computer screens and receives alerts if they do something unacceptable, like copying and pasting text or opening a new browser tab. A video system analyzes the students' eyes: If they look off-screen for four straight seconds more than two times in a single minute, the motion will be flagged as a suspect event - a hint that they could be referencing notes posted off-screen.

To ensure the right student is taking the exam, the software uses facial-recognition software to match them to the image on their ID. Random scans are performed throughout the exam to prevent another test-taker from jumping in.

The company also verifies identities with a typing test: A student may be asked to type 140 words at the beginning of the semester, then again just before testing to verify the speed and rhythms of the student's keystrokes. Any discrepancies can be flagged for closer inspection.

A human proctor watches every second of an exam, though the student cannot see the proctor's face. In previous versions of the software, the student could see the person watching them, but "the creepiness factor always sort of came up," McFarland said. If a proctor suspects cheating, they alert a more aggressive specialist, known as an "interventionist," who can demand that the student aim his or her webcam at a suspicious area or face academic penalty.

Proctors typically work out of one of 11 centers across Alabama, California, India, Jamaica, Panama and the Philippines. But with many of those offices closed, the company said, it is opening backup centers in Canada, hiring more than 100 new workers and instructing many proctors to work from home.

ProctorU, which oversaw 2 million tests last year from more than 750,000 students, has compiled years of data on students' 15 "behavioral cheating types," McFarland said. Students' tests are live-streamed and recorded for later review: The worst offenders, McFarland said, have had their videos edited together into what he called a cheating "Hall of Fame."

ProctorU's competitors offer similar anti-cheating surveillance with different strategies. Honorlock, a Florida-based company that CEO Michael Hemlepp said has seen "a massive spike in inquiries," uses software that looks for "attempted dishonesty" and then sends in a human proctor for further review.

Proctorio goes further, using a completely software-driven approach. After students consent to letting Proctorio

monitor their webcams, microphones, desktops or "<u>any other means necessary</u> to uphold integrity," the system tracks their speech and eye movements, how long they took to complete the test and how many times they clicked the mouse. It then gives professors an automated report ranking test-takers by "suspicion level" and the number of testing "abnormalities." Students deemed untrustworthy by the computer are color-coded in red and given an icon of two shadowy figures, reminiscent of the "Spy vs. Spy" cartoon of Mad magazine fame.

Chris Dayley, the director of academic testing services at Utah State University, which uses Proctorio, described the software with a laugh as "sort of like spyware that we just legitimize." And though many students despise the feeling of being watched, Olsen, the company's chief executive, said the discomfort is worth it if it helps protect the tests. "We're the police," he said.

In an age of social distancing, the companies are racing to show they have the solution to colleges' testing crisis. Their websites include coronavirus-related advertisements, introductory pricing offers and condensed contracts to, <u>in</u> Honorlock's words, "eliminate need for legal intervention and liability concerns."

Asim Ali, the executive director of the Biggio Center for the Enhancement of Teaching and Learning at Auburn University, said the school is preparing for a surprise onslaught later this month of more than 200,000 final exams to be overseen by Honorlock and ProctorU as the sprawling Alabama campus empties out for the rest of the spring semester. The systems will cover virtually every test taken by the university's more than 23,000 undergraduates, whether they had previously consented to the technology or not.

"It is a crisis situation," Ali said. "Desperate times call for desperate measures."

But some professors and privacy advocates worry the frenzy is leading colleges to approve big corporate data grabs that could leave their students exposed.

"Students are asked to agree to these decisions, but they have no meaningful power not to consent," said Guy McHendry, an associate professor at Creighton University, which has used Examity for some proctored exams. "And because we're doing this with such urgency, we don't really have time to ingest all the implications of what these companies will do."

The companies retain rights to much of what they gather from students' computers and bedrooms. ProctorU's <u>privacy</u> <u>policy</u> for test-takers in California shows the company shares reams of sensitive student data with proctors and schools: their home addresses; details about their work, parental and citizenship status; medical records, including their weight, health conditions and physical or mental disabilities; and biometric data, including fingerprints, facial images, voice recordings and "iris or retina scans."

The company said it shares test-takers' browsing history, searches and online interactions with a group of website analytics providers, which it does not name. The company also said it <u>retains the right</u> to share all video and audio recordings of the students with their schools to ensure "no exam protocols were violated." Student data is retained "for as long as necessary," the <u>policy</u> states. (McFarland, the company's chief executive, said it does not sell any information to third parties.)

Education advocates say they are concerned about how the companies and schools will use this increasingly intimate view of students' private lives. They also question whether the systems will unfairly penalize students for things that are out of their control: technical glitches, nervous tics or other housemates walking into view. "All of this

surveillance," said Audrey Watters, a writer for the blog Hack Education, "is just not ideal for this very human practice of teaching and learning."

On message boards, students claim to have been flagged for stretching to grab a pen or letting their eyes wander during a long exam. One student said on Reddit that their professor had <u>accused them of cheating</u> during an Honorlockproctored exam because they had been looking off-screen while working out a math problem by hand.

On TikTok, students have posted videos about being relentlessly watched by proctors, "having a mental breakdown" during an exam, and <u>crying</u> as the test timer ticks down. Others have shared <u>ways to cheat</u> ("the hat," "the yawn") and joked about being caught dancing provocatively on camera.

The mass move to an experimental testing system has left some administrators concerned about what happens next. David Cillay, the vice president for academic outreach at Washington State University, where final exams are scheduled for early May, said he worries about students in rural corners of the state where Internet connections are spotty. "We're moving into this environment, in the middle of the semester, when we haven't prepared students to understand all of the technology," he said.

But many college leaders say there's no time for hesitation. Mihran Aroian, a professor at the University of Texas at Austin, said he expects that hundreds of new students will be taking tests for his Foundations of Organizational Behavior and Management class in coming weeks through ProctorU, and that he has no backup plan for students who are uncomfortable with the company's demands.

The virus, he said, could mark a "tipping point" for American education. "We can't assume everything is going to be normal after the end of this," he added. "It's a whole new world."

Updated September 26, 2020

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GenX Women in Higher Ed, Writing from Across the Globe

Unfeeling AI and Assessment

As we approach the end of the semester in our new and unexpected distance delivery mode, the question of assessment is, if it wasn't already, moving to the forefront of our and our students' minds.

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By Lee Skallerup Bessette
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// April 8, 2020

As we approach the end of the semester in our new and unexpected distance delivery mode, the question of assessment is, if it wasn't already, moving to the forefront of our and our students' minds. Even with flexible grading policies, assessments and grading remain fraught territory, with new modalities and formats to contend with. We've seen a move toward AI-powered proctoring software to ensure "academic integrity." We've already read in this space the thoughts of Thomas

J. Tobin on this issue, but we also need to think carefully about AI-powered proctoring. ⁸⁷

As we try out new tools, it's important to examine them critically for any potential limitations or issues. In his recent essay "Our Bodies Encoded: Algorithmic Test Proctoring in Higher Education," librarian and senior instructor Shea Swauger outlines some of the potential unintended consequences of using AI-powered proctoring solutions. It is an important read because it lays out ways in which tools like Proctorio can amplify racial and ableist discrimination, as well as signal to students that their course is not as inclusive a space as was perhaps initially indicated.

The examples laid out by Swauger highlight the ways in which a software like Proctorio does not take physical or mental disabilities into consideration, as the AI is trained on "normal" or ableist norms of behavior. Students who need to stand or move frequently, or who are unable to control their movements, will be flagged by the software, and while there are allowances that can be made, it is now up to the students to advocate for themselves for the proper accommodations, rather than the environment being a welcoming space for them.

As someone with ADHD, until reading this essay, I had not realized that much of my fidgeting and inability to sit still would be flagged by the software. I do not typically disclose my ADHD, and this behavior in a traditional class setting would be probably overlooked. However, I cannot help but think the added layer of scrutiny on my movements during an online test would cause me undue stress, focusing my attention on keeping still and not appear to be cheating, rather than on the test itself.

Swauger also highlights how "normal" is also coded as "white," with the AI in many instances unable to "see" black faces and bodies. Our students risk being literally erased by AI, with stories of students having to put themselves under bright lights in order to be recognized by the AI, creating less than ideal testing conditions for the students. Again, the students' attention becomes focused on elements that have little to do with their ability to show content mastery, but instead communicates to them that they are occupying space not meant for them.

If you do use or are thinking of using an AI-powered online proctoring solution, I encourage you to read Swauger's essay in order to best mitigate the very real, albeit unintended, potential negative consequences, to help all of your students be as successful as possible in their assessment activities.

Read more by Lee Skallerup Bessette



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#Blogs

UNIVERSITY & VENUS

UNIVERSITY OF VENUS GenX Women in Higher Ed, Writing from Across the Globe

Response From Proctorio

The company responds to a recent blog post.

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By The Proctorio Team
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// April 30, 2020

Note from the editors: While we don't publish pieces written by corporations, Proctorio requested the ability to respond to Lee Skallerup-Bessette's blog post from earlier this month and we agreed as we originally published Lee's post at UVenus. We do not endorse Proctorio.

Recently, Dr. Lee Skallerup-Bessette published a blog post at University of Venus on the expansion of online remote proctoring solutions in the wake of COVID-19 and the potential negative impacts these

solutions may have on students' privacy. We acknowledge and respect the expressed concerns, which is why we designed our software with industry leading safeguards to protect student privacy.

In her blog post, Bessette references the opinion piece, "Our Bodies Encoded: Algorithmic Test Proctoring in Higher Ed" on remote proctoring solutions. However, we believe that there are several issues with the source article that have already been addressed, separately, with the author, Shea Swauger.

In his piece, Swauger states that all remote proctoring software utilize facial recognition technology. However, Proctorio utilizes facial detection technology. Facial recognition is a biometric measurement and collection of an individual's unique facial geometry. Unlike some of our competitors, Proctorio does not collect biometric information. Biometric information can include facial recognition, keystroke fingerprinting, or voice recognition. Proctorio's facial detection notifies the instructor if a student looks away from the exam for too long, to detect if the student leaves the testing environment, or if a secondary face is present within the testing environment.

There have also been a number of misconceptions, not only on what kind of technology we use, but on how Proctorio is used by instructors and institutions. Instructors have the ability to create separate exams and enable different Proctorio settings to accommodate students with disabilities, difficult home environments, or those who experience exam anxiety. The Proctorio software does not dictate what is considered "normal behavior". The instructor is then able to review the exam attempt by the student and make the final decision on whether or not the behavior actually infringes their exam's integrity.

It is important to note that Proctorio is committed to conforming to WCAG 2.1 AA standards of the World Wide Web Consortium (W3C), Section 508 of the Rehabilitation Act, and EN 301 549 Accessibility requirements in Europe. Our VPAT is publicly available and can be accessed here.

Skallerup-Bessette also references fidgeting in her blog post. We want to stress that within Proctorio, "fidgeting" is not considered a behavioral flag. Proctorio is designed to identify behavior within an exam environment; we leave the exam settings and decisions regarding academic integrity to the instructor. Instructors would be able to identify the difference between a student fidgeting and a student looking through notes on their lap, communicating with another person in the room or using another device, just as they would within a classroom setting. An excellent example of this exists at a health professions school within the same university that employs Swauger, that has used Proctorio for over 5 years. Based on their assessment of flagged students, there is no evidence that students with any accommodation or disability are flagged at a higher rate than other students taking the same exam.

Most of the previous points lead to an important distinction, which lies in the relationship between Proctorio, test-taker data, and the partner institution. The institution plays the role of the data controller. The instructor and the institution enable the settings on each assessment and review the results. Proctorio makes no determination regarding student behavior, nor do we have access to or control over the exam information.

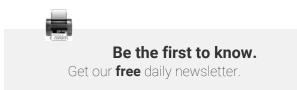
It may be important to note that there is a potential threat to society when students are not expected to meet integrity standards set forth by their institution. The healthcare setting is a prime example of this. When a healthcare provider is not held to the highest standards of academic integrity, people's lives are at risk. By protecting academic integrity, institutions can rest assured that the value of their degrees, and future patients, are protected.

We would like to avoid the spread of false information about Proctorio and thank the University of Venus for the opportunity to respond. We believe that the misinformation within previously published articles may lead to misconceptions about our product, damage our reputation and invoke anxiety or concern in students, instructors, and educational institutions who are current customers or may be considering adopting a remote proctoring solution.

We believe that Proctorio should be differentiated from its competitors as it takes a proactive approach to protecting student privacy and we would like that made clear to all students, instructors and institutions around the globe.

The Proctorio Team

Read more by The Proctorio Team



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Qwertyuoip 123 • 5 months ago

This software is pointless and borderline unethical. If you (a teacher) are concerned about cheating, software like this does very little to stop it and is easily circumvented. Don't rely on it. Design your tests so students can't cheat - make them open-book and open-note. Keep the focus on comprehension - use tests with essay questions, opinion, or writing prompts.

The use of this kind of program is detrimental to students' performance, and is useless again cheating without draconian policies like randomly timed room sweeps and facial monitoring. They know you aren't going to look over hundreds of hours of test recordings. Don't use Proctorio or similar products.

hrhdhd • 5 months ago

Some of my colleagues have been fighting tooth and nail for an online proctoring service since we went allonline after Spring Break. When others suggest rethinking their exams, they refuse. So now we've signed

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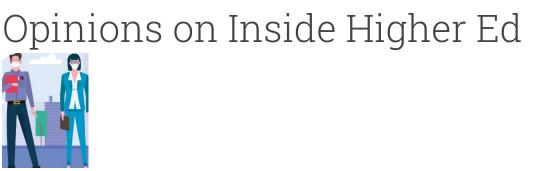
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Compassion over suspicion: UW should not use exam monitoring software

<text>

Jack Johnston

Editor's note: Here at The Daily, we encourage our readers to submit guest editorials and letters to be featured in our Free Speech Friday column. We welcome all voices to contribute positively to campus conversations. Our submission guidelines can be <u>found here</u>.

Four weeks into the quarter, it is midterm season — but in a drastically different setting. In lockdown due to the COVID-19 pandemic, I'm preparing to take my first online midterm exam. This time around though, there is an added aspect to my usual exam stress.

Classes across campus, including my own, have turned to exam monitoring software such as Proctorio to minimize cheating during exams. Proctorio uses facial detection software to verify and monitor students through their webcam for "suspicious" activity, including eye movements and background activity or noise. It combines this with keystroke monitoring and artificial intelligence that learns to recognize cheating behavior. The software then generates an automated report for educators with a "suspicion level" for each student, and video recordings are stored on Proctorio's servers where it may be accessed by relevant UW staff.

Requiring students to install and use Proctorio exacerbates inaccessibility, invades students' privacy, and ultimately furthers ableist discrimination.

Proctorio is an extension on the Google Chrome web browser; however, not all students necessarily have access to devices that can access Google Chrome. One student in my course posted that their laptop crashes whenever they use Google Chrome. Another student, based out of China, was recommended that they use a VPN to download the software. This is particularly concerning, because a UW student in China was targeted and placed in a reeducation camp when she used a VPN for her homework in 2017.

Past inaccessibility, Proctorio is also a gross invasion of privacy. As a hijabi, Muslim woman of color I am extremely uncomfortable with software that films, records, and then uses artificial intelligence to analyze my face and body. Further, both UW and Proctorio have provided little to no information about where my data is actually going to be stored, and what might be at risk in the event of a data breach.

Most importantly, software like Proctorio increases systematic discrimination in education. Facial recognition technology is calibrated for white skin as the norm. Specifically with Proctorio, students at Texas Tech who have black or brown skin have been asked to shine more light on themselves to verify their identities. More broadly, facial recognition technologies have shown a consistent inability to identify people with darker skin, or even tell the difference between Chinese people.

This type of software also increases ableist discrimination. Proctorio analyzes student movement in comparison to everyone else's. If you're the only student to look at a clock, and no other student does, you are automatically flagged as "suspicious." For students with ADHD or other neuromuscular conditions the inability to sit still would be flagged.

Additionally, I can personally attest that the added layer of scrutiny causes me undue stress. I see myself focusing more of my attention on keeping still to not appear to be cheating, rather on the test itself. I can't even begin to imagine the effect this scrutiny would have on students who struggle with anxiety.

I wasn't notified that my class would be using Proctorio until just a few days ago. If I had known previously, I might have even dropped the course. Tools like Proctorio ultimately amplify accessibility issues, privacy concerns, as well as racial and ableist discrimination. All of this erodes my trust as a student in UW.

Technology isn't inherently neutral or objective. It doesn't cause cheating, and it won't ultimately stop it. Since many exams including my own are open book, I strongly caution against the added layer of exam monitoring that Proctorio adds. In this already difficult, stressful time, the UW should not use anxiety provoking, ableist exam monitoring software.

I urge instructors at UW to instead prioritize compassion over suspicion, and to trust their students to demonstrate their learning with academic integrity.

Marium Raza

UW undergraduate, Biochemistry & Comparative History of Ideas 2021

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The New Hork Times https://nyti.ms/2LcnKz7

Keeping Online Testing Honest? Or an Orwellian Overreach?

The rise of proctoring software to deter cheating alarms privacy advocates. Some students and professors find it invasive, too.

By Shawn Hubler

May 10, 2020

SACRAMENTO — As Daniel Farzannekou prepared to take an online exam late last month in his naval science elective at the University of California, Los Angeles, the software directed him to pick up his laptop and scan his room, his desk, his ID and his face.

"Ridiculous," Mr. Farzannekou, a 20-year-old history major, fumed. He grabbed a notepad from his girlfriend, scribbled a two-word profanity in black ink and pointedly held it up to the webcam. Then he uninstalled the digital proctor software and fired off an email to his professor. The monitoring system was like something out of "communist Russia," he wrote, demanding a less Orwellian test.

As a semester like no other winds down for college students, with bedrooms replacing classrooms as testing sites amid the coronavirus pandemic, professors accustomed to classrooms are no longer able to keep a close eye on test takers, looking for cheat sheets and wandering eyes.

Into the havoc have come digital proctoring services, which, after years in tech's niches, are suddenly monitoring hundreds of thousands of students taking millions of at-home exams from far-flung homes in myriad time zones.

Privacy advocates are sounding alarms. Investors are taking note. And students are fueling demand with their own testing — of boundaries.

Boston University and Georgia Tech started investigations last month amid reports that students were uploading questions from their take-home tests to an online tutoring service based in Santa Clara, Calif., and then copying the answers. Shares in the tutoring company, Chegg, spiked on Tuesday after it reported a 35 percent year-over-year revenue increase.

In an April survey by Educause, a nonprofit organization focused on technology and education, 77 percent of 312 institutions polled said they were administering, or planning to administer, take-home tests online with some sort of remote monitoring, ranging from human surveillance via webcams to software that lets a test temporarily take over a student's browser.

It is not only students who are cringing at the online monitoring.

"There has to be a better way," said Sue Escobar, a professor of criminal justice at California State University, Sacramento. Ms. Escobar said she would not use the webcam option the university added last month to its online testing software, finding it "invasive."

"Sure, we want to minimize cheating, but how far do you go?"

This is ExhibitQ referred to in the Affidavit of Ian Linkletter affirmed before the on 15 Oct 2020.

A Commissioner for taking Affidavits for British Columbia

9/25/2020

Keeping Online Testing Honest? Or an Orwellian Overreach? - The New York Times

Academic integrity is not a new concern in remote learning. In surveys, about one in three students say they have cheated in online tests — about the same as the proportion who admit to cheating offline.

For nearly two decades, Respondus, an educational technology firm in Redmond, Wash., has been marketing a customized browser that prevents test-takers from seeking answers in a new tab while their exam is in progress. As online instruction and web access have expanded, the online proctoring market has become more crowded.

Companies like ProctorU in Birmingham, Ala., and Examity in Newton, Mass., now offer remote oversight by live proctors who watch students take tests via Skype and webcams. Proctorio in Scottsdale, Ariz., uses artificial intelligence to monitor and flag body language and background noise that might point to cheating.

"When you're educating thousands of students in an online setting, it's a good tool in the tool kit," said Louis Soares, chief learning and innovation officer at the American Council on Education, though he added that the best defense is a culture of academic integrity.

Mr. Soares noted that universities "were already on a journey toward a blending of face-to-face and digital learning." The research firm MarketsandMarkets estimated in 2018 that the online education market would grow from about \$4 billion then to nearly \$21 billion by 2023.

The pandemic has accelerated that trend to warp speed.

Proctorio's chief executive, Mike Olsen, said his steady business grew 900 percent after campuses began closing, as the 235,000 exams his company proctored last April grew this April to 2.5 million.

Scott McFarland, chief executive of ProctorU, said he has had to double his staff of about 500 live proctors. Respondus's founder, David Smetters, said the number of universities using its services went in the space of a few weeks from about 1,500 to about 2,500.

There will be no going back, university officials predict. "This is a learning curve we won't unlearn," Mr. Soares said. The College Board is considering digital, at-home SAT testing if schools do not reopen by fall.

With great growth have come great growing pains. ProctorU had a nasty — and public — exchange over data mining fears with a faculty group at the University of California, Santa Barbara. In Florida, thousands of students have signed Change.org petitions against their schools' use of Honorlock, a proctoring service that they say needs a more stringent privacy policy.

Social media has exploded with complaints and workarounds for cheaters. The University of California, Berkeley banned online exam proctoring, concerned that poor and rural students lacked sufficient access to high-speed connections and compatible laptops. Meggan Levitt, the assistant vice provost for technology at University of California, Davis, said the school was set to expand its live proctoring deal with Examity when the coronavirus shut down the company's India facilities.

Others simply report being annoyed and intimidated by the sense, even in the Zoom era, that they are being spied on. Thera Boonyamarn, a 20-year-old U.C.L.A. student who flew home to Thailand when her campus closed, said that every time she sneezed into a Kleenex because of allergies, the testing software would "flag" her for seeming to look away while holding what appeared to be paper.

"It's creepy," said Hailey Arzaga, a 22-year-old psychology and criminology major at Cal Poly Pomona who worried about what the webcam would reveal as she took a recent quiz on qualitative research methods. "Like, we have you on video and audio and we'll record you if you screw up."

9/25/2020

Keeping Online Testing Honest? Or an Orwellian Overreach? - The New York Times

Mr. McFarland of ProctorU acknowledged that the live surveillance "is something to get used to." But the proctoring services say they do not sell students' data to third parties and that they purge it after it is sent to the school unless a cheating investigation requires that they preserve it.

ProctorU drafted and posted a Student Bill of Rights after the privacy concerns at the University of California, Santa Barbara. At the University of California's campuses where proctors are being used, faculty generally have assured students that alternate arrangements can be made for those concerned about proctors.

Still, the criticism has been unsettling, said Mr. Smetters, the Respondus founder.

"We don't drop in, we don't review the video after — people think we're doing that and we're not," he said. "We've been doing this for 20 years, we love education and suddenly we're the bad guys. But we're the good guys! We provide tools to universities so they can ensure integrity."

It was Respondus's testing system that unsettled Mr. Farzannekou, despite entreaties from his girlfriend, Emily Louie, and her roommate, he said, "that this was not the hill to die on."

"I told him, 'What's the big deal?'" recalled Ms. Louie, a 22-year-old biology major. "People our age — you just can't trust them. I know people who leave their phones open when they take online exams, and put Post-it notes on the computer screen so the camera can't see."

"I don't cheat," Mr. Farzannekou said, calling the software "dystopian" and "eerie."

Ms. Louie replied that she had taken a year of Italian, uneventfully, using the system.

"I was super self-conscious in the beginning, but then I got used to it."

But Mr. Farzannekou was not willing to let it rest.

"Isn't that the thing about authoritarian states — that they expect you to become used to it?" he retorted. His Iranian parents, her Chinese parents and her roommate, who is from Turkey, he pointed out, had come from "places where they don't respect your privacy."

In the end, his instructor relented. The dispensation was one time and came with a warning that, come finals week, the webcam might be back again.

"Please note that this exam is NOT open note or book," wrote the adjunct professor, Lt. Alexander Dellva, "and I am therefore relying on your integrity to take this exam using only the knowledge in your brain."

A version of this article appears in print on , Section A, Page 12 of the New York edition with the headline: Big Brother Is Watching Your Final Exams

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Proctoring Apps Subject Students to Unnecessary Surveillance

With COVID-19 forcing millions of teachers and students to rethink in-person schooling, this moment is ripe for an innovation in learning. Unfortunately, many schools have simply <u>substituted surveillance technology for real</u> <u>transformation</u>. The use of proctoring apps—privacy-invasive software products that "watch" students as they take tests or complete schoolwork, has skyrocketed. These apps make a seductive promise: that schools can still rely on high-stakes tests, where they have complete control of a student's environment, even during remote learning. But that promise comes with a huge catch—these apps violate student privacy, negatively impact some populations, and will likely never fully stop creative students from <u>outsmarting the system</u>.

No student should be forced to make the choice to either hand over their biometric data and be surveilled continuously or to fail their class. Through a series of <u>privacy-</u> <u>invasive monitoring techniques</u>,

British Columbia

proctoring apps purport to determine whether a student is cheating. Recorded patterns of keystrokes and facial recognition supposedly confirm whether the student signing up for a test is the one taking it; gaze-monitoring or eyetracking is meant to ensure that students don't look off-screen too long, where they might have answers written down; microphones and cameras record students' surroundings, broadcasting them to a proctor,

who must ensure that no one else is in the room. Even if these features were successful at rooting out all cheating, which is extremely unlikely, what these tools amount to is compelled mass biometric surveillance of potentially millions of students, whose success will be determined not by correct answers, but <u>by</u> algorithms that decide whether or not their "suspicion" score is too high.

Much of this technology is effectively indistinguishable from <u>spyware</u>, which is malware that is commonly used to track unsuspecting users' actions on their devices and across the Internet. It also has much in common with "<u>bossware</u>," the invasive time-tracking and worker "productivity" software that has grown in popularity during the pandemic. EFF has campaigned against the pervasive use of both of these tools, demanding anti-virus companies recognize spyware more explicitly, and pushing employers to minimize their use of bossware. In addition to the invasive gathering of biometric data, proctoring services gather and retain personally identifiable information (PII) on students — sometimes through their schools, or by requiring students to input this data in order to register for an account. This can include full name, date of birth, address, phone number, scans of government-issued identity documents, educational institution affiliation, and student ID numbers. Proctoring companies also automatically gather data on student devices, regardless of whether they are school-issued devices or not. These collected logs can include records of operating systems, make and model of the device, as well as device identification numbers, IP addresses, browser type and language settings, software on the device and their versions, ISP, records of URLs visited, and how long students remain on a particular site or webpage.

The companies retain much of what they gather, too—whether that's documentation or video of bedroom scans. Some companies, like ProctorU, have *no time limits* on retention. Some of this information they share with third parties. And when student data is provided to the proctoring company by an educational institution, students are often left without a clear way to request that their data be deleted because they aren't considered the data's "owner."

The leveraging of student data for commercial purposes isn't the only risk to student privacy—as we've noted time and time again, gathering vast amounts of data on people is unwise given frequent breaches and subsequent data dumps. ProctorU found that out recently, when <u>over 440,000 user records for their</u> proctoring service were leaked on a hacker forum last month, including "email addresses, full names, addresses, phone numbers, hashed passwords, the affiliated organization, and other information."

Aside from privacy concerns, these tools could easily penalize students who don't have control over their surroundings, or those with less functional hardware or low-speed Internet. For students who don't have home Internet access at all, they are locked out of testing altogether. They could also cause havoc for students who already have trouble focusing during tests, either because of a difficulty maintaining "eye contact" with their device, or simply because tests make them nervous. Software that assumes all students take tests the same way — in rooms that they can control, their eyes straight ahead, fingers typing at a routine pace—are undoubtedly leaving some students out.

No student should be forced to make the choice to either hand over their biometric data and be surveilled continuously or to fail their class. A solution that requires students to surrender the security of their personal biometric information and give over video of their private spaces is no solution at all.

Technology has opened up unprecedented opportunities for learning at a distance, and COVID-19 has forced us to use that technology on a scale never seen before. Yet schools must accept that they cannot have complete control of a student's environment when they are at home, nor should they want to. Proctoring apps fall short on multiple fronts: they invade students' privacy, exacerbate existing inequities in educational outcomes, and can never fully match the control schools are used to enforcing in the test hall.

Educational institutions will need to adapt fundamentally to distance learning. New technologies and new teaching methods will be a part of that. Perhaps

1

schools will need to reevaluate the need for closed book exams, or use fewer tests overall as compared to project-based assessments. Regardless, they should not rely on invasive proctoring apps to attempt to replace methods that only work in person. Surveillance tech has already crept into many areas of education, with some schools <u>tracking students' social media activity</u>, others requiring students to use technology that <u>collects and shares private data</u> with third-party companies, and others <u>implementing flawed facial recognition</u> technology in the name of safety. While there are <u>ways to fight back against</u> <u>some common school surveillance</u>, it becomes increasingly difficult when that surveillance is directly tied to students' evaluations and ultimate success. Teachers, parents, and students must not allow remote learning to become remote surveillance.

If you currently have or previously had a user account for ProctorU, check if your account was compromised in this breach at <u>have i been pwned?</u> and <u>update your password</u>.

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The new school year starts next week for most schools across the country. As part of the first line of defense in protecting student privacy, teachers need to be ready to spot the implications of new technology and advocate for their <u>students' privacy rights</u>. <u>Our student privacy...</u>



Online school means online tests, along with computerized surveillance



By <u>Rachel Metz</u>, <u>CNN Business</u> Updated 8:14 AM ET, Sat August 29, 2020

(CNN Business) – When Amanda Kemper found out that artificial intelligence would help monitor students during her mechanical engineering class's final exam this summer, she was worried.

Like many students, Kemper's classes at the University of Wisconsin-Madison shifted online suddenly in the spring due to the ongoing pandemic. With remote learning came remote exams: Starting in July, the university let instructors use software from Honorlock, which is one of numerous companies that can record video — and much more — of students as they take tests, and uses AI to point out any behavior that looks like cheating.

Kemper learned about Honorlock a week before her final exam and she had a number of concerns. She didn't like the idea of being recorded and having that recording sent to her professor. She has severe test-related anxiety and sometimes gets nauseous — what would happen if she suddenly had to run to the bathroom?

"It seemed like, amidst this crisis, this global pandemic happening, we were being propelled into the software that the university might not have done a lot of research on and students hadn't done the research on," she said.

She brought her concerns to her professor, who ultimately decided the class would shift to an unproctored take-home exam since the class syllabus hadn't mentioned Honorlock specifically from the start.

But with fall classes looming and the pandemic still raging, Kemper knew she'd likely encounter Honorlock again in the fall. So she also started an online petition asking the school to ban HonorLock; she sought 1,500 signatures, and as of Friday, over 1,400 people signed in support.



Students at UW-Madison are far from the only ones facing this kind of AI-assisted assessment. Colleges across the United States have been forced into distance learning due to the pandemic, which means they're increasingly reliant on technology for tasks normally performed in person. This has led many to embrace software that uses webcams and AI to monitor students during tests. Other companies providing such services include Proctorio, ProctorU, Respondus, and Proctortrack. The practice may prevent cheating, but it is also upsetting some students and faculty who feel the computerized surveillance goes too far and guestion how well it works.

"I understand that this technology might be a part of the future of education but as with anything, our data on social media, our data anywhere else, I just want to know where it is how it's being used in a transparent way," said Marlum Raza, a student at the University of Washington who opposed using such software in the spring.

An explosion of interest

Honorlock declined an interview request from CNN Business, but several other online proctoring companies said business is booming, thanks to the pandemic. David Smetters, CEO of Respondus, said it expects to use its automated proctor, Respondus Monitor, to oversee more than 20 million exams this year, four times as many as it proctored in 2019.



In the US, much of this testing surge comes from colleges and universities, though these services are also being tried for some major professional exams that are going remote. In California, for instance, the state bar exam in October will use Al-assisted proctoring software from ExamSoft.

Olsen first noticed a shift in January, with a sudden increase in the number of tests his company proctored in Australia. By February or early March, there "was just an explosion" of business, he said.

"When you compare April 2019 to April 2020, we experienced 900% growth," he said.

Proctorio, like a number of other test-proctoring services, can record test takers through their computer's webcam and microphone, along with anything they do on the computer screen. Al is used for everything from checking your identity at the start of a test to flagging instances captured on video during the test where it appears someone else has entered the room or that you're talking to another person. The company doesn't use facial recognition software, Olsen said, but it does use facial detection (this distinction refers to the ability to determine that a face is present, rather than the identification of the face). It can also use gaze detection to determine where the test taker is looking.

After a student takes a test, Proctorio sends their teacher a report highlighting different behaviors that its software finds potentially suspicious, sorted in order of suspicion, Olsen said. Instructors can review the test video at marked points and decide for themselves whether a student is trying to cheat.

"It's not like it's a magic cheat algorithm, right? We're just saying, 'Look, tell us which behaviors you want to identify," he said. "And we'll show you who did that the most, and when they did it, and then you can review the footage and make your own decision."

Public objections

This Al-driven analysis doesn't sit well with Raza, who was first told to use Proctorio for a biochemistry exam this spring after University of Washington classes rapidly shifted online. She was concerned about being monitored generally, but also said that, as a hijab-wearing Muslim, she prefers to be careful about what happens to photos and videos taken of her.

To voice her objections, she wrote an opinion piece for her school's newspaper urging UW to stop using Proctorio for tests. And after students in her class had issues trying out the service in advance of the exam — including one who is Indian who said that it couldn't spot her face in broad daylight until she turned toward a window — Raza's professor decided not to use it, she said.

"The thing is, I'm not anti-software; I'm not anti-technology," Raza said. "What I want and support is transparency in everything."

Cheating is an issue in higher education, according to Wendy Fischman, a project director at the Harvard Graduate School of Education. In a multi-year study she conducted with Harvard professor Howard Gardner that included data collected from 1,000 students at 10 schools, academic dishonesty ranked "least important" among issues such as mental health and alcohol and drugs, yet students also mentioned cheating as a pervasive problem.

Services like Proctorio and Honorlock will make it a bit less likely that students will cheat, and may catch some students in the act, she said. But in order to prevent students from cheating, she believes school culture needs to change so students don't want to cheat in the first place.

"I'm not sure I have a problem with that," she said of the surveillance aspects of these services. "I just think it's not going to solve the problem."

Suspicion levels

While putting the onus on course instructors rather than having AI make explicit decisions may make sense, it doesn't always lead to catching cheaters.

Jared Nielsen, an assistant professor of psychology and neuroscience at Brigham Young University in Provo, Utah, initially used Proctorio to proctor exams for an upper-level psychology course early this year that was held partly in person and partly online before the pandemic took hold in the US.

He explained that after each test the software provides instructors with a suspicion level of 0 to 100% for each student: On one exam, out of 49 students in the class, twothirds were rated above 90%. The student who appeared least suspicious to the software received a 53%, he said.

After his teaching assistants reviewed those results, he emailed nine students who had been flagged for suspicious behavior such as staring off screen for a short period of time. Each student responded lengthily and, in his estimation, sincerely, that they had no idea they were doing anything wrong. Some offered to redo the exam in his office. He apologized.

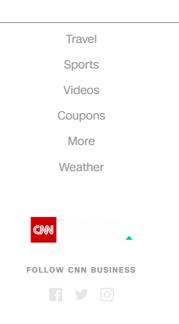
"They just wanted to look off somewhere so they could engage their mental faculties and think through, 'What is the correct answer for this problem?'" he said.

BYU's fall classes start Monday, and the ones Nielsen is teaching will be remote. He isn't planning to use Proctorio again.

"At the end of the day, I'm just putting my trust in the students," he said.

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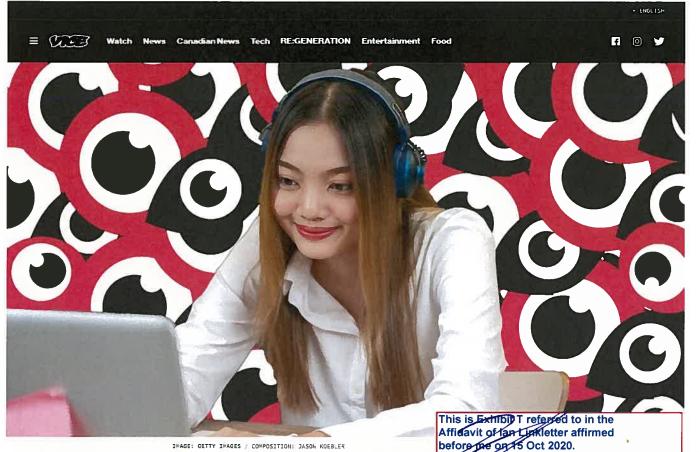


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Students Are Rebelling Against Eye-Tracking Exam Surveillance Tools

Invasive test-taking software has become mandatory in many places, and some companies are retaliating against those who speak out.



By Janus Rose

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As a privacy-minded computer science student preparing to start his first year at Miami University, Erik Johnson was concerned this fall when he learned that two of his professors would require him to use the digital proctoring software Proctorio for their classes. The software turns students computers into powerful invigilators-webcams monitor eye and head movements, microphones record noise in the room, and algorithms log how often a test taker moves their mouse, scrolls up and down on a page, and pushes keys. The software flags any behavior its algorithm deems suspicious for later viewing by the class instructor.

In the end, Johnson never had to use Proctorio. Not long after he began airing his concerns on Twitter and posted a simple analysis of the software's code on Pastebin. he discovered that his IP address was banned from

accessing the company's services. He also received a direct message from Proctorio's CEO, Mike Olsen, who demanded that he take the Pastebin posts down, according to a copy of the message Johnson shared with Motherboard. Johnson refused to do so, and is now waiting to see if Proctorio will follow up with more concrete legal action, as it has done to <u>other critics</u> in recent weeks.

"If my professors weren't flexible, I'd be completely unable to take exams," Johnson said. "It's insane to think that a company [or] CEO can affect my academic career just for raising concerns."

His case is just one example of how college campuses are revolting against the use of digital proctoring software, and the aggressive tactics employed by proctoring companies in response to those efforts. In recent weeks, students have started online petitions calling for universities across the world to abandon the tools, and faculty on some campuses, like the University of California Santa Barbara, have led similar campaigns, arguing that universities should explore new forms of assessment rather than subjecting students to surveillance.

"We need to really think long and hard about how we are adapting," Jennifer Holt, a film and media studies professor at UCSB, told Motherboard. "We're supposed to be protecting our students."

Surveillance at Home

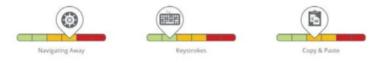
Algorithmic proctoring software has been around for several years, but its use exploded as the COVID-19 pandemic forced schools to quickly transition to remote learning. Proctoring companies cite <u>studies estimating</u> that between 50 and 70 percent of college students will attempt some form of cheating, and warn that cheating will be rampant if students are left unmonitored in their own homes.

Like <u>many other tech companies</u>, they also balk at the suggestion that they are responsible for how their software is used. While their algorithms flag behavior that the designers have deemed suspicious, these companies argue that the ultimate determination of whether cheating occured rests in the hands of the class instructor. The companies consider the algorithms proprietary and Proctorio, in particular, has reacted swiftly to prevent anyone with access to its training material or underlying code from disclosing their analyses.

"Any plan that calls for schools to just 'stop using' proctoring will make cheating more common than it already is, escalating a severe threat to all higher education," Scott MacFarland, the CEO of ProctorU, another proctoring vendor, wrote in an email to Motherboard. Comparing his product's deterrent effect to that of more ubiquitous surveillance technology, he added "we may not love the idea of being on camera every time we visit a bank or go to a convenience store, but no one is suggesting taking them down."

There is little peer-reviewed evidence about how digital proctoring affects students' honesty and test-taking ability, and the little research that has delved into the issue doesn't offer a clear consensus. A <u>2018 study</u> tracking 2,686 students across 29 courses found that those whose exams weren't monitored using Proctorio received grades 2.2 percent lower than those whose were. The authors concluded that the results were likely a result of cheating by students not using Proctorio. But a <u>2019 study</u> involving 631

students found that test takers who felt higher levels of anxiety during exams performed worse, and that the cohort of students monitored by proctoring software felt more anxiety than those who weren't.



Suspicion Levels

Proctorio is intended to be used to uphold academic integrity by discouraging cheating. However, some incidents will still occur. When these do happen, Proctorio makes the identification of these cases as simple as possible. One way is through the suspicion level. The suspicion level is a percentage that represents low, medium, or high suspicion for an individual's exam attempt.

The suspicion level is a quick calculation based on the aggregation of frames (captured activity) during the exam that were deemed suspicious and the detection of abnormal (deviation from the class norm) behaviour. If the suspicion level shows a large percentage, then this is an exam attempt that should be considered for further review.

The suspicion level will increase or decrease depending on how heavily each **Behaviour Setting** is weighted and which abnormalities are enabled.

A SLIDE FROM PROCTORIO'S TRAINING MATERIALS, DETAILING HOW THE SYSTEM MEASURES "SUSPICION LEVELS" WHILE STUDENTS TAKE EXAMS.

Students' and educators' objections to exam proctoring software go beyond the privacy concerns around being watched and listened to in their bedrooms while they take a test. As more evidence emerges about how the programs work, and fail to work, critics say the tools are bound to hurt lowincome students, students with disabilities, students with children or other dependents, and other groups who already face barriers in higher education.

Every day for the last week, Ahmed Alamri has opened ExamSoft and attempted to register for the practice version of the California state bar exam. Every time, the software's facial recognition system has told him the lighting is too poor to recognize his face. Alamri, who is Arab-American, has attempted to pass the identity check in different rooms, in front of different backgrounds, and with various lighting arrays. He estimates he's attempted to verify his identity as many as 75 times, with no success. "It just seems to me that this mock exam is reading the poor lighting as my skin color," he told Motherboard.

Alamri <u>isn't alone</u>. Law students around the country are organizing to fight against the use of any kind of digital proctoring software like ExamSoft on bar exams. In California, two students have filed an emergency petition with the state supreme court requesting that it cancel the exam entirely and institute a new form of assessment. A similar effort is underway in Illinois, while Louisiana, Oregon, and Wisconsin have already scrapped their upcoming bar exams as a result of student pressure. Other states, <u>including New York</u>, are fumbling for solutions as deadlines for the exams quickly approach; at one point, New York's test proctor announced it was going to ban the use of "desktop computers" to take the test.

In their <u>petition</u>, the students say the use of ExamSoft discriminates against people of color because facial recognition technology has been shown on numerous occasions to be worse at recognizing people with darker skin tones, and particularly women of color. The California bar exam would require test takers to verify their identity with facial recognition checks eight separate times, according to the petition, and a single failure would end the test. The petitioners also conducted a survey of 1,413 law students who were preparing to take the bar exam. "78.8 percent of African-American/Black respondents, 91.7 percent of Alaskan Indian or Native American respondents, 71.5 percent of Asian/Pacific Islander respondents, 81.4 percent of Southwest Asian North African respondents, and 75.9 percent of Latinx, Latino/a, Hispanic respondents" reported that they either would not have reliable internet during the exam, or were unsure whether their connection would be reliable.

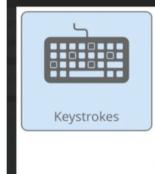
"They aren't taking into consideration people from underprivileged communities," Alamri said. "This sort of online exam is really measuring a person's generational wealth and not their knowledge of the law."

Abnormalities

Another major point of contention between proctoring companies and university communities has been the algorithmic techniques the software uses to detect potential cheating.

In <u>training documents</u> Proctorio provides to universities, the company explains that its software determines whether a test-taker's "suspicion level" at any given moment is low, moderate, or high by detecting "abnormality" in their behavior. If a student looks away from the screen more than their peers taking the same exam, they are flagged for an abnormality. If they look away less often, they are flagged for an abnormality. The same goes for how many keystrokes a student makes while answering a question, how many times they click, and a variety of other metrics. Variation outside the standard deviation results in a flag.

That methodology is likely to lead to unequal scrutiny of people with physical and cognitive disabilities or conditions like anxiety or ADHD, Shea Swauger, a research librarian at the University of Colorado Denver's Auraria Library who studies educational technology, told Motherboard. "These coders are defining, mathematically, the ideal student body: how often it does, or doesn't do, these certain attributes, and anything outside of that ideal is treated with suspicion," he said.



- This will alert the professor when the student typed on the keyboard significantly more or less than the other students.
- This information could tell you something about the test taker's process. For example, if you have a lot of written responses in the exam, you may want to enable this option because a student using significantly fewer keystrokes could indicate they were lifting their responses from an outside source.
- Using this in conjunction with Record Screen could show you if the student is getting information from external sources.

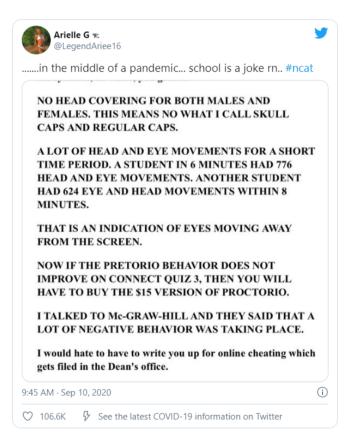
A SLIDE FROM PROCTORIO'S TRAINING MATERIALS DETAILING HOW THE SOFTWARE DETECTS "ABNORMALITIES" BY ANALYZING KEYSTROKE PATTERNS.

Proctorio and other proctoring companies strongly disagree with that assessment. "The biggest thing is that we're not making any sort of academic decisions, we're just providing a quicker way [for teachers] to review places in the exam based on the things they're looking for," Olsen, Proctorio's CEO, told Motherboard in an interview. Teachers can choose which types of behaviors to monitor, and it's up to them to decide whether an abnormality constitutes cheating, he added. Students from multiple schools across the US told Motherboard that while teachers ultimately choose whether and how to use exam-monitoring software like Proctorio, they often do so with no guidance or restrictions from the school's administration.

"Each academic department has almost complete agency to design their curriculum as far as I know, and each professor has the freedom to design their own exams and use whatever monitoring they see fit," Rohan Singh, a computer engineering student at Michigan State University, told Motherboard.

Singh says that students at the school objected after professors began using an exam-monitoring software called Respondus without proper notice at the end of the spring semester, when many universities began converting to online learning. He added that while it's ultimately up to the instructor how the software is used, it generally helps teachers who are predisposed toward doling out Academic Dishonesty Reports, or ADRs. "As a rule of thumb, the professors who choose to use Respondus are the professors more inclined to use their discretion to hand out ADRs," he said.

Nearly a dozen other students told Motherboard that they or their peers had objected to professors' use of exam-monitoring software at other state universities across the US.



In April, Swauger, who is organizing an effort to convince the University of Colorado system to drop Proctorio, published <u>a peer-reviewed article</u> critical of algorithmic proctoring in the journal Hybrid Pedagogy. In response, Proctorio sent a letter to the journal demanding a retraction. The journal's editors declined.

The company's response to Ian Linkletter, a learning technology specialist at the University of British Columbia, in Vancouver, was even sharper. After Linkletter began sharing Proctorio training videos and documents that explained the company's abnormality methodology on Twitter, the videos were removed from YouTube, and Proctorio filed for a court injunction to prevent Linkletter from sharing its training material. Linkletter declined to comment for this article due to the pending legal case.

Olsen said Proctorio welcomes public critiques of its service, but takes action when critics share records the company hasn't made public.

Other proctoring companies have also been litigious when faced with criticism.

In March, after students approached faculty members at the University of California Santa Barbara, the faculty association sent a letter to the school's administration raising concerns about whether <u>ProctorU</u> would share student data with third parties. The faculty asked UCSB to terminate its contract with the company and discourage professors from using similar services.

In response, a ProctorU attorney threatened to sue the faculty association for defamation and violating copyright law (because the association had used the company's name and linked to its website). He also accused the faculty association of "directly impacting efforts to mitigate civil disruption across the United States" by interfering with education during a national emergency, and said he was sending his complaint to the state's Attorney General.

Although ProctorU never filed a lawsuit against the UCSB faculty association, the threat had a chilling effect on professors' willingness to discuss the software.

Holt, one of the faculty members who first raised questions about proctoring software, declined to talk to Motherboard specifically about the ordeal or ProctorU. But in general, she remains worried about the spread of proctoring tools on campuses.

"We must do better than subjecting our students to surveillance and violations of their privacy," she said. "We must do better than allowing algorithmic policing through biometric surveillance as the new normal for education."

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How It Feels When Software Watches You Take Tests

Students say that monitoring programs like Proctorio and ExamSoft discourage them in the moments they're trying to prove themselves.



Sabrina Navarro, a junior at California State University, Fullerton, over Zoom. Amr Alliky/The New York

By Anushka Patil and Jonah Engel Bromwich

Sept 29, 2020

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<u>An unusual school year has started in earnest</u>, and with it has come <u>the return of digital proctoring programs</u>. This is software that can lock down students' computers, record their faces and scan their rooms, all with the intention to thwart cheating.

These programs, with names like ProctorU and Proctorio, first raised alarms about privacy as they were adopted by schools. Now many students are finding that the programs they're required to use may not have been well-designed to consider race, class or disability — and in some cases, simply don't work. <u>Many are organizing on and across campuses</u> for alternatives or for their eradication.

The rigidity of online proctoring has exacerbated an already difficult year, students say, further marginalizing them at the very moments they're trying to prove themselves. Here are some things that can go wrong with testing and digital surveillance.

'It Feels Like an Invasion of Privacy'

Before the pandemic, Sabrina Navarro, 20, a junior at California State University, Fullerton, hadn't thought to register her chronic tic disorder with her university's disability services office. The disorder, which she'd lived with since she was 6, hadn't ever affected her education.

"I'm good at covering it up," she said of her disorder. "A lot of my friends don't even know that I have it."

This semester, though, scared that her involuntary mouth movements would get her flagged for cheating, she went to get medical records to prove her diagnosis and request accommodations.

Affidavit of tan Linkfetter affirmed before meen 15 Oct 2020.

This is Exhibit U referred to in the

A Complissioner fo<u>r taking A</u>ffidavits for-British Columbia If the majority of her classes didn't require Proctorio, this wouldn't be a concern, she said.

But Ms. Navarro feared Proctorio would record her tics and send her professors footage for review. Ticcing happens more frequently for her during stressful situations, like an exam.

"Just the fact that professors might have access to seeing me ticcing, over and over again — it feels like an invasion of privacy with something that all my life, I've been pretty good at hiding," she said, speaking from her family home in Alhambra, Calif. that smelled "like fire" because of <u>blazes raging nearby</u>.

Students who request accommodations for their disabilities often have to cope with inaccurate judgments that they're cheating, said Maria Town, president of the American Association of People with Disabilities. Monitoring their behavior for compliance creates an accessibility barrier.



Pandemic-era SATs: Pencils Down, Face Masks Up

The inside scoop on standardized testing. Moms are balancing working and parenting from home. And an explainer about why kids get less sick than adults. Sent 28 2020



Put Down Your No. 2 Pencils. But Not Your Face Mask.

The coronavirus presents a daunting new test for SAT takers, including our reporter, whose Princeton Review guides haven't been cracked in years. Sect. 27, 2020

"It can be difficult not only to request, receive and use accommodations," she said. "If you are then accused of cheating on an exam that you worked really hard for because it's not accessible, its just going to amplify all those emotions."

CORONAVIRUS SCHOOLS BRIEFING: It's back to school — or is it?

Sign Up

Proctorio's chief executive, Mike Olsen, said in an interview that his software did not penalize students for perceived infractions, but instead relied on faculty to make judgment calls about test-taking behavior. "We ask the faculty before they review the footage, what they're interested in," he said. "That could be getting up and leaving the exam session, it could be other voices in the room. When they set that, what we're going to do is identify those moments in time. For the example of a child coming and asking a question or saying they want a drink of water, the faculty is going to see that and generally — I can't speak for all faculty — generally the faculty is going to say that's not an issue."

'Why Is This So Hard?'

Jazi, 19, a student at the University of Texas at San Antonio, finished her freshman year remotely after in-person instruction was suspended in March. (The New York Times agreed to not use her full name because she was afraid of retaliation from the school.) Doing class work from home, she was also charged with taking care of her younger siblings, ages 12 and 8.

Students using Proctorio are generally recommended to find quiet, well-lit places to take exams. Moving offscreen or speaking to someone else in a room, both things Jazi needed to do while watching her siblings, can be flagged as suspicious.

When her youngest sibling would bang on doors in the middle of an exam. she'd trv not to look away from her screen.

"I was ignoring him the entire time, just saying, 'Please, God, don't let them email me about the sound,'" as her mic levels spiked onscreen, she said.

Jazi hadn't previously felt out of place as a first-generation student on scholarships at the school, where a number of her peers come from a similar background. But being sent home to juggle school and child care on camera made her feel like she didn't belong.

"Some of my classmates were sent back to a full home. Two parents. They don't have to work. They just focus on their classes," she said.

When her sophomore year started in the fall, Jazi emptied her savings account to move back to campus. "I knew that if I had stayed home, there was no way I would pass," she said. "You constantly ask 'Why is this so hard?' Because you know it doesn't have to be that way. But it is."

'It Doesn't Seem Worth It to Me'

T. Sydney Bergeron Mikus, 25, started studying for the LSAT in 2016. They (Sydney uses gender-neutral pronouns) have a spate of chronic illnesses, coupled with cognitive impairments that they describe as similar to A.D.H.D.

It adds up to a laundry list of conditions that can be difficult to explain to others. Accustomed to being doubted, when Sydney was scheduled to take the LSAT-Flex this fall — an adjusted version of the test for law school — they got a jump on the paperwork that would allow them some accommodations. This includes extra water and snacks on their desk.

But when the time came, the test's proctor, from the company ProctorU, was not aware that those accommodations had been approved. During the 360-degree room scan required by the company before testing, Sydney's proctor questioned the water and food, forcing them to pull up documentation during the test period.

Things got worse from there, Sydney said. The software glitched frequently, switching from the LSAT screen to the ProctorU setup screen.

"Every time it would interrupt me, I would lose my train of thought and had to reread the passage," Sydney said.

Then, during a break between test sections, the software kicked Sydney out entirely. They sought help from ProctorU's support staff. They were connected to a new proctor, again having to explain the food and water on the desk.

After they finished the second section, they were once again booted out of the test.

Overall, the test "took an hour longer than I expected total," Sydney said. (ProctorU did not return a request for comment on experiences like Sydney's.) Sydney has not yet decided whether to retake the test, though they are leaning toward keeping whatever score they receive. "It doesn't seem worth it to me to risk experiencing issues with ProctorU yet again," they said.

The chief executive of ProctorU, Scott McFarland, said in a statement that privacy issues restrained the company from commenting about specific experiences, but that "ProctorU takes accommodation exceptionally seriously."

"It is our policy to not only make approved accommodations but investigate and make changes any time a test-taker or testing institution feels those accommodations have not been met completely," his statement said. "There is no excuse for doing otherwise. When an error does occur, we apologize and pledge to not only fix it but do better in making remote testing and assessment the best possible experience for everyone."

'I'm Just Suffering'

Sergine Beaubrun, 30, graduated law school in May. Before taking the bar exam in New York, she had to complete a "mock exam" from ExamSoft, a <u>much-criticized company</u> administering the exam remotely this year. One facet of the mock exam involves that the software "identify" the tester's face. It could not recognize Ms. Beaubrun, who is Afro-Latina.

School Reopenings >

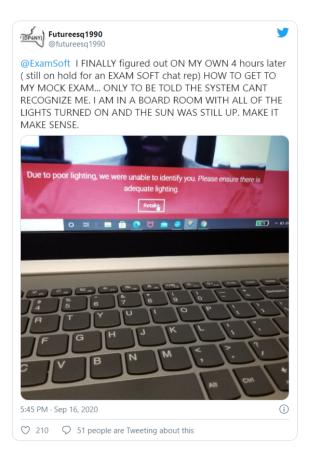
Back to School

Updated Oct. 7, 2020

The latest on how schools are reopening amid the pandemic.

- Imagine running a K-7 school. By yourself. In your own home. Meet the Crawfords in the first installment of our weekly "Family, Interrupted" series.
- With no bailout from the government, <u>financially strapped British</u> <u>universities beckoned students back to campus</u>, with predictably dire results.
- Cases are spiking at Appalachian State in North Carolina, where a student died last week, leading to calls for stronger safety measures.
- The "In Her Words" newsletter looks at how America's teaching workforce became undervalued, overly relied on and predominantly female.

"It couldn't see me at all," she said. "It was the middle of the day so the sun was still shining. I was in a boardroom, so bright lights, fluorescent lights. The ones that make you look really ugly. I was sitting directly under them."



She tried again, and again, but the program failed to recognize her. The image it was attempting to process made her skin darker than it was. Finally, she stood up on the table where she was working and put her laptop directly under the light. Only then was her picture accepted.

Ms. Beaubrun wants to practice immigration or civil rights law. She graduated with hundreds of thousands of dollars in debt, so passing the bar exam has become an immediate material concern. She started experiencing anxiety attacks for the first time earlier this year. "I'm just suffering, hoping that I can take this exam," she said.

'I Do Not Believe My Features Are Particularly Anomalous'

Areeb Been Khan, 27, also graduated law school in May. His experience preparing for the bar has been similar.

"I tried a bunch of things like switching rooms, sitting in front of a window, going to the bathroom where there was bright light, and even putting a desk lamp directly in front of my face as seen in my tweet," he wrote in an email.





Ok @ExamSoft support told me to "sit directly in front of a lighting source such as a lamp." I'm receiving the same issue preventing me from completing the NY UBE mock exam. Facial recognition technology is racist. @DiplomaPriv4All do y'all think I have "adequate lighting"?



Mr. Khan said five days later, in a position he had already tried, the software was suddenly able to recognize his face and allowed him to proceed. He said ExamSoft support told him this happens sometimes, and the software "needed a baseline for my face."

If the issue arose on the day of the actual bar, Mr. Khan was told to contact ExamSoft support again, which could cut into his time for the exam itself. The company responded "that I would need to coordinate extra time with the N.Y. Bar Association myself," he said.

"I am a brown person with a beard. I do not believe my features are particularly anomalous," Mr. Khan said.

"I cannot imagine any larger disaster than spending the last four months of my life unemployed and uninsured during a global pandemic in order to study for an exam that I cannot take on exam day because of racist technology," he said.

Asked about experiences like Ms. Beaubrun's and Mr. Khan's, Nici Sandberg, a spokeswoman for ExamSoft, said "the vast majority of those who have attempted to complete a mock exam have successfully done so. We're working around the clock to ensure a successful exam experience for all bar candidates." This article was updated after publication to provide comment from ProctorU.

A school year for the history books

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Tracking Covid at U.S. Colleges and Universities

Large outbreaks expanded on campuses as new semesters were underway.





Put Down Your No. 2 Pencils. But Not Your Face Mask.

The coronavirus presents a daunting new test for SAT takers, including our reporter, whose Princeton Review guides haven't been cracked in years.

Sept. 27, 2020

Using Technology to Tailor Lessons to Each Student

Computer algorithms and machine learning are helping students succeed in math. Some experts see such efforts as a crucial next step in education. Sept. 29, 2020

Jonah Bromwich is a news and features reporter. He writes about cultural change — shifts in the way we date, eat, think and use language and technology — for the Style section. @jonesieman

READ 25 COMMENTS



More in Style



Welcome to Homecoming!



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Oct. 3



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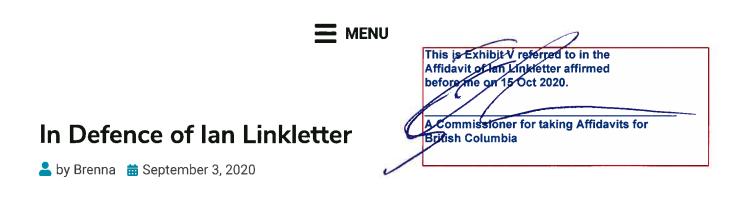
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FROM PRACTITIONER TO PEDAGOGUE

a blog about edtech, academia, and life



News broke this evening that Proctorio has served notice of a lawsuit to Ian Linkletter in response to his vital work publicizing the mechanisms by which Proctorio works. The Vancouver Province ran the story today.

Ian Linkletter is one of the most ethical, aware, and student-centred learning technologists working in Canada today. Ian's central focus is always care, and his concerns with the entire class of proctoring tools is well-documented. His careful investigation in this field, including the tweets in question, help to better inform the work of all learning technologists. To do our jobs properly, we need access to information and we need to understand the impact of our choices on the students we serve. Without Ian's work, we would know less. His work is scholarship, and his work is care.

We demonstrate care for students by trusting students. All proctoring tools are open to critique from those working within this discipline to reshape an academic culture that stands in opposition to student trust, and that increasingly relies on surveillance technologies to replace the difficult work of assessment. If these tools want us to trust them, we need to understand how they work. We need critics like Ian to force transparency in spaces where it is difficult to find, as we cannot critique what we don't know. Ian's attempts to shine light in these dark corners should be — and are, by the EdTech community — met with gratitude.

Ian's work is part of a larger body of scholarly critique on the place of surveillance technologies in education and should be supported and defended as such, alongside works like:

- "Our Bodies Encoded" by Shea Swauger
- "Centring a Critical Curriculum of Care During Crisis" by Maha Bali
- "School Work and Surveillance" by Audrey Watters
- "A Guide for Resisting EdTech" by Sean Michael Morris and Jesse Stommel
- "Spotlight on Alternative Assessment Methods" by Tim Fawns and Jen Ross

The first iteration of this letter was signed by the following scholars, educational technologists, and interested parties. If you would like to add your support to this letter, please comment below and your name will be added to future iterations.

In solidarity.

Brenna Clarke Gray Coordinator, Educational Technologies Thompson Rivers University

Laura Gibbs, PhD Online Instructor University of Oklahoma

Hannah McGregor Assistant Professor Simon Fraser University

Bonnie Stewart Assistant Professor of Online Pedagogy & Workplace Learning Faculty of Education University of Windsor

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Mia Zamora Associate Professor, Director of MA in English, Writing Studies Kean University

Aidan Mundle Student, University of British Columbia.

Alan Levine Independent Education Technology Consultant

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Kate Bowles

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Australia

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Maha Bali

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Ann Gangé

Robynne Devine

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Kane Murdoch, Senior Adviser, Student Integrity, UNSW Sydney

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Prev

On Not Being a Thought Leader

178 Replies to "In Defence of Ian Linkletter"



Arley Cruthers September 3, 2020 at 4:50 am

This lawsuit is chilling. Definitely add my name to future versions of the letter.

Arley Cruthers Instructor – Applied Communications Kwantlen Polytechnic University REPLY



Kira Tomsons September 3, 2020 at 5:37 am

Please add me to the signatories! This is so important. Kira Tomsons Faculty, Philosophy and Humanities Douglas College REPLY



Ingrid Marais September 4, 2020 at 5:17 pm

Please add me as a signatory Dr IE Marais, Department of Anthropology and Archaeology, University of South Africa REPLY



Phillip Dawson September 3, 2020 at 5:42 am

Remote proctoring is sold to us on the logic that scrutiny is good. This lawsuit increases the asymmetry in that scrutiny: we can monitor you, but you can't monitor us.

Please add my name: Phillip Dawson Associate Professor Centre for Research in Assessment and Digital Learning (CRADLE) Deakin University, Melbourne, Australia REPLY



Corey Sparks September 3, 2020 at 5:52 am

Please add my name: Corey Sparks Assistant Professor Department of English California State University, Chico REPLY



Colin Madland September 3, 2020 at 6:02 am

This lawsuit is truly chilling. Please add my name in support of Ian and his critical voice.

Colin Madland Manager, Online Learning, TWU PhD student in Educational Technology, UVic REPLY



Mia Zamora September 3, 2020 at 11:45 am

Please add my name in support of Ian Linkletter in light of this outrageous lawsuit.

Mia Zamora Associate Professor, Director of MA in English, Writing Studies Kean University REPLY



Aidan Mundle September 3, 2020 at 2:30 pm

Please add my name: Aidan Mundle Student, University of British Columbia. REPLY



Alan Levine September 3, 2020 at 6:26 am

I gladly join this group in standing up for and with Ian Linkletter.

Alan Levine

Independent Education Technology Consultant REPLY



Irwin DeVries September 3, 2020 at 6:55 am

I fully support lan's work in bringing to light Important concerns about surveillance technology.

-

Kate Bowles September 3, 2020 at 7:29 am

Co-sign, with feeling. The scope of the learning technologist includes investigating software design assumptions, monitoring implementation practices, and advocating for the interests of students and educators in raising issues that are of concern. Ian is doing his job. Kate Bowles

Associate Dean International and former Head of Education Design

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University of Wollongong, Australia REPLY



Jon Fulton September 3, 2020 at 7:30 am

I definitely stand behind Ian REPLY



Maha Bali September 3, 2020 at 7:49 am

This is chilling. I would like to stand by Ian and support him every way I can. Is there a straightforward way for folks to indicate interest in signing?



Mira September 3, 2020 at 8:01 am

Please add my name too – Mira Vogel, Senior Teaching Fellow, King's College London REPLY



Jo Stroud September 3, 2020 at 8:40 am

Happy to put my name to this too. Applying critical perspectives to the tools we use with our students is part of our responsibility to them.

Joanna Stroud Head of Online Learning University College London REPLY



Pat September 3, 2020 at 9:33 am

Put me down Pat Lockley REPLY



Phil Barker September 3, 2020 at 9:48 am

I fully support Ian and this letter. Thank you all for your work. Phil Barker, Independent Learning Technology Consultant. REPLY



Chris Miciek September 3, 2020 at 10:11 am

Sets a horrible precedent and environment for students' future work and their comfort with employer surveillance, too. Chris Miciek Director, Career Development Center Thomas Jefferson University REPLY



Lee Skallerup Bessette September 3, 2020 at 10:12 am

Please add my name. Lee Skallerup Bessette Learning Design Specialist Georgetown University REPLY



Luke Waltzer September 3, 2020 at 11:07 am

This harassment is unacceptable. Ian Linkletter, you are not alone.

Luke Waltzer Director, Teaching and Learning Center The Graduate Center, CUNY REPLY



Charles Logan September 3, 2020 at 11:29 am

I'm grateful for Ian's work. Thank you, Brenna, for putting together this letter.

Charles Logan PhD Student in Learning Sciences Northwestern University REPLY



Dorothea Salo September 3, 2020 at 11:37 am

Please add my name. Dorothea Salo Distinguished Faculty Associate Information School University of Wisconsin-Madison REPLY



Rob Proulx September 3, 2020 at 11:53 am

I'd like to add my name in support of Ian: Rob Proulx, PhD Lecturer University of Minnesota Crookston REPLY



Chad Flinn September 3, 2020 at 12:29 pm

This is scary. I stand in solidarity with Ian. Please add my name.

Chad Flinn

Instructor

BCIT

REPLY



peggy french September 3, 2020 at 12:33 pm No words (well a few swear ones for Proctorio) – only admiration for Ian. Peggy French Open Education Librarian | Curriculum Designer Mohawk College of Applied Arts & Technology Hamilton ON REPLY



Terry Greene September 3, 2020 at 12:36 pm

Add my name please Terry Greene ELearning Designer Trent University Online REPLY



Margy MacMillan September 3, 2020 at 12:52 pm

Please add my name to the list n support of lan's vital work

Margy MacMillan Professor Emerita Mount Royal University

REPLY



Matt Crosslin September 3, 2020 at 1:07 pm

You can add my name as well:

Matt Crosslin, PhD Instructional Designer Part-Time Faculty, UTRGV REPLY



tracy stuntz September 3, 2020 at 1:19 pm

Thankful to add my name in support. Tracy Stuntz, EdD Instructional designer Clovis Community College REPLY



Apostolos Koutropoulos September 3, 2020 at 1:46 pm

Whatever your stance may be on proctoring (remote or otherwise), this is not a way to have a mature conversation and debate about it (I am looking at you, Proctor.io). Shedding light on how something works is important for our professional practice. SLAPPing someone because they shine a light on how things work is tantamount to bullying and silencing people with legitimate questions and concerns. I support Ian Linkletter. REPLY



Sarah Elaine Eaton September 3, 2020 at 1:52 pm

Please add my name: Sarah Elaine Eaton, PhD Associate Professor and Educational Leader in Residence, Academic Integrity University of Calgary REPLY



Leo Havemann September 3, 2020 at 2:09 pm

I would like to add my signature and my disappointment. Any company seeking to provide services to educational institutions and our students should not forget these are not simply 'customers' in some kind of generic sense – in searching for vendors we must seek those which can assure us that they would only act ethically and responsibly on our behalf. The idea that any vendor would take legal action against an individual education worker rather than respond transparently to criticism beggars belief, and should give pause to any institutions who have already engaged in a relationship with them.

Leo Havemann Digital Education Advisor University College London REPLY



Josh Seeland September 3, 2020 at 2:14 pm

Josh Seeland Academic Integrity & Copyright Officer ACC Brandon, Canada REPLY



Please add my name to show support for Ian Linkletter and his important work.

Parm Gill, Learning Designer REPLY



Tim Fawns September 3, 2020 at 2:28 pm

Thank you for this letter. I agree, we need to protect those who protect students – please add my name.

REPLY



Xinli Wang September 3, 2020 at 2:40 pm

Xinli Wang, Ph.D Instructor University of Manitoba REPLY



Mahrya Burnett September 3, 2020 at 2:46 pm

I agree with everything here. Consider this my signature in support: Mahrya Burnett Scholarly Communications Librarian University of Iowa REPLY



Mel Guille September 3, 2020 at 2:56 pm

Everyone who works in edtech should be paying attention to these issues — we have responsibily to serve not just clients and instituions, but also their learners. Please add my name to this letter. Mel Guille Courseware Technical Writer Onlea Corporation REPLY



Jim Groom September 3, 2020 at 2:50 pm

Please add my name for support, and would be very interested in contributing towards and canvassing for legal aide if Proctorio is foolish enough to go through with this insanity. REPLY



Giulia Forsythe September 3, 2020 at 3:00 pm

Co-signed REPLY



Shea Swauger September 3, 2020 at 3:03 pm

Shea Swauger Researcher Support Department Head University of Colorado Denver Very Pissed Off



Heidi Tiedemann Darroch September 3, 2020 at 3:05 pm

Thanks for this effort. It's an outrageous attempt to quell critical analysis, which should be the foremost task of everyone in education.



Timothy McGee September 3, 2020 at 3:11 pm

Yes. Timothy McGee Chaplain and Assistant Professor of Religion Illinois College REPLY



Logan Murray-Boehler September 3, 2020 at 3:11 pm

Add me Logan Murray, Ed.D Analyst California Community Colleges REPLY



September 3, 2020 at 3:11 pm

Please add my signature and support of Ian: Paul Hibbitts Designer and Educator Vancouver, BC Canada REPLY



Adam Beauchamp September 3, 2020 at 3:29 pm

Co-signed: Adam Beauchamp Assistant University Librarian Florida State University REPLY



Mary Burgess September 3, 2020 at 3:35 pm

Adding my name to this list in support. Mary Burgess Executive Director BCcampus REPLY



Erin Beattie September 3, 2020 at 3:38 pm

In full and complete support of lan.

Erin Beattie Manager, Marketing and Communications BCcampus Victoria, B.C. Canada REPLY



Arianna Cheveldave September 3, 2020 at 3:44 pm

Please add my name in support of Ian Linkletter. Arianna Cheveldave Coordinator, Open Education BCcampus REPLY



denise goudy September 3, 2020 at 3:47 pm

Please add my name to the list of people who in full support of Ian.

Denise Goudy Director, Collaborative Projects BCcampus Victoria, BC Canada REPLY



Amanda Coolidge September 3, 2020 at 3:55 pm In full support. Amanda Coolidge Director, Open Education BCcampus REPLY



David Carter September 3, 2020 at 3:57 pm

Please add my name in support of Ian. David Carter Assistant Professor University of Utah REPLY



Michelle Harrison September 3, 2020 at 4:00 pm

Please add my name and support for Ian and his very important and critical voice supporting students. Michelle Harrison Senior Instructional Designer, Open Learning Thompson Rivers University, Kamloops, BC



Stephen Doubt September 3, 2020 at 4:01 pm

Ian Linkletter is one the kindest most ethically balanced peoples I have ever met. Please add my name.

Stephen Doubt Instructional Designer Thompson Rivers University Kamloops, BC REPLY



Ken Monroe September 3, 2020 at 4:02 pm

In solidarity with Ian. Happy to contribute to the defense fund if necessary. We stand together! Ken Monroe Instructional Designer TRU – Open Learning REPLY



Ken Monroe September 3, 2020 at 4:05 pm

In solidarity with Ian. Happy to contribute to the defense fund if necessary. We stand together!

Ken Monroe Instructional Designer Thompson Rivers University – Open Learning REPLY



Karolina Karas September 3, 2020 at 4:09 pm

In full and complete support of lan.

Karolina Karas Strategist, Marketing and Communications BCcampus Victoria, B.C. Canada REPLY



Catherine Cronin September 3, 2020 at 4:11 pm

This is chilling. Please add my name.

Making informed choices about edtech includes engaging in critical scholarship that explores the values embedded in educational technologies and the practices and pedagogies that such technologies enable and encourage. This is *part of our work* as digital education professionals in higher education. Please add my name in support of Ian. Many thanks for setting this up, Brenna. REPLY



Cristina Colquhoun September 3, 2020 at 4:17 pm

Cristina Colquhoun Instructional Designer Oklahoma State University REPLY



Jördis Weilandt September 3, 2020 at 4:21 pm I support Ian Linkletter. Jördis Weilandt Instructor and Teaching Development Facilitator University of Lethbridge/ AB REPLY



Erin Reid September 3, 2020 at 4:22 pm

Please add my name in solidarity. Erin Reid PhD Candidate Faculty of Education, McGill University REPLY



Rajiv Jhangiani September 3, 2020 at 4:22 pm

This vexatious suit looks like nothing short of a tactic of intimidation. It is increasingly apparent that this company (which earlier posted student chat logs on Reddit before apologizing) does not understand how privacy works (e.g., the difference between an unlisted and a private video on YouTube) and are certainly not open to any critical discussion of their technology. Given that the videos in question could have been easily shared by any of the universities currently using this software (and their employees), targeting lan here comes across as pure retribution for his public critiques. This is unethical, reprehensible behaviour.

Acting Vice Provost, Teaching & Learning Kwantlen Polytechnic University REPLY



Caley September 3, 2020 at 4:27 pm

I have learned so much from the both of you about ethical online teaching. Please add my name in support : Caley Ehnes, PhD Instructor College of the Rockies Cranbrook, BC REPLY



tannis morgan September 3, 2020 at 4:30 pm

Please add my name in support of Ian, and Iet's continue to be the critical check and balance that is so needed in the Ed tech space. Tannis Morgan Advisor, BCcampus

REPLY



Jon Beasley-Murray September 3, 2020 at 4:40 pm

Please add my name. Jon Beasley-Murray Associate Professor University of British Columbia REPLY



Leeann Waddington September 3, 2020 at 4:40 pm

In full support Leeann Waddington Manager, Learning Technology and Educational Media Kwantlen Polytechnic University REPLY



Lisa Gedak September 3, 2020 at 4:41 pm

Please add my name in support of Ian Linkletter. In solidarity,

Lisa Gedak Teaching and Learning with Technologies Strategist Kwantlen Polytechnic University (KPU) REPLY



George Veletsianos September 3, 2020 at 4:42 pm

Please add my name and support as well: George Veletsianos, PhD Professor Canada Research Chair in Innovative Learning and Technology Royal Roads University Victoria, BC REPLY



Robin Leung September 3, 2020 at 4:44 pm

IN solidarity with Ian. Robin Leung Educational Media Strategist Kwantlen Polytechnic University REPLY



Shawna M. Brandle September 3, 2020 at 4:53 pm

Please add my name, and thanks for coordinating this.

Shawna M. Brandle

Associate Professor, Kingsborough Community College REPLY



Brenda M. Stoesz September 3, 2020 at 5:01 pm

Please add my name: Brenda M. Stoesz, PhD Faculty Specialist (Academic Integrity Initiative) The Centre for the Advancement of Teaching and Learning, University of Manitoba Winnipeg, MB, Canada REPLY



Theresa Southam September 3, 2020 at 5:03 pm

I fully support Ian. Theresa Southam, Chair Teaching and Learning Institute Selkirk College REPLY



Karen Meijer-Kline September 3, 2020 at 5:03 pm

Please add my name in support as well. Karen Meijer-Kline Scholarly Communications Librarian Kwantlen Polytechnic University REPLY



Troy Welch September 3, 2020 at 5:05 pm

Please add my name to the support letter. Ian's commitment and bravery is inspiring. REPLY



David Golumbia September 3, 2020 at 5:46 pm

please add my name: David Golumbia Assoc Prof, Digital Studies Virginia Commonwealth University



Shawn Graham September 3, 2020 at 6:01 pm

Please add my name. Shawn Graham, Full Professor, Digital Humanities Dept of History Carleton University REPLY



Jason Toal September 3, 2020 at 6:21 pm

Please add my name Jason Toal Interaction Designer, Centre for Educational Excellence SFU REPLY



Mandy Henk September 3, 2020 at 6:58 pm

Please add my name Mandy Henk CEO, Tohatoha Aotearoa Commons REPLY



Donna Lanclos September 3, 2020 at 7:03 pm

Yes, please add my name in support Donna Lanclos, PhD Consulting Anthropologist REPLY



Erika Smith September 3, 2020 at 7:58 pm

Thank you for this, Brenna and all. Please add my name: Erika Smith, PhD Associate Professor & Faculty Development Consultant Mount Royal University REPLY



Paul Sopcak September 3, 2020 at 8:00 pm

Please add my name in support: Paul Sopcak, Ph.D. Coordinator, EPP Student Conduct, Community Standards and Values & Instructor, Humanities Department MacEwan University, Edmonton, Canada REPLY



Ross McKerlich September 3, 2020 at 8:30 pm

I support Ian. Please add my name. Ross McKerlich Open Education Advisor BCcampus REPLY



Robin DeRosa September 3, 2020 at 8:37 pm

Please add me. REPLY



Robin DeRosa September 3, 2020 at 8:38 pm

In full support. Dr. Robin DeRosa, Director Open Learning & Teaching Collaborative Plymouth State University REPLY



Terence Linkletter, Emeritus Senior Lecturer in IT, Central Washington University September 3, 2020 at 8:43 pm

Please add my name in support of Ian Linkletter. I have known Ian his entire life, and admired both his wisdom in the nuances of right and wrong and his persistence in supporting others who may have been wronged. I have also worked for software vendors as a quality assurance manager. One of the most important sources of information on how to 174 improve a given product was the user community, whose insights I cherished. Exactly the kind of improvement feedback Ian has now been providing is worth its weight in dollar bills, and I am surprised that in this case the vendor prefers not to have accepted the feedback. REPLY



Duane Seibel September 3, 2020 at 9:45 pm

In full support and with gratitude acknowledge lan's ongoing efforts to speak out and support our learners.

2

Tim Clarke September 4, 2020 at 12:37 am

I support Ian's efforts and value his professionalism and critical expertise. Tim Clarke, Instructional Designer, Muhlenberg College, Pennsylvania REPLY



Maureen Glynn September 3, 2020 at 9:53 pm

Please add my name in support of Ian. Maureen Glynn Senior eLearning Designer Trent Online, Trent University REPLY



Paul-Olivier Dehaye

September 3, 2020 at 10:00 pm

The functionalities of such tools should be transparent to students and teachers, so they can make informed choices. Please add my name in support. REPLY



Greg Rodrigo September 3, 2020 at 10:02 pm

In full support of Ian... Greg Rodrigo Faculty Developer Georgian College REPLY



Anshul Kulkarni September 5, 2020 at 10:29 pm

I would like to sign in favout of Ian Linkletter. Anshul Kulkarni Student Faculty of Arts University of British Columbia, Vancouver REPLY



Liz Morrish September 3, 2020 at 10:23 pm

Please add my name to the petition in support of Ian Linkketter. REPLY



Tracy Roberts September 3, 2020 at 11:34 pm

Signing in support of Ian and his work... Tracy Roberts Director, Learning & Teaching BCcampus REPLY



Ika Willis September 4, 2020 at 12:48 am

Ika Willis Associate Dean, Education Faculty of the Arts, Social Science and Humanities University of Wollongong, Australia REPLY



Brandon Carson September 4, 2020 at 1:14 am

Please add my name in support of Ian Linkletter. Brandon Carson Learning Technologist Specialist and Part-time Professor Durham College REPLY



I support Ian. Please add my name. REPLY



Laura MacKay September 4, 2020 at 2:42 am

In support of Ian and all those involved in post-secondary to have critical discussions about the tools and companies that impact student learning and student privacy.

Laura MacKay Director, Centre of Teaching Excellence Capilano University REPLY



Brian Lamb September 4, 2020 at 4:08 am

Brian Lamb Director, Learning Technology & Innovation Thompson Rivers University REPLY



Helen Lee September 4, 2020 at 4:10 am

Please add my name: Helen Lee Acting Program Director, Centre for Teaching, Learning & Innovation Justice Institute of British Columbia



Julia Burnham September 4, 2020 at 4:13 am

Please add my name: Julia Burnham Senator and MA Student in Educational Studies UBC Vancouver REPLY



Dr Doug Reid September 4, 2020 at 4:56 am

Please add my name as well. REPLY



Stephanie Tate September 4, 2020 at 5:58 am

Please add my name in support of Ian. Stephanie Tate Learning Strategist, Academic Integrity MEd Student Thompson Rivers University REPLY



September 4, 2020 at 6:31 am

Please add my signature to support Ian and everyone who makes valid criticisms of tech companies and their products. Respect to those UBC students who are supporting Ian, to the extent of paying to get the court filing against him and making it public. Helen Beetham

Researcher, University of Wolverhampton UK REPLY



Mary Hanlon September 4, 2020 at 7:02 am

Please add my name for support!! REPLY



Conor Galvin September 4, 2020 at 8:34 am

Fully support this.



Martin Weller September 4, 2020 at 8:40 am

Please add my name in support of Ian, and against companies that are antithetical to the values of higher education such as Proctorio.

Prof Martin Weller UK Open University REPLY



Joyce S Kim September 4, 2020 at 9:11 am

Bravo to Ian for his dedication to student privacy and ethical use of learning technology.

Please add my name in solidarity.

Joyce Kim, Master of Educational Technology, Learning Designer & Curriculum Leader. REPLY



Jen Ross September 4, 2020 at 10:20 am

Please add my name – Dr. Jen Ross, co-director for Centre for Research in Digital Education, University of Edinburgh.



Robert Gray September 4, 2020 at 10:43 am

Please add my name Robert Gray Contract Lecturer, Retired Carleton University / University of New Brunswick REPLY



Joanne Kehoe September 4, 2020 at 11:20 am

Thank you for this. Please add my name in full support of Ian.

Joanne Kehoe Lead Educational Developer McMaster University REPLY



Christine Dewar September 4, 2020 at 12:01 pm

Please add my name: Christine Dewar Performing and Fine Arts Douglas College REPLY



Wendy Hulko, Associate Professor, Social Work & Human Service, Thompson Rivers University September 4, 2020 at 2:46 pm

Thank you for making us aware of this Brenna and writing in support of Linkletter's work on surveillance technologies and applying an ethic of care to students. REPLY



Gabriel Delney September 4, 2020 at 3:04 pm

Take down Proctorio. Gabriel Delaney Bachelor's of Fine Arts UBCO ALMUNI REPLY



Steven Weidner September 4, 2020 at 3:55 pm

We considered remote proctoring when we went remote. We decided to focus on reinforcing professional and ethical practices instead. Guess what? We've seen no noticeable increase in cheating. Please add my name:

Steven Weidner Senior Instructional Technologist New York Chiropractic College REPLY



Tobias Steiner September 4, 2020 at 4:17 pm

Thanks so much for this! Please add my name to the list, in full support of lan's work: Tobias Steiner Community-led Open Publication Infrastructures for Monographs (COPIM) Centre for Postdigital Cultures Coventry University REPLY



Jennifer Hardwick September 4, 2020 at 4:21 pm

Please add me as well Jennifer Hardwick Department of English Kwantlen Polytechnic University REPLY



Elli England September 4, 2020 at 4:28 pm

Please add my name in support: Elli England Faculty, English Department Fullerton College REPLY



Sharon Flynn September 4, 2020 at 4:32 pm

Add my name: Sharon Flynn Enhancing Digital Teaching & Learning Irish Universities Association REPLY



Dennis Yip September 4, 2020 at 4:37 pm

In full support of the work lan does. Please add my name: Dennis Yip Justice Institute of British Columbia REPLY



Sun-ha September 4, 2020 at 7:21 pm

Please add me, too – and thank you for this. Sun-ha Hong Assistant Professor School of Communication Simon Fraser University REPLY



William Chen September 4, 2020 at 9:02 pm

Please add my name: William Chen Student at the University of British Columbia (Political Science) REPLY



Duncan McHugh September 4, 2020 at 10:09 pm

Ian is a staunch advocate for students, and we here at UBC (and in the ed tech community) are lucky to have him. Please add my name in support. Duncan McHugh

Digital & Instructional Media Producer UBC Faculty of Land and Food Systems REPLY



Julia M Wright September 4, 2020 at 10:39 pm 185 Please add me too—critique and transparency are essential to the academic mission. Thank you for writing this and organizing on our collective behalf.

Julia M Wright, George Munro Chair in English Literature & Rhetoric, Dalhousie University REPLY



Stoo Sepp September 5, 2020 at 3:22 am

I have worked closely with Ian at UBC. His priorities have always been the protection of student privacy while ensuring they have the best educational experience possible. Add me to the list. Stoo Sepp, PhD Independent Educational Technology researcher and former UBC Staff REPLY



Doug Holton September 5, 2020 at 7:21 am

And this is just one example of a pattern of behavior by Proctorio that I find concerning. Doug Holton Director of Teaching and Learning Florida Polytechnic University REPLY



Gerol Petruzella September 5, 2020 at 10:38 am

In solidarity with Ian. Gerol C. Petruzella, Ph.D. Associate Director, Academic Technology Massachusetts College of Liberal Arts REPLY



Kieran Mathieson September 5, 2020 at 2:14 pm

Please add: Kieran Mathieson Associate Professor Oakland University REPLY



Kieran Forde September 5, 2020 at 3:26 pm

Ian has my respect, admiration, and full support. Please add my name to the list:

Kieran Forde PhD student University of British Columbia REPLY



Miles Schaffrick September 5, 2020 at 4:16 pm

Please add my name in solidarity. Miles Schaffrick Student, Department of Political Science, University of British Columbia REPLY



James September 5, 2020 at 5:11 pm

Please add my name for support. James Binks Alumni, University of British Columbia REPLY



Ranil Prasad September 5, 2020 at 6:08 pm

Proctorio using a SLAPP lawsuit to silence Ian is deplorable. Happy to sign the letter.

Ranil Prasad UBC B.A Student (Political Science)



Bryce Wilson September 5, 2020 at 6:33 pm

Hi! I would also like to sign. Bryce Wilson Student, University of British Columbia



Dominique St-Arnaud September 5, 2020 at 7:16 pm Please add: Dominique St-Arnaud Student, University of British Columbia REPLY



Rik Blok September 5, 2020 at 7:41 pm

Please add my name. Rik Blok, PhD Sessional Lecturer Computer Science, UBC REPLY



Suhani Jayatilake September 5, 2020 at 8:00 pm

Please add my signature! Suhani Jayatilake UBC Alumna REPLY



Benjamin Cheung September 5, 2020 at 8:02 pm

Benjamin Cheung Lecturer, Department of Psychology University of British Columbia REPLY



Zara Zaman September 5, 2020 at 8:31 pm

I would like to sign. Student of University of Toronto REPLY



Manal Nadeem September 5, 2020 at 8:33 pm

Manal Nadeem Student, University of Toronto REPLY



Alisar Abdel Rahman September 5, 2020 at 8:33 pm

Hello, I would also like to add my name in support. Alisar Abdel Rahman, Student – University of Toronto Mississauga REPLY



Nada Al-Towaity September 5, 2020 at 8:35 pm

Nada Al-Towaity computer science, mathematics, and stats

University of Toronto Mississauga REPLY



Kota Chang September 5, 2020 at 8:51 pm

Please add my name Kota Chang Student, University of British Columbia REPLY



Dvir Hilu September 5, 2020 at 9:00 pm

Happy to sign the letter! Dvir Hilu Student University of British Columbia REPLY



Isak Boyd September 5, 2020 at 9:31 pm

Pease add my name to the list! Isak Boyd Student University of British Columbia REPLY



Isha Mehta September 5, 2020 at 9:35 pm

Please add my name. Isha Mehta. Student, University of British Columbia. REPLY



Natasha Hannon September 5, 2020 at 9:57 pm

Please add my name. REPLY



Melissa Jakubec September 5, 2020 at 10:25 pm

Please add me: Melissa Jakubec Principal Instructional Designer Thompson Rivers University REPLY



Brent McIntosh September 6, 2020 at 1:48 am

Please add my name in support. Brent McIntosh Faculty emeritus North Island College REPLY



Terri Bateman September 6, 2020 at 1:54 am

I would like to be added to this list too please. Terri Bateman Distributed Learning Facilitator North Island College REPLY



Robyn Starkey September 6, 2020 at 3:15 am

I sincerely value you these efforts and appreciate Ian as a colleague. Robyn Starkey Doctoral Exams Coordinator University of British Columbia REPLY



Marni Westerman September 6, 2020 at 5:52 am

Please add my name to this letter. Dr. Marni Westerman Sociology Douglas College, New Westminster, BC, Canada



REPLY

Daphne Palmeter September 6, 2020 at 6:19 am

Please add my name in support of Ian! Daphne Palmeter Student University of British Columbia REPLY



Vivian Forssman September 6, 2020 at 9:09 am

Standing with and for Ian REPLY



Ernesto Peña September 6, 2020 at 9:54 pm

Please add me as well: Ernesto Peña Adjunct Professor Master of Educational Technology UBC REPLY



September 6, 2020 at 10:47 pm

Please add my name. Dua Naqvi Student University of British Columbia REPLY



Aneesh Bulusu September 7, 2020 at 4:29 am

Please add my name. Aneesh Bulusu Student University of British Columbia REPLY



Junsong Zhang September 7, 2020 at 5:03 am

Exactly why we need more open education resources and applications. This lawsuit is ridiculous. Add my name pls Junsong Zhang Instructional Design Justice Institute of British Columbia



Don Gorges September 7, 2020 at 6:53 am I support lawful, ethical critique of surveillance technologies. Don Gorges Toronto Ontario



Kane September 7, 2020 at 7:41 am

I'm aware of the irony, given the nature of my work, but telling students they must personally submit to the Panopticon goes against every good I've ever believed education stands for. Please add my name: Kane Murdoch, Senior Adviser, Student Integrity, UNSW Sydney. REPLY



Alexandra Gil September 8, 2020 at 5:01 am

You can add me as well Alexandra Gil Student University of British Columbia REPLY



Kara Deane September 8, 2020 at 5:58 pm

Please add my name: Kara Deane BSc Student University of British Columbia Thank you. REPLY



Francesca Helm September 8, 2020 at 10:18 pm

Please add my name Francesca Helm Researcher, University of Padova, Italy REPLY



Michael Marker September 9, 2020 at 1:35 am

It is certainly no coincidence that one of the greatest allies for Indigenous faculty and students in ETS and in all realms of IT at UBC is Ian who is now being attacked by the same forces of technocratic colonization that threaten Indigenous people, lands, and the entirety of planetary survival. Ian Linkletter is my friend, my colleague for over a decade. He has helped me to think through difficult issues of Indigenous epistemologies and how best to support our students as we developed online courses and access for remote and impoverished Indigenous communities. As an Indigenous professor, I rely on culturally thoughtful and engaged allies like Ian to enact reconciliation at UBC. It is a disgusting outrage that he should be persecuted by corporate corruption, power, and mostly... greed! I stand in solidarity with all who support Ian.



Gerald Tembrevilla September 9, 2020 at 2:30 am

In full support and solidarity with Ian. You can always count on us, Ian. Please count me in for this cause. Gerald Tembrevilla, PhD Research Specialist Institute for the Scholarship of Teaching and Learning Center for Teaching, Learning and Technology & Department of Curriculum and Pedagogy Faculty of Education University of British Columbia – Vancouver Campus



Grant Marshall September 9, 2020 at 2:47 am

Hey Brenna, You can add me Grant Marshall Former EST Coop TRU OL Bachelors of Computiy Science Say hi to Brian! REPLY



Stephen Petrina September 9, 2020 at 2:55 am

Add me as well: Stephen Petrina, Professor University of British Columbia REPLY



LeAnne Petherick September 9, 2020 at 4:36 am

I fully support Ian. I want to thank him for raising our awareness about the trauma imposed by such technologies and his concern for students and online learning. He is a valuable member of UBC. Please add my name.



Trent Gill September 9, 2020 at 5:37 am

Ian deserves credit and praise for his critical work in this space. His work is recognized and his resistance deserves support. Ian puts students first.

Trent Gill Academic Advisor Brandon University REPLY



Florence Daddey September 9, 2020 at 6:00 am

Please add my name to the list. I fully support Ian's work. Business Management Instructor Douglas College New Westminster REPLY



Mari Pighini - via email September 9, 2020 at 6:02 am

I stand in full support with Ian Linkletter, an esteemed colleague and friend, and an individual of the highest ethical and professional integrity. The recipient of UBC's 2020 Staff Excellence Award at the Faculty of Education, Ian is a role model for faculty and students alike. I trust that this ossue will be clarified favourably for Mr Linkletter very soon.

Tiger Oakes September 9, 2020 at 5:26 pm

We need transparency and to protect everyone's privacy now more than ever.

Tiger Oakes, Student University of British Columbia



Barish Golland September 10, 2020 at 6:26 am

I've known Ian for many users with our work in Learning Technology Ecosystems and respect his passion and advocacy for technology enabled student learning. Definitely would vouch for him! Barish Golland Training Lead, IRP University of British Columbia REPLY



September 10, 2020 at 5:58 pm

This is vile. I am in complete support of Linkletter's efforts. put my name on this list Cavan Slade Student, University of British Columbia REPLY



Leah Macfadyen September 14, 2020 at 11:40 pm

And me. Leah Macfadyen Associate Director, Master of Educational Technology Program Faculty of Education The University of British Columbia REPLY



Melanie Meyers September 16, 2020 at 7:51 pm

Please add me: Melanie Meyers, Project Manager, Open Education BCcampus REPLY



Iris Berger (via email) September 21, 2020 at 5:15 pm (via Email) Iris Berger (EdD)
Assistant Professor of Teaching
Department of Language and Literacy,
Coordinator ECE Programs,
Faculty of Education,
University of British Columbia
REPLY



Taylor September 21, 2020 at 6:12 pm

Please add my name: Taylor K. Long Senior Communications Specialist Dartmouth College REPLY



Georgia Yee September 28, 2020 at 9:31 am

Please add my name: Georgia Yee Vice President of Academic and University Affairs Alma Mater Society of University of British Columbia BSc Biology REPLY



ronald mo September 30, 2020 at 4:47 am Please add me Ronald Mo Chemical and Biological Engineering University of British Columbia REPLY

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I can't go on, I'll go on (with apologies to Samuel Beckett).

I just built a Twitter archive by hand. Learn from my mistakes.

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EFF TURNS 30 THIS YEAR!

Students Are Pushing Back Against Proctoring Surveillance

Special thanks to legal intern Tracy Zhang, who was lead author of this post

Affidavit of ten Linkletter affirmed before no or 15 Oct 2020.

aising the alarm about the dangers of invasive proctoring apps.

Privacy groups aren't the only ones raising the alarm about <u>the dangers of invasive proctoring apps</u>. Through dozens of petitions across the country, and the globe, students too are pushing school administrators and teachers to consider the risks these apps create.

Schools must take note of this level of organized activism.

Students at the <u>University of Texas at Dallas are petitioning the school</u> to stop using the proctoring app Honorlock. The petition has over 6,300 signatures, notes that Honorlock can collect "your face, driver's license, and network information," and calls use of Honorlock a "blatant violation of our privacy as students." Students at <u>Florida International University are petitioning their school</u> to stop using Honorlock as well, gathering over 7,200 signatures. They highlight the amount of data that Honorlock collects and that Honorlock is allowed to keep the information for up to a year and, in some cases, 2 years. Students at <u>California State University Fullerton are petitioning the school</u> to stop using Proctorio, calling it "creepy and unacceptable" that students would be filmed in their own house in order to take exams. The petition has over 4,500 signatures.

But it's not just privacy that's at stake. While almost all the petitions we've seen raise very real privacy concerns—from biometric data collection, to the often overbroad permissions these apps require over the students' devices, to the surveillance of students' personal environments—these petitions make clear that proctoring apps also raise concerns about security, equity and accessibility, cost, increased stress, and bias in the technology.

A petition by the <u>students at Washington State University</u>, which has over 1,700 signatures, raises concerns that ProctorU is not secure, pointing to a <u>July 2020 data breach</u> in which the information of 440,000 users was leaked. Students at <u>University of Massachusetts Lowell are petitioning</u> the school to

stop using Respondus, in particular calling out the access that its Ring-0 software has on students' devices, and noting that the software "creates massive security vulnerabilities and attack vectors, and thus cannot be tolerated on personal devices under any circumstances." The petition has over 1,000 signatures.

Students at the <u>University of Colorado Boulder raise concerns</u> about the accessibility of proctoring app Proctorio, saying that "the added stress of such an intrusive program may make it harder for students with testing anxiety and other factors to complete the tests." The petition has over 1,100 signatures. The <u>Ontario Confederation of University Faculty Associations wrote a letter</u> speaking out about proctoring technologies, noting that the need for access to high-speed internet and newer computer technologies "increase [students'] stress and anxiety levels, and leave many students behind."

In addition to privacy concerns, the petition from students at <u>Florida International University</u> notes that because Honorlock requires a webcam and microphone, "students with limited access to technology or a quiet testing location" are placed at a disadvantage, and that the required use of such technology "does not account for students with difficult living situations." A petition against <u>Miami University</u>'s use of Proctorio notes that its required use "discriminates against neurodivergent students, as it tracks a student's gaze, and flags students who look away from the screen as 'suspicious.' This, too, "negatively impacts people who have ADHD-like symptoms." The petition also noted that proctoring software often had difficulty recognizing students with black or brown skin and tracking their movements. Their petition has over 400 signatures.

Students have seen success through these petitions. A <u>petition at The City University of New York</u>, supported by the University Student Senate and other student body groups, resulted in the decision that faculty and staff may not compel students to participate in online proctoring. After students at the <u>University of London petitioned</u> against the use of Proctortrack, the university decided to move away from the third-party proctoring provider.

Students have seen success through these petitions.

Below, we've listed some of the larger petitions and noted their major concerns. There are hundreds more, and regardless of the number of signatures, it's important to note that even a few concerned students, teachers, or parents can make a difference at their schools.

As remote learning continues, these petitions and other pushback from student activists, parents, and teachers will undoubtedly grow. Schools must take note of this level of organized activism. Working together, we can make the very real concerns about privacy, equity, and bias in technology important components of school policy, instead of afterthoughts.

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If you want to learn more about defending student privacy, EFF has several guides and blog posts that are a good place to start.

- A detailed explanation of EFF's concerns with <u>unnecessary surveillance of proctoring apps is</u> <u>available here</u>
- Our <u>Surveillance Self-Defense Guide to student privacy</u> covers the basics of techniques that are often used to invade privacy and track students, as well as what happens to the data that's collected, and how to protect yourself.
- Proctoring apps aren't the only privacy-invasive tools schools have implemented. Cloudbased education services and devices can also jeopardize students' privacy as they navigate the Internet—including children under the age of 13. From Chromebooks and iPads to Google Apps for Education, this FAQ provides an entry-point to learn about school-issued technology and the ramifications it can have for student privacy.
- Parents and guardians should also understand the risks created when schools require privacyinvasive apps, devices, and technologies. <u>Our guide for them is a great place to start</u>, with ways to take action, and includes <u>a printable FAQ</u> that can be quickly shared with other parents and brought to PTA meetings.
- All student privacy-related writing EFF does is collected on <u>our student privacy page</u>, which also includes basic information about the risks students are facing.
- In the spring of 2017, we <u>released the results of a survey</u> that we conducted in order to plumb the depths of the confusion surrounding ed tech. And as it turns out, students, parents, teachers, and even administrators have lots of concerns—and very little clarity—over how ed tech providers protect student privacy.
- COVID has forced many services online besides schools. Our <u>guide to online tools during</u> <u>COVID</u> explains the wide array of risks this creates, from online chat and virtual conferencing tools to healthcare apps.
- Some schools are mandating that students install <u>COVID-related technology</u> on their personal devices, but this is the wrong call. <u>In this blog post</u>, we explain why schools must remove any such mandates from student agreements or commitments, and further should pledge *not* to mandate installation of any technology, and instead should present the app to students and demonstrate that it is effective and respects their privacy.

Below is a list of just some of the larger petitions against the required use of proctoring apps as of September 24, 2020. We encourage users to read the privacy policies of any website visited via these links.

- <u>Auburn University</u> students note that "proctoring software is essentially legitimized spyware."
- <u>NJIT</u> petitioners write that while students agreed to take classes online, they "**DID NOT** agree to have [their] privacy invaded."
- <u>CUNY</u> students successfully leveraged 27,000 signatures to end the "despicable overreach" of proctoring app Proctorio.
- Students at the <u>University of Texas at Dallas</u>, <u>Dallas College</u>, and <u>Texas A&M</u> called the use of Honorlock "both a blatant violation of our privacy as students and infeasible for many."
- <u>University of Tennessee Chattanooga students</u> say that "Proctorio claims to keep all information safe and doesn't store or share anything but that is simply not true. Proctorio actually keeps recordings and data on a cloud for up to 30 days after they have been collected."

- <u>Washington State University</u> students note that in July, "ProctorU had a data breach of 440,000 students/people's information leaked on the internet."
- In a letter to the Minister of Colleges and Universities, the <u>Ontario Confederation of</u> <u>University Faculty Associates</u> argue that "Proctortrack and similar proctoring software present significant privacy, security, and equity concerns, including the collection of sensitive personal information and the need for access to high-speed internet and newer computer technologies, These requirements put students at risk, increase their stress and anxiety levels, and leave many students behind."
- In a popular post, a self-identified student from <u>Florida State University wrote on Reddit</u> that "we shouldn't be forced to have a third-party company invade our privacy, and give up our personal information by installing what is in reality **glorified spyware** on our computers." An accompanying <u>petition by students at FSU</u> says that using Honorlock "blatantly violates privacy rights."
- <u>CSU Fullerton</u> students call it "creepy and unacceptable" that students would be filmed in their own house in order to take exams, and declare they "will not accept being spied on!"
- <u>Miami University</u> petitioners argue that "Proctorio discriminates against neurodivergent students, as it tracks a student's gaze, and flags students who look away from the screen as 'suspicious' too, which negatively impacts people who have ADHD-like symptoms." The petition goes on to note that "students with black or brown skin have been asked to shine more light on their faces, as the software had difficulty recognizing them or tracking their movements."
- <u>CU Boulder</u> students say that, with Proctorio, the "added stress of such an intrusive program may make it harder for students with testing anxiety and other factors to complete the tests."
- <u>UW Madison</u> students are concerned about Honorlock's "tracking of secure data whilst in software/taking an exam (cookies, browser history); Identity tracking and tracing (driver's license, date of birth, address, private personal information); Voice Tracking as well as recognition (Specifically invading on privacy of other members of my home); Facial Recognition and storage of such data."
- <u>Florida International University</u> students note that "Honorlock is allowed to keep [recordings of students] for up to a year, and in some cases up to 2 years." The petition also notes that "Honorlock requires a webcam and microphone. This places students with limited access to technology or a quiet testing location at a disadvantage...You are required to be in the room alone for the duration of the exam. This does not account for students with difficult living situations."
- <u>Georgia Tech</u> petitioners are concerned that data collected by Honorlock "could be abused, for example for facial recognition in surveillance software or to circumvent biometric safety system."
- <u>University of Central Florida</u> students argue that "Honorlock is not a trustworthy program and students should not be forced to sign away their privacy and rights in order to take a test."
- <u>UMass Lowell</u> students call out the "countless security vulnerabilities that are almost certainly hiding in the Respondus code, waiting to be exploited by malware and/or other forms of malicious software."
- <u>University of Regina</u> students argue that "facial recognition software and biometric scanners have been shown to uphold racial bias and cannot be trusted to accurately evaluate people of color. Eye movement and body movement is natural and unconscious, and for many neurodivergent people is completely unavoidable."

As college resumes, students protest against invasive proctoring apps

By Maya Shwayder September 26, 2020 This is Exhibit X referred to in the Affidavit of lan Linkletter affirmed before the op 15 Oct 2020.

A Commissioner for taking Affidavits for British Columbia

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Like much student activism these days, this movement started with a post on the internet. Specifically, the <u>Baruch College subreddit</u> at the beginning of the school year. A student alleged that a professor was forcing them to spend \$15 for a proctoring app called <u>Proctorio</u>, which would then lock down their computers and record them while taking a test. "If the school is concerned about us cheating, then we shouldn't have to pay more to prevent that," the student wrote.

The post caught the attention of Aharon Grama, a sophomore at Brooklyn College, one of Baruch's City University of New York (CUNY) sister schools. Grama told Digital Trends that he began chatting with other students on several CUNY-related <u>Discord</u> servers, and discovered that a group at Baruch had already started a <u>petition</u> to block the school from requiring students to download the software. <u>Grama led the charge</u> and, after three weeks, the petition garnered close to 28,000 signatures.

In the <u>new world where college is almost entirely online</u>, schools have a lot of kinks to iron out. In between figuring out access for <u>students with disabilities</u>, and whether kids even have <u>steady internet access</u>, the question of how to fairly administer tests rears its head.

Proctoring apps and education resources to monitor test takers abound, but many of these apps — under the guise of ensuring academic integrity — require an invasive amount of access to a student's computer, experts say. And what's more, many students feel as if they don't have a choice; they have to use the app, or they will run afoul of their professors and won't be able to take their midterms or finals.

1



In case you missed it, this petition is almost at 20K signatures. Calling for @CUNY to not violate student privacy in the midst of CUNYs transition to distance learning. Every CUNY Provost needs to make a commitment to ensuring the safety of our privacy.







The apps, when installed, might do anything from completely lock down your computer remotely so that nothing except the test app is usable, to recording keystrokes, to monitoring your eye and body movement, to accessing the data on your computer or your web browser, wrote Lindsay Oliver and Jason Kelley of the <u>Electronic Frontier Foundation</u>.

"Much of this technology is effectively indistinguishable from <u>spyware</u>, which is malware that is commonly used to track unsuspecting users' actions on their devices and across the internet," Oliver and Kelley wrote.

"A lot of students are concerned that the administration wants to use this invasive tech to surveil them," said Caitlin Steeley George, a campaign director for Fight for the Future, which has been engaging in on-campus privacy issues, including the use of proctoring apps and the spread of facial recognition technology at colleges. "It forces access to your computers, it forces them to film their rooms, it can look at your private information and communications, and tracks their eye movements. It's a very Orwellian style of surveillance, and it's not necessary for successful test-taking."

Is it spyware?

Proctorio CEO Mike Olsen told Digital Trends that he's aware of the messy reputation proctoring apps have, from accusations of using facial recognition, to being used more widely as a surveillance tool for students. "We got into this [business] because the incumbents in the space were doing things that were violations of privacy, and things that were shady," he said. "We don't want our system to be used for bad things."

The intent, Olsen said, was to create a service that is *not* extremely invasive. With Proctorio, there's nothing to download, or any monitoring of the desktop, he said — the app is a browser extension that is inert when the student is not signed into the school's learning management system. However, when it is activated, Proctorio offers a suite of features that schools can choose to activate or not, and therein lies the rub.



Iris Wang/Unsplash

Olsen confirmed that Proctorio is contracted with CUNY through the company McGraw Hill. While the app doesn't track body movements, or browser history, Olsen said they are tracking what he called "gaze detection" — the tracking of eye movements to make sure a student isn't looking at a phone, for example — and they're also monitoring whether you're using your keyboard, although they won't record individual keyboard strokes.

Professors using Proctorio can also choose to video record their students taking the test, and may even ask students to do an "environment check," or to record the room around them. "The intent [of the environment check] was to show the desk," Olsen said. "To show that you don't have a cheat sheet. And we have documentation that shows people are not comfortable showing their rooms. We try to make it clear to institutions that this is a pandemic, and they don't need to have this aggressive stance these days."

Fear of retribution

CUNY has not responded to a request for comment. According to Grama, the school never officially responded to the petition. Instead, a few days after the petition went up, the school sent an email saying that faculty could not force any student to download the apps, and that "whenever possible, alternative methods of assessment" should be used.

The school also said it had set up a task force in the spring to address the issue of remote testing, and it updated the language on its <u>coronavirus website</u> to include a message from the Office of Counsel that said professors cannot compel students to use proctoring apps. At the same time, the school said it was negotiating contracts with two more companies to provide proctoring services.

Grama said he's counting the school's email as a win, but it's not the end of the story.

"One of the big issues in having students come out publicly against this, is fear of retribution from professors"

Kesi Gordon, who recently graduated from CUNY's York College, was part of the proctoring app task force and kept students informed as to the committee's progress. She told Digital Trends that while the school has backed off of forcing students to use proctoring apps, individual professors are still insisting.

"The university is trying to push other means, but it's the decision of the instructor to use remote proctoring if they feel it's necessary," Gordon said. "Certain professors feel this is the best way to have integrity, but there's other ways. Distance learning makes learning harder for some people. Professors should make sure people are really learning, and not just trying to catch them cheating."

"One of the big issues in having students come out publicly against this, is fear of retribution from professors," said Benjamin, a senior finance major at Baruch College who asked to be anonymous for exactly this reason. "In the first week of classes, I had a professor who was very snarky about using a proctoring service, making comments like 'Oh, you think you're going to be able to cheat! well you're not!"



Eyecrave/Getty Images

After the email to professors went out, Benjamin said another professor said they would disable Proctorio, but only reluctantly. "I wouldn't say they sounded upset, but they definitely weren't happy about the development," he said.

Grama said students were not made aware their classes would be using Proctorio until after he got his syllabi. At that point, for many students, their schedules for the semester were already set, making it difficult for students to opt out of using the software or switch their classes. Students may feel caught, as they are unable to switch their classes, unwilling to let themselves be monitored, and afraid of running afoul of their professors.

"People on Discord are still saying, 'Oh, my professor is forcing us [to use the apps],' and that professor has tenure, so no one will do anything," Grama said. "You don't want to be on the professor's naughty list."

"I 110% sympathize with the admins who have to try to make this call, but the thing is, I can't think of a scenario where I, as a student, would feel comfortable going to a professor and saying, 'Hey, I don't agree with using this proctoring service, I want you to disable it for our exam,'" Benjamin said.

Furthermore, Grama said, maintaining academic integrity doesn't seem like a fair trade if you're forced to give a piece of software access to your whole life. "Nobody is saying academic integrity isn't a problem," Grama said. "Sure they want to stop students from cheating, but these apps have access to all the files on your computer, and the privacy settings on your browser, and access to your camera and microphone."

Editors' Recommendations

- A beginner's guide to Tor: How to navigate the underground internet
- Protect your privacy with the best cheap VPN deals for October 2020
- What is Incognito Mode?
- How to get Android apps on a Chromebook
- Arduino vs. Raspberry Pi



The best laptops for 2020

1 DAY AGO



The 15 best tech jobs boast top salaries, high satisfaction, lots of openings



The best home security cameras for 2020



Chromebooks vs. laptops

4 DAYS AGO



Google Nest Audio is a proper successor to the aging Google Home smart speaker

6 DAYS AGO



Facebook to ban ads that claim election win before official announcement

5 DAYS AGO

Overwatch cross-platform support: Everything we know Instagram merges with Messenger for easier crossplatform messaging

5 DAYS AGO

ULA scrubs launch of mighty Delta IV Heavy rocket with seconds to go

5 DAYS AGO

SpaceX's Crew Dragon suffered more damage than expected on recent mission

5 DAYS AGO

5 DAYS AGO



Microsoft unveils the budget-friendly Surface Laptop Go and new Surface Pro X

5 DAYS AGO



Samsung Galaxy S21, S21+, and S21 Ultra: Everything we know so far

5 DAYS AGO



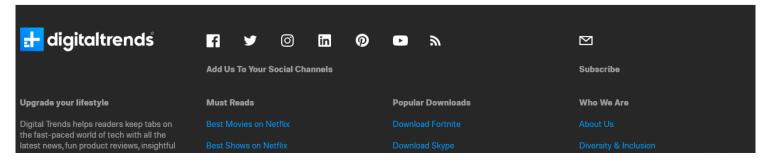
Amazing wearable could detect epileptic seizures an hour before they strike

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5 DAYS AGO

4 DAYS AGO



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UBC Students Reject the Use of Proctorio's Invasive &

Unethical Technology

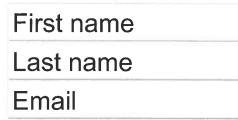
tool to enforce academic integrity. It is an invasive technology that violates student privacy. We don't

Complete your signature

1,575 have signed. Let's get to 2,500!

Melanie Yien signed 7 minutes ago

Александра Салимова signed 23 hours ago



want our education driven by tools of mass surveillance.

There have been many red flags along the way, from the CEO releasing a student's chat log (1, 2) just to be "right" in the UBC subreddit of all places, to suing UBC's staff (3, 4, 5), lan Linkletter, for sharing "confidential information" (see: link to an unlisted YouTube video by Proctorio, the company we are trusting with tons of sensitive data) who began a dialogue around the unethical nature of tools like Proctorio and its impact on student privacy. We see the aggression and intimidation Proctorio perpetuates, this is not the first time Proctrio CEO has <u>resorted to</u> intimidation (6), and we refuse to support their services.

Even after the AMS put out a letter against Proctorio (7), UBC has continued on with Proctorio (8, 9), just recently renewing their contract with the company. UBC chose not to take a strong stance for its students, so now we must demand it LOUD AND CLEAR.

There is a severe lack of transparency around how the video recorded by Proctorio is used, what gets flagged, and who gets to see it. UBC Students should know that Proctorio flags "suspicious behaviour" by tracking/ controlling a host of things, including:

- 1. Your Microphone
- 2. Your Webcam

Vancouver, V6M Canada

Display my name and comment on this petition

By signing, you accept Change.org's <u>Terms of Service</u> and <u>Privacy Policy</u>, and agree to receive occasional emails about campaigns on Change.org. You can unsubscribe at any time.

- 3. Your Physical Location
- 4. Your Identity
- 5. Your Eye Movement
- 6. Your Head Movement
- 7. Scans your room/ testing environment via webcam
- 8. Your Browser Size
- Browser Tabs and Windows You Have Open
- 10. Your Cursor Movements
- 11. Your Entire Screen
- Any Applications Running on Your Computer (got apps running in the background? Proctorio is tracking that too)
- 13. Number of Display Screens Connected to Your Computer
- 14. Your Clipboard (text you have copied)
- 15. Any Website You Visit

This gives Proctorio WAY too much control within a student's computer. It is also, very clearly, a tool that disadvantages neurodivergent students, students with disabilities, or those who are unable to take their exams in a distraction-free and private environment due to child-care or other familial responsibilities or limited resources. This enhances the negative effects of inequities amongst students, and upholds ableist and racist values (e.g. not recognising dark faces, flagging head coverings). (10, 11, 12, 13)

"suspicious behaviour" ≠ cheating

Academic integrity cannot be enforced by policing

student behaviour. Instead, building a culture of trust and a focus on learning & building community will further incentivize learner centric values. Moreover, there are many alternatives to Proctorio, including assessment styles that challenge students and test knowledge effectively, where critical thinking and analysis are centred. Technological "solutions" like Proctorio are unnecessary for issues rooted in pedagogy.

An education system that reinforces distrust, and poses students and teachers as rivals trying to outsmart each other is NOT an education system we want. We want to be part of a supportive community, a kind, compassionate knowledge society. And that means rejecting spyware in our education technology.

This is an equity issue. This is a privacy issue. This is a student dignity issue.

Sources:

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- 13. Mass school closures in the wake of the coronavirus are driving a new wave of student surveillance [April 1, 2020]

https://www.washingtonpost.com/tec hnology/2020/04/01/onlineproctoring-college-examscoronavirus/

Note: The University of California, Berkeley banned online exam proctoring on April 20, 2020. It wouldn't be ground-breaking for UBC to do the same thing.

> • <u>https://www.nytimes.com/2020/05/1</u> <u>0/us/online-testing-cheating-</u>

 https://academicsenate.berkeley.edu/sites/default/file s/guidance_and_recommendations_ from_the_working_group_on_exams _and_proctoring.pdf



Updates

1,500 supporters

UBC Students Resist _ started this petition

2 weeks ago

5 days ago

Reasons for signing

?

Naveen Sivasankar · 2 weeks ago

Proctorio has been involved in some questionable incidents and involves sharing personal and private data with an external organization. Proctorio has repeatedly shown that it is not a trustworthy service and invades the privacy of students. It is shameful for UBC to use such a service if it cares even a little about its students.

B · Report

?

Bethany Kolisniak · 2 weeks ago

I'm signing for all the reasons listed here. Proctorio is also deeply classist - it requires students to have the adequate technology (computer with high enough RAM, webcam, etc) as well as a distraction-free space which is not accessible for many students. Shame on UBC for continuing to use this invasive software.

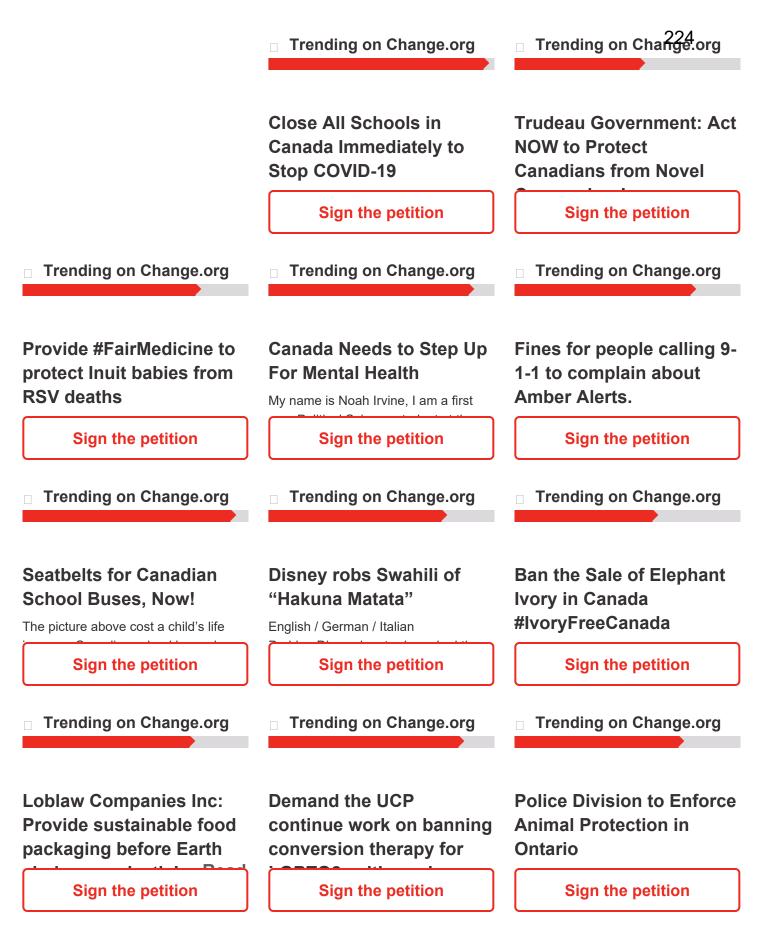
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Protect Student Privacy: A Renewed Call to Action Against Proctorio

Oct 1, 2020

To: Provost Andrew Szeri, President Santa Ono, Dr. Simon Bates, Dr. Christina Hendricks, Paul Hancock and the Office of the University Counsel, Deans, Associate Deans Academic, Senate Teaching and Learning Committee of UBC,

As we begin the Fall 2020 semester and transition into the midterm season, it is evident the increased strain many staff, students, and faculty encounter as a result of the global pandemic and thank the staff and faculty for their service.

We believe that there has been tremendous work done to support and provide resources to faculty in identifying alternate final assessment options in order to avoid Proctorio and other remote invigilation software through the work of the. While we previously published an <u>open</u> <u>letter regarding the usage of Proctorio</u>, we must ensure that the work is followed through on. We want to amplify the calls of <u>student petitions against the usage of Proctorio</u> in asking that UBC stop the usage of Proctorio. Peer institutions such as CUNY and UCB have taken decisive leadership on addressing these issues by prohibiting outside proctoring products and ensuring that instructors are not compelling students to use Proctorio.

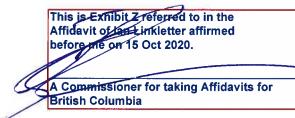
On September 3, Proctorio served a lawsuit to lan Linkletter in response to his fundamental work that highlighted the mechanisms by which Proctorio works - intended to intimidate whistleblowers and limit the academic freedom of members of the community. The continued patronage of this company while it sues one of the valued members of our community is something that, as a UBC community, we cannot stand for.

We must oppose the continued usage of unethical, invasive remote proctoring software such as Proctorio. We renew our calls for the end to the usage of Proctorio on these grounds:

1. Demonstrating Compassion for Students, Staff and Faculty:

Principle #1 in the Guiding Principles for the Fall 2020 Adaptations: Approach course adaptations decisions with a commitment to compassion and care for everyone involved.

It takes one look at social media, whether <u>this Twitter thread dedicated to Proctorio horror stories</u> or the <u>numerous Reddit threads voicing their extreme discomfort with Proctorio</u>. The key insight with Proctorio and other remote invigilation software requiring microphone and camera such as ProctorU. We must ask ourselves - is the continued usage of Proctorio in line with the guidance that has been given in the Guiding Principles for Fall 2020 Adaptations? Is this in line our values of compassion and flexibility?



Students have repeatedly shown that their mental wellbeing and academic performance suffers when utilizing Proctorio, causing anxiety attacks, poor performance, and incredible stress. Proctorio adds unnecessary stress to an already stressful situation.

It is vital to recognize the amount of work that has been put into adapting Fall 2020 for the online learning conditions. We recognize how the COVID-19 pandemic has created challenges surrounding rising incidents of academic misconduct, and we commend the efforts of faculty and teaching staff to produce a quality online teaching experience. We thank all faculty and staff for their unrelenting efforts to provide academic continuity under incredibly difficult circumstances, on whole new platforms. However, this should not be grounds to outsource academic integrity and to effectively punish students through Proctorio.

To mandate students to use Proctorio is coercive and uncompassionate. Telling students 'if they don't want to use Proctorio, drop the course.' It is a global pandemic - we must build compassion into our approaches to online learning. Best illustrated by Brenna Clarke Gray's <u>defence of lan</u> <u>Linkletter</u>, we demonstrate care by trusting students.

2. Leadership by Peer Institutions

Postsecondary institutions such as CUNY and UCBerkeley has taken tremendous leadership to discontinue the usage of Proctorio, based on student feedback and advocacy against the usage of Proctorio.

Students at CUNY launched a <u>petition</u> against online proctoring software. On September 14, the Office of the Provost of CUNY released <u>this statement</u> detailing that instructors may not compel students to use online proctoring. "*The Office of Legal Affairs (OGC) has reviewed the Terms and Conditions of several online testing application services and it is OGC's position that faculty cannot compel students to accept the corresponding tools "Terms and Conditions" and that in the event students do not accept the terms, faculty must provide students reasonable assessment accommodations to demonstrate they meet the course learning requirements. "*

In April, a <u>Working Group on Online Examinations and Proctoring for the Spring Semester 2020</u> released guidance "Thus, the EVCP's executive order on March 27 prohibiting "outside proctoring products and Zoom proctoring" during the Spring 2020 semester remains in place."

We call upon UBC to exhibit similar decisive leadership to ensure UBC students are not compelled to use Proctorio, in order to foreground compassion.

3. Unethical Behaviour

The continued unethical behaviour by Proctorio and Proctorio's CEO, Mike Olsen, is very well documented, including the <u>incident where a student's chat logs were released in a Reddit thread</u> <u>- sparking privacy concerns</u>. Though it may not have been determined to have broken the letter of the law, it was evidently unethical.

It is evident that the <u>lawsuit pursued against lan Linkletter</u> is a Strategic Lawsuit Against Public Participation. This is only the tip of the iceberg, when it comes to the intimidation tactics used to silence critics of Proctorio.

Is this a software that UBC wants to continue to fund and mandate students use? What kind of message does that show to students, staff, and faculty speaking out against Proctorio - that their input is unwanted or unheard? If UBC continues to fund this, it shows that UBC condones breaches of ethics, despite that contradicting the values UBC sets out as an institution with regards to accountability, academic freedom, integrity, and respect?

4. Capacity of UBC to Design Fair Assessment

a. False Sense of Academic Integrity

UBC has demonstrated the capacity to provide alternatives to Proctorio, namely through other remote invigilation tools such as Examplify, Respondus Lockdown Browser, Zoom invigilation, and other support through the Centre for Accessibility. Though there are problems with tools with Respondus Lockdown Browser, it remains that there has been a mass mobilization to adapt courses online, whether it has been offered through the Centre for Teaching and Learning Technology - and abundant resources on designing alternate assessments. Refer to Guiding Principle 2, including <u>Alternatives to Remotely Proctored exams</u>.

However, we must hold ourselves accountable in doing the due diligence in adapting these courses, rather than outsourcing academic integrity to tools such as Proctorio.

There is no empirical evidence that Proctorio and other remote invigilation software actually prevents academic misconduct. While the usage of remote invigilation software may prevent, there are many ways to circumvent the software, including the usage of secondary devices that are undetectable by Proctorio. This leads to a false sense of academic integrity, when there are many other ways to ensure that academic integrity is enshrined.

During the past, present, and future – regardless of a pandemic, – students deserve to have fair assessments conducted in good faith by instructors who treat them with a high degree of trust, respect, and dignity. There are many ways to build academic integrity and values of honesty within assessments that do not require Proctorio's unnecessarily invasive surveillance.

5. Equity Concerns and Discriminatory Programming

There are numerous difficulties with Proctorio arbitrarily flagging students with darker skin tones, students with disabilities, neurodivergent students, and students with religious head coverings. Proctorio discriminates against people of colour, students with accessibility needs and medical conditions, trans students, students with connectivity difficulties, and students with children by flagging "abnormal" behaviours and denying access to certain groups of students. Furthermore, students in China have expressed significant difficulties accessing Proctorio due to firewall restrictions.

As an institution that prides itself on our commitments to equity, diversity, and inclusion and the Inclusion Action Plan's Goal 4.B of "implement[ing] inclusive course design, teaching practice, and assessments," UBC should not be subscribing to a pedagogy of punishment by investing in discriminatory surveillance practices. No student should have their grade put at risk due to biased data algorithms and technical difficulties.

In the guidance given in the "Principles for Appropriate Use of Remote Invigilation Tools," "due to varying situations, including health issues, family circumstances, geographical location, and more, some students will face more barriers to using these tools than others. Making fair decisions does not mean treating everyone in the same way; fairness requires flexibility, and individual circumstances must be considered to make fair decisions."

In addition to Ian Linkletter's work, there is an abundance of literature and work on this topic, including:

- "Our Bodies Encoded" by Shea Swauger
- "Centring a Critical Curriculum of Care During Crisis" by Maha Bali
- "School Work and Surveillance" by Audrey Watters
- "A Guide for Resisting EdTech" by Sean Michael Morris and Jesse Stommel
- "Spotlight on Alternative Assessment Methods" by Tim Fawns and Jen Ross

6. Widespread Technology Difficulties

There are widespread difficulties with connectivity issues, access to adequate technology, particularly for students in rural areas with little internet connection (and significantly, on UBC campus and in the Lower Mainland). In addition, not everybody has access to a working webcam, microphone, nor a laptop that can handle Proctorio. Students report not being able to contact an instructor for test-related questions, due to not having access to technology.

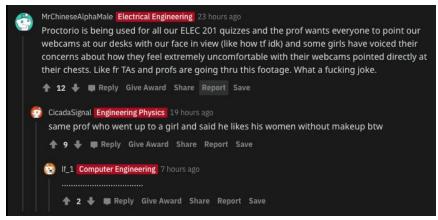
We should not be introducing further inequalities into a system that is already highly inequitable. Though UBC offers technology bursaries and data cards, it is evident that these technology bursaries are not adequate, given the turnaround on being able to buy a laptop for many students.

7. Privacy Concerns

Proctorio's own functionalities disclose that Proctorio can, for up to two years:

- Can READ and CHANGE all the data on a student's web browser,
- Modifies students' keyboard functionality,
- Monitors and stores KEYSTROKE movements while using the computer,
- Captures ALL SCREEN CONTENT on the student's computer,
- Manages and CHANGES any downloads on the student's computer,
- Identifies ALL devices connected to a student's computer,
- Manages ALL apps, extensions, and even themes on a student's computer,
- Changes ALL privacy-related settings on a student's computer,

- Monitors EYE MOVEMENTS via webcam and saves all recordings,
- Records and stores ALL sounds while in use,
- Requires initial and periodic ROOM scans.



[Screenshot from Reddit about students being forced to use Proctorio and having to point their webcams at their desks, making female students uncomfortable.]

This is particularly significant for students with female-identifying students, nonbinary students, students from low income families, and students with young children who may feel deeply uncomfortable with Proctorio recording the contents of their home. Proctorio is essentially spyware - it is an abhorrent violation of student privacy and civil rights.

8. Proctorio's Terms of Service

Proctorio's own terms of service, as of Sept 20, 2020, states "You may not mandate Authorized End Users use the Application Service." Quite simply, this indicates that students *cannot* and should not be compelled to use Proctorio.

4. Your Rights and Obligations.

By submitting, posting, or displaying Content on or through the Services You grant us a worldwide, non-exclusive, royalty-free license to use, sublicense, transmit, display, and distribute such Content to Your Institution.

Proctorio is not responsible or liable for any use of Your Content by Your Institution in accordance with these Terms. You represent and warrant that You have all the rights, power, and authority necessary to grant the rights granted herein to any Content that You submit.

If You have entered into a SaaS Agreement with us, You may permit Your employees, agents, or contractors (" **Customer's Users** ") or Your Student Users (together with Customer's Users, " **Authorized End Users** ") to use the Application Service, if Authorized End Users are subject to an enforceable agreement, providing the same or greater protections for our Confidential Information and Application IP as found in these Terms, the SaaS Agreement, and/or the <u>Privacy Policy</u>." **Confidential Information** " includes, but is not limited to, any and all written or oral information concerning the SaaS Agreement You may enter into with us, pricing and financial information, performance requirements, proposals, and Application Documentation.

Proctorio is committed to the ethical use of our Application Service by the purchasing Institution and Authorized End Users.

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Proctorio is not responsible or liable for any use of Your Content by Your Institution in accordance with these Terms. You represent and warrant that You have all the rights, power, and authority necessary to grant the rights granted herein to any Content that You submit.

If You have entered into a SaaS Agreement with us, You may permit Your employees, agents, or contractors ("**Customer's Users** ") or Your Student Users (together with Customer's Users, "**Authorized End Users** ") to use the Application Service, if Authorized End Users are subject to an enforceable agreement, providing the same or greater protections for our Confidential Information and Application IP as found in these Terms, the SaaS Agreement, and/or the <u>Privacy Policy</u>." **Confidential Information** "includes, but is not limited to, any and all written or oral information concerning the SaaS Agreement You may enter into with us, pricing and financial information, performance requirements, proposals, and Application Documentation.

ou may not mandate Authorized End Users use the Application ervice.

[Left: Sept 26, 2020. Right: Sept 20, 2020.)

In fact, this has been pointed out by Proctorio's own CEO in a September 11 blogpost.

"Results-Driven Models. Students may not be mandated to use Proctorio. Institutions should provide alternate modes of assessment that do not affect the student's ability to pass an exam or course."

But after CUNY published guidance against remote invigilation services, <u>they changed this on</u> <u>September 16, 2020.</u>

Did Proctorio consult at all with stakeholders and institutions utilizing its services before changing its terms of service? Under contract law, terms of service must be changed under mutual consent between both parties.

9. UBC's Responsibility in Protecting Academic Freedom

The question throughout all of this is - will UBC continue to fund a company that is suing a member of the community that has demonstrated exceptional scholarship and care for students? UBC has a responsibility to uphold academic freedom. It is evident that this is a Strategic Lawsuit against Public Participation, intended to intimidate whistleblowers and critics of Proctorio.

It is imperative that we must act to protect the academic freedom of Ian Linkletter and other UBC community members. What message does that send to students, staff, and faculty? We stand by Ian Linkletter.

As members of the UBC community, we call upon UBC to end the use of invasive, unethical software.

Barrier to Action: Accreditation Requirements

It is notable in many professional, vocational, or otherwise accredited programs such as Medicine, Pharmacy, Nursing, Engineering, and many more that there are significant accreditation requirements. However, it is notable that none of the accreditation requirements specifically refer to mandating the usage of Proctorio - these can easily be achieved through other methods as indicated in the section under the capacity of UBC to design fair assessments and other exam tools such as Examplify and Zoom proctoring.

As an example, language from ETS reads: For courses to be delivered remotely, all exams (midterms, finals) will be held remotely. They will be invigilated using the computer's camera and microphone, and may be recorded. This is necessary in order to comply with the requirements of the Canadian Engineering Accreditation Board (CEAB) in ensuring the validity of evaluations.

It is evident that Proctorio is not suitable for these courses. We ask UBC to also be an advocate and push these accreditation boards to ensure the ethical and compassionate.

Barrier to Action: Legal Action

We understand there may be legal repercussions associated with terminating a contract. However, it is evident that Proctorio has demonstrated repeated unethical behaviour and contravenes UBC's values, including numerous attacks on members of the UBC community.

UBC also has a legal duty to provide accommodations for students with disabilities, and to compel students - even students who are not registered with the Centre for Accessibility as a support resource, is unethical. Students may also have invisible physical or mental health needs that may not have been discussed with the instructor. We call on UBC to terminate its contract with Proctorio.

We must hold UBC accountable to an ethical and compassionate approach to assessment and inclusive education. We renew our calls from the previous open letter and call on UBC to implement and take swift action on the following recommendations:

- 1. UBC must end its relationship with Proctorio through the termination of its contract or otherwise, discontinuation of its contract.
- 2. UBC must give the guidance that instructors may not compel students to participate in online proctoring.
- 3. UBC instructors must provide low barrier options to opt out of remote proctoring software. This must be universally implemented across all faculties. Behaviour such as telling students to drop the course if unwilling to use Proctorio or other remote invigilation software is unacceptable and manipulative. No student should have their grade or academic standing put at risk due to Proctorio.
- 4. UBC must act to support the academic freedom of students and staff members like lan Linkletter. UBC has an institutional commitment towards academic freedom, a scholar's freedom to express ideas through respectful discourse and the pursuit of open discussion, without risk of censure. Academic freedom must extend to staff members and students. If UBC does not heed the caution given, what message does it send to staff, faculty, and students?

We, the undersigned UBC students, staff, and faculty call upon UBC to make the compassionate choice, to implement these recommendations in order to end the usage of Proctorio.

[As of Oct 6, there are 142 signatories]

Georgia Yee (author) AMS VP Academic and University Affairs

Alexander Martinez BASc Student **Ava Peacock** BAsc Student, 1st Year

Arshiya Malik

Rohan Prasad

Student

Rohan Lodhi Student

Lok Tung Tiffany Chong Student

Ali Bouzari Student

Max Naylor BComm Student, 1st Year

Tara Vollbrecht BA Student, 2nd Year

Anqi Xu BASc Student, 1st Year

Mischa Johal BASc Student, 1st Year

Harry Hu BASc Student, 1st Year

Sylvan Hartshorn Bunis BASc Student

Josh Lim Student

Joshua Medina-Quiaro 3rd Year Sciences

Lars Rucker Student

Felipe Student

106 signatories that wished to remain anonymous.

Student

Anson Cheng Student

Andy Zhu BAsc Student, 1st Year

Alexander Evans Student

Jacob Balagot Student

Charles Streeter Student

Kevin Heieis BASc Student

Emma Dodyk EUS President BASc Student, Materials Engineering, 5th Year

Liam Hinderks President of the First Year Council, First Year Engineering, Faculty of Applied Sciences

Mark Peralta Student

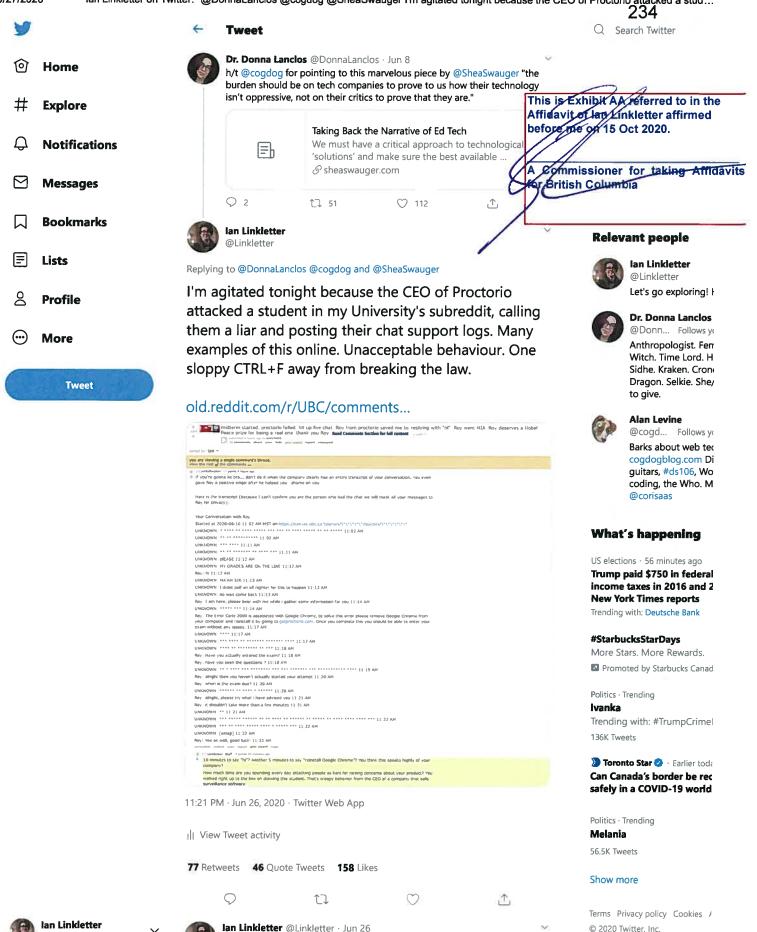
Soren Stenback Computer Science Student

Kirill Kudriavtsev Student, 1st year Applied Science (Engineering)

Leonardo Dutra Soares Student

Adlai Schlager Student 9/27/2020

lan Linkletter on Twitter: "@DonnaLanclos @cogdog @SheaSwauger I'm agitated tonight because the CEO of Proctorio_attacked a stud...



Replying to @Linkletter @DonnaLanclos and 2 others

"It's hilarious students pretending to care where their data ones. Whether

https://twitter.com/Linkletter/status/1276762580015435776

@Linkletter



Ian Linkletter on Twitter: "@DonnaLanclos @cogdog @SheaSwauger I'm agitated tonight because the CEO of Proctorio_attacked a stud...

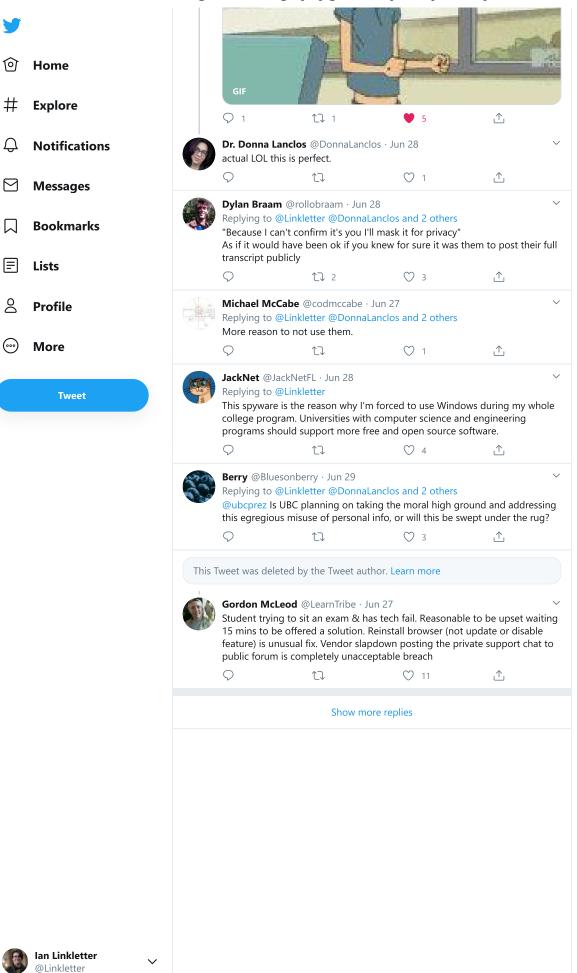
235 it's midnous, students pretending to care where their data goes, whether they're cheating or not, I don't really care, but then they go out and they just Q Search Twitter say things. They don't do any research, they just make things up, and then it gets amplified". গি Home # **Explore** Q Notifications М Messages **Bookmarks** American Invigilation Software & UK Exams - TechRound Thousands of British students have been instructed to open their E Lists computers to American tech company Proctorio that gathers a lot of ... S techround.co.uk 8 **Profile** Q 3 ♡ 15 ılt 17 7 ⚠ Shea Swauger @SheaSwauger · Jun 27 More @thecorkboard thought you might be interested ₾ \bigcirc î٦ 0 2 Tweet jugni27 @therealjugni · Jun 27 Replying to @Linkletter @DonnaLanclos and 2 others Students are human. Online invigilation software doesn't replicate the students normal learning environment. I'm sure this interaction increased the students stress. I and exam performance. And to not consider that is just plain mean. \bigcirc 1] 2 13 ≏ This Tweet was deleted by the Tweet author. Learn more 🚜 🕹 مها بالي 🖓 🖓 🖓 مها بالي Maha Bali_Maha · Jun 27 This guy has a bad enough history as it is, but going after *students* is beyond going too far! Q 2 ₾ 1 ♡ 31 2 more replies Rob Peregoodoff @rperegoodoff · Jun 29 Replying to @Linkletter @DonnaLanclos and 2 others Hi Ian. On vacation so late to the dance party. Well done starting this thread. Use of surveillance technology now for digital exams (or ever) is the lazy response. What makes me shake my head is why any self-respecting institution would outsource its Tier 1 support is beyond me \mathcal{Q} ₾ 1J Drew M. Loewe #GoHawks @drewloewe · Jun 28 Replying to @Linkletter @DonnaLanclos and 2 others My go-to for all things Proctorio. I am the great Proctorio! Are you threatening me?

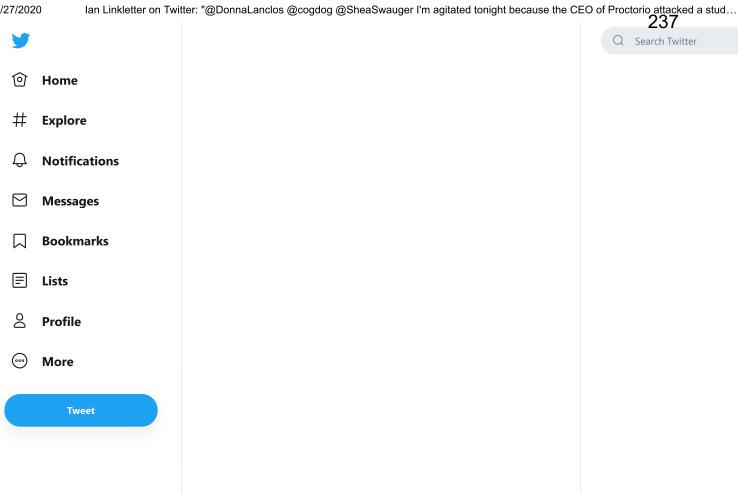


9/27/2020

Ian Linkletter on Twitter: "@DonnaLanclos @cogdog @SheaSwauger I'm agitated tonight because the CEO of Proctorio attacked a stud... 236

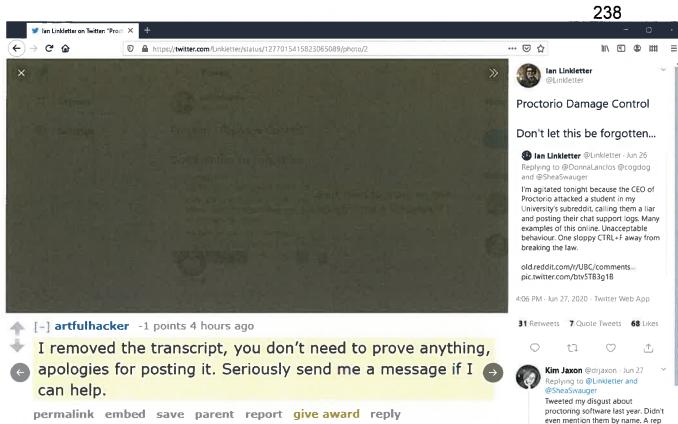
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permalink embed save parent report give award reply



lan Linkletter @Linkl. Jun 27 ~ I hope after today people feel safe to share private threats and harassment. I wonder how many people's bosses have been contacted. I can't stop thinking about what happened to me the last (and hopefully final) time an ed tech CEO contacted my employer.

♥ 24

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emailed, asking if they could talk to

17 3



Û 1 more reply



This is Exhibit AB referred to in the		
Affidavit of land Unkletter affirmed		
before mersin 15 Oct 2020.		
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Commissioner-for taking Affidavits for		
Pritish Columbia		

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Attached to my June 27th 2020 "Proctorio Damage Control" tweet (included in Exhibit E of Devoy affidavit) are four images showing events from the day.

[-] artfulhacker 111 points 21 hours ago*

[point made, agent side of the transcript removed]

also just so everyone is clear, only the agents response that was hidden under the scrollbar was visible here. no personal details and no private messages.

we take privacy very seriously.

permalink embed save report give award reply

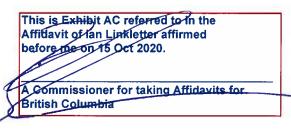
Image 1: The above screenshot is of a Reddit comment left by "artfulhacker" (Proctorio's CEO Mike Olsen) on Friday, June 26 2020 at 6:30pm. I took this screenshot on Saturday, June 27 2020 at 4:00 PM. This is the comment where the CEO temporarily posted a student's support chat logs, leading to controversy.

[-] artfulhacker -1 points 4 hours ago

I removed the transcript, you don't need to prove anything, apologies for posting it. Seriously send me a message if I can help.

permalink embed save parent report give award reply

Image 2: The above screenshot is of a Reddit comment left by "artfulhacker" on Saturday, June 27 2020 at 11:40am. I took this screenshot on Saturday, June 27 2020 at 11:51am. He personally apologizes for posting the student's chat logs.



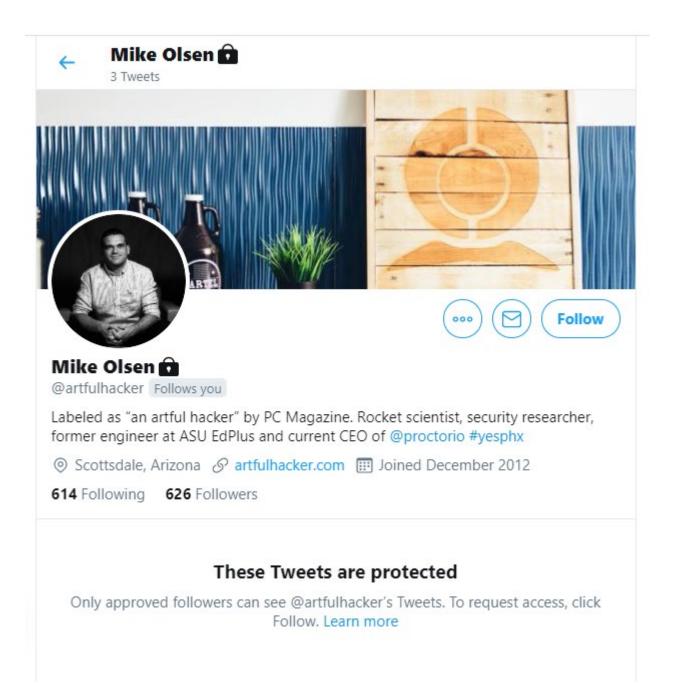


Image 3: The above screenshot is of Mike Olsen's personal Twitter account. His username is artfulhacker on Twitter too. I took this screenshot on Saturday June 27, 2020 at 3:58 PM. On Saturday he "protected his tweets" (making them private) and then followed me on Twitter. He still follows me, to this day. I assume every time I tweet he is notified, based on the speed in which the company reacts to what I write.



lan Linkletter @Linkletter · 16h

I'm agitated tonight because the CEO of Proctorio attacked a student in my University's subreddit, calling them a liar and posting their chat support logs. Many examples of this online. Unacceptable behaviour. One sloppy CTRL+F away from breaking the law.

old.reddit.com/r/UBC/comments...

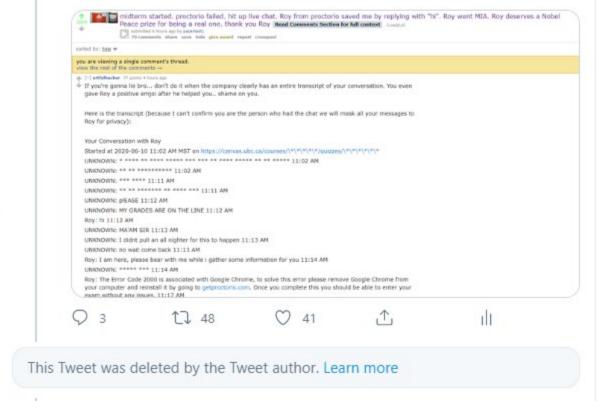


Image 4: The above screenshot is of my 11:21 PM tweet from Friday, June 26 2020. Mike Olsen's original reply to me has been deleted, as seen at the bottom.

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1 Proctorio CEO releases student 🗶 🕂 n → C ① ii ubyssey/a/ 🕁 0 🛱 🛄 🗯 🙆 Blog 🚺 THE UBYSSEY News Culture Opinion Features Sports Science Video Magazine Guide Q This is Exhibit AD referred to in the Affidevit of lan Linkbetter affirmed before me on 15 Oct 2020. Commissioner for taking Affidavits for British Columbia Proctorio CEO releases student's chat logs, sparking renewed privacy concerns

Written by Shereen Lee June 30, 2020 · 5 min read

UBC community members are reeling over privacy concerns after the CEO of Proctorio responded to a student's criticism on Reddit by posting the transcript of the student's conversation with a customer support worker.

Proctorio, a virtual proctor in digital exams, is used in various Canvas-based assessments across UBC courses. Over 800 institutions use the software, with reach growing rapidly as education moves online in the wake of COVID-19.

On June 27, Redditor pacertest1 posted a photo of a chat log to UBC's subreddit, claiming that Proctorio support had failed to address issues with an online UBC test.



Several students have shifted their attention towards a more general scrutiny of online learning technologies and invigilation methods. File Kate Colenbrander

The user, who identified themselves as a second-year arts student to The Ubyssey, quickly garnered sympathy from students who were concerned about the effectiveness of digital proctoring.

<u>Students, faculty express concerns about online exam</u> invigilation amidst COVID-19 outbreak

The thread came to a boiling point when Proctorio CEO Mike Olsen, under username artfulhacker, provided his own response to the student.

"If you're going to lie bro ... don't do it when the company clearly has an entire transcript of the conversation," he said. "[S]hame on you." He posted an edited transcript of the chat logs, which confirmed the facts of the student's post - but also provided evidence that the user had worked with customer support to resolve the issue.

Members of the university community immediately began to question the privacy implications of the move. Ian Linkletter, a UBC learning technology specialist, was particularly outspoken.

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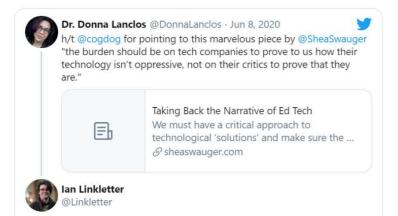


Tuesday, Sep 29 at 6 PM - 8 PM Koemer's Pub on UBC Campus

SEE MORE EVENTS

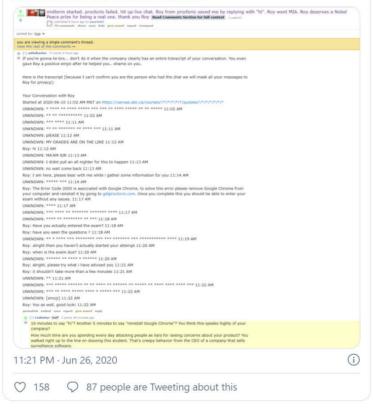
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"I'm agitated tonight because the CEO of Proctorio attacked a student in my University subreddit, calling them a liar and posting their chat support logs." he tweeted June 26. "Unacceptable behaviour."



I'm agitated tonight because the CEO of Proctorio attacked a student in my University's subreddit, calling them a liar and posting their chat support logs. Many examples of this online. Unacceptable behaviour. One sloppy CTRL+F away from breaking the law.

old.reddit.com/r/UBC/comments...



Olsen later deleted the transcript, reiterating that all user information had been anonymized in his transcript and writing that "we [at Proctorio] take privacy very seriously."

In a statement to *The Ubyssey*, a spokesperson for Proctorio wrote: "Trust is the sum of repeated actions. We will strive to improve upon the support we offer every day. We commit to doing everything we can, from our Support team to our CEO, to continuously show how much we value the trust of students, professors, and administrators."

This comment was deleted.

More comments

midterm started. proctorio failed. hit up live chat. Roy from proctorio saved me by replying with "hi". Roy went MIA. Roy deserves a Nobel Peace prize for being a real one. thank you Roy r/UBC + 45 points - 93 comments

"Proctorio's proprietary software places privacy at the core of our product," the spokesperson added. "Proctorio is differentiated by its usage of zeroknowledge encryption, which means no one outside of approved faculty or staff members at the institutions, has access to the encrypted data on our own servers. This includes employees at Proctorio."

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However, students say the in-software chat records Olsen posted walk a thin line regarding user privacy.

Under the <u>Freedom of Information and Protection of Privacy Act</u> (FIPPA), universities such as UBC are required to protect personal information belonging to individuals operating under its systems. Personal information is defined in the act as "any recorded information that is about an identifiable individual" unless it is contact information.

In a statement to *The Ubyssey*, a spokesperson for the <u>Office of the</u> <u>Information and Privacy Commissioner for BC</u> said that "FIPPA imposes restrictions on how public bodies such as UBC may collect, use and disclose personal information. Public bodies are also required to take reasonable measures to protect personal information from unauthorized access and other risks."

However, they stressed that anonymized data does not necessarily constitute a violation of privacy law, saying that "FIPPA applies if the contents of a communication or any information about that communication identifies an individual."

Whether or not Olsen's message constitutes a disclosure of personal information remains unclear: OIPC declined to comment on the specifics of the case since it could potentially be evaluated in the office. A Proctorio spokesperson said that the service was compliant with FIPPA.

Several students have shifted their attention towards a more general scrutiny of online learning technologies and invigilation methods.

"This is a great opportunity to have a specific, [focused] conversation about alternate assessment strategies, especially surrounding accountability and other concerns around algorithmic test proctoring," <u>wrote</u> AMS VP Academic and University Affairs Georgia Yee.

In a message to *The Ubyssey*, the original author of complaints against Proctorio support expressed regret and support for dialogue.

"I'd just like to say that my post about [Proctorio support], which was just supposed [to] be for comedic purposes, was extremely misleading. I sincerely apologize for that as it was truly immature." "Aside from the many concerns and worries that Proctorio has brought, it is clear that removal of this program would greatly relieve students of further stress," the user <u>wrote</u> in a follow-up comment on Reddit.



SUGGESTED ARTICLES



SciLit Week: Dr. Ussif Rashid Sumaila is saving the world's oceans one fish at a time

By Zainab Fatima · Sept. 26, 2020



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CEO of exam monitoring software Proctorio apologises for posting student's chat logs on Reddit

Australian students who have raised privacy concerns describe the incident involving a Canadian student as 'freakishly disrespectful'

Naaman Zhou

Wed 1 Jul 2020 07.27 BST

The chief executive of an exam monitoring software firm that has raised privacy concerns in Australia has apologised for publicly posting a student's chat logs during an argument on the website Reddit.

Mike Olsen, who is the CEO of the US-based Proctorio, has since deleted the posts and apologised, saying that he and Proctorio "take privacy very seriously".

Proctorio is a browser extension-based software that can monitor students through webcams as they take exams from home, and is used by the Australian National University and the University of Canberra, among others. It potentially grants access to a student's webcam, microphone and keystrokes to detect and prevent cheating during an exam.

CEO of exam monitoring software Proctorio apologises for posting student's chat logs on Reddit | Australia news | The Guardian

Earlier this year, students from 13 faculty clubs and societies at the ANU wrote to the university to oppose Proctorio, as academics and privacy advocates said it could pose risks to student privacy.

On Friday, an anonymous student at the University of British Columbia in Canada posted on Reddit that a Proctorio support person had gone "MIA [missing in action]" after they messaged with a technical issue during an exam.

Olsen - posting under the Reddit username artfulhacker - then responded with sections of that student's chat log, saying they had "lied".

"If you're gonna lie bro... don't do it when the company clearly has an entire transcript of your conversation," he wrote.

"You even gave Roy [the support person] a positive emoji after he helped you.. shame on you."

Olsen then posted a partial transcript of that student's conversation with the support person. He also commented: "I was furious we would leave a student hanging before an exam, but my team quickly pointed me to the transcript and I just had to jump in." The artfulhacker account has previously posted identifying itself as the CEO of Proctorio.

The student's original post was later marked as "misleading" by a moderator.

However, Ian Linkletter, an employee of the faculty of education at the University of British Columbia, said the posting of the student's support logs was a "privacy invasion" and " a contempt for students that I rarely, rarely see".

Grace Hill, an ANU student and a leader of the group No Proctorio at ANU, told Guardian Australia that Olsen's posting of support logs was "really, freakishly disrespectful".

She said it was hypocritical of Proctorio to say they valued student's privacy when the CEO of the company was posting student chat logs online. "I think it is very concerning and definitely a privacy issue," she said. "It undermines their claims."

Previously, Proctorio has told students that the footage, keystrokes and other data taken during exams can never be accessed by Proctorio staff. The software uses an algorithm to detect movement or suspicious activity, and any data or footage can only be viewed by the university's own "approved administrators and instructors".

A spokesman for the ANU said: "[Data is] only accessible to select ANU staff who have been trained in privacy and who are also subject to both the University's privacy policies and Australian privacy legislation. Proctorio staff cannot access this data. This data will be erased as soon as exams have been finalised."

Olsen did not share any personal or identifying details of the student on Reddit, and did not share any exam information, or video or any information about the student obtained as they sat the exam.

He later apologised for sharing the support logs, saying: "I removed the transcript, you [the student] don't need to prove anything, apologies for posting it."

CEO of exam monitoring software Proctorio apologises for posting student's chat logs on Reddit | Australia news | The Guardian

However, Hill said that the posting of support logs showed that "at every step of the way, students seem to be brushed aside and disrespected in the concerns that we have raised".

Previously, Richard Prangell, a board member at Electronic Frontiers Australia, told Guardian Australia there were privacy concerns with Proctorio's monitoring during exams.

"Students should not be expected to install monitoring software on their own computers for the benefit of their university," he said. "There should be a clear division between a student's academic and private life. Keystrokes, screenshots, audio and video can capture all kinds of private, and often unintended information about a student and perhaps even other members of their household."

In April, Proctorio responded to privacy concerns, saying: "Unlike our competitors who collect biometric data, Proctorio never collects anything additional than what the institution has already collected from the student (via single sign-on)."

The company said its software "complies with the strictest data privacy regulations, including Australian, German and European regulations".

The ANU also previously completed a privacy impact assessment for Proctorio that concluded that "no personal information is sent to or held in the system" and "no third parties will have access to or be provided with the personal information."

On Monday, the ANU spokesman did say that the university "would not accept" the company publishing support logs like those shared on Reddit.

"The ANU would not accept any third party publishing support logs or other materials from students or staff that they feel breaches their privacy," he said.

The university confirmed it had used Proctorio for "a small number of invigilated exams at the end of semester one".

A spokesman for Proctorio did not comment in response to questions from Guardian Australia about whether Proctorio has any restrictions or policies on whether employees can publicly share a student's support logs online.

The company told Guardian Australia: "Proctorio would never share any personally identifiable information, of any student, ever. Moreover, Proctorio cannot access any personally identifiable information from our servers, without student consent."

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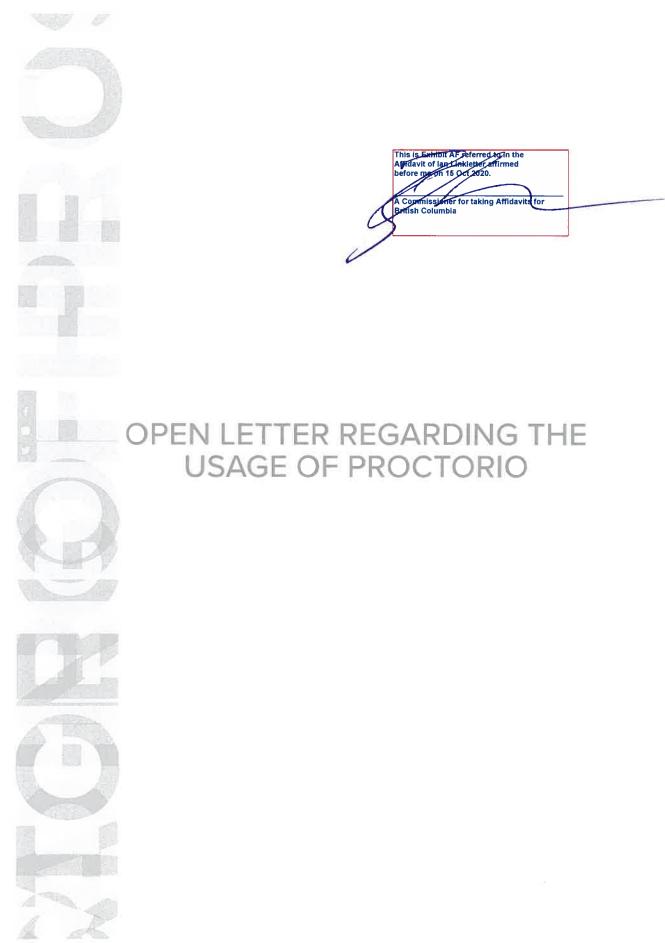
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OPEN LETTER REGARDING THE USAGE OF PROCTORIO

July 3, 2020

Dear President Santa Ono, Provost Andrew Szeri, Dr. Simon Bates, and Deans of UBC,

In moving to remote instruction, we recognize how the COVID-19 pandemic has created challenges surrounding the rising incidents of academic misconduct, and we commend the efforts of faculty and teaching staff to produce a quality online teaching experience. We thank all faculty and teaching staff for their unrelenting efforts to provide academic continuity under incredibly difficult circumstances.

With respect to exam invigilation, however, it has become increasingly clear that usage of **Proctorio** negatively impacts students' academic performance, and students have repeatedly expressed that they are not comfortable utilizing this software. While the sudden onset of the pandemic has left instructors with few options but to use Proctorio as a test proctoring software, **its continued usage is not suitable for** Summer Term 2 and Fall 2020 on the grounds of unethical corporate practices, reoccurring technical implementation difficulties, and intrinsically discriminatory programming.

In response to a UBC student claiming that Proctorio had failed to provide support when encountering an issue with a UBC online proctored exam, Proctorio CEO Mike Olsen posted excerpts of a support chat log generating concerns around a privacy breach. It is evident that this is not an isolated incident – this is one of many incidents of Proctorio's poor support response and points to Proctorio's disregard for student privacy and protection of student data. Students report not being able to access their instructors for test-related questions, and being denied access to the exam due to connectivity issues.

Additionally, Proctorio and other algorithmic test proctoring software raise concerns about discrimination against students based on their bodies, external surroundings, and behaviours. Algorithmic test proctoring software has been demonstrated to discriminate against people of colour, students with accessibility needs and medical conditions, trans students, students with connectivity difficulties, and students with children by flagging "abnormal" behaviours and denying access to certain groups of students.

As a result, Proctorio does not reinforce academic integrity, but instead reinforces a discriminatory exclusion and surveillance culture that is detrimental to student learning and test-taking ability. In light of UBC's commitments to equity, diversity, and inclusion and the Inclusion Action Plan's Goal 4.B of "implement[ing] inclusive course design, teaching practice, and assessments," UBC should not be subscribing to a pedagogy of punishment by investing in discriminatory surveillance practices. No student should have their grade put at risk due to biased data algorithms and technical difficulties.

While we understand that Proctorio may not have violated the letter of the law, we contend that the Proctorio CEO's treatment of a UBC student breached the spirit of the law, as well as norms surrounding privacy. The Proctorio CEO's actions further illustrates the wider concerns that students are deeply unsettled by Proctorio's surveillance and have their academic futures put at risk by technical issues. In response to student concerns, Dutch Universities are currently organizing an external technical audit of Proctorio. UBC should follow suit or participate as an observer in the audit process in order to mitigate harm to students. Organizations such as the Algorithmic Justice League currently conduct algorithmic audits, within a human rights framework.

During the past, present, and future – regardless of a pandemic, – students deserve to have fair assessments conducted in good faith by instructors who treat them with a high degree of trust, respect, and dignity. There are many ways to build academic integrity and values of honesty within assessments that do not require Proctorio's unnecessarily invasive surveillance. We recognize and applaud the guidance given in the Guiding Principles for Fall 2020 Adaptations on building academic integrity into the course beyond Proctorio and Turnitin. However, further action must be taken in light of serious student concerns.

The University of British Columbia consistently ranks in the top 50 institutions for higher education, and employs many talented and creative faculty and staff members who are highly capable of designing alternative methods of invigilation. Examples from this past term include breakout rooms on Zoom, examination styles emphasizing applied learning outcomes, as well as invigilation provided by the Centre for Accessibility, to name a few.

We call upon the Senate, the University Administration, and the Deans to implement the following recommendations:

1. UBC must end its relationship with Proctorio and other invasive, algorithmic remote test proctoring software. As Proctorio has shown a disregard for student privacy by releasing student support logs, we call upon UBC to end its contract with Proctorio as the extent to

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Ams - EST. 1915 -

- 5. In choosing to utilize remote proctoming software, OBC instructors must provide low-barrier options to opt out or using remote proctoring software and offer alternate forms of assessment;
- 4. Incorporating stronger language against the use of Proctorio and remote proctoring software, as well as explanations about concerns regarding Proctorio in the Guiding Principles for Fall 2020 Adaptations;
- If choosing to utilize remote proctoring software, UBC instructors must provide a clear rationale for their usage of the software (i.e. invigilation required for professional accreditation programs) and demonstrate their understanding of how it will affect students in a statement of academic integrity expectations within the course syllabi (p15, Guiding Principles for Fall 2020 Adaptations)
- 6. If continuing with the usage of Proctorio, UBC must conduct an external technical audit of Proctorio's privacy mechanisms in order to mitigate harm to students.

We firmly oppose the use of Proctorio in subsequent academic terms and hope to hold UBC accountable to an ethical and compassionate approach to assessment and education. We hope the University will take a proactive approach by considering our calls to action, and we look forward to hearing from you regarding the implementation of these recommendations.

Signed,

Georgia Yee, Author, AMS Vice President Academic and University Affairs Cole Evans, AMS President and Student Senator Shivani Mehta, AMS Associate Vice-President Academic Affairs Justin Zheng, Arts Student Senator

Dayle Balmes, Science Undergraduate Society Vice-President, Academic

Chanel Soo, Land and Food Systems Undergraduate Society Vice-President, Academic Golzar Doroudi, Nursing Undergraduate Society

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Emma Dodyk, Engineering Undergraduate Society, President

Cameron Lee, Kinesiology Undergraduate Society Vice-President, Academic

1 [LeafyBento] (June 28, 2020). "Proctorio CEO Mike Olsen Under Fire For Releasing Chat Transcripts on r/UBC"; Reddit

2 Lee, Shereen (June 30, 2020) "Proctorio CEO releases student's chat logs, sparking renewed privacy concerns"; The Ubyssey

3 Bajaj, Maneevak, Li, Jessica (April 4, 2020). "Students, faculty express concerns about online exam invigilation amid COVID-19 outbreak"; The Ubyssey

4 Fishleigh, Ellie (June 16, 2020). "American Remote Invigilation Software Being Used For UK Exams: Proctorio's Crib Sheet"; TechRound

5 Swauger, Shea (April 2, 2020). "Our Bodies Encoded: Algorithmic Test Proctoring in Higher Education"; Hybrid Pedagogy

6 The University of British Columbia. "Building Inclusive UBC: An Inclusion Action Plan"; The University of British Columbia

7 Konings, Han (July 3, 2020). ESA Director Remains Confident in Proctorio; Cursor

COUNCIL SUMMARY – MARCH 25, 2020

Here are notes from the regular AMS Council meeting of March 25, 2020: Meeting Virtually: Because of the COVID-19 situation, and in accordance with a Code provision passed on March 11, Council conducted the meeting online.

> Apr 7, 2020 Similar post

AMS COVID-19 Updates

In response to recommendations by Provincial Health Authorities, the Nest will be closed until further notice. April 20, 2020 BC launches Here2Talk for postsecondary students The Government of British Columbia has launched Apr 20, 2020

Similar post

Transit Strike Update - Nov 21st

Be prepared for a full bus system shutdown Nov. 27 to Nov 29 inclusive. Unifor, the union representing transit operators, has announced that bus drivers and mechanics will not report to work next Wednesday, Thursday, and Friday Nov 21, 2019 Similar post





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GUIDING PRINCIPLES FOR FALL 2020 COURSE ADAPTATIONS | AREA OF FOCUS

Principles for appropriate use of remote invigilation tools

This is Exhibit AG referred to in the Affidavit of lan Linkletter affirmed before the on 15 Oct 2020.

A Commissioner for taking Affidavits for British-Columbia

Preamble

With the move to (mostly) online teaching and learning, a number of courses have begun to use software that can support the invigilation of exams remotely. The principles in this document were developed by a working group¹ of students, faculty, and staff to provide guidance on the appropriate use of such tools as one of several approaches to supporting academic integrity.

As with the high-level <u>Guiding Principles for Fall 2020</u>, we start from the foundation that decisions about how to adapt courses for an online environment should be grounded in care and compassion for everyone involved in teaching and learning, including students, faculty, TAs, and staff. These principles also focus on transparency, keeping students informed about the purpose and functionality of remote invigilation tools, and recognize that accessibility and flexibility are key, as not all students will have access to what is required to use these tools.

Students have expressed significant and reasonable concerns about some forms of remote invigilation, especially the use of Proctorio.² Due to technical difficulties, equity concerns, privacy concerns, ethical concerns and more (as discussed below), students have experienced additional levels of stress when writing examinations. These concerns should be taken seriously and addressed to the greatest extent possible in decisions about whether and how to use such tools. At the same time, there are important reasons for using remote invigilation tools in some courses and programs, such as for accreditation requirements, and to promote academic integrity.

Academic integrity is for the collective benefit: It supports the value and integrity of a UBC degree for students, the university, and those outside the institution. Though academic integrity can be supported in multiple ways, some of which are discussed below, invigilation of exams taken online can, in some cases, be a necessary part of a suite of efforts. We recommend considering other approaches to academic integrity first, however, and limiting the use of remote invigilation tools where possible.

THERE ARE THREE CENTRALLY-SUPPORTED SOFTWARE TOOLS FOR REMOTE INVIGILATION AT UBC:

- Proctorio
- Respondus Lockdown Browser
- Invigilation through Zoom

¹ Membership Dante Agosti-Moro, Steven Barnes, Simon Bates, Kieran Forde, Christina Hendricks, Shlvani Mehta, Stephen Michaud, Peter Ostafichuk, Catherine Rawn, Chanel Soo, Qian Wang, Greg Werker, Georgia Yee, Justin Zheng

² Facing student privacy concerns, UBC maintains relationship with Proctorio https://www.ubyssey.ca/news/ubc-maintains-proctorio-relationship/

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The principles below apply to all of these tools (as well as others that may be used in particular Faculties), but most are related to Proctorio. Proctorio is one approach to addressing concerns around identity verification, preventing the use of prohibited materials, the receipt of unauthorized assistance, and unauthorized duplication of exam materials. However, it is important to recognize that Proctorio, like any other tool, cannot entirely solve these issues; there are ways to get around the controls of any tool. While recognizing that the vast majority of students will not resort to such measures, raising student awareness of academic integrity in the context of remote exams can help to inhibit low-effort, and possibly unintentional, breaches.

Principles

The foundation of these principles is the same as the first high-level guiding principle on the <u>Guiding Principles for Fall</u> <u>2020 document</u>: "Approach course adaptation decisions with a commitment to compassion and care for everyone involved." This includes focusing on how decisions impact wellness, including that of students, TAs, faculty, and staff. With that in mind, we offer the following principles:

1.0

Take students' concerns about remote invigilation seriously and weigh them carefully in the decision of whether to use these tools or not, which tools to use, and how they are implemented (e.g., through instructor-controlled settings).

- a) Remote invigilation tools can lead to added stress for students beyond what they might otherwise experience in an in-person exam, which can affect their performance. For example, with Proctorio (or tools with similar functionality), worries about being 'flagged' for behavior that may be acceptable in an in-person exam (e.g., looking away to think or use scratch paper, going to the washroom), or that aren't under one's control (e.g., students having to work in spaces where family members are making noise in the background or technical problems during timed exams) can add significant additional stress during an exam.
- b) Having cameras (and sometimes microphones) on during an exam, while students are in their living spaces, also raises privacy concerns since instructors and other students wouldn't otherwise be able to see these spaces or hear what is happening in them.
- c) Consider how remote invigilation tools may create additional barriers and introduce inequities in the online learning atmosphere. Algorithmic remote proctoring software may disproportionately flag students with disabilities and health needs and students with dependents. In addition, there may be access issues for students in rural communities with limited internet access, and students of ethnic backgrounds that differ from the data set the algorithm is based off of may face difficulties entering exams. Refer to Principle 10 regarding supporting students who are not able to use the tool due to accessibility issues. Take students' concerns seriously to foreground equitable and accessible assessment methods.

Take a balanced approach to maintaining academic integrity rather than only focusing on enforcement, including:

- a) Have meaningful conversations with students around the importance of academic integrity.
- b) Always assume learning is the key goal rather than that students will make every attempt to engage in academic misconduct when they can.
- c) Learn about ways to re-design courses and assessments to promote integrity and reduce or eliminate the need for remote invigilation. One-on-one consultations with learning designers at the CTLT are available through the <u>Online Teaching Program</u>, and they can help provide suggestions and advice for redesigning assessments for specific courses. See also:
 - High-level principle 5 in the Guiding Principles for Fall 2020 courses document
 - <u>Alternatives to remotely proctored exams</u> on the Keep Teaching website, including a longer guide to <u>Alternatives to In-Person Exams</u>.
 - <u>CTLT's Online Teaching Program</u> (OTP) module on assessment, and resources from a <u>workshop on academic integrity</u> during the OTP
 - Video of workshop from UBC Skylight on academic integrity
 - <u>Suggestions from the Remote Assessment Guidebook</u> (Peter Ostafichuk, UBC)
 - <u>Academic Integrity Faculty Resources</u> (multiple UBC authors, UBCV Learning Commons)
 - E-CORE <u>Guide to Academic Integrity in Remote, Un-proctored Exams</u> (<u>Engineering Collaboration for Online and Remote Education</u>, Canadian Engineering Education Association)

3.0

Carefully consider whether any alternatives for promoting academic integrity can meet the needs of your course before deciding to use tools for remote invigilation. While such tools may be used to fulfill accreditation requirements in some programs, where this is not the case, prioritize using alternatives where possible. Consider asking students for suggestions on how to promote academic integrity, and see 2(c) above for resources that discuss alternatives, such as:

- a) Redesigning assessments so that students must synthesize and apply information rather than only recall it; this may allow for exams to be "open book"
- b) Reducing reliance on high-stakes exams in favour of multiple smaller-stakes assessments
- c) Discussing with students why academic and scholarly integrity is important, how it works in your discipline, and how they are joining a scholarly community that is guided by integrity principles
- d) Sharing with your students a new UBC module on Canvas, **Introduction to Academic Integrity** (link to enroll in the module on Canvas)

3

The decision to use remote invigilation tools, as well as the responsibility to communicate the rationale for doing so and how they work, should not be left to TAs; this must be done by the instructor(s), course coordinator(s), department heads, or others responsible for the design and / or delivery of the course.

5.0

If remote invigilation tools are to be used in a course, this should be stated in the syllabus, with a rationale provided for why that approach and tool was chosen. An explanation of pedagogical choices is always valuable, and this allows students who do not wish to use tools like Proctorio to drop the course if they can. Be sure to return to this rationale in communications to students throughout the term, particularly shortly before exams. *Some example language is provided below, with further examples in Appendix 1.*

SAMPLE PARAGRAPH FOR SYLLABUS: This course uses Proctorio for Midterm #1, Midterm #2, and the final exam. This tool was chosen in order to address accreditation requirements and maintain academic integrity for tracking academic progress of individual students. For more information, please refer to the **UBC Proctorio Student Guide.** If you require accommodations for accessibility needs or technical/connectivity issues, please contact the Centre for Accessibility or your Enrolment Services Advisor.

6.0

Schedule a practice test using the tool before the drop deadline, to allow students a chance to test whether they have the necessary equipment and network capability and to get familiar with the process. See the Proctorio Instructor Guide for more suggestions on practice exams using Proctorio.

7.0

Be sure to schedule enough time for setup and possible technical issues during an exam with remote invigilation tools. As noted on the <u>UBC Proctorio Instructor Guide</u>, let students know that you will add extra time to the "time limit" setting (the amount of time students will have to complete the exam once they start), and at least 30 minutes to the "available from/until" setting (the amount of time the exam will be open until it auto-submits).

a) Include language about how students can get support outside of Proctorio or Lockdown Browser, such as if they have questions about interpretation of exam content or an impactful typo in a test question, for which students may need real-time support. Who do students contact and how quickly can they get the answers they need?

8.0

For remote invigilation through videoconference (e.g., Zoom), students must not be asked to show their ID card with their full student number, since each student can see all others in the room. An alternative is to ask them to hold up their card to the camera with the first half of the number covered. UBC Skylight has detailed <u>guidance for invigilating exams using Zoom</u>, including several options for identity checks.

Explain to students as clearly as possible what the tool does and what that means for them during and after an exam. Focus on providing information in order to reduce stress where possible. For example, for Proctorio:

- a) Share with students the <u>UBC Proctorio Student Guide</u>, and information and instructions in the Instructor template in the <u>UBC Proctorio Instructor Guide</u> (which covers some of the suggestions below).
- b) Proctorio offers a range of settings; choose the least restrictive settings that will fulfill the needs of the course. Be sure to follow the recommendations listed in the UBC Proctorio Instructor Guide for settings to disable, as enabling these settings can cause technical issues for students during exams.

NB: Ensure "Re-entry" is allowed in settings. If technical or connectivity issues disrupt a student's ability to complete the exam (e.g., connection drops, computer shuts down, etc.), only Proctorio staff (and only if "re-entry with agent" has been selected by the instructor) can reset the exam and allow a new attempt. Prepare to provide support if a student encounters difficulty re-entering the exam, and be sure to let students know whom to contact and how to help them re-enter if needed.

- c) Explain to students:
 - Proctorio is FIPPA compliant, all recordings are stored in Canada, and the encrypted recordings will be deleted after two years.
 - Only instructors (and/or TAs, depending on what is the case for your course) have access to watch the video; as noted on the <u>UBC Proctorio Instructor</u> <u>Guide</u>, "no person at Proctorio can access the recordings or data, as they are stored using zero-knowledge encryption, meaning Proctorio does not have the key to decode the encryption."
 - What a "flag" on the video means, and that any flags must be reviewed by the instructor (and/or TA) before any interpretation is made of the flagged behaviour. Remind your students that, even if their file is flagged as suspicious, it doesn't mean suspicious in terms of cheating; it just means suspicions in terms of the enabled Proctorio settings (e.g. unusual sound, movement etc.). Assure students that Proctorio does not make determinations of academic misconduct. If the course instructor suspects there is sufficient information that academic misconduct has been committed (e.g. using prohibited materials or discussing the exam with another person), the normal UBC policies apply and an investigation is initiated before any determination is made.

- As noted in the **UBC Proctorio Instructor Guide** "Share your expectations with students about what usual behavioural activities you will anticipate and accept..., such as fidgeting, stretching, not looking directly at the exam the whole time, etc."; and "Clarify procedures around washroom breaks, using scratch paper, or any other needs specific to your course." Many students are understandably worried that they will be flagged for behaviours that appear suspicious but are actually incidental to writing the exam or out of their conscious control. It is important that you explain to them how Proctorio works and reassure them by explaining the post-exam review process.
- Note that when students log into Proctorio, they may get a message from the software that is not necessarily aligned with what the instructor has told them about what they can and cannot do during the exam. Explain to students that where there is conflicting guidance between the instructions provided by the instructor and Proctorio, the instructor's instructions/requirements take precedence.

Be sure students know what to do if they cannot use a remote invigilation tool because of technical, geographical, accessibility, or other reasons. Pay attention to the Assignments and Assessments page on the <u>Keep Teaching website</u> for details and updates on technical and other requirements for using these tools.

- a) Students who are having trouble meeting the hardware or network requirements for the invigilation tool should discuss possible alternative assessment options with their instructors
- b) Students experiencing financial barriers to meeting requirements can speak to an Enrolment Services Advisor
- c) Students with disabilities should contact the <u>Centre for Accessibility</u> to find out if they are eligible for online exam accommodations
- d) Tool-specific help resources:
 - Proctorio: see p. 6 of the <u>UBC Proctorio Student Guide</u>, which provides information on how to get real-time help during an exam, as well as outside of exam times.
 - Lockdown Browser: See the Lockdown Browser tool guide on the LT Hub website
 - Zoom: <u>UBC's Zoom Instructor Guide</u> and <u>Zoom Student Guide</u>; see also UBC Skylight's instructions for <u>using Zoom for exam invigilation</u>

11.0

Those who are going to be reviewing videos from Proctorio should be aware of good practices for doing so, including recognizing that some students may be flagged more than others due to things such as their home situations (e.g., living with young children) or health considerations (e.g., needing to get up to use the washroom often). Support and arrangements for disseminating these good practices will vary but can include local expertise (faculty / staff who have used proctored assessments), faculty-based or central support or resources. If TAs are reviewing the videos, instructors should ensure they have this information as well.

Appendix

AMS of UBC (July 2020); <u>Open Letter Regarding the Usage of Proctorio</u>. UBC <u>Letter to the Community regarding Proctorio</u>

Sample syllabus regarding remote invigilation

01

Utilize wording / information from the Proctorio Instructor Guide

02

Sauder have provided information at 3 different points for their PMBA program:

- 1. Program Opening/Orientation: the School gives verbal introduction to online exams and Proctorio
- 2. When first courses begin: the program office posts an "Introduction to Proctorio" announcement on the cohort's Canvas site

As mentioned at Residency 1, your PMBA final exams will be proctored online by a service called Proctorio Secure Exam, embedded in Canvas. Proctorio is used across UBC to proctor online exams, and is fully compliant with BC's Freedom of Information and Protection of Privacy Act (FIPPA). During exams, it will record your screen, webcam, and microphone, and produce a report for RHL to review following the exam.

Please be aware that prior to taking the exam, Proctorio will ask for permission to access your webcam and microphone, and that you will need to disable firewalls/malware detection programs on your computer. You may also notice high CPU usage while Proctorio is running – this is normal. After each exam, you may uninstall Proctorio if you wish. In order to prepare to take your exam, you must complete a Technical Check practice exam located here, so that you can test your equipment to ensure that it is ready for the exam day, and so that you can get the full experience of taking the exam (instructions are below).

03

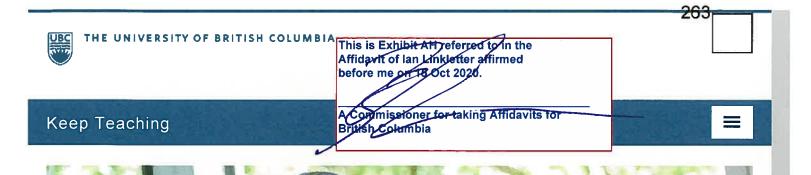
Prior to an exam, the instructor posts on their Canvas course site a reminder about Proctorio

As you know, the BABS 550 final exam will take place on <date & time>. The exam will be 125 minutes long. You will be taking this exam remotely from a location of your choice, and your exam will be proctored online by a service called Proctorio Secure Exam. Proctorio is embedded in Canvas, and will record your screen, webcam, and microphone.

Here are the exam rules:

- This exam is open book: multiple monitors will be allowed. Blank sheets of paper, pen/pencil, calculators, physical notes, textbooks, and external websites are all allowed. You may not communicate with anyone during this exam. Proctorio will also enforce a room scan, where you will need to rotate your webcam 360 degrees to record your testing environment. Note: the room scan will occur at the beginning of the exam, and we have provided an additional 5 minutes of exam time to account for this.
- You may take bathroom breaks during the exam. Please announce (by speaking out loud) your intention to take a bathroom break before leaving the room and be aware that time spent outside of the examination room should not exceed 5 minutes.

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Preparing to Teach in Fall 2020: Guiding Principles

As UBC looks ahead to 2020 Winter Term 1, the university is working to support all educators in successfully adapting courses for online delivery, while continuing to offer learning experiences for our students that are as high quality as possible.

At the broadest level, we strive to offer an equitable experience to all students enrolled in our courses. This means minimizing and mitigating against barriers to their access and success caused by adaptations to interim online remote teaching in response to the global pandemic.

In order to address this, more than 100 faculty and a number of student leadership organizations from across UBC Vancouver were invited by the Office of the Provost & Vice-President Academic to think through some of the widespread, pressing issues in course design and delivery.

The resulting Guiding Principles for Fall 2020 offer guidance and suggestions for designing and teaching courses online. This includes six high-level guiding principles, accompanied by implications for instructors, for UBC, for faculty and for programs. This is supported by six summary reports, each

The guidelines and recommendations are comprehensive thanks to the deep commitment, enthusiasm, and expertise brought by the contributors.

The six guiding principles, a summary of the implications for instructors and the areas of focus are explained below. You can also download the full <u>Guiding Principles document (PDF, 60 pages)</u> or an <u>editable Word version of the principles</u>.

High-Level Guiding Principles

The implications noted under each of the following high-level guiding principles are intended to prompt careful and thorough consideration, rather than prescribe specific approaches or solutions with limited applicability.

To learn more about each recommendation and a summary of the implications for instructors, expand the sections below. The full recommendations, including implications for UBC, faculty and programs, are available in the full report download above.

> 1. Approach course adaptation decisions with a commitment to compassion and care for everyone involved.

> 2. Use Course and Program-Level Learning Objectives to guide decisions about where to invest time and effort.

> 3. Accommodate the reality that access to technology, including hardware and internet access, will vary across students in your courses.

> 4. Explore ways to adapt your course design and delivery to take advantage of the flexibility made possible by online learning while cultivating a strong, inclusive, online learning community. Academic integrity is often discussed in terms of what not to do, and we know from the research literature that breaches such as cheating and plagiarism are most typically the result of feelings of desperation plus opportunity. Another approach to academic integrity is to invite students into the community of scholars, as a way to discuss the values associated with a scholarly community when creating and sharing knowledge.

Implications of this Principle for Instructors

- Consider adaptations to assessments to minimize both student desperation and opportunity. This could include lower weighting or regular mini-quizzes on foundational factual knowledge (i.e., that which can be looked up easily) and reserving greater weight for multi-phase scaffolded and personalized assignments.
- Carefully weigh the pros and cons of using remote proctoring software such as Proctorio, in light of practical and ethical concerns such as hardware accessibility and student privacy.
- Explicitly discuss and model how academic integrity is a crucial part of participating in an academic/scholarly community aimed at knowledge creation, including how you manifest integrity in your own work and your expectations for them.
- Form a purposeful statement of expectations around academic integrity in an online space, specific to the course, presented to students in the course syllabus at the beginning, and discussed with them in the first sessions of the course.
 - Revisit the statement throughout the course. Keep integrity top of mind by including a brief question on each assignment asking students to reflect on how it relates to academic integrity.
- Embed assessments of meta-cognition, which help students reflect on how they know what they know, while simultaneously revealing insufficiencies. Examples include exam wrappers and (group) oral exams.
- Consider carefully the implementation and use of academic misconduct detection mechanisms in online assessments. Seek clarity on Departmental/Faculty policy and procedures on reporting academic misconduct.
- See the Chapman Learning Commons resource for faculty on academic integrity for

> 6. Consider implications for student progression.

Areas of Focus

Active Engagement in Discussion/Lecture Courses (PDF)	<u>Reimagining Large-</u> <u>Class Instruction (PDF)</u>	Recommendations for Laboratory Education (PDF)	<u>Experiential Education</u> <u>Online (PDF)</u>
Academic Delivery in the Health Professional Programs for the 2020 Winter Session (PDF)	Health Professionalof Teaching Assistantsgrams for the 2020in the COVID-19		

Next:

Get Started

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Initiatives

Experiential Learning Student Academic Success

Program Development

Learning Services

Guiding Principles for Fall 2020 Course Adaptations

Faculty Resources for

Academic Integrity Learning Design Intern Program: A Companion Site

Flexible Learning

Learning Spaces

FACULTY RESOURCES FOR ACADEMIC INTEGRITY

This is Exhibit AI referred to in the Affidavit of Ian Linkletter affirmed before me of 18 Oct 2020.

A Commissioner for taking Affidavits for Artish Columbia

These resources have been created and curated by the Okanagan campus's Academic Integrity Working Group and are intended to be of support to assist Faculty as they develop syllabi and assessments for the term, especially in the recent shift to an online context. The working group is grateful for our collaborations with faculty, staff, and students from both the Vancouver and Okanagan campuses to develop and share these resources for everyone's use.

Please note, this page is a living document and will be updated as the year progresses.

SUGGESTED SYLLABUS LANGUAGE FOR ACADEMIC INTEGRITY ISSUES

This document contains information that we encourage instructors to consider including in their course syllabi for the upcoming academic year. These are not mandatory statements, but if included, would help to ensure consistency in our course syllabi across campus and provide clarity for students. Please modify the statements as necessary to be consistent with your course structure and requirements. Regardless of how the language is adapted for your particular course needs, it is important that all expectations are stated at the beginning of the course and are repeated prior to each assessment. We highly encourage instructors to include similar statements on relevant academic integrity considerations in their assessment descriptions. To help organize your course content and ensure clear communication for students, feel free to use the Canvas course template found here. (https://ctl.ok.ubc.ca/teaching-remotely/ubc-okanagan-canvas-template/)

Suggested Language for Midterms and Examinations	~
Suggested Language for Instructor Availability and Technical Issues During Examinations	~
Suggested Language for Plagiarism Detection Tool Software	~

SUGGESTED COMPONENTS TO INCLUDE FOR ONLINE LEARNING: TECHNICAL ISSUES

The following statements pertain to technical issues in an online learning environment that may impact academic integrity indirectly. These statements may or may not pertain to your course directly. All language is suggested and can be modified as the instructor deems appropriate. Providing information related to technical issues will help students maintain academic integrity and succeed in their studies.

Suggested Language for Technology Required	~
Suggested Language for Blended Learning Environments & Attendance	~
Suggested Language for Communication Procedures	~
Suggested Language for Technical Support Resources for Students	~

<u>UBC Vancouver: Principles for appropriate use of remote invigilation tools</u>
 <u>(https://provost-new.cms.ok.ubc.ca/wp-</u>
 <u>269</u>
 <u>content/uploads/sites/115/2020/09/UBCVancouver_principlesforRemoteInvigilation 20200904.pdf)</u>

Added September 8, 2020: This document was developed by our Vancouver Campus colleagues through numerous consultations across UBC including at the Okanagan campus. This version has been modified in four places to include links to UBC Okanagan student-related services and a link to a UBC Okanagan

Office of the Provost and Vice-President Academic Okanagan Campus ADM119 - 1138 Alumni Ave Kelowna, BC Canada V1V 1V7 Website provost.ok.ubc.ca (http://provost.ok.ubc.ca) Find us on
(https://twitter.com/ananyareed)

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THE UBYSSEY

AMS suggests faculty not use Proctorio, remote invigilation tools in guidelines

Written by Kathryn Helmore

Oct. 5, 2020 \cdot 4 min read

This is Exhibit AJ referred to in the Affidavit of Ian Linkletter affirmed before me on 15 Coct 2020.



"There are a lot of legitimate concerns with the use of these platforms." File Kristine Ho

After months of controversy surrounding privacy and equity issues with Proctorio, the AMS in July released guidelines suggesting instructors do not use remote invigilation tools including Proctorio when possible.

Instructors adopted Proctorio and other exam invigilation softwares following cancellations of in-person exams in March. Controversy arose in June when the <u>CEO of Proctorio publicly posted</u>

The AMS guidelines recommend that instructors consider approaches to academic integrity that do not involve remote invigilation tools and assume that learning, not academic misconduct, is a student's objective. The guidelines suggest redesigning courses and assessments to reduce or eliminate the need for remote invigilation.

"[Instructors should] have meaningful conversations with students about the importance of academic integrity," reads the guidelines. AMS VP Academic and University Affairs Georgia Yee did not provide comment after multiple requests.

Instructors should consider student concerns, the guidelines say.

"Consider how remote invigilation tools may create additional barriers and introduce inequities in the online learning atmosphere," reads the report. "Algorithmic remote proctoring software may disproportionately flag students with disabilities and health needs and students with dependents."

The report asks instructors to consider the added stress from having cameras in living spaces.

If invigilation tools are used in the course, the guidelines say this should be stated in the syllabus alongside a rationale, and that it is the duty of the instructor, not TAs, to communicate this rationale - and what the tool does - to the class.

Remote invigilation as 'insurance'

UBC has also released its principles for remote invigilation tools addressing student Proctorio concerns. "We recommend considering other approaches to academic integrity first, however, and limiting the use of remote invigilation tools where possible," the report <u>reads</u>.

Yee said in a September 28 Reddit post that UBC's principles were "not enough."

Simon Bates, associate provost of teaching and learning, said in an emailed statement that the intention of the guidelines was to help faculty make informed decisions about ways to ensure academic integrity, noting that invigilation tools like Proctorio is one option.

support units) is to support, advise and consult," said Bates.

Jason Woywada, Executive Director of the BC Freedom of Information and Privacy Association, recognizes the academic integrity challenges post-secondary education faces.

"Post-secondary administrators and educators must provide testing that is fair, accurate and without cheating," said Woywada. "When delivering tests remotely, these tools are a way for them to provide this insurance."

However, Woywada noted that tests can be invasive. The key to ethically using the software lies in knowing and understanding its settings and how they work — something that instructors should be trained on, he said.

"Any of these programs can be privacy compliant or intrusive depending on the settings," said Woywada. "And that's why there are so many issues with these prospective programs. The questions are, how do we ensure that we know what these settings are? And how do we give consent?"

According to <u>UBC's Proctorio Student Guide</u>, Proctorio complies with the provincial Freedom of Information and Protection of Privacy Act: it stores all recordings in Canada with zeroknowledge encryption, meaning that the company does not have the key to decode the encryption. Additionally, only teaching staff have access to watch the videos.

UBC offers a <u>Protorio instructor guide</u> with a section explaining Proctorio behaviour settings. According to Bates, UBC offers tutorial instruction for faculty, and in-person assistance is available through the Centre for Teaching, Learning and Technology and local faculty instructional support units.

UBC's <u>Proctorio student guide</u> gives further details to students on Proctorio settings in addition to advice on preparing for remotely invigilated exams.

"There are a lot of legitimate concerns with the use of these platforms," said Woywada. "They need to be thought out. There needs to be meaningful consent. That is the key thing."

SUGGESTED ARTICLES



How to transform your home study space into the cafe you used to study at

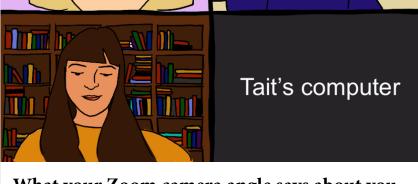
By Tianne Jensen-DesJardins · Oct. 5, 2020



Campus and Community Planning propose new faculty staff rental building in Wesbrook Place

By Paige Mayo · Oct. 5, 2020





What your Zoom camera angle says about you By Tait Gamble · Oct. 3, 2020

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PROCTORIO, INCORPORATED

6840 E. Indian School Road, Suite 200, Scottsdale, Arizona 85251

SOFTWARE-AS-A-SERVICE AGREEMENT COVER PAGE

The attached documents describe the relationship between Proctorio, Incorporated ("*Company*") and the customer identified below ("*Customer*") (each of Company and Customer, a "*Party*" and collectively the "*Parties*"). The documents attached to this cover page ("*Cover Page*") will consist of the document entitled "Terms and Conditions" (the "*SaaS Terms*") and any schedules attached thereto, which describe and set forth the general legal terms governing the relationship (collectively, the "*Agreement*").

This Agreement will become effective when this cover page is executed by authorized representatives of both Parties (the "Effective Date").

RMATION:	
The University of British Columbia	Principal Contact Person: Fred Levinsky
Financial Operations	Title: Senior Procurement Officer
TEF 3 Building 5th Floor	Phone: 604-822-1963
6190 Agronomy Road	Fax:
Vancouver, BC V6T 1Z3	Email Address: fred.levinsky@ubc.ca
	Initial Term: One (1) year term, commencing on February 1, 2018 to February 1, 2019, plus a one (1) year option to renew at a fixed price.
	The University of British Columbia Financial Operations TEF 3 Building 5 th Floor 6190 Agronomy Road

Contract	#:	

Professional Services Addendum

The Parties have caused their duly authorized representatives to execute this Agreement as of the dates set forth below.

CUSTOMER:	The University of British Columbia	PROCTORIO, INCORPORATED
By (Signature): Name (Printed):	Fred Levinsky	By (Signature): Jon Lacinta Name (Printed): JON ^{66,433,7697,607,484}
Title:	Senior Procurement Officer	Title: VP of Business Development
Date:	February 1, 2018 3/4/2018	Date: 3/5/2018 This is Exhibit AK referred to in the Affidavit of lan Linkletter affirmed before the our 18 Oct 2020. A Commissioner for taking Affidavits for British Columbia
{WB395106v2 }WE	ST\248655430.3	

PROCTORIO, INCORPORATED SOFTWARE-AS-A-SERVICE AGREEMENT TERMS AND CONDITIONS

1. DEFINITIONS.

Certain capitalized terms, not otherwise defined above, have the meanings set forth or cross-referenced in this Section 1.

1.1 "*Application Documentation*" will mean text and/or graphical documentation, whether in electronic or printed format, that describe the features, functions and operation of the Application Service, ("functionality") whether such functionality is provided in a scheduled release, an Update or an Upgrade which materials are designed to facilitate use of the Application Service and which are provided by Company to Customer in accordance with the terms of this Agreement.

1.2 "*Application IP*" will mean the Application Service, the Application Documentation, and any and all intellectual property provided to Customer (and/or any applicable Authorized End Users) in connection with the foregoing.

1.3 *"Application Service*" will mean the technology and application software set forth and described on Schedule A.

1.4 "Authorized End User" shall mean, collectively, (i) employees, agents, or contractors of Customer ("*Customer's Users*") accessing or using the Application Service through the Web Interface, under the rights granted to Customer pursuant to this Agreement; and (ii) Student Users (as defined in Section 1.8 below). The number of Authorized End Users is as set forth and described on Schedule A.

1.5 "*Confidential Information*" will mean all written or oral information, disclosed by either Party to the other, related to either Party or a third party that has been identified as confidential or that by the nature of the circumstances surrounding disclosure ought reasonably to be treated as confidential. Without limiting the foregoing, for purposes of this Agreement, the Application Documentation will be deemed Confidential Information of Company.

1.6 "*Customer Content*" will mean the data, media and content provided by Customer, Customer's Users and/or Student Users through the Application Service, including derived data generated by the Customer in the use of the Application Service.

1.7 "*Customer's Users*" shall have the meaning set forth in Section 1.4 above.

1.8 "*Student Users*" will mean those students of Customer to which Customer grants access to use the Application Service through a Web Interface, under the rights granted to Customer herein and pursuant to an agreement between Customer and Customer's students.

1.9 "*Web Interface*" will mean the website or websites through which Customer's Authorized End Users may access the Application Service in accordance with the terms of this Agreement.

2. ACCESS AND USE.

2.1 Provision of Access. Customer desires to engage Company to provide access to the Application Service as a proposed proctoring solution. Subject to the terms and conditions contained in this Agreement, Company hereby grants to Customer a non-exclusive, non-transferable right to access the features and functions of the Application Service during the Term (as defined below), solely for use by Authorized End Users in accordance with the terms and conditions herein. Further subject to the terms and conditions contained in this Agreement, Company grants Customer access herein to the features and functions of the Application Service during the Term. Such use shall be limited to use of the Application Service for proctoring purposes only, and solely for the number of Authorized End Users

permitted by Schedule A. As soon as reasonably practicable after configuration of the Application Service and the completion of any necessary modifications agreed between the Parties under a Professional Services Addendum, Company shall provide to Customer the necessary passwords and network links or connections to allow Customer to access the Application Service (the "Access Protocols"). Company shall also provide Customer the Application Documentation to be used by Customer in accessing and using the Application Service. To the extent Company maintains lists or logs of click stream data ("Clickstream Data") generated by Authorized End Users using the Application Service, Customer may request that Company provide Customer with access to that Clickstream Data. Customer acknowledges and agrees that Company has no obligation or responsibility to maintain Clickstream Data, and that it does not provide any Clickstream Data mapping services. Customer acknowledges and agrees that, as between Customer and Company, Customer shall be responsible for all acts and omissions of Authorized End Users, and any act or omission by an Authorized End User which, if undertaken by Customer, would constitute a breach of this Agreement, shall be deemed a breach of this Agreement by Customer. Customer shall undertake reasonable efforts to make all Authorized End Users aware of the provisions of this Agreement as applicable to such Authorized End User's use of the Application Service, and shall cause Authorized End Users to comply with such provisions.

2.2 Application Documentation License. Subject to the terms and conditions contained in this Agreement, Company hereby grants to Customer a non-exclusive, non-transferable right and license to use the Application Documentation during the Term for Customer's internal purposes in connection with its use of the Application Service as contemplated herein.

2.3 Usage Restrictions. Customer will not, and will not permit any Authorized End Users to, (i) copy or duplicate any of the Application IP; (ii) decompile, disassemble, reverse engineer or otherwise attempt to obtain or perceive the source code from which any software component of any of the Application IP is compiled or interpreted, or apply any other process or procedure to derive the source code of any software included in the Application IP, or attempt to do any of the foregoing, and Customer acknowledges that nothing in this Agreement will be construed to grant Customer any right to obtain or use such source code; (iii) modify, alter, tamper with or repair any of the Application IP, or create any derivative product from any of the foregoing, or attempt to do any of the foregoing, except with the prior written consent of Company; (iv) interfere or attempt to interfere in any manner with the functionality or proper working of any of the Application IP; (v) remove, obscure, or alter any notice of any intellectual property or proprietary right appearing on or contained within any of the Application IP; or (vi) assign, sublicense, sell, resell, lease, rent or otherwise transfer or convey, or pledge as security or otherwise encumber, Customer's rights under Sections 2.1. Customer will not use any of the Application IP except in compliance with Company's obligations to any third party with respect thereto incurred prior to the Effective Date, including without limitation complying with those terms set forth on Schedule B, provided that Company has notified Customer of such obligations. Customer will ensure that its use of any of the Application IP complies with all applicable laws, statutes, regulations or rules and will not use or compile any of the Application IP for the purpose of any illegal activities.

2.4 Retained Rights; Ownership. As between the Parties, subject to the rights granted in this Agreement, Company and its licensors retain all right, title and interest in and to the Application



IP and its components and any data provided by Company through the Application Service, and Customer acknowledges that it neither owns nor acquires any additional rights in and to the foregoing not expressly granted by this Agreement. Customer further acknowledges that Company retains the right to use the foregoing for any purpose in Company's sole discretion. As between the Parties, Company acknowledges and agrees that Customer retains all right, title, and interest in and to all Customer Content and hereby grants to Company a non-exclusive, worldwide right and license to use, copy, manipulate and render such Customer Content through the Application Service for the purposes of providing and improving the Application Services.

2.5 Feedback. If Customer sends or transmits any communications, comments, questions, suggestions, or related materials to Company, whether by letter, e-mail, telephone, or otherwise ("Feedback"), suggesting or recommending changes to the Application IP, including, without limitation, new features or functionality relating thereto, all such Feedback is, and will be exclusively owned by Company. Customer hereby assigns all right, title, and interest in, and Company is free to use, without any attribution or compensation to Customer, any ideas, know-how, concepts, techniques, and all applicable intellectual property rights relating to the Feedback, whether or not patentable, for any purpose whatsoever, including but not limited to, developing, manufacturing, having manufactured, licensing, marketing, and selling, directly or indirectly, products and services using such Feedback. Customer agrees and understands that Company is not obligated to use, display, reproduce, or distribute any such ideas, know-how, concepts, or techniques contained in the Feedback, and Customer has no right to compel such use, display, reproduction, or distr bution.

2.6 Suspension. Notwithstanding anything to the contrary in this Agreement, Company may temporarily suspend Customer's and any Authorized End User's access to any portion or all of the Application IP if (i) Company reasonably determines that (a) there is a threat or attack on any of the Application IP; (b) Customer's or any Authorized End User's use of the Application IP disrupts or poses a security risk to the Application IP or any other customer or vendor of Company; (c) Customer or any Authorized End User is/are using the Application IP for fraudulent or illegal activities; (d) subject to applicable law, Customer has ceased to continue its business in the ordinary course, made an assignment for the benefit of creditors or similar disposition of its assets, or become the subject of any bankruptcy, reorganization, liquidation, dissolution or similar proceeding; or (e) Company's provision of the Application Service to Customer or any Authorized End User is prohibited by applicable law; or (ii) any vendor of Company has suspended or terminated Company's access to or use of any third party services or products required to enable Customer to access the Application IP (each such suspension, in accordance with this Section 2.6, a "Service Suspension"). Company will make commercially reasonable efforts, circumstances permitting, to provide written notice of any Service Suspension to Customer (including notices sent to Company's registered email address) and to provide updates regarding resumption of access to the Application IP following any Service Suspension. Company will use commercially reasonable efforts to resume providing access to the Application Service as soon as reasonably possible after the event giving rise to the Service Suspension is cured. Company will have no liability for any damage, liabilities, losses (including any loss of data or profits) or any other consequences that Customer or any Authorized User may incur as a result of a Service Suspension pursuant to Section 2.6(i).

3. CUSTOMER OBLIGATIONS.

3.1 Authorized End User Access to Services. Subject to the terms and conditions herein, Customer may permit any Authorized End User to access and use the features and functions of the Application Service. Customer will ensure that any such Authorized End User will be bound by a contractual, enforceable

agreement, which agreement, will, by its terms, provide substantially the same or greater protections for Company's Confidential Information and the Application IP as are provided by the terms hereof.

3.2 Assistance to Company. Customer will, at its own expense, provide assistance to Company, including, but not limited to, by means of access to, and use of, Customer facilities and Customer equipment, as well as by means of assistance from Customer personnel, to the limited extent any of the foregoing may be reasonably necessary to enable Company to perform its obligations hereunder.

3.3 Data. To the extent that Customer and any Authorized End User, through or in connection with use of the Application IP, collect, use, store and disclose data from any other party, Customer shall accurately and adequately disclose, either through a privacy policy or otherwise, how Customer collects, uses, stores and discloses data, including, where applicable, that third parties (including advertisers) may serve content and/or advertisements and collect information directly from visitors and may place or recognize cookies on visitors' browsers.

3.4 Third-Party Products. Customer acknowledges and agrees that third party products (the "*Third Party Products*"), if any, which are provided with or incorporated as part of the Application Services (and/or the Application IP) are additionally subject to the applicable flow through provisions to the extent set forth on Schedule B.

4. FEES AND EXPENSES; PAYMENTS.

4.1 Fees. In consideration for the rights granted to Customer and the performance of Company's obligations under this Agreement, Customer shall pay to Company, without offset or deduction, certain fees, in such amounts as may be determined by reference to Schedule A (the "*Fees*"). Unless otherwise provided in a Schedule, all such fees shall be due and payable within thirty (30) calendar days after an invoice is issued by Company with respect thereto. Company may adjust the Fees at its election, after the initial term of this Agreement and upon each anniversary thereafter by providing at least one hundred and twenty (120) days' notice (which may be delivered electronically).

4.2 Customer Operating Expenses. Customer will bear all expenses incurred in performance of its obligations hereunder, including, without limitation, through use by Customer and/or any Authorized End User of the Application Service, and/or through provision of support to Authorized End Users with respect to such use of the Application Service.

4.3 Taxes. Customer will be responsible for payment of any applicable sales, use and other taxes and all applicable export and import fees, customs duties and similar charges (other than taxes based on Company's income), and any related penalties and interest for the grant of license rights hereunder, or the delivery of related services. Customer will make all required payments to Company free and clear of, and without reduction for, any withholding taxes. Any such taxes imposed on payments to Company will be Customer's sole respons bility, and Customer will, upon Company's request, provide Company with official receipts issued by the appropriate taxing authorities, or such other evidence as Company may reasonably request, to establish that such taxes have been paid.

4.4 Late Payments; Interest. Any portion of any amount payable hereunder that is not paid when due will accrue interest at one and one-half percent (1.5%) per month or the maximum rate permitted by applicable law, whichever is less, from the due date until paid.

5. TREATMENT OF CONFIDENTIAL INFORMATION.

5.1 Ownership of Confidential Information. The Parties acknowledge that during the performance of this Agreement, each Party will have access to certain of the other Party's Confidential Information or Confidential Information of third parties that the disclosing Party is required to maintain as confidential. Both Parties agree that all items of Confidential Information are

proprietary to the disclosing Party or such third party, as applicable, and will remain the sole property of the disclosing Party or such third party.

5.2 Mutual Confidentiality Obligations. Each Party agrees as follows: (i) to use Confidential Information disclosed by the other Party only for the purposes described herein; (ii) that such Party will not reproduce Confidential Information disclosed by the other Party, and will hold in confidence and protect such Confidential Information from dissemination to, and use by, any third party; (iii) that neither Party will create any derivative work from Confidential Information disclosed to such Party by the other Party; (iv) to restrict access to the Confidential Information disclosed by the other Party to such of its personnel, agents, and/or consultants, if any, who have a need to have access and who have been advised of and have agreed in writing to treat such information in accordance with the terms of this Agreement; and (v) to return or destroy, pursuant to Section 10.4, all Confidential Information disclosed by the other Party that is in its possession upon termination or expiration of this Agreement. Notwithstanding the foregoing, Customer agrees that Company may collect aggregated statistical data regarding Customer's use of the Application Service and provide such aggregated statistical data to third parties for the purposes of improving both the quality of the Application Service and improving the support processes relating to the Application Service, and other similar purposes. In no event shall Company provide to third parties specific data regarding Customer or Customer's Authorized End Users.

5.3 Confidentiality Exceptions. Notwithstanding the foregoing, the provisions of Sections 5.1 and 5.2 will not apply to Confidential Information that (i) is publicly available or in the public domain at the time disclosed; (ii) is or becomes publicly available or enters the public domain through no fault of the recipient; (iii) is rightfully communicated to the recipient by persons not bound by confidentiality obligations with respect thereto; (iv) is already in the recipient's possession free of any confidentiality obligations with respect thereto at the time of disclosure; (v) is independently developed by the recipient; or (vi) is approved for release or disclosure by the disclosing Party without restriction. Notwithstanding the foregoing, each Party may disclose Confidential Information to the limited extent required (x) in order to comply with the order of a court or other governmental body, or as otherwise necessary to comply with applicable law, provided that the Party making the disclosure pursuant to the order shall first have given written notice to the other Party and made a reasonable effort to obtain a protective order; or (y) to establish a Party's rights under this Agreement, including to make such court filings as it may be required to do.

6. REPRESENTATIONS AND WARRANTIES.

6.1 Mutual Representations. Each Party hereby represents and warrants (i) that it is duly organized, validly existing and in good standing under the laws of its jurisdiction of incorporation or organization; (ii) that the execution and performance of this Agreement will not conflict with or violate any provision of any law having applicability to such Party; and (iii) that this Agreement, when executed and delivered, will constitute a valid and binding obligation of such Party and will be enforceable against such Party in accordance with its terms.

6.2 Service Levels. Company warrants that the Application Service will conform in all material respects to the Service Standard set forth in Schedule C when accessed and used in accordance with the Application Documentation and the Access Protocols. Notwithstanding any other provision of this Agreement (including, without limitation, Section 6.1 of this Agreement), Customer acknowledges and agrees that its sole and exclusive remedy, and Company's sole and exclusive obligation, with respect to any breach of the foregoing warranty shall be remedies specified in such Schedule C. Company does not make any representations or guarantees regarding uptime or availability of the Application IP unless specifically identified in Schedule C.

7. DISCLAIMERS, EXCLUSIONS AND LIMITATIONS OF LIABILITY.

7.1 Disclaimer. EXCEPT AS EXPRESSLY REPRESENTED OR WARRANTED IN SECTION 6, TO THE MAXIMUM EXTENT PERMITTED BY APPLICABLE LAW, THE APPLICATION IP, INCLUDING THE APPLICATION SERVICE, THE APPLICATION DOCUMENTATION, AND ALL SERVICES PERFORMED BY COMPANY ARE PROVIDED "AS IS," AND COMPANY AND ITS LICENSORS AND SUPPLIERS DISCLAIM ANY AND ALL OTHER REPRESENTATIONS PROMISES. AND WARRANTIES. WHETHER EXPRESS OR IMPLIED. INCLUDING. BUT NOT **I IMITED** ANY IMPLIED TO WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, NON-INFRINGEMENT, QUIET ENJOYMENT. SYSTEM INTEGRATION AND/OR DATA ACCURACY. COMPANY, ON BEHALF OF ITSELF AND ITS LICENSORS AND SUPPLIERS, DOES NOT WARRANT THAT THE APPLICATION IP. INCLUDING THE APPLICATION SERVICE OR ANY OTHER SERVICES PROVIDED BY COMPANY WILL MEET CUSTOMER'S REQUIREMENTS OR THAT THE OPERATION OF THE APPLICATION SERVICE WILL BE UNINTERRUPTED OR ERROR-FREE, OR THAT ALL ERRORS WILL BE CORRECTED.

7.2 Exclusions of Remedies; Limitation of Liability. EXCEPT AS EXPRESSLY PROVIDED FOR IN THIS AGREEMENT, IN NO EVENT WILL COMPANY OR ITS LICENSORS AND SUPPLIERS BE LIABLE TO CUSTOMER FOR ANY INCIDENTAL. INDIRECT. SPECIAL, CONSEQUENTIAL OR PUNITIVE DAMAGES, REGARDLESS OF THE NATURE OF THE CLAIM, INCLUDING, WITHOUT LIMITATION, LOST PROFITS, COSTS OF DELAY, ANY FAILURE OF DELIVERY, BUSINESS INTERRUPTION, COSTS OF LOST OR DAMAGED DATA OR DOCUMENTATION, OR LIABILITIES TO THIRD PARTIES ARISING FROM ANY SOURCE, EVEN IF COMPANY HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. THIS LIMITATION UPON DAMAGES AND CLAIMS IS INTENDED TO APPLY WITHOUT REGARD TO WHETHER OTHER PROVISIONS OF THIS AGREEMENT HAVE BEEN BREACHED OR HAVE PROVEN INEFFECTIVE. THE CUMULATIVE LIABILITY OF COMPANY TO CUSTOMER FOR ALL CLAIMS ARISING FROM OR RELATING TO THIS AGREEMENT, INCLUDING, WITHOUT LIMITATION, ANY CAUSE OF ACTION SOUNDING IN CONTRACT, TORT, OR STRICT LIABILITY, WILL NOT EXCEED THE TOTAL AMOUNT OF ALL FEES PAID TO COMPANY BY CUSTOMER IN THE TWELVE (12) MONTHS PRIOR TO THE ACT OR OMISSION GIVING RISE TO SUCH CLAIM. THIS LIMITATION OF LIABILITY IS INTENDED TO APPLY WITHOUT REGARD TO WHETHER OTHER PROVISIONS OF THIS AGREEMENT HAVE BEEN BREACHED OR HAVE PROVEN INEFFECTIVE.

7.3 Essential Basis of the Agreement. Customer acknowledges and understands that the disclaimers, exclusions and limitations of liability set forth in this Section 7 form an essential basis of the agreement between the Parties, that the Parties have relied upon such disclaimers, exclusions and limitations of liability in negotiating the terms and conditions in this Agreement, and that absent such disclaimers, exclusions and limitations of liability, the terms and conditions of this Agreement would be substantially different.

8. INDEMNIFICATION.

8.1 Indemnification of Customer. Company agrees to indemnify, defend and hold harmless Customer from and against any and all losses, liabilities, costs (including reasonable attorneys' fees) or damages resulting from any claim by any third party that the Application Service and/or the Application Documentation infringes such third party's US patents issued as of the Effective Date or infringes or misappropriates, as applicable, such third party's copyrights or trade secret rights under applicable laws of any jurisdiction, provided that Customer promptly notifies Company in writing of the claim, cooperates with Company, and allows Company sole authority to control the defense and settlement of such claim. If such a claim is made or appears possible. Customer agrees to permit Company, at Company's sole discretion, to enable it to continue to use the Application Service or the Application Documentation, as applicable, or to modify or replace any such infringing material to make it non-infringing. If Company determines that none of these alternatives is reasonably available, Customer shall, upon written request from Company, cease use of, and, if applicable, return, such materials as are the subject of the infringement claim. This Section 8.1 shall not apply to the extent that the alleged infringement arises from (i) modification of any of the Application IP by Customer, (ii) combination, operation or use of any of the Application IP with other software, hardware or technology not provided by Company, (iii) use of a superseded or altered release of any of the Application IP, if such infringement would have been avoided by the use of a then-current release of the Application IP, as applicable, and if such then-current release has been made available to Customer, (iv) any Customer Content, or (v) Third Party Products (any of the foregoing circumstances under clauses (i), (ii), (iii), or (iv) a "Customer Indemnity Responsibility"). IN NO EVENT SHALL COMPANY'S LIABILITY UNDER THIS SECTION 8 EXCEED THE LIMITATIONS OF LIABILITY IN SECTION 7.2. THIS SECTION STATES COMPANY'S ENTIRE OBLIGATION AND LIABILITY WITH RESPECT TO ANY CLAIM OF INFRINGEMENT OR MISAPPROPRIATION.

8.2 Customer's Indemnity Obligations. Customer agrees to hold, harmless, indemnify, and, at Company's option, defend Company from and against any losses, liabilities, costs (including reasonable attorneys' fees) or damages resulting from (i) Customer's or any Authorized End User's negligence or willful misconduct; (ii) Customer's or any Authorized End User's use of the Application IP in a manner not authorized or contemplated by this Agreement; or (iii) a Customer Indemnity Responsibility, provided that Customer will not settle any third-party claim against Company unless such settlement completely and forever releases Company from all liability with respect to such claim or unless Company consents to such settlement, and further provided that Company will have the right, at its option, to defend itself against any such claim or to participate in the defense thereof by counsel of its own choice to the extent allowable by the laws of the Province of British Columbia.

9. TERM AND TERMINATION.

9.1 Term. The term of this Agreement will commence on the Effective Date and, unless earlier terminated in accordance with

9.2 Termination for Breach. Either Party may, at its option, terminate this Agreement in the event of a material breach by the other Party. Such termination may be effected only through a written notice to the breaching Party, specifically identifying the breach or breaches on which such notice of termination is based. The breaching Party will have a right to cure such breach or breaches within thirty (30) days of receipt of such notice, and this Agreement will terminate in the event that such cure is not made within such thirty (30) day period.

9.3 Termination Upon Bankruptcy or Insolvency. Either Party may, at its option, terminate this Agreement immediately upon written notice to the other Party, in the event (i) that the other Party becomes insolvent or unable to pay its debts when due; (ii) the other Party files a petition in bankruptcy, reorganization or similar proceeding, or, if filed against, such petition is not removed within ninety (90) days after such filing; (iii) the other Party discontinues it business; or (iv) a receiver is appointed or there is an assignment for the benefit of such other Party's creditors. In the case of insolvency or bankruptcy, Customer, prior to providing written termination notice to Company, may request that Company send Customer Content to Customer, to the extent allowable under United States law.

9.4 Effect of Termination. Upon any termination of this Agreement, Customer will immediately discontinue all use of the Application Service, the Application Documentation, and any Company Confidential Information and both Parties will delete any of the other Party's Confidential Information from computer storage or any other media including, but not limited to, online and off-line libraries; (iii) return to the other Party or, at the other Party's option, destroy, all copies of the Application Documentation and any Confidential Information then in the other Party's possession; and (iv) promptly pay to Company all amounts due and payable to the other Party hereunder. Customer shall be entitled to access and retain all Customer data.

9.5 Survival. The provisions of Sections 2.3, 2.4, 3.4, 5, 7, 8, 9.4, 9.5 and 10 will survive the termination of this Agreement.

10. MISCELLANEOUS.

10.1 Entire Agreement. This Agreement sets forth the entire agreement and understanding between the Parties hereto with respect to the subject matter hereof and, except as specifically provided herein, supersedes and merges all prior oral and written agreements, discussions and understandings between the Parties with respect to the subject matter hereof, and neither of the Parties will be bound by any conditions, inducements or representations other than as expressly provided for herein.

10.2 Independent Contractors. In making and performing this Agreement, Customer and Company act and will act at all times as independent contractors, and, except as expressly set forth herein, nothing contained in this Agreement will be construed or implied to create an agency, partnership or employer and employee relationship between them. Except as expressly set forth herein, at no time will either Party make commitments or incur any charges or expenses for, or in the name of, the other Party.

10.3 Notices. All notices required by or relating to this Agreement shall be in writing and shall be sent by means of certified mail, postage prepaid, to the Parties to the Agreement and addressed, if to Customer, to the address set forth on the Cover Page, and if to Company, as follows:

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If to Company: Proctorio, Incorporated 6840 E. Indian School Road Suite 200 Scottsdale, Arizona 85251 Attention: Teddy Garland or addressed to such other address as that Party may have given by written notice in accordance with this provision. All notices required by or relating to this Agreement may also be communicated by facsimile, provided that the sender receives and retains confirmation of successful transmittal to the recipient. Such notices shall be effective on the date indicated in such confirmation. In the event that either Party delivers any notice hereunder by means of facsimile transmission in accordance with the preceding sentence, such Party will promptly thereafter send a duplicate of such notice in writing by means of certified mail, postage prepaid, to the receiving Party, addressed as set forth above or to such other address as the receiving Party may have previously substituted by written notice to the sender.

10.4 Amendments; Modifications. This Agreement may not be amended or modified except in a writing duly executed by authorized representatives of both Parties.

10.5 Assignment; Delegation. Neither Party shall assign any of its rights or delegate any of its duties under this Agreement without the express, prior written consent of the other Party, and, absent such consent, any attempted assignment or delegation will be null, void and of no effect. Notwithstanding the foregoing, Company may assign this Agreement, without consent, in connection with a merger, sale, transfer or other disposition of all or substantially all of its stock or assets.

10.6 No Third Party Beneficiaries. The Parties acknowledge that the covenants set forth in this Agreement are intended solely for the benefit of the Parties, their successors and permitted assigns. Nothing herein, whether express or implied, will confer upon any person or entity, other than the Parties, their successors and permitted assigns, any legal or equitable right whatsoever to enforce any provision of this Agreement.

10.7 Severability. If any provision of this Agreement is invalid or unenforceable for any reason in any jurisdiction, such provision will be construed to have been adjusted to the minimum extent necessary to cure such invalidity or unenforceability. The invalidity or unenforceability of one or more of the provisions contained in this Agreement will not have the effect of rendering any such provision invalid or unenforceable in any other case, circumstance or jurisdiction, or of rendering any other provisions of this Agreement invalid or unenforceable whatsoever.

10.8 Waiver. No waiver under this Agreement will be valid or binding unless set forth in writing and duly executed by the Party against whom enforcement of such waiver is sought. Any such waiver will constitute a waiver only with respect to the specific matter descr bed therein and will in no way impair the rights of the Party granting such waiver in any other respect or at any other time. Any delay or forbearance by either Party in exercising any right hereunder will not be deemed a waiver of that right.

10.9 Force Majeure. Except with respect to payment obligations hereunder, if a Party is prevented or delayed in performance of its obligations hereunder as a result of circumstances beyond such Party's reasonable control, including, by way of example, war, riot, fires, floods, epidemics, or failure of public utilities or public transportation systems, such failure or delay will not be deemed to constitute a material breach of this Agreement, but such obligation will remain in full force and effect, and will be performed or satisfied as soon as reasonably practicable after the termination of the relevant circumstances causing such failure or delay, provided that if such Party is prevented or delayed from performing for more than ninety (90) days, the other Party may terminate this Agreement upon thirty (30) days' written notice.

10.10 Governing Law. THIS AGREEMENT WILL BE GOVERNED BY AND INTERPRETED IN ACCORDANCE WITH THE LAWS OF THE PROVINCE OF BRITISH COLUMBIA,

WITHOUT REGARD TO CONFLICTS OF LAW PRINCIPLES THEREOF OR TO THE UNITED NATIONS CONVENTION ON THE INTERNATIONAL SALE OF GOODS. FOR PURPOSES OF ALL CLAIMS BROUGHT UNDER THIS AGREEMENT, EACH OF THE PARTIES HEREBY IRREVOCABLY SUBMITS TO THE EXCLUSIVE JURISDICTION OF THE PROVINCIAL AND FEDERAL COURTS LOCATED IN BRITISH COLUMBIA.

10.11 Publicity. With prior written permission from Customer Company can use the Customer as a reference, use Customer's logo in communications, or use Customer's logo on Company's website.

10.12 U.S. Government End-Users. Each of the Application Documentation and the software components that constitute the Application Service is a "commercial item" as that term is defined at 48 C.F.R. 2.101, consisting of "commercial computer software" and "commercial computer software documentation" as such terms are used in 48 C.F.R. 12.212. Consistent with 48 C.F.R. 12.212 and 48 C.F.R. 227.7202-1 through 227.7202-4, all U.S. Government end users acquire the Application Service and the Application Documentation with only those rights set forth therein.

10.13 Counterparts. This Agreement may be executed in any number of counterparts, each of which when so executed will be deemed to be an original and all of which when taken together will constitute one Agreement.

10.14 Headings. The headings in this Agreement are inserted merely for the purpose of convenience and will not affect the meaning or interpretation of this Agreement.

[End of SaaS Terms]

DESCRIPTION AND SPECIFICATION OF APPLICATION SERVICE

1. Description of the Application Service

Proctorio Secure Exam Proctor remote proctoring system to be delivered within Canvas learning management system.

2. Specification & Deliverables

Proctorio will deliver the Secure Exam Proctor as a service with unlimited exams and video review for the number of authorized users detailed below.

3. Number of Authorized Users

Type of Users	Number	
Customer's Users	N/A	
Student Users	TBD	

4. Payment Terms

Customer shall pay to Company the following fees which shall apply to the initial term of the Agreement:

Authorized End User Fees

	Authorized End Users	\$ Cost	\$ SaaS Cost
Proctorio Secure Exam Proctor (Canvas)	TBD	See proposed pricing	TBD
Overall Fees			

Professional Services – Implementation and Set-up fees

Service Category	Rate / Day	Days	Cost
N/A			
Total Professional Services			

Professional Services Payment Terms	N/A

SCHEDULE B

SPECIFIC THIRD PARTY PRODUCT TERMS

Customer acknowledges and agrees that it has the right to use the Third Party Products in connection with its use of the Application Service under the terms and conditions of (i) this Agreement and (ii) such additional terms and conditions as set forth or referenced below. Company makes available the following Third Party Products as part of the Application Service:

N/A

SCHEDULE C

SERVICE LEVEL ADDENDUM

1. DEFINITIONS

Certain capitalized terms, not otherwise defined in this Schedule C, will have the meanings set forth in the Agreement. The following capitalized terms will have the definitions set forth below:

1.1 "System Uptime" will mean the total amount of time during any calendar month, measured in minutes, during which Customer has the ability to access the features and functions of the Application Service as contemplated in this Agreement.

1.2 "Scheduled Downtime" will mean the total amount of time during any calendar month, measured in minutes, during which Customer is not able to access the Application Service, as hosted by Company, due to planned system maintenance performed by Company, as set forth in the table below. Company will exercise reasonable efforts to perform scheduled system maintenance between the hours of 7:00 PM and 6:00 AM Pacific Standard Time. Company reserves the right to change the aggregated times set forth in the table below, provided that Company provides reasonable prior notice prior to modifying such Scheduled Downtime.

When Scheduled Downtime will occur on a regular basis:	Purpose of Scheduled Downtime:	Maximum Duration of Scheduled Downtime:	
Each Weekend	system maintenance	2 hours	
Each Weekend	database maintenance	1 hours	
Once per calendar month	application/OS maintenance	6 hours	
Once per calendar quarter	system maintenance/upgrades	3 hours per server	

1.3 "Unscheduled Downtime" will mean the total amount of time during any calendar month, measured in minutes, during which the Customer is not able to access the features and functions of the Application Service as contemplated in this Agreement, other than Scheduled Downtime, as defined above.

1.4 "System Availability" will mean, with respect to any particular calendar month, the ratio obtained by subtracting Unscheduled Downtime during such month from the total time during such month, and thereafter dividing the difference so obtained by the total time during such month. Represented algebraically, System Availability for any particular calendar month is determined as follows:

(Total Monthly Time – Unscheduled

Downtime)

System Availability =

Total Monthly Time

NOTE: "Total Monthly Time" is deemed to include all minutes in the relevant calendar month, to the extent such minutes are included within the Term of this Agreement.

2. CUSTOMER REQUIREMENTS

2.1 Minimum System: The service standards set forth in this Schedule C assume that Customer and its Authorized End Users, as applicable, meet the following minimum system standards, which may be updated from time to time by Company upon written notice to Customer:

	Windows	Мас	Linux	Chrome OS
Operating System	Windows XP SP3 Windows Vista Windows 7 Windows 8 Windows 10	Mac OSX 10.6+	Ubuntu 12.04+ Debian 7++ OpenSuSE 12.2++ Fedora Linux 17	Chrome 42+
Processor	Intel Pentium 4 or later	Intel	Intel Pentium 3 / Athelon 64 or later	Intel
Free Disk Space	250 MB	250 MB	250 MB	250 MB

RAM	4 GB	4 GB	4 GB	4 GB
Webcam	320x240 VGA resolution			

2.2 Additional Customer Obligations: Customer is respons ble for maintenance and management of its computer network(s), servers, software, and any equipment or services related to maintenance and management of the foregoing. Customer is responsible for correctly configuring its systems in accordance with any instructions provided by Company, as may be necessary for provision of access to the features and functions of the Application Service.

2.3 Reporting of Unscheduled Downtime: Customer must promptly notify Company in the event Unscheduled Downtime occurs. Unscheduled Downtime will be deemed to begin when Company receives accurate notification thereof from Customer, or when Company first becomes aware of such Unscheduled Downtime, whichever first occurs.

2.4 Non-Performance by Customer: The obligations of Company set forth in this Schedule C will be excused to the extent any failures to meet such obligations result in whole or in part from Customer's or its End Users' failure(s) to meet the foregoing obligations.

3. PERFORMANCE

3.1 System Availability: Company will undertake commercially reasonable measures to ensure that System Availability equals or exceeds 99.7% during each calendar month (the "*Service Standard*"), provided that any Unscheduled Downtime occurring as a result of (i) Customer's breach of any provision of this Agreement; (ii) non-compliance by Customer with any provision of this Schedule C; (iii) incompatibility of Customer's or Authorized End User's equipment or software with the Application Service; (iv) performance of Customer's systems or the Internet; (v) modifications or updates by third party manufacturers/licensors to systems or software that interact with the Application Service; or (vi) force majeure, as defined in Section 10.9, shall not be considered toward any reduction in System Availability measurements.

3.2 Bandwidth Availability: Company monitors the aggregate packet loss and transmission latency within its LAN and WAN. Company does not monitor the packet loss or transmission latency of specific Customers. After discovering or being notified by Customer of packet loss in excess of one-half percent (0.5%), Company will use commercially reasonable efforts to determine the source of such excess packet loss or latency and to correct such problem to the extent that the source of the problem is on the Company Network.

3.3 Access to Support; Response Times: Customer may report Unscheduled Downtime at any time ("24x7x365") by telephoning Company at:

Primary Contact	Mike Olsen	
	Co-founder	
	480.428.1712	
	mike@proctorio.com	
Secondary Contact	Jon Lacivita	
	VP of Business Development	
	480.428.2606	
	JonL@proctorio.com	

Company will exercise commercially reasonable efforts to initiate remedial activity within 30 minutes of each report of Unscheduled Downtime during business hours (7:00AM to 6:00 PM Pacific Time, Monday through Friday, excluding Company holidays) for issues affecting connectivity and server availability. During non-business Hours, Company will initiate remedial activity within two (2) hours for issues affecting connectivity and server availability. In contacting the Company, Customer must not leave voice messages. Phone numbers should be called in priority order until a Company representative is reached directly.

4. SYSTEM MONITORING. Company uses network monitoring software and other related software tools. These utilities help with the daily monitoring of servers.

5. MEASUREMENT AND REPORTS. Company will provide for monitoring of System Availability on an ongoing basis. All measurements of System Availability will be calculated on a monthly basis for each calendar month during the Term.

6. REMEDIES

6.1 Credits Against Fees: In the event Unscheduled Downtime occurs, Customer will be entitled to credits against its subsequent payment obligations (as set forth in Schedule C) according to the following:

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The network of computers will have a guaranteed aggregate average uptime of 99.7% over the course of three (3) months. This does not include scheduled downtime for maintenance on servers, which will be minimal, and necessary. This also does not include mass-network problems such as major backbone problems. For every 1.0% of Unscheduled Downtime below the Service Standard that Company experiences during a calendar month, a credit of 5% of 1/12th of Customer's annual Fees paid by Customer will be credited to Customer's account, up to a maximum of 50% of 1/12 of the applicable annual Fees paid by Customer.

Customer must submit full documentation by e-mail to Company with the credit request. Documentation must show details of proof of downtime. These can be in any manner including pings, trace routes, and third-party outage notifications for the past three (3) months. E-mails without full details are not liable for a credit.

Company will not be liable for any lost revenues during down time.

Except as set forth in the following Section 6.2, the Customer's rights under this Section 6.1 are Customer's sole and exclusive remedy with respect to any Unscheduled Downtime or any failure by Company to meet the Service Standard required by Section 3.1.

6.2 Termination for Performance Deficiencies: Company acknowledges that System Availability is important to Customer's business processes. Accordingly, Customer may exercise its rights to terminate this Agreement in the event that (i) Company fails to meet the Service Standard three (3) or more times during any twelve (12)-month period; (ii) System Availability for any single calendar month falls below 98%; or (iii) Company does not respond within 90 minutes to Customer reports of Unscheduled Downtime with respect to 50% or more of such reports during any twelve (12)-month period. Notwithstanding the foregoing, no material breach of this Agreement will be deemed to occur if Company fails to meet the Service Standard less than three (3) times in any twelve (12)-month period provided no such failure causes System Availability to fall below 90% during the relevant calendar month.

PROCTORIO, INCORPORATED PROFESSIONAL SERVICES ADDENDUM

This **PROFESSIONAL SERVICES ADDENDUM** (the "*Addendum*") is an addendum to, and is hereby incorporated into, the **Software-as-a-Service Agreement** between Company and Customer, including the SaaS Terms and other Addenda incorporated therein (collectively, the "*Agreement*").

1. ADDITIONAL DEFINITIONS. Certain capitalized terms used in this Addendum, not otherwise defined above, shall have the meanings set forth or cross-referenced below. Capitalized terms used in this Addendum that are not otherwise defined in this Addendum have the meaning set forth in the Application Services Distribution Agreement.

1.1 "*Addendum Effective Date*" has the meaning set forth in Section 7.1.

1.2 *"Professional Services"* has the meaning set forth in Section 2.1.

1.3 "Work Statement" has the meaning set forth in Section 2.2.

2. PROFESSIONAL SERVICES

2.1 Professional Services. The Parties anticipate that Customer may desire to engage Company to perform certain services in connection with the access rights granted to Customer by Company under separate Addenda to this Agreement, including, by way of example, installation, configuration and/or customization of the Application Services or Customer's or Customers computers or related systems. Subject to the terms and conditions set forth in this Addendum, Company shall use commercially reasonable efforts to perform the services as set forth in Work Statements (as defined below) separately executed by the Parties (the "*Professional Services*"). Company shall perform the Professional Services in a professional manner in accordance with industry standards.

2.2 Issuance of Work Statements. Customer may request that Company perform services by delivering a written request describing the proposed Professional Services. Company shall prepare a draft work statement as an exhibit to this Addendum (each, a "Work Statement"). Such Work Statement shall describe the fees, costs and expenses payable by Customer to Company in connection with the performance of such services. Customer, within five (5) business days after receipt of the proposed Work Statement, shall notify Company of its acceptance of such Work Statement. Until mutual acceptance in writing of the proposed Work Statement, Company shall have no obligation to perform the proposed Professional Services, provided that this Addendum shall remain in full force and effect in accordance with Section 7.1. Each Work Statement, regardless of whether it relates to the same subject matter as any previously executed Work Statement(s), shall become effective upon execution by authorized representatives of both Parties.

2.3 Modifications. Customer may at any time request a modification to the Professional Services to be performed pursuant to any particular Work Statement by written request to Company specifying the desired modifications. Company shall, within a reasonable time following receipt of such request, submit an estimate of the cost for such modifications and a revised estimate of the time for performance of the Professional Services pursuant to the Work Statement. If accepted in writing by Customer, such modifications in the Work Statement shall be performed under the terms of this Addendum. Modifications in any Work Statement shall become effective only when a written change request is executed by authorized representatives of both parties.

3. PERSONNEL

3.1 Suitability. Company shall assign employees and subcontractors with qualifications suitable for the work described in the relevant Work Statement. Company may replace or change

employees and subcontractors in its sole discretion with other suitably qualified employees or subcontractors.

3.2 Customer Responsibilities. Customer shall make available in a timely manner at no charge to Company all technical data, computer facilities, programs, files, documentation, test data, sample output, or other information and resources of Customer required by Company for the performance of the Professional Services. Customer shall be responsible for, and assumes the risk of, any problems resulting from, the content, accuracy, completeness and consistency of all such data, materials and information supplied by Customer. Customer shall provide, at no charge to Company, office space, services and equipment (such as copiers, fax machines and modems) as Company reasonably requires to perform the Professional Services.

3.3 Nonsolicitation. Customer acknowledges and agrees that the employees and consultants of Company who perform the Professional Services are a valuable asset to Company and are difficult to replace. Accordingly, Customer agrees that, for a period of one (1) year after the termination or expiration of this Addendum, it shall not offer employment or engagement (whether as an employee, independent contractor or consultant) to any Company employee or consultant who performs any of the Professional Services. Customer agrees that for each individual that Customer hires or engages in violation of this Section 3.3, Customer shall pay to Company liquidated damages equal to fifty percent (50%) of the annual cumulative value of salary and benefits paid or payable to that individual by either Customer or Company, whichever amount is greater.

4. FEES AND PAYMENTS. In consideration of the Professional Services, Customer shall pay Company at Company's then standard hourly rates provided to Customer as well as any other fees required by the applicable Work Statement. Company shall submit for approval by Customer a written Travel & Living expenses estimate for the initial Professional Services implementation and setup and for each work statement implemented pursuant to this Agreement., Customer will reimburse Company for (i) reasonable travel and living expenses incurred by Company's employees and contractors for travel from Company's offices in connection with the performance of the Professional Services; (ii) reasonable international telephone charges (if applicable); that are necessary to the performance of Professional Services under this Agreement; and (iii) any other expenses for which reimbursement is contemplated in the applicable Work Except as provided above, each Party will be Statement. respons ble for its own expenses incurred in rendering performance under this Addendum and each applicable Work Statement. Unless otherwise contemplated in a particular Work Statement, Company will issue invoices to Customer on a monthly basis for amounts due under this Addendum, and payment of such amounts shall be due within ten (10) days of the date of invoice.

5. PROPRIETARY RIGHTS. Unless otherwise expressly agreed in any particular Work Statement, ownership of all work product, developments, inventions, technology or materials provided under this Addendum shall be solely owned by Company, subject to the usage rights granted to Customer under the relevant Work Statement.

6. LIMITATION OF WARRANTIES AND LIABILITY. COMPANY MAKES NO REPRESENTATIONS OR WARRANTIES UNDER THIS ADDENDUM, AND CUSTOMER ACKNOWLEDGES THAT THIS ADDENDUM IS SUBJECT TO ALL DISCLAIMERS AND LIMITATIONS OR LIABILITY SET FORTH IN THE SAAS TERMS.

7. TERM; TERMINATION

7.1 Term. This Addendum shall commence on the date of execution by both Parties (the "*Addendum Effective Date*") and shall remain in effect until the earlier to occur of (i) completion of all outstanding Work Statements hereunder; or (ii) termination in accordance with Section 7.2. Notwithstanding the foregoing, this Addendum shall remain in effect for a period of not less than one (1) year from the Addendum Effective Date unless earlier terminated in accordance with Section 7.2. Unless otherwise stated in the applicable Work Statement, the term of each Work Statement shall last until performance thereunder is completed.

7.2 Termination for Breach. Either Party may, at its option, terminate this Addendum in the event of a material breach by the other Party. Such termination may be effected only through a written notice to the breaching Party, specifically identifying the breach or breaches on which such notice of termination is based. The breaching Party will have a right to cure such breach or breaches within thirty (30) days of receipt of such notice, and this Addendum shall terminate in the event that such cure is not made within such thirty (30)-day period. Without limiting the foregoing, Company may immediately terminate this Addendum upon written notice in the event that Customer becomes insolvent or enters bankruptcy during the term of this Addendum.

7.3 Termination of Individual Work Statements. Either Party may, at its sole option and for its own convenience, terminate any or all Work Statements in effect upon fifteen (15) days prior written notice. Upon such termination, the Parties shall inform each other of the extent to which performance has been completed through such date, and collect and deliver all work in process. In the event of termination, the Parties agree to wind up their work in a commercially reasonable manner and to preserve and deliver items of value created prior to termination. Company shall be paid for all work performed and expenses incurred through the date of termination.

7.4 Effect of Termination. In the event of termination or expiration of this Addendum, Customer shall promptly pay to Company all amounts due and outstanding.

7.5 Survival. The provisions of Sections 3.3, 4, 5, 6, 7.4 and 7.5 will survive the termination or expiration of this Addendum.

The Parties agree to the above terms and have executed this Addendum as of the date(s) set forth below.

CUSTOMER:

By (Signature):

Fred Levinsky Name (Printed):

BF6DBBB05AD0476... Fred Levinsky

Senior Procurement Officer

DocuSigned by:

Title:

Date:

3/4/2018

PROCTORIO, INCORPORATED

	DocuSigned by:
	Jon Lacivita
Name (Printed):	Jon ^{964357682E0E484}

Title: VP of Business Development Date: 3/5/2018

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Proposed Pricing

Enterprise Pricing (Per student per year)

Online Student Seats	Annual Cost per Student		
1 - 200	\$35		
201 - 1,000	\$30		
1,001 - 5,000	\$25		
5,001 - 10,000	\$20		
10,001 - 20,000	\$15		
20,001 +	\$10		

Per Exam Pricing

Number of Exams	Cost per Exam		
1+	\$10		





Office of the University Counsel 6328 Memorial Road Vancouver, BC Canada V6T 122

Phone 604 822 1897 Fax 604 822 8731 www.universitycounsel.ubc.ca

August 31, 2018

BY EMAIL

Bryan Short Bryan.a.short@gmail.com

UBC File: 18-128

Affidavit of la	t AL) referred to		
pefore me on	15 Oct 2020.		
	ner for taking A	ffidavits for	-
Sntish Colum	bia		

Dear Mr. Short:

Re: Freedom of Information and Protection of Privacy Act (the Act) Request for Records

The University of British Columbia (UBC) is responding to your request dated April 4, 2018 in which you requested the following:

- 1. File no.: 17254 (7/14/2017) "Copies of all vendor responses that were submitted to the University of British Columbia for a Learning Management (LMS) Contract"
- 2. All minutes and presentation slides for the Learning Data Committee and the Steering Committee.
- 3. Each contract that UBC holds with companies that have access to the personal information of UBC students through Canvas by Instructure and Blackboard Connect
- 4. The UBC contracts for Canvas by Instructure and Blackboard Connect

In regards to item 1, we responded by email on June 20, 2018.

In regard to items 2 and 3, we are able to provide you partial access to the responsive records we have located. As some records contain sensitive information, we have withheld this information where indicated in accordance with sections 13 and 14 of the Act.

Please access the records and these sections of the Act through Workspace, UBC's secure file sharing system, at <u>https://files.workspace.ubc.ca/</u>. For your convenience, this folder will remain active for two weeks.

In regards to item 4, we have issued notices pursuant to section 23 of the Act to two third parties We will respond to this portion of the request in accordance with sections 23 and 24 of the Act.

Under section 52 of the Act, you have the right to ask the Information and Privacy Commissioner for a review of UBC's response. You must submit your request in writing, including the information listed below, within 30 days upon receipt of this letter to:

Office of the Information and Privacy CommissionerPO Box 9038, Stn. Prov. Govt.T: 250-387-5629Victoria, BC V8W 9A4F: 250-387-1696

W: <u>www.oipc.bc.ca</u> E: <u>info@oipc.bc.ca</u>

- 1. Your name, address and telephone number;
- A copy of your original request for information delivered to UBC; A copy of this letter; and 2.
- 3.
- The reasons or grounds upon which you are requesting the review. 4.

If you have any questions after reviewing this letter, please contact me.

Sincerely,

Currenik

Courtney Waverick Freedom of Information Specialist Office of the University Counsel

Encl: Records, Sections 13 and 14

9/24/2020

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	J

	CA	Search						
About	Press & Blogs	Copyright	Safety	Creators & Partners	Advertising	Davelopers	Help	
TERMS OF SERVICE		Terms of Servi	ce		Affidavit of	ibit AM referred lan Linkletter af 15 Oct 2020.		
Paid Service Usage Rul					-1/1			
Collecting Society Noti			fSorvioo	have been undeted	Acompuss	ioner for taking	Affidavits for	
Copyright Notices			I Selvice	have been updated	British Colu	umbia		
Community Guidelines		This summary is de	esigned to help	you understand some of the useful guide, but please ensu	key updates we've i	made to our Terms of		
		Welcome to YouTube! This section outlines our relationship with you. It includes a description of the Service, defines our Agreement, and names your service provider. Key updates:						
		 Service Provide 	er. Your service	e provider is now Google LLC.				
		which all form p	part of the Agr	k to the Policy, Safety and Cop eement. These are the policies ut this detail to you upfront in	s that underpin our			
				rstand exactly who we mean meaning the companies in the			s, we've included	
		Who May Use the This section sets out		irements for use of the Servic	e, and defines cate	gories of users. Key u	pdates:	
		policies, and inc	cluded a notice	stated the specific age require e that, if you are a minor in you using the Service.	-		*	
		 Parental Permis 	ssion. We've a	dded a section to explain your	responsibility if yo	u allow your child to u	ise YouTube.	
		 Businesses. Ou that business a 		nake clear that, if you are usin reement.	g the Service on be	half of a company or	organisation,	
		Your Use of the Service This section explains your rights to use the Service, and the conditions that apply to your use of the Service. It also explains how we may make changes to the Service. Key updates:						
		-		e Channels. We've provided d count or YouTube channel, ar			e can be	
		 Your Information. We haven't made any changes to the way we treat your information. You can read about our privacy practices by reviewing the Privacy Policy and YouTube Kids Privacy Notice. As a reminder, you can always review your privacy settings and manage your data and personalisation by visiting your Google Account. Restrictions. We have updated this section to reflect our requirements around contests, and to include a prohibition 						
		-	s. We have im	proved our Terms to be more commitment to give you notic		, ,	0	
			to users who ontent, and inc	provide Content to the Service cludes your agreement not to			, ,	
				ontent license you grant us to ere's no difference in how we'			asking for	
		Duration. We have	ave removed th	e right for YouTube to use you	r comments in per	petuity.		
		 Removals. We h 	nave included a	a link to the tools you will need	to remove your co	ontent, as well as a cle	ear description	
		about why we m	night need to ta	ake down content, and how to	appeal removals.			
		 Analyzing Contended safe. 	ent. We may ai	utomatically analyze content of	on YouTube, to help	detect abuse and kee	ep the platform	
		Account Suspen This section explain		ermination YouTube may terminate this	relationship. Key up	pdates:		
		 Terminations. C 	Our Terms now	include more details about w	hen we might need	to terminate our Agre	ement with bad	

ninate our Agreement with bad actors. We provide a greater commitment to give notice when we take such action and what you can do to appeal if you think we've got it wrong. We've also added instructions for you, if you decide you no longer want to use the Service.

About Software in the Service

This soction includes details about software on the Service. Key undated

וווה הכנוטוו וווכוטעכה עכומוה מטטע הטונשמוב טון נווב הכושוכב. הכץ ערטמנכה.

Software Licences. We've made the software licence we grant you more specific, and included some details around
open source.

Other Legal Terms

This section includes our service commitment to you. It also explains that there are some things we will not be responsible for. Key updates:

• Our liability. We've made changes to the disclaimers and limitations of liability in the Terms.

About this Agreement

This section includes some further important details about our contract, including what to expect if we need to make changes to these Terms; or which law applies to them. Key updates:

Modifications. We want to give you the chance to review future material updates to these Terms.

Still have questions?

You can also find further details in our Help Center.

Terms of Service

Dated: December 10, 2019

Welcome to YouTube!

Introduction

Thank you for using the YouTube platform and the products, services and features we make available to you as part of the platform (collectively, the "Service").

Our Service

The Service allows you to discover, watch and share videos and other content, provides a forum for people to connect, inform, and inspire others across the globe, and acts as a distribution platform for original content creators and advertisers large and small. We provide lots of information about our products and how to use them in our Help Center. Among other things, you can find out about YouTube Kids, the YouTube Partner Program and YouTube Paid Memberships and Purchases (where available). You can also read all about enjoying content on other devices like your television, your games console, or Google Home.

Your Service Provider

The entity providing the Service is Google LLC, a company operating under the laws of Delaware, located at 1600 Amphitheatre Parkway, Mountain View, CA 94043 (referred to as "YouTube", "we", "us", or "our"). References to YouTube's "Affiliates" in these terms means the other companies within the Alphabet Inc. corporate group (now or in the future).

Applicable Terms

Your use of the Service is subject to these terms, the YouTube Community Guidelines and the Policy, Safety and Copyright Policies which may be updated from time to time (together, this "Agreement"). Your Agreement with us will also include the Advertising on YouTube Policies if you provide advertising or sponsorships to the Service or incorporate paid promotions in your content. Any other links or references provided in these terms are for informational use only and are not part of the Agreement.

Please read this Agreement carefully and make sure you understand it. If you do not understand the Agreement, or do not accept any part of it, then you may not use the Service.

Who may use the Service?

Age Requirements

You must be at least 13 years old to use the Service. However, children of all ages may use YouTube Kids (where available) if enabled by a parent or legal guardian.

Permission by Parent or Guardian

If you are under 18, you represent that you have your parent or guardian's permission to use the Service. Please have them read this Agreement with you.

If you are a parent or legal guardian of a user under the age of 18, by allowing your child to use the Service, you are subject to the terms of this Agreement and responsible for your child's activity on the Service. You can find tools and resources to help you manage your family's experience on YouTube in our Help Center and through Google's Family Link.

Businesses

If you are using the Service on behalf of a company or organisation, you represent that you have authority to act on behalf of that entity, and that such entity accepts this Agreement.

Your Use of the Service

Content on the Service

The content on the Service includes videos, audio (for example music and other sounds), graphics, photos, text (such as comments and scripts), branding (including trade names, trademarks, service marks, or logos), interactive features, software, metrics, and other materials whether provided by you, YouTube or a third-party (collectively, "Content").

Content is the responsibility of the person or entity that provides it to the Service. YouTube is under no obligation to host or serve Content. If you see any Content you believe does not comply with this Agreement, including by violating the Community Guidelines or the law, you can report it to us.

Google Accounts and YouTube Channels

You can use parts of the Service, such as browsing and searching for Content, without having a Google account. However, you do need a Google account to use some features. With a Google account, you may be able to like videos, subscribe to channels, create your own YouTube channel, and more. You can follow these instructions to create a Google account.

Creating a YouTube channel will give you access to additional features and functions, such as uploading videos, making comments or creating playlists (where available). Here are some details about how to create your own YouTube channel.

To protect your Google account, keep your password confidential. You should not reuse your Google account password on third-party applications. Learn more about keeping your Google account secure, including what to do if you learn of any unauthorised use of your password or Google account.

Your Information

Our Privacy Policy explains how we treat your personal data and protect your privacy when you use the Service. The YouTube Kids Privacy Notice provides additional information about our privacy practices that are specific to YouTube Kids.

We will process any audio or audiovisual content uploaded by you to the Service in accordance with the YouTube Data Processing Terms, except in cases where you uploaded such content for personal purposes or household activities. Learn More.

Permissions and Restrictions

You may access and use the Service as made available to you, as long as you comply with this Agreement and applicable law. You may view or listen to Content for your personal, non-commercial use. You may also show YouTube videos through the embeddable YouTube player.

The following restrictions apply to your use of the Service. You are not allowed to:

- 1. access, reproduce, download, distribute, transmit, broadcast, display, sell, license, alter, modify or otherwise use any part of the Service or any Content except: (a) as expressly authorized by the Service; or (b) with prior written permission from YouTube and, if applicable, the respective rights holders;
- circumvent, disable, fraudulently engage with, or otherwise interfere with any part of the Service (or attempt to do any of these things), including security-related features or features that (a) prevent or restrict the copying or other use of Content or (b) limit the use of the Service or Content;
- access the Service using any automated means (such as robots, botnets or scrapers) except (a) in the case of public search engines, in accordance with YouTube's robots.txt file; or (b) with YouTube's prior written permission;
- 4. collect or harvest any information that might identify a person (for example, usernames), unless permitted by that person or allowed under section (3) above;
- 5. use the Service to distribute unsolicited promotional or commercial content or other unwanted or mass solicitations;
- 6. cause or encourage any inaccurate measurements of genuine user engagement with the Service, including by paying people or providing them with incentives to increase a video's views, likes, or dislikes, or to increase a channel's subscribers, or otherwise manipulate metrics in any manner;
- 7. misuse any reporting, flagging, complaint, dispute, or appeals process, including by making groundless, vexatious, or frivolous submissions;
- 8. run contests on or through the Service that do not comply with YouTube's contest policies and guidelines;
- 9. use the Service to view or listen to Content other than for personal, non-commercial use (for example, you may not publicly screen videos or stream music from the Service); or
- 10. use the Service to (a) sell any advertising, sponsorships, or promotions placed on, around, or within the Service or Content, other than those allowed in the Advertising on YouTube policies (such as compliant product placements); or (b) sell advertising, sponsorships, or promotions on any page of any website or application that only contains Content from the Service or where Content from the Service is the primary basis for such sales (for example, selling ads on a webpage where YouTube videos are the main draw for users visiting the webpage).

Reservation

Using the Service does not give you ownership of or rights to any aspect of the Service, including user names or any other Content posted by others or YouTube.

Changes to the Service

YouTube is constantly changing and improving the Service. We may also need to alter or discontinue the Service, or any part of it, in order to make performance or security improvements, change functionality and features, make changes to comply

with law, or prevent illegal activities on or abuse of our systems. These changes may affect all users, some users or even an individual user. Whenever reasonably possible, we will provide notice when we discontinue or make material changes to our Service that will have an adverse impact on the use of our Service. However, you understand and agree that there will be times when we make such changes without notice, such as where we feel we need to take action to improve the security and operability of our Service, prevent abuse, or comply with legal requirements.

Your Content and Conduct

Uploading Content

If you have a YouTube channel, you may be able to upload Content to the Service. You may use your Content to promote your business or artistic enterprise. If you choose to upload Content, you must not submit to the Service any Content that does not comply with this Agreement (including the YouTube Community Guidelines) or the law. For example, the Content you submit must not include third-party intellectual property (such as copyrighted material) unless you have permission from that party or are otherwise legally entitled to do so. You are legally responsible for the Content you submit to the Service. We may use automated systems that analyze your Content to help detect infringement and abuse, such as spam, malware, and illegal content.

Rights you Grant

You retain ownership rights in your Content. However, we do require you to grant certain rights to YouTube and other users of the Service, as described below.

License to YouTube

By providing Content to the Service, you grant to YouTube a worldwide, non-exclusive, royalty-free, sublicensable and transferable license to use that Content (including to reproduce, distribute, prepare derivative works, display and perform it) in connection with the Service and YouTube's (and its successors' and Affiliates') business, including for the purpose of promoting and redistributing part or all of the Service.

License to Other Users

You also grant each other user of the Service a worldwide, non-exclusive, royalty-free license to access your Content through the Service, and to use that Content, including to reproduce, distribute, prepare derivative works, display, and perform it, only as enabled by a feature of the Service (such as video playback or embeds). For clarity, this license does not grant any rights or permissions for a user to make use of your Content independent of the Service.

Duration of License

The licenses granted by you continue for a commercially reasonable period of time after you remove or delete your Content from the Service. You understand and agree, however, that YouTube may retain, but not display, distribute, or perform, server copies of your videos that have been removed or deleted.

Removing Your Content

You may remove your Content from the Service at any time. You also have the option to make a copy of your Content before removing it. You must remove your Content if you no longer have the rights required by these terms.

Removal of Content By YouTube

If we reasonably believe that any Content is in breach of this Agreement or may cause harm to YouTube, our users, or third parties, we may remove or take down that Content in our discretion. We will notify you with the reason for our action unless we reasonably believe that to do so: (a) would breach the law or the direction of a legal enforcement authority or would otherwise risk legal liability for YouTube or our Affiliates; (b) would compromise an investigation or the integrity or operation of the Service; or (c) would cause harm to any user, other third party, YouTube or our Affiliates. You can learn more about reporting and enforcement, including how to appeal on the Troubleshooting page of our Help Center.

Copyright Protection

We provide information to help copyright holders manage their intellectual property online in our YouTube Copyright Center. If you believe your copyright has been infringed on the Service, please send us a notice.

We respond to notices of alleged copyright infringement according to the process in our YouTube Copyright Center, where you can also find information about how to resolve a copyright strike. YouTube's policies provide for the termination, in appropriate circumstances, of repeat infringers' access to the Service.

Account Suspension & Termination

Terminations by You

You may stop using the Service at any time. Follow these instructions to delete the Service from your Google Account, which involves closing your YouTube channel and removing your data. You also have the option to download a copy of your data first.

Terminations and Suspensions by YouTube for Cause



YouTube may suspend or terminate your access, your Google account, or your Google account's access to all or part of the Service if (a) you materially or repeatedly breach this Agreement; (b) we are required to do so to comply with a legal requirement or a court order; or (c) we believe there has been conduct that creates (or could create) liability or harm to any user, other third party, YouTube or our Affiliates.

Terminations by YouTube for Service Changes

YouTube may terminate your access, or your Google account's access to all or part of the Service if YouTube believes, in its sole discretion, that provision of the Service to you is no longer commercially viable.

Notice for Termination or Suspension

We will notify you with the reason for termination or suspension by YouTube unless we reasonably believe that to do so: (a) would violate the law or the direction of a legal enforcement authority, or would otherwise risk legal liability for YouTube or our Affiliates; (b) would compromise an investigation or the integrity or operation of the Service; or (c) would cause harm to any user, other third party, YouTube or our Affiliates. Where YouTube is terminating your access for Service changes, where reasonably possible, you will be provided with sufficient time to export your Content from the Service.

Effect of Account Suspension or Termination

If your Google account is terminated or your Google account's access to the Service is restricted, you may continue using certain aspects of the Service (such as viewing only) without an account, and this Agreement will continue to apply to such use. If you believe your Google account has been terminated in error, you can appeal using this form.

About Software in the Service

Downloadable Software

When the Service requires or includes downloadable software (such as the YouTube Studio application), you give permission for that software to update automatically on your device once a new version or feature is available, subject to your device settings. Unless that software is governed by additional terms which provide a license, YouTube gives you a personal, worldwide, royalty-free, non-assignable and non-exclusive license to use the software provided to you by YouTube as part of the Service. This license is for the sole purpose of enabling you to use and enjoy the benefit of the Service as provided by YouTube, in the manner permitted by this Agreement. You are not allowed to copy, modify, distribute, sell, or lease any part of the software, or to reverse-engineer or attempt to extract the source code of that software, unless laws prohibit these restrictions or you have YouTube's written permission.

Open Source

Some software used in our Service may be offered under an open source license that we make available to you. There may be provisions in an open source license that expressly override some of these terms, so please be sure to read those licenses.

Other Legal Terms

Warranty Disclaimer

OTHER THAN AS EXPRESSLY STATED IN THIS AGREEMENT OR AS REQUIRED BY LAW, THE SERVICE IS PROVIDED "AS IS" AND YOUTUBE DOES NOT MAKE ANY SPECIFIC COMMITMENTS OR WARRANTIES ABOUT THE SERVICE. FOR EXAMPLE, WE DON'T MAKE ANY WARRANTIES ABOUT: (A) THE CONTENT PROVIDED THROUGH THE SERVICE; (B) THE SPECIFIC FEATURES OF THE SERVICE, OR ITS ACCURACY, RELIABILITY, AVAILABILITY, OR ABILITY TO MEET YOUR NEEDS; OR (C) THAT ANY CONTENT YOU SUBMIT WILL BE ACCESSIBLE ON THE SERVICE.

Limitation of Liability

EXCEPT AS REQUIRED BY APPLICABLE LAW, YOUTUBE, ITS AFFILIATES, OFFICERS, DIRECTORS, EMPLOYEES AND AGENTS WILL NOT BE RESPONSIBLE FOR ANY LOSS OF PROFITS, REVENUES, BUSINESS OPPORTUNITIES, GOODWILL, OR ANTICIPATED SAVINGS; LOSS OR CORRUPTION OF DATA; INDIRECT OR CONSEQUENTIAL LOSS; PUNITIVE DAMAGES CAUSED BY:

- 1. ERRORS, MISTAKES, OR INACCURACIES ON THE SERVICE;
- 2. PERSONAL INJURY OR PROPERTY DAMAGE RESULTING FROM YOUR USE OF THE SERVICE;
- 3. ANY UNAUTHORIZED ACCESS TO OR USE OF THE SERVICE;
- 4. ANY INTERRUPTION OR CESSATION OF THE SERVICE;
- 5. ANY VIRUSES OR MALICIOUS CODE TRANSMITTED TO OR THROUGH THE SERVICE BY ANY THIRD PARTY;
- 6. ANY CONTENT WHETHER SUBMITTED BY A USER OR YOUTUBE, INCLUDING YOUR USE OF CONTENT; AND/OR
- 7. THE REMOVAL OR UNAVAILABILITY OF ANY CONTENT.

THIS PROVISION APPLIES TO ANY CLAIM, REGARDLESS OF WHETHER THE CLAIM ASSERTED IS BASED ON WARRANTY, CONTRACT, TORT, OR ANY OTHER LEGAL THEORY.

YOUTUBE AND ITS AFFILIATES' TOTAL LIABILITY FOR ANY CLAIMS ARISING FROM OR RELATING TO THE SERVICE IS LIMITED TO THE GREATER OF: (A) THE AMOUNT OF REVENUE THAT YOUTUBE HAS PAID TO YOU FROM YOUR USE OF THE SERVICE IN THE 12 MONTHS BEFORE THE DATE OF YOUR NOTICE, IN WRITING TO YOUTUBE, OF THE CLAIM AND (B) USD \$500.

Indemnity

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To the extent permitted by applicable law, you agree to defend, indemnify and hold harmless YouTube, its Affiliates, officers, directors, employees and agents, from and against any and all claims, damages, obligations, losses, liabilities, costs or debt, and expenses (including but not limited to attorney's fees) arising from: (i) your use of and access to the Service; (ii) your violation of any term of this Agreement; (iii) your violation of any third party right, including without limitation any copyright, property, or privacy right; or (iv) any claim that your Content caused damage to a third party. This defense and indemnification obligation will survive this Agreement and your use of the Service.

Third-Party Links

The Service may contain links to third-party websites and online services that are not owned or controlled by YouTube. YouTube has no control over, and assumes no responsibility for, such websites and online services. Be aware when you leave the Service; we suggest you read the terms and privacy policy of each third-party website and online service that you visit.

About this Agreement

Modifying this Agreement

We may modify this Agreement, for example, to reflect changes to our Service or for legal, regulatory, or security reasons. YouTube will provide reasonable advance notice of any material modifications to this Agreement and the opportunity to review them, except that modifications addressing newly available features of the Service or modifications made for legal reasons may be effective immediately, without notice. Modifications to this Agreement will only apply going forward. If you do not agree to the modified terms, you should remove any Content you have uploaded and discontinue your use of the Service.

Continuation of this Agreement

If your use of the Service ends, the following terms of this Agreement will continue to apply to you: "Other Legal Terms", "About This Agreement", and the licenses granted by you will continue as described under "Duration of License".

Severance

If it turns out that a particular term of this Agreement is not enforceable for any reason, this will not affect any other terms.

No Waiver

If you fail to comply with this Agreement and we do not take immediate action, this does not mean that we are giving up any rights that we may have (such as the right to take action in the future).

Interpretation

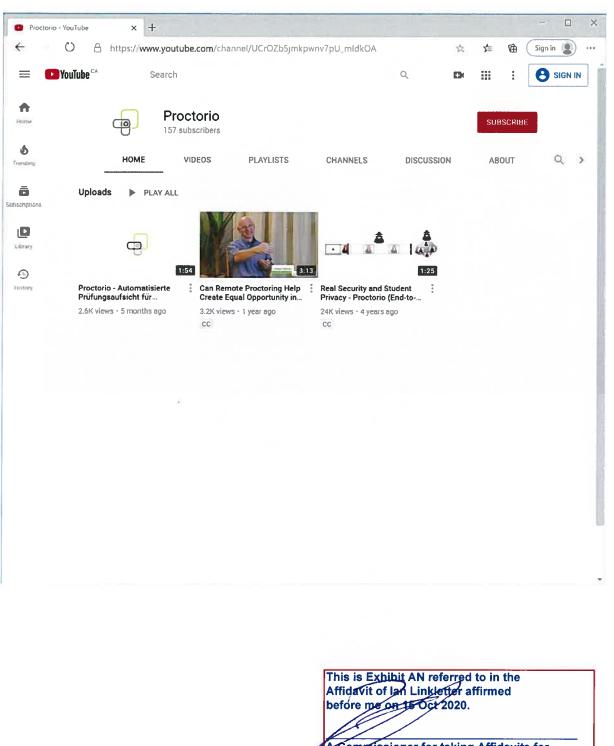
In these terms, "include" or "including" means "including but not limited to," and any examples we give are for illustrative purposes.

Governing Law

All claims arising out of or relating to these terms or the Service will be governed by California law, except California's conflict of laws rules, and will be litigated exclusively in the federal or state courts of Santa Clara County, California, USA. You and YouTube consent to personal jurisdiction in those courts.

Effective as of December 10, 2019 (view previous version)

		Language: English (UK) Location: Canada Restricted Mode: Off History Help
About	Press	Copyright Creators Advertise Developers
Terms	Privacy	/ Policy and Safety Send feedback Test new features



A Commissioner for taking Affidavits for British Columbia-

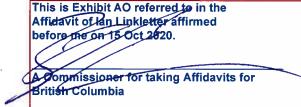
Change video privacy settings

Update the privacy settings of your video to control where your video can appear and who can watch it.

Computer Android iPhone & iPad

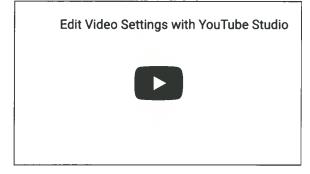
Change video privacy settings

- 1. Sign in to YouTube Studio
- 2. From the left menu, select Videos.
- 3. Hover over the video you'd like to update. To see your live uploads, select the Live tab.
- 4. Click the down arrow under "Visibility" and choose Public, Private, or Unlisted.
- 5. Save.



Watch how to change video privacy settings

Check out the following video from the TeamYouTube channel on how to change video privacy settings.



About privacy settings

Public videos

Public videos can be seen by anyone at YouTube. They can also be shared with anyone using YouTube. They're posted on your channel when you upload them and show up in search results and related video lists.

Private videos

Private videos and playlists can only be seen by you and the people you choose. Your private videos won't appear in the Videos tab of your channel page. They also won't show up in YouTube's search results. YouTube systems and human reviewers may review private videos for ad suitability, copyright, and other abuse prevention mechanisms.

To share a private video:

- 1. Sign in to YouTube Studio
- 2. From the left menu, select Videos.
- 3. Click the video you'd like to edit.
- 4. Hover over OPTIONS : and select Share privately.
- 5. Enter the email addresses of people you'd like to share your video with, then select SAVE.

Comments are not available on private videos. If you want to allow comments on a video that's not publicly available, change the privacy setting to unlisted.

Unlisted videos

Unlisted videos and playlists can be **seen and shared by anyone with the link**. Your unlisted videos won't appear in the **Videos** tab of your channel page. They won't show up in YouTube's search results unless someone adds your unlisted video to a public playlist.

You can share an unlisted video's URL with other people. The people you share the video with don't need a Google Account to see the video. Anyone with the link can also reshare it.

Feature	Private	Unlisted	Public
Can share URL	No	Yes	Yes
Can be added to a channel section	No	Yes	Yes
Shows up in search, related videos, and recommendations	No	No	Yes
Posted on your channel	No	No	Yes
Shows in Subscriber feed	No	No	Yes
Can be commented on	No	Yes	Yes

Give feedback about this article

Was this helpful?

Yes

No

Need more help?

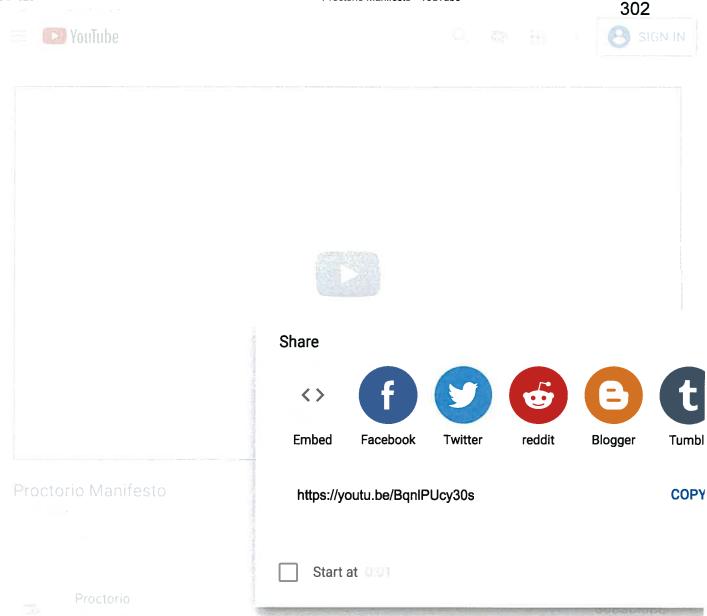
Try these next steps:

Ask the Help Community

Get answers from community experts

Contact us

Tell us more and we'll help you get there

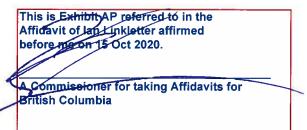


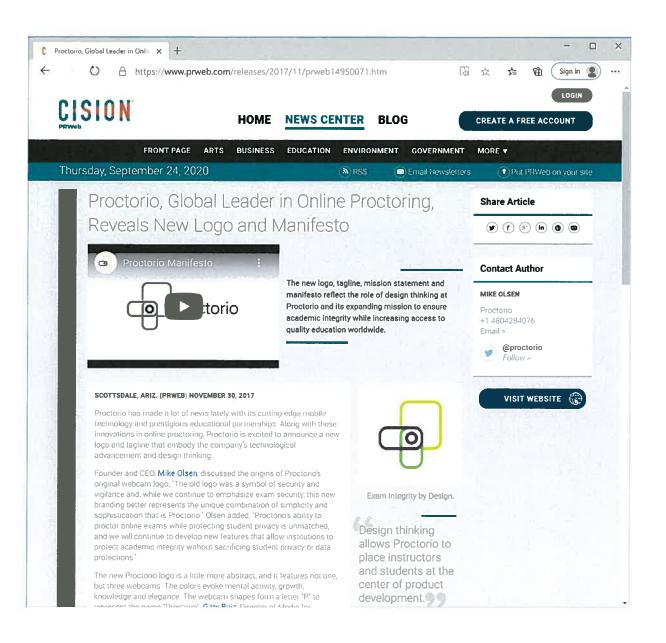


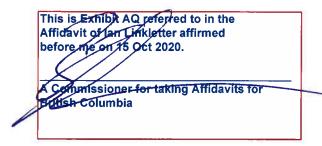




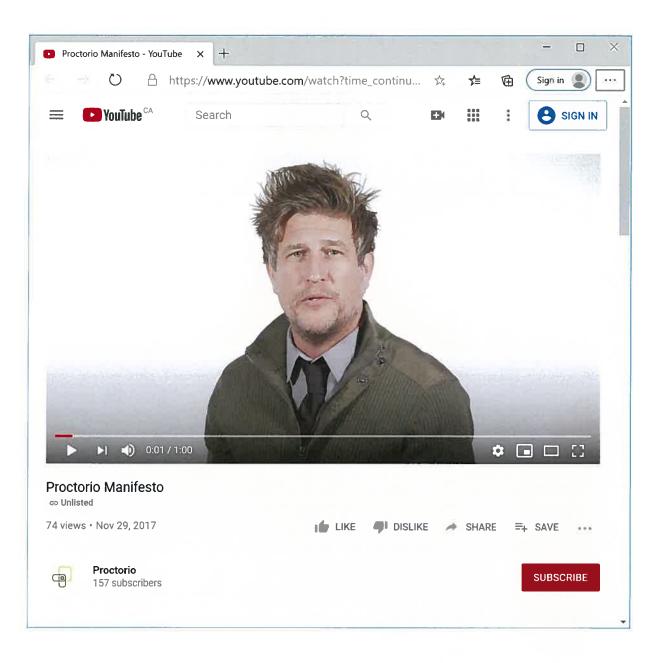
12 Year Old Boy Humiliates Simon Cowell

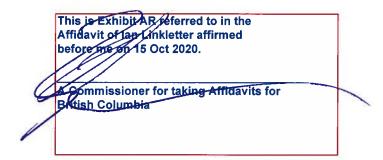


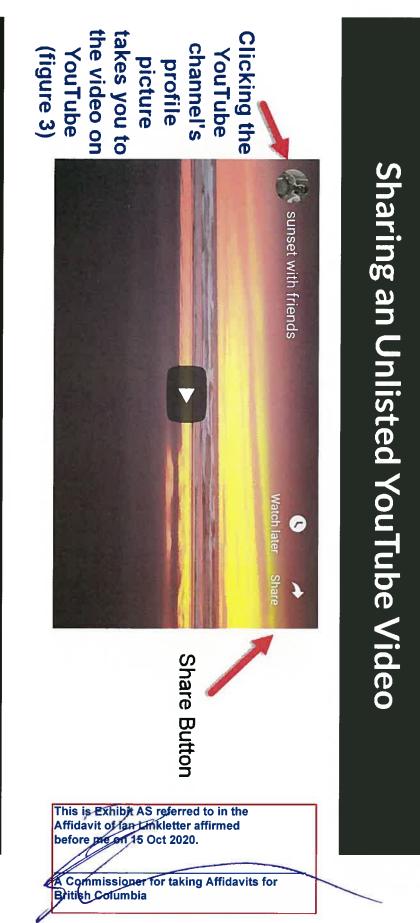












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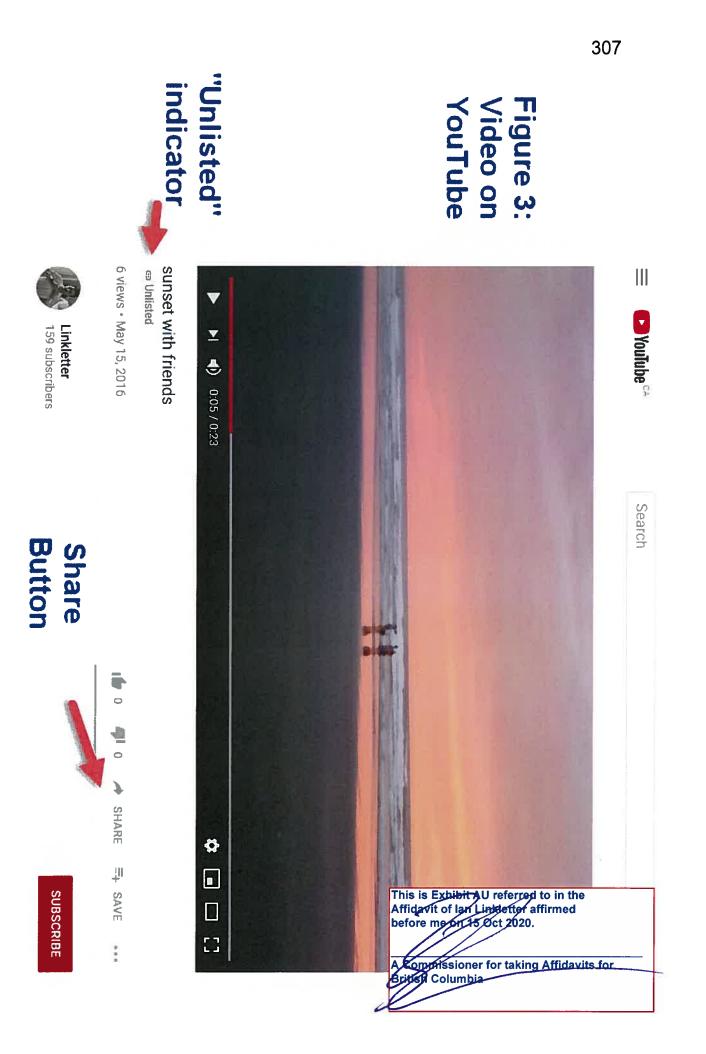
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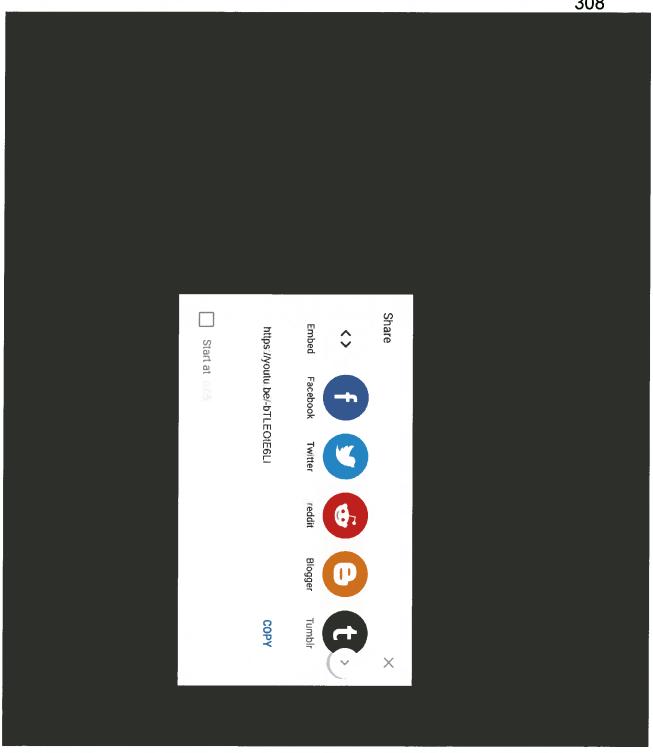
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Instructor Guide to Proctorio at UBC

What is Proctorio?

Proctorio is an **online remote proctoring** service hosted in Canada and integrated with Canvas, so UBC students can securely take exams from anywhere. With Proctorio, each student's exam is recorded with video and audio, along with screen and keyboard activity, to promote exam integrity.

In response to the Coronavirus (COVID-19), there is **currently no charge** associated with setting up Proctorio for the 2019W term. An update on costs for future terms will be provided in May 2020.

You can now activate Proctorio in any Canvas course. Online training sessions are listed on the <u>Keep</u> <u>Teaching support page</u>¹.

HOW DOES PROCTORIO SUPPORT TAKING REMOTE EXAMS?

Proctorio helps preserve exam integrity by:

- Recording students' video, audio, screen, and/or keyboard activity during an exam
- Requiring identity verification from each student before an exam
- Restricting what students can do on their computers during an exam (e.g., accessing other applications, websites, and browser windows/tabs)

Proctorio's algorithms analyze behavior within the recordings and flag potential issues for review. You have the final say on whether any flagged activity constitutes actual misconduct.

On the student side, remote proctoring does add new stressors for students, but also gives them the **flexibility** to choose the space (and, if you allow, time) they take their exam in. They can also use their computer for responding, which is easier than writing by hand. Without physical paper exams to manage, **grading turnaround** can be faster as well.

What do I need to use Proctorio?

- 1. Proctorio requires using the Chrome web browser. Make sure you have the <u>latest Chrome</u> <u>version</u>² installed.
- 2. Then install the **Proctorio Chrome extension**³.
- 3. Make sure you have set up a Canvas course 4.

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¹ <u>http://keepteaching.ubc.ca/support</u>

² https://www.google.com/chrome/

³ https://getproctorio.com/

⁴ <u>https://keepteaching.ubc.ca/#set-up-canvas</u>





To take a Proctorio exam, students must have:

- A **desktop or laptop computer** (mobile devices will not work)
- The **Chrome web browser** with the **Proctorio Chrome extension** installed on their computer
- A working webcam (if you plan to record video) and microphone (if you plan to record audio)
- A clear **photo identification** (e.g., UBCcard)

Students should also complete the exam in a private, quiet, well-lit location with access to a reliable Internet connection.

Learn more

- Other Proctorio system requirements⁵
- Proctorio FAQ on UBC's Keep Teaching site⁶

What are key considerations for using Proctorio?

ADDRESS STUDENT CONCERNS

Be clear and specific about your intent. Students may be reassured by explicitly stating:

- Proctorio is necessary to make sure the exam experience is fair for everyone. If you engage with the exam honestly, you do not need to worry about the recording, even if something unusual or unexpected happens during your exam (e.g., a loud noise).
- Proctorio only runs when you're in an exam in your Chrome browser (you will see a shield icon in the browser address bar when it's active). There is no separate program collecting your data and no data collection once you leave your exam. You can uninstall Proctorio when you are done by going to the <u>Proctorio Chrome extension page</u>⁷ and clicking Remove from Chrome.
- No person is watching you during the recording and only your course instructor and/or TA will review the recording afterward. Proctorio's role is limited to: a) providing temporary data storage for your video in Canada and b) running its automated algorithms to flag any moments in your video for review.
- An automated flag on your recording does not mean your instructor or TA will automatically assume you cheated or that you will receive a grade deduction. Human interpretation of the flags is essential; your instructor and/or TA makes the final call.

⁵ <u>https://proctorio.com/system-requirements</u>

⁶ <u>https://keepteaching.ubc.ca/faq/#proctorio-faq</u>

⁷ <u>https://chrome.google.com/webstore/detail/proctorio/fpmapakogndmenjcfoajifaaonnkpkei</u>





PROVIDE A PRACTICE EXAM

Consider giving students a no-stakes practice exam (that you can also take). This will allow everyone to get familiar with the Proctorio experience, *before* being in a high-pressure, high-stakes situation. For a practice exam, consider:

- 1. Labelling the practice exam very clearly, so students know they don't need to study anything, and potentially offering incentives for completing it.
- 2. Using Proctorio settings that will match your actual exam, so students know what to expect.
- 3. Encouraging students to try out lots of different actions during the practice session: mimicking what they'll want to do in a real exam and trying anything you will specifically restrict (e.g., open a webpage), just to see what happens.
- 4. Creating practice questions for this experience that also highlight important parts of using Proctorio (e.g., "Which of the following are not allowed during Proctorio assessments?").

How do I enable Proctorio in Canvas?

No matter how you want to assess students in Canvas (e.g., quizzes, written tests, exams), you can use the Canvas Quiz tool to build your assessment.

CREATE CANVAS QUIZZES THAT USE PROCTORIO

- 1. Using Chrome, log in to your Canvas course, and click **Settings** in the Course Navigation.
- 2. Click the Navigation tab.
- 3. Find the "Secure Exam Proctor" menu item, select the **options menu** (i.e., the 3 dots), and choose **Enable**.
- 4. Save your setting changes.
- 5. Now click **Quizzes** in the Course Navigation.
- 6. Click the +Quiz blue button, choose the Classic Quizzes option, and click Submit.
- 7. In the Details tab, enter the name of your quiz. Under "Quiz Instructions", provide assessment information. (See the instructor template in the appendix for suggested content.)
- 8. Set the quiz option settings:
 - o *Quiz type*: Graded
 - o *Time limit*: How long students will have to finish the exam. Please add 5 minutes for students to complete the Proctorio setup.
 - o *Availability from / Until*: The period of time the exam will be open for students before the exam automatically submits. Please add 30 minutes here for students to complete setup and deal with any technical issues.



- 9. Click the Enable Proctorio Secure Exam Proctor checkbox, then click the Proctorio Settings tab.
- 10. Proctorio Exam Settings are separated into four categories. If you are not sure what a setting means, hover over the option in Proctorio and click the **question mark**. These settings cannot be changed once the exam has started, so please carefully choose the appropriate options.
- 11. We <u>highly recommend</u> the following settings, particularly for exams. Settings will become blue once you click to enable them.
 - Recording Options
 - Enable: Video, Audio, Screen, Web Traffic, Room Scan: Scan at Start (option when you click Record Room)
 - Don't use: Room Scan: Intelligent Scan (students may be required to redo their room scan multiple times during the exam)
 - Lock Down Options
 - Enable: Only One Screen, In Quiz Links Only (option when you click Disable New Tabs), Close Open Tabs, Disable Printing, Disable Clipboard, Block Downloads, Clear Cache, Disable Right Click
 - Disable: Force Full Screen (requires students to stay on the exam the whole time, which makes troubleshooting technical issues hard), Prevent Re-entry (locks students out of their exam for potentially benign behaviour, requiring administrative work by you to resolve)
 - Verification Options
 - **Enable**: Video, Audio, Desktop, ID, Signature
 - In-Quiz Tools
 - Disable: Calculator, Whiteboard
- 12. Set your Proctorio Behavior Settings:
 - o *Frame Metrics*: Indicate which student behaviours you want automatically flagged in the recordings. You can leave these levels set to Proctorio's default recommended settings, choose from preset options, or set a custom level.
 - o *Exam Metrics*: Measure abnormalities comparing one student's actions to the rest of the exams in the class. These metrics work best in larger class sizes that offer a better sample pool (50+ students); in smaller classes, it's better to leave them disabled.
- You are now ready to add questions to your exam. This process is the same for proctored and non-proctored Canvas Quizzes. Follow the <u>steps for adding questions to Canvas Quizzes</u>⁸ on the Keep Teaching site.

Tips

- Note that Proctorio Exam Settings cannot be changed after any students have completed their exams, but the Behaviour Settings can.
- Proctorio cannot be enabled on the Canvas quiz type known as "New Quizzes".

⁸ <u>https://keepteaching.ubc.ca/assignments-assessments/#quiz-questions</u>



Learn more

- How to create a Canvas "Classic Quiz"⁹
- <u>Video tutorial of Proctorio exam settings</u>¹⁰

How do I review Proctorio recordings?

PROCTORIO BEHAVIOR SETTINGS

Proctorio runs its algorithm on student recordings based on your **Behavior Settings**, which include Frame Metrics and Exam Metrics. **Frame Metrics** let you indicate which student behaviours you want automatically flagged in the recordings. **Exam Metrics (aka Abnormalities)** allow you to set which behaviours should also be compared against the class as a whole, with anomalies automatically flagged.

Proctorio Behaviour Settings determine an overall **Suspicion Level** to assign to each exam (based roughly on its number of flags): high (red), moderate (yellow), or low (green). Choosing more sensitive settings will trigger more suspicious (red and yellow flagged) exams.

By default, Proctorio will configure your exam using their recommended Behavior Settings, but these can be adjusted at any time. These settings are quite sensitive, thus the middle (yellow) behaviour settings are typically enough to catch potentially problematic behaviours.

After the exam, review a few flagged videos to see if settings need to be adjusted to provide a meaningful algorithmic review. Instructional teams can then choose a strategy for reviewing potentially problematic recordings and discuss what to do if a problem is confirmed.

Learn more

- <u>Video tutorial of Proctorio Behavior Settings</u>¹¹
- <u>Video tutorial of Proctorio Abnormalities</u>¹²

VIEW THE PROCTORIO GRADEBOOK

After the exam, you can review outcomes in the **Proctorio Gradebook**, accessible within each proctored Canvas Quiz. You'll get a quick Suspicion Level colour indicator to show you if any exams should be reviewed further and where potential issues in each flagged exam occurred.

- 1. Make sure you are in a private location to respect student privacy.
- 2. Using Chrome, log in to your Canvas course, and click Quizzes in the Course Navigation.
- 3. Click the **quiz** you wish to view.
- 4. On the right sidebar, click View Proctorio Gradebook.

⁹ https://community.canvaslms.com/docs/DOC-12943-4152724267

¹⁰ <u>https://youtu.be/ayh1R02pLz0</u>

¹¹ https://youtu.be/92MXs6YuReE

¹² <u>https://youtu.be/DcszbOfYe6o</u>





- 5. The Suspicion Level column shows color indicators for each exam, with high Suspicion Level exams indicated with red.
 - *Remember*: Suspicion Level is determined by Behavior Settings that you can adjust, if you think Proctorio has flagged too many or too few exams.
- 6. Click the **row** of the exam you would like to review.
- 7. Each exam contains everything you asked Proctorio to record, such as video/audio recording, desktop recording, and student identity verification.
- 8. When Proctorio has flagged potentially suspicious activity, it will be indicated in red along the recording timeline. Scan through these moments to determine whether any flagged activity constitutes actual misconduct.

Tips

- If more than 20% of your exams are classified with high Suspicion Level, you may want to spot-check a few recordings and adjust your Behaviour Settings. It is unlikely this many students would actually cheat.
- Review high-suspicion moments in videos by jumping to the flagged section(s) in each recording timeline instead of watching the whole video.
- Choosing *low* Suspicion Level recordings for random checks is also recommended.

Learn more

- <u>Video tutorial of Proctorio Gradebook</u>¹³
- <u>Video tutorial of Proctorio behavior flags</u>¹⁴

Where can I get more support with Proctorio?

- You can reach out to support staff via the <u>Keep Teaching support page</u>¹⁵. They can help you or help with issues you're having with students accessing Canvas or Proctorio.
- You can share UBC's <u>Proctorio student guide¹⁶</u> with students.
- You can send students needing technical support to <u>Proctorio Support</u>¹⁷.
 - Inside an active exam: Students can also click the shield icon in their web browser, address bar then click Live Chat.
 - Outside an exam: Students can also connect via phone (1-866-948-9087) or email (<u>support@proctorio.com</u>).

¹³ <u>https://www.youtube.com/watch?v=b2BIbatkTyY</u>

¹⁴ <u>https://youtu.be/OG1jwAugTOs</u>

¹⁵ https://keepteaching.ubc.ca/support/

¹⁶ <u>https://ctlt-act-2020.sites.olt.ubc.ca/files/2020/03/proctorio-instructor-guide.pdf</u>

¹⁷ https://proctorio.com/support



Appendix: Instructor Template

The following is based on a template created by Fareed Teja for UBC Sauder School of Business and modified by Brian Powell at the Centre for Teaching & Learning at UBC-Okanagan. We encourage you to reuse and adapt it in the instructions for your Canvas quiz.

Note: Any content in [brackets] should be modified or verified by you before posting.

The [course code] [exam name] will take place on **[day]**, **[date]**, **[year] at [time]**. The exam will be [#] minutes long. You will be taking this exam remotely from a location of your choice, and your exam will be proctored online by a service called Proctorio. Proctorio is embedded in Canvas, and will record your [screen, webcam, and microphone].

REQUIREMENTS

In order to ensure a smooth exam experience, you must have:

- A desktop or laptop computer (mobile devices will not work)
- The Chrome web browser with the Proctorio Chrome extension installed
- A working [webcam and microphone]
- A clear **photo identification** (e.g., UBCcard)
- A private, reliable, quiet, well-lit location with access to a reliable Internet connection

WHAT YOU NEED TO KNOW ABOUT PROCTORIO

- Proctorio is necessary to make sure the exam experience is fair for everyone. If you engage with the exam honestly, you do not need to worry about the recording, even if something unusual or unexpected happens during your exam (e.g., a loud noise).
- Proctorio only runs when you're in an exam in your Chrome browser (you will see a shield icon in the browser address bar when it's active). There is no separate program collecting your data and no data collection once you leave your exam. You can uninstall Proctorio when you are done by going to the Proctorio Chrome extension page and clicking Remove from Chrome.
- No person is watching you during the recording and only [I and/or the teaching assistants] will review the recording afterward. Proctorio's role is limited to: a) providing temporary data storage for your video in Canada and b) running its automated algorithms to flag any moments in your video for review.
- An automated flag on your recording does not mean [I and/or the teaching assistants] will automatically assume you cheated or that you will receive a grade deduction. Human interpretation of the flags is essential; we make the final call.



WHAT YOU NEED TO KNOW BEFORE YOU START

Once you start the exam, please do not refresh your browser, use browser buttons, or navigate away from the exam screen until the exam has been submitted.

If you are asked to enter a password or access code (Proctorio does not require either) or receive an error message:

- 1. Ensure you are using the latest version of the Chrome web browser and have the Proctorio Chrome extension installed.
- 2. Clear your browser history and cache in Chrome: <u>https://support.google.com/accounts/answer/32050?co=GENIE.Platform%3DDesktop&</u> <u>hl=en</u>
- 3. Uninstall the Proctorio extension: https://support.google.com/chrome_webstore/answer/2664769?hl=en
- 4. Reinstall the Proctorio extension: <u>https://getproctorio.com/</u>

If you are still unable to access your exam, please contact technical support.

Technical support is provided by Proctorio Support (<u>https://proctorio.com/support</u>). To reach them:

- Inside an active exam: Click the shield icon in your web browser address bar, then click Live Chat.
- Outside an exam: Connect via phone (1-866-948-9087) or email (support@proctorio.com).
- When you click to take the exam, you will need to complete some technical pre-checks to make sure everything is working. You will also be asked to rotate your webcam 360 degrees to do a full room scan. Please ensure that you show your testing surface (i.e., your desk), and everything around you.
- Note that if you run out of time, your exam will automatically submit, so be aware of how much time remains. When you are done, manually click the "Submit" button at the bottom of the screen.

Good luck on your exam!

A Guide from UBC's Keep Teaching Website (keepteaching.ubc.ca)

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Use the Proctorio Gradebook

Gradebook, accessible within each proctored Canvas assessment After the exam, you can review outcomes in the Proctorio You'll get a Suspicion Level colour indicator to show if any exams

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- 5. The Suspicion Level column shows color indicators for each exam, with high Suspicion Level exams indicated with red.
- Suspicion Level is determined by Behavior Settings you can adjust, if you think Proctorio has flagged too many or too few exams
- 6. Click the row of the exam you would like to review. Each verification such as video, audio, screen, and student identity exam contains everything you asked Proctorio to record,
- 7. When Proctorio has flagged potentially suspicious activity these activities will be indicated in red along the recording whether any flagged activity constitutes actual misconduct timeline. Scan through these moments to determine

🗘 Tips

- If more than 20% of your exams are classified with high students would actually cheat and adjust your Behaviour Settings. It is unlikely this many Suspicion Level, you may want to spot-check a few recordings
- Review high-suspicion moments in videos by jumping to the Video flags in each recording timeline instead of watching the whole
- Pick a few low Suspicion Level recordings for random checks to ensure the algorithms are flagging what you want them to
- Learn more in Proctorio's video tutorials
- Proctorio Behavior Settings
- Proctorio Abnormalities
- Proctorio Gradebook
- Proctorio behavior flags

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Try not to stress about reviewing every minute of the recordings Remote proctoring in and of itself naturally deters academic dishonesty by adding barriers to searching and colluding online

Where can I get more support with Proctorio?

Technical support

If you have trouble with Canvas or Proctorio:

Contact your faculty's Instructional Support Unit as your first point of contact

Learn more

- Read UBC-specific answers to Proctorio Teaching site irequently asked questions on the Keep
- Check for any <u>upcoming UBC Proctorio</u>

Contact us for further assistance

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- The Suspicion Level column shows color indicators for each exam, with high Suspicion Level exams indicated with red.
- Suspicion Level is determined by Behavior Settings you can adjust, if you think Proctorio has flagged too many or too few exams.
- 6. Click the row of the exam you would like to review. Each exam contains everything you asked Proctorio to record, such as video, audio, screen, and student identity verification.
- 7. When Proctorio has flagged potentially suspicious activity, whether any flagged activity constitutes actual misconduct. timeline. Scan through these moments to determine these activities will be indicated in red along the recording

C Tips

- If more than 20% of your exams are classified with high students would actually cheat. and adjust your Behaviour Settings. Suspicion Level, you may want to spot-check a few recordings
- ٠ Review high-suspicion moments in videos by jumping to the flags in each recording timeline, instead of watching the whole video.
- Pick a few low Suspicion Level recordings for random checks to ensure the algorithms are flagging what you want them to
- Learn more in Proctorio's video tutorials:
- Proctorio Behavior Settings
- Proctorio Abnormalities

.

- Proctorio Gradebook
- ۰ Proctorio behavion lags
- . Remote proctoring in and of itself naturally deters academic Try not to stress about reviewing every minute of the recordings.

dishonesty by adding barriers to searching and colluding online

Where can I get more support with Proctorio?

Technical support

If you have trouble with Canvas or Proctorio:

- Contact your faculty's Instructional Support Unit as your first point of contact

- .

Learn more

- Teaching

- Contact us for further assistance:
- https://youtu.be/OGTjwAugTOs

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It is unlikely this many

 Read UBC-specific answers to Proctorio trequently asked questions on the Keep site.

Check for any upcoming UBC Proctorio



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lan Linkletter @Linkletter



9:21 PM · Aug 23, 2020 · Twitter Web App



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View Tweet activity

1 Retweet 2 Quote Tweets 2 Likes

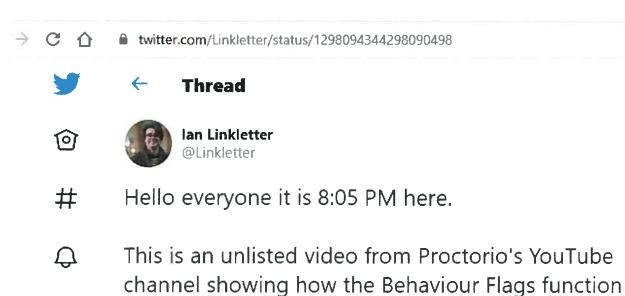




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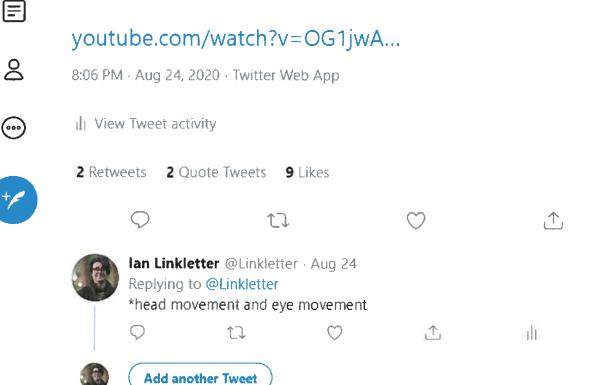


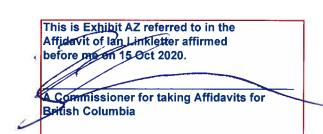
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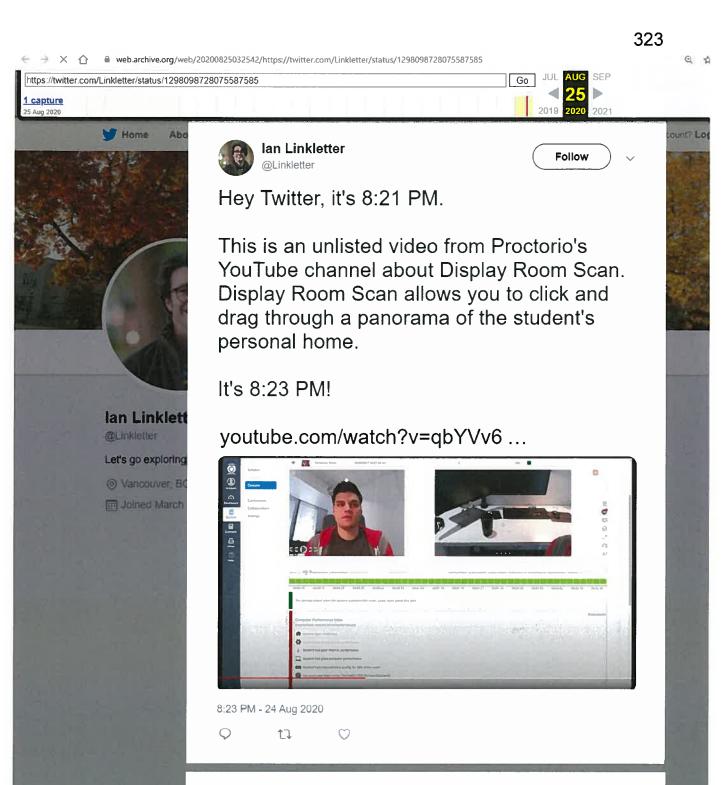




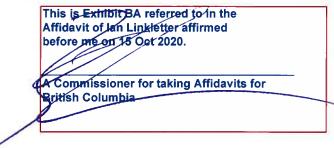
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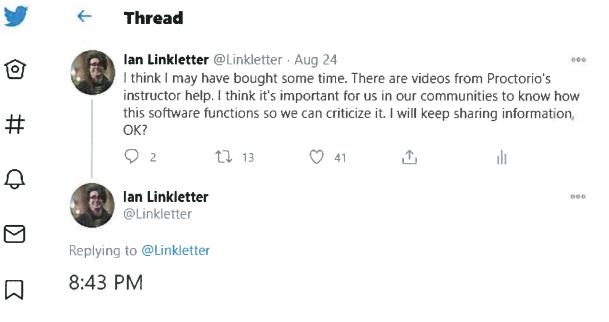
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This video from Proctorio's YouTube channel shows how the Abnormal Eye Movement function works. This is the one that will show you, beyond a doubt, the emotional harm you are doing to students by using this technology.



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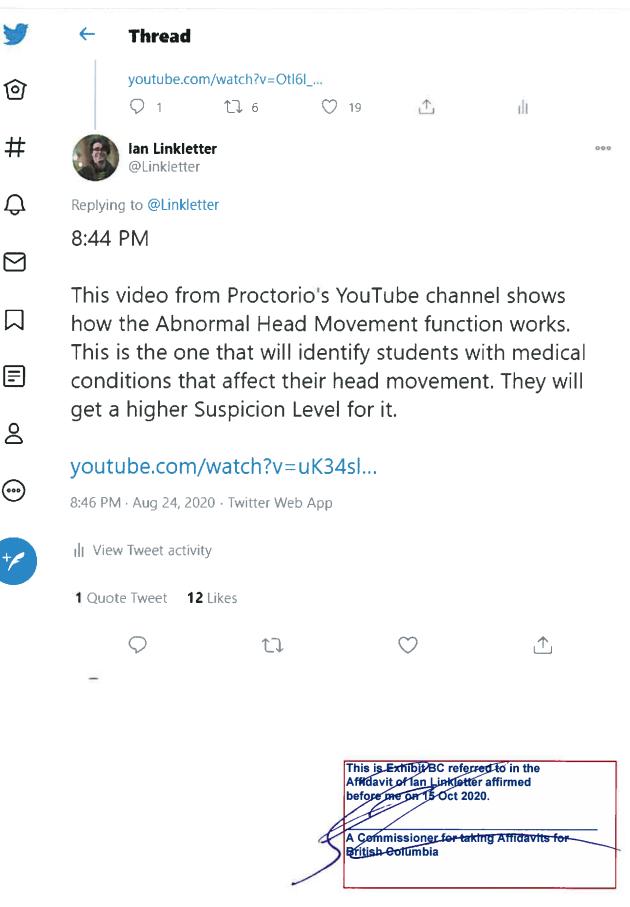
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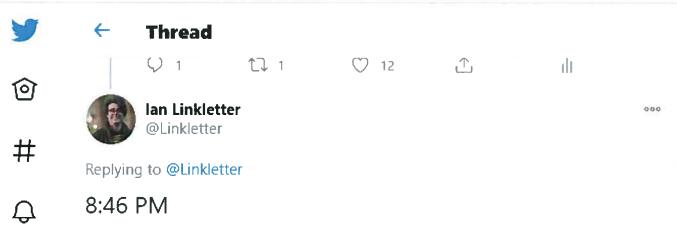
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 This video from Proctorio's YouTube channel shows how you can configure the Record Room feature. This feature gives you the ability to configure whether you will make students record their room at the start of an exam or throughout it.

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youtube.com/watch?v=omK_Zt...

8:47 PM · Aug 24, 2020 · Twitter Web App



II View Tweet activity



1 Retweet 9 Likes

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4 Thread \mathcal{Q}_{1} 171 9 \square ali. 101 **Ian Linkletter** 000 @Linkletter # Replying to @Linkletter 8:49 PM and then I need to go on the run! Д This video about Behaviour Settings shows you how to create your own custom settings for what behaviour makes someone suspicious. The important thing is that the choice is yours, not theirs, got it? This is Exhibit BE referred to in the Affidavit of the Linkletter affirmed youtube.com/watch?v=92MXs6... before prof 15 Oct 2020. 2 8:51 PM - Aug 24, 2020 - Twitter Web App Acommissioner for taking Affidavits for II View Tweet activity British Volumbia \bigcirc 1 Ouote Tweet 10 Likes \bigcirc \bigcirc 11 T. Irwin DeVries @IrwinDev - Aug 24 0.00 Replying to @Linkletter This is hideous. $\bigcirc 1$ \triangle \bigcirc 11 Em::</br>

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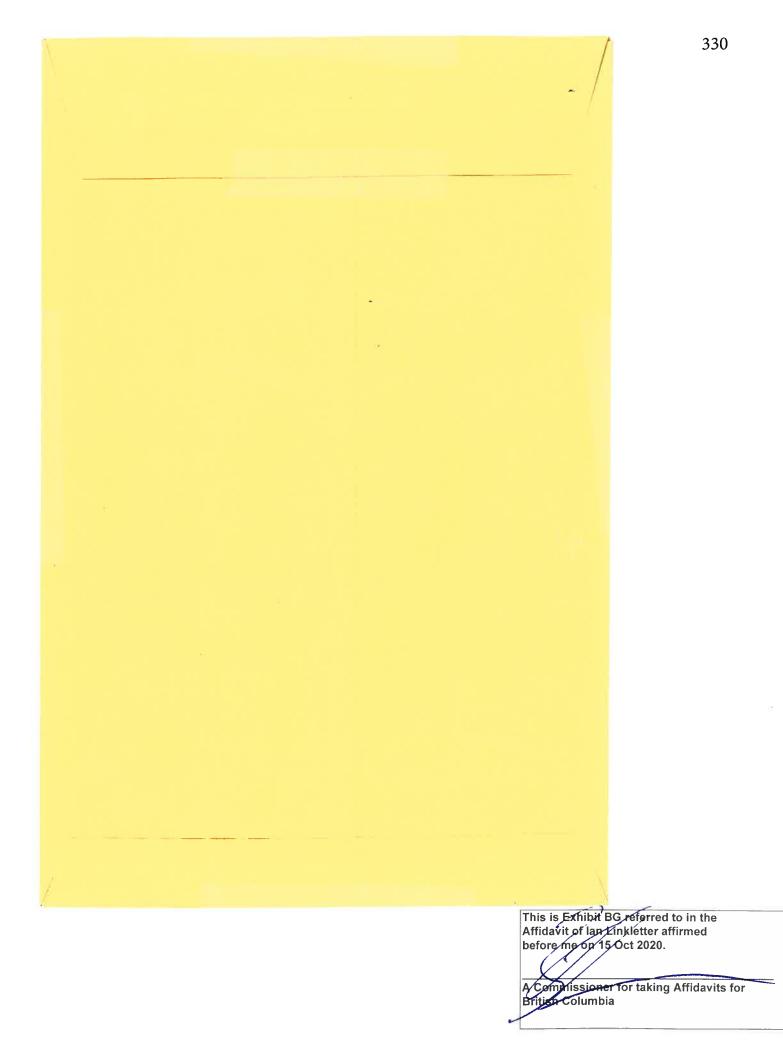
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Proctorio Instructor Guide

This is Exhibit BH referred to in the Affidavit of Ian Linkletter affirmed before me on 15 Oct 2020.



Proctorio is an online remote proctoring tool that allows you to give an invigilated quiz or exam in Canvas. In place of a physical person in the room with your students, you can have Proctorio record their webcams, microphones, screens, and any websites they visit during the assessment.

After an exam, Proctorio's algorithms automatically look over student recordings. You set the behaviours you want flagged as unusual activities by the algorithms. You and/or your teaching assistants then review the recordings and flags to determine whether any activity constitutes actual misconduct. The encrypted recordings are retained for two years before they are deleted.

Cost	•	Free (funded in response to COVID-19 through April 2021)
Bandwidth	A	High demand
Canvas Integration	0	Works within Canvas
Privacy	•	Proctorio is <u>FIPPA</u> (<u>https://universitycounsel.ubc.ca/subject-</u> <u>areas/access-and-privacy-general/access-to-</u> <u>information/about-fippa/)</u> compliant and data is stored securely in Canada
Similar UBC- Supported Tools		LockDown Browser (/guides/lockdown-browser) is also centrally supported

What can I use it for?

With Proctorio, you can help preserve exam integrity in Canvas courses in three main ways:

- Requiring identity verification from each student before an exam
- Recording students' exam video, audio, screen, and/or keyboard activity

 Restricting what students can do on their computers during an exam (e.g., no printing, copying, accessing other websites)

What do I need to use Proctorio?

A desktop or laptop computer with Chrome web browser

Proctorio must be used on a **desktop or laptop computer** (mobile devices will not work), with the latest <u>Chrome web browser</u> (<u>https://www.google.com/chrome/)</u> and <u>Proctorio Chrome extension</u> (<u>https://getproctorio.com/)</u> installed.

 Proctorio can be used on Windows, Mac, Linux, and Chrome Operating Systems. You can view the minimum technical requirements (<u>https://proctorio.com/support#minReqs</u>) on Proctorio's site.

Audio/visual equipment

Students must also have a **webcam** and **microphone**, but these are not required for instructors and teaching assistants to review their recordings.

A Canvas course

Protorio only works in Canvas courses at UBC.

🖒 Tips

- If students have trouble meeting requirements, help accommodate them.
 - For technical issues, direct them to UBC's <u>Proctorio student guide</u> (<u>https://keepteaching.ubc.ca/files/2020/03/proctorio-student-guide.pdf</u>).
 - If they have financial barriers to accessing online classes and exams, encourage them to speak with a <u>financial advisor</u> (<u>https://keeplearning.ubc.ca/support/#finances</u>).
 - If any students are unable to meet requirements due to a disability, direct them to an <u>accessibility advisor</u> <u>(https://keeplearning.ubc.ca/support/#academics)</u>.
- One possible alternative to Proctorio is LockDown Browser. Visit the LockDown Browser instructor guide (<u>https://lthub.ubc.ca/guides/lockdown-browser/</u>) for more information.

You first need to install the Proctorio extension in Chrome in order to create your exam.

Click any bar below for instructions and tips for using Proctorio in Canvas.

> Install Proctorio in Chrome

> Prepare students for Proctorio exams

> Create a Canvas assessment that uses Proctorio

> Understand Proctorio behaviour settings

Understand Proctorio behaviour settings

Proctorio runs its algorithms on student recordings based on your **Behavior Settings**, which include Frame Metrics and Exam Metrics. **Frame Metrics** let you indicate which student behaviours you want automatically flagged in the recordings. **Exam Metrics (aka Abnormalities)** allow you to set which behaviours should also be compared against the class as a whole, with anomalies automatically flagged.

Proctorio Behaviour Settings determine an overall **Suspicion Level** to assign to each exam, based roughly on its number of flags: high (red), moderate (yellow), or low (green). Choosing more sensitive settings will trigger more suspicious (red and yellow flagged) exams.

By default, Proctorio will configure your exam using their recommended Behavior Settings, but these settings can be adjusted at any time. They are quite sensitive, thus the middle (yellow) behaviour settings are typically enough to catch potentially problematic behaviours.

After the exam, review a few flagged videos to see if settings need to be adjusted to provide a meaningful algorithmic review. Instructional teams can then choose a strategy for reviewing potentially problematic recordings, including when and how to reach out to students with questions and what to do if a problem is confirmed. Where can I get more support with Proctorio?

Technical support

If you have trouble with Canvas or Proctorio:

- Contact your faculty's <u>Instructional Support Unit</u> (<u>http://lthub.ubc.ca/support/instructional-units/</u>) as your first point of contact
- Contact us for further assistance: 604 827 4775 or <u>lt.hub@ubc.ca</u> (mailto:lt.hub@ubc.ca) or visit the <u>LT Hub online</u> (<u>https://ca.bbcollab.com/guest/a16a9988f1154cb7be11c58ac2a4edac</u>)
- Contact Proctorio directly: 1866 948 9087 or <u>support@proctorio.com</u> (mailto:support@proctorio.com)

If students contact you with technical issues while taking a Proctorio exam:

- Contact Proctorio directly: 1 866 948 9087 or <u>support@proctorio.com</u> (mailto:support@proctorio.com)
- Inside an active exam, students can access live chat by clicking the shield icon located in the Chrome browser address bar, then clicking Live Chat
- Share UBC's <u>Proctorio student guide</u> (<u>https://keepteaching.ubc.ca/files/2020/03/proctorio-</u> <u>student-guide.pdf</u>)

Learn more

- Read UBC-specific answers to <u>Proctorio frequently asked questions</u> (<u>https://keepteaching.ubc.ca/support/#TechnologyFAQ-</u> <u>2#proctorio-faq</u>) on the Keep Teaching site.
- Check for any <u>upcoming UBC Proctorio workshops</u> (<u>https://keepteaching.ubc.ca/support/#ContactsTraining-</u> <u>O#workshops</u>) on the Keep Teaching site.

 Although the recordings are scanned by Proctorio's algorithms, the footage is only available to the appropriate users at UBC. No person at Proctorio can access the recordings or data, as they are stored using zero-knowledge encryption, meaning Proctorio does not have the key to decode the encryption.

Instructor template

The following is based on a template created by Fareed Teja for UBC Sauder School of Business and modified by Brian Powell at the Centre for Teaching & Learning at UBC-Okanagan. We encourage you to reuse and adapt it in the instructions of your Canvas quiz.

Please click the bar below to see and copy the text.

> Proctorio instructor template

<u>« See all tools (/guides/all/)</u>

Learning Technology Support Hub

Irving K. Barber Learning Centre 2.27 - 1961 East Mall Vancouver, BC Canada V6T 1Z1 Tel 604 827 4775 Email <u>LT.hub@ubc.ca (mailto:LT.hub@ubc.ca)</u>

This is Exhibit BI referred to in the Affidavit of Ian Linkletter affirmed before mo on 15 Oct 2020.



Commissioner for taking Affidavits for Braish Columbia Job Aid

Proctorio Options Explained

Proctorio is a remote exam proctoring service that ensures the integrity of learning assessments.

This job aid explains the different options in Proctorio for securing remote exam delivery. The options are categorized according to their effectiveness and risk to student privacy.

Recommended

• Features that BCIT recommends for use because they provide practical security measures and are not considered invasive to student privacy.

Use with Caution

- Features that may have security benefits but that are more invasive and, therefore, require advanced notice and an opt-out option for students not willing to assume the risk.
- Features with a level of complexity for use that may be beyond the ability of some students.

Not Recommended

• Features that do not provide reasonable security, pose unreasonable expectations on students, or are overly invasive.

Do Not Use

• Features that you are strongly encouraged not to use. Alternatives are available in the Learning Hub.

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Proctorio Setup Support Recommended Features	4
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Exam Security in General

While Proctorio provides a very powerful suite of features, no technology should be considered a replacement for pedagogically sound assessment design.

Technologies such as Proctorio are invasive when it comes to controlling the student's computer during an exam and, therefore, are open to failures caused by user error, network connections, user hardware and competing software. These are facts of life in the computer world, not failings of a system, and they should be expected from time to time.

When selecting the Proctorio features to use, BCIT recommends that you first consider the nature of your assessment, your student profile, and your overall assessment model. Then, choose only the Proctorio features that you feel enhance all of these. The more complex you make the Proctorio experience, the higher the risk of problems during the student experience.

Consider your time because post-exam data reviews can get more complex as you select more Proctorio monitoring and reporting features. Factor how much time it will take for the number of students you need to assess.

Privacy Compliance

Proctorio has been approved for use at BCIT, as a temporary option, to support remote testing. While recordings are not stored in Canada, the easement of this requirement, in BC's privacy legislation, enables its use. In addition, the method of data encryption used by Proctorio further secures user data while in transit and storage.

Instructors should consider the BCIT's privacy compliance model that requires students to be informed of specific prerequisites, including computer hardware, required to successfully complete the course. Generally, we are not able to "surprise" students with additional requirements after they have registered. In the case of the COVID-19 emergency conditions, this requirement has not been possible when imposing unanticipated exam monitoring requirements mid-term.

There may be cases where students are not able to meet the technical requirements of the options chosen for individual exams. These may include cases where:

- Students do not have access to the required hardware, such as webcams or microphones.
- Students are not able to setup their remote testing environment to eliminate exposure to the privacy of others.

In cases of reasonable objections, program faculty should plan to accommodate these learners in other ways such as:

- Arranging for the student to delay their learning and assessment until face-to-face exam supervision becomes available.
- Arranging for the student's transfer to a future term to complete their learning.
- Alternative assessment options.

Proctorio Setup

Please refer to the job aid <u>Remote Exam Proctoring: Setup</u> for step-by-step instructions on how to set up your system to use Proctorio.

- Proctorio requires the use of the Chrome browser as well as a Proctorio plug-in for both the instructor and the students. The use of any other browser will not permit the exam to start.
- Proctorio requires a computer, PC or Mac. The use of mobile devices will not permit the exam to start.

Support

- Educational Technology Services (ETS)
 - o <u>techhelp@bcit.ca</u>—604-412-7444/1-800-351-5533 (option 2)
- Your school's LTC liaison
 - o <u>https://www.bcit.ca/ltc/contact/liaisons.shtml</u>

Recommended Features

Computer-Based Abnormalities

Abnormalities between the actions of an individual student and those of the rest of the class. Flags statistical differences in a student's behaviour for instructor follow-up.

	Will report when a student has left the Learning Hub exam screen significantly more or less than the other students taking the same exam. When to enable: closed-book exams
•	When not to enable: exams that are locked down with the Force Full Screen option
•	 Flagging: Abnormally high: A student would be flagged with excessively high navigating away if they used external programs or websites significantly more than the rest of the class. This could indicate that the student was using unauthorized materials or was struggling to understand content external to the exam. Abnormally low: A student who is navigating away significantly less may have had a second to the student to the student to the second to the student to the student to the second to the second to the student who is navigating away significantly less may have had a second to the student to the student to the second to second to the student to the second tot the second to the secon
•	 had access to answers before starting the exam and would not need to interact with external resources as often as the rest of the class. How to review: Use the Proctorio incident log to find locations where the student was navigating away from the quiz page and review those sections of the session tracking.
•	 Complimentary Features: Use Record Screen to review what the student was looking at throughout the exam. This will show any external websites or programs. Use Record Web Traffic to review any external websites that were accessed during the exam.
rokes	 Will report when a student types on the keyboard significantly more or less than the other students. When to enable: written-response exams When not to enable: multiple-choice exams Flagging: Abnormally high: A student may require more keystrokes on a written-response exam if they are having difficulties with the answers. If a student is struggling to come up with the correct answers, they will use additional
•	 keystrokes as they change their responses. Abnormally low: A student performing fewer keystrokes could indicate that the student had access to the answers or was lifting their responses from an outside source. How to review: Use Record Screen to view what the student was looking at throughout the exam. This can show if the student is consistently changing their answers or if they have pasted in values received externally. If the student had an abnormally low number of keystrokes, look for other ways that the student could have possibly brought in answers. Use the Proctorio incident log to find areas

Copy & Paste	 Will report when a student has copied or pasted values significantly more or less often than the other students. When to enable: exams that allow students to use the clipboard When not to enable: exams that have Disable Clipboard enabled Flagging: Abnormally high: An attempt may have a higher amount of clipboard activity if the student copied material into the exam or took question material out of the exam. Abnormally low: Some exams may require the student to copy and paste formulas, long digits, or phrases into the exam. When a student has performed a much lower number of copying and pasting actions, it may indicate that they have access to the answers. How to review: Use Record Screen to determine what the student was looking at throughout the exam. This will show what the student copied and pasted and other programs or websites that could have been used to take material from or paste material into. Use the Proctorio incident log to find instances where the student has copied and pasted.
Browser Resize	 Will report when a student has resized the browser significantly more or less than the other students have. When to enable: open-internet or open-program exams When not to enable: locked-down exams Flagging: Abnormally high: Students who attempt to hide unauthorized materials behind the browser may resize the browser to make them visible. Abnormally low: Students will need to adjust the size of their browser or minimize it on exams that require the use of additional programs. If a student has an abnormally low amount of resizing on exam environments such as this, it could indicate that they had access to the answers. How to review: Use Record Screen to determine what the student was looking at throughout the exam. This will show what the student has behind the quiz page or what becomes visible by resizing the browser. Use the Proctorio incident log to find instances where the student has resized the browser.
Mouse Movement	 Will report when a student has moved the mouse significantly more or less than the other students. When to enable: multiple-choice exams When not to enable: free-response exams Flagging: Abnormally high: If the student has moved the mouse significantly more often than the rest of the class, their attempt will be flagged with abnormally high mouse movement. This could indicate that the student was struggling to answer the questions and interacted with the quiz. Abnormally low: If the student has moved the mouse significantly less often than the rest of the class, their attempt will be flagged with abnormally low mouse movement. This could be an indication that the student had received the answers before the start of the test. How to review: Check to see if the student scored an unusual grade and taken longer or shorter than the rest of the class. Use Record Screen to see what the student was looking at, how often they changed questions, and the length of time it took to answer questions.

	• Will report when a student has scrolled within the quiz page significantly more or less than the other students.
	 When to enable: open-internet or open-program exams
	When not to enable: locked-down exams
Scrolling	 Flagging:
Jeroning	 Abnormally high: If the student has scrolled significantly more often than the rest of the class, their attempt will be flagged with abnormally high scrolling. This could indicate that the student was struggling to answer the questions and interacted with the quiz page more often than the rest of the students. Abnormally low: If the student has scrolled significantly less often than the rest of the class, their attempt will be flagged with abnormally low scrolling.
	This could indicate that the student had received the answers before the start of the test. In this situation, the student would not need to interact with the quiz page as much as the rest of the class because they would not review or change their responses.
	• How to review: Check to see if the student has scored an unusual grade and taken longer or shorter than the rest of the course. Use Record Screen to see what the student was looking at and take note of how often they changed questions, and the length of time it took to answer questions.
*	• Will report when a student has clicked the mouse significantly more or less than the other students.
	When to enable: multiple-choice exams
	When not to enable: open-book or lockdown exams
Clicking	Flagging:
	• Abnormally high: If the student has clicked the mouse significantly more often than the rest of the class, their attempt will be flagged with abnormally high mouse clicking. This could indicate that the student was struggling to answer the questions and interacted with the quiz page more often than the rest of the students. This would show that the student changed their responses more often than the rest of the class.
	• Abnormally low: If the student has clicked the mouse significantly less often than the rest of the class, their attempt will be flagged with abnormally low mouse clicking. This could be an indication that the student had received the answers before the start of the test. In this situation, the student would not need to interact with the quiz page as much as the rest of the class as they will not review or change their responses.
	• How to review : Check to see if the student has scored an unusual grade and took longer or shorter than the rest of the class. Use Record Screen to see what the student was looking at, how often they changed questions, and the length of time it took to answer questions.

	• Will report when a student has looked away from the computer significantly more or less than the other students.
	When to enable: webcam-recorded exams
	When not to enable: exams without video recorded
Head and Eye	Flagging:
Movement	 Abnormally high: Students who look away from the quiz page consistently will be flagged. This could indicate that the student is looking at unauthorized materials in closed-book exams. This could also indicate that a student is struggling on open-book exams or where calculations need to be performed. Abnormally low: Students who do not look away from the quiz page will be flagged. It is normal for students to look away from the exam page when thinking. If the student does not look away, it could indicate that they had access to the answers before starting the exam. In open-book exams, where students need to use reference material or perform calculations, students witl abnormally low head movements may have received answers before starting the exam. How to review: Use the Proctorio incident log to find locations where the student i looking away from the quiz page. Check to see if the student scored higher than
	usual and spent less time on the exam compared to the rest of the class.
Multi-Face	 Will report when multiple people appear in the exam video. When to enable: webcam-recorded exams When not to enable: exams without video recorded Flagging: Abnormally high: This could indicate that the student is getting help from others during the exam. Abnormally low: For group exams, this could indicate that one student is doing a substantial amount of the work and the group is not collaborating.
	 doing a substantial amount of the work and the group is not collaborating. How to review: Use the Proctorio incident log to find the locations where multiple faces were detected. Use audio and video to determine if there are other people assisting the student with the exam.
echnical Abnor	malities
Exam Collusion	 Will report when multiple students take the exam at the same time on the same internet connection. This could indicate that students were working together. When to enable: remote exams taken during an exam window When not to enable: exams taken at the same time in the same location (simultaneous, in-person), such as BCIT labs or test centres. How to Review: A red collusion icon in the exam attempt indicates when multiple students took the same exam, on the same IP address, within 15 minutes of each other. Use the abnormality to find the names of the other students who were taking the exam at the same time on the same connection. Use the Geo-location too
	to view the internet type and location to determine what connection the students took the exam on. Use the Video Player and Room Scan to see if the were in the same room.

Recording Optio	ons
Record Screen	 Takes screenshots of the student's computer desktop while they are in the exam. Allows the instructor to view exactly what the student was seeing on their monitor, including other programs, websites, etc. Proctorio will take screenshots when the following are triggered: student answers a question student clicks the mouse student copies values student pastes values student resizes their browser student leaves the exam page The screenshots are available within the video player by accessing the Exam Replay mode after the exam period has ended. Only one screen can be recorded. We recommend that this option be paired with the Only One Screen exam setting. While reviewing the recording of the screen in the Proctorio Gradebook, keep in mind that Record Screen doesn't update in real_time. It updates using event triggers. Keystrokes from a keyboard do not count as a trigger. Clicking does count as a trigger.
Record Web Traffic	 Records web-pages visited during the exam. When this option is enabled, the URL of the website will be collected as well as a screenshot of the page that the student is viewing. A screenshot is taken in case a student is accessing materials that are behind a login, such as using a web chat application or browsing a forum. The screenshot will provide a view of the page exactly how the student saw it. Webpages and screenshots will be available in the Incident Log of the Proctorio exam video player and in the Web Traffic Index. To ensure that these events are recorded in the Incident Log, the Flag New Windows or Tabs behaviour setting must be set to have a weight of one or more.
Clear Cache	 Removes all traces of the exam from the student's computer after completion. Clears any temporary files stored in the browser or hard drive that were cached after the start of the exam. Prevents students from searching temporary internet files to replicate the exam.

Enhanced Stude	ent Exper	ience									
Basic Whiteboard	 Drast will r The s follow • • 	tically min not have to student ca wing tool pencil paint but text/type undo the	nimizes h to look av an access s will be a cket	ead- and o vay from t the white available: on	es during ex eye-movem the screen w eboard at ar	ent sus vhen us	ing a v	whiteb	oard.		
Basic Calculator	 Drast will r Toge of eq 	tically min tot have t ther with uations a wo differ	nimizes h to look av the Reco	ead- and o vay from t ord Screer ations per ons:	al processes eye-movem the screen w n feature, wi rformed dur	ent sus vhen pe ill allow	picion erform you t exam.	flags I ing ca o keep	becaus Iculati	e the ons. e on t	he types
Scientific Calculator					8						X
						GRAD			÷	c	ls
	GRAD GRAD	DEG		Cls		1	root	In	log	MS	MR
	π	±	%	+		x^2	x^y	e^x	10^x	()
	7	8	9	*		1/x	x!	π	±	%	÷
	4	5	6	-		sin	asin	7	8	9	*
	1	2	3	+		cos	acos	4	5	6	•
	c)		=		tan	atan	1	2	3	+
						e	ppm		0		-

Lock Down Feat	tures
Severe (Os)	 The force full screen will force the exam to be taken in full-screen mode. When paired with Only One Screen, Proctorio ensuring that students do not use outside resources on their computer during their exam. The student will not be able to minimize the exam or navigate away from the exam page (that is, they cannot use other programs) or the exam will be immediately submitted. The length of time before a student is removed from an exam can be adjusted to suit different test requirements: lenient (30 seconds)—allows students to be out of full-screen mode for 30 seconds before being kicked out of the exam moderate (15 seconds)—allows students to be out of full-screen mode for 15 seconds before being kicked out of the exam severe (recommended, immediate)—kicks students out of the exam immediately upon exiting full-screen mode.
Only One Screen	 Prevents the student from having multiple monitors. Proctorio will detect the presence of an extra monitor and require the student to disconnect it prior to starting the exam. When paired with Force Fullscreen, Proctorio ensures that test takers do not use outside resources on their computer during their exam.
Close Open Tabs	 Closes all other open tabs at the start of the exam. If the student has additional tabs open, they will be prompted to close the tabs or use the Bookmark My Tabs extension to save their current tabs before they are automatically closed. When paired with Disable New Tabs, the student cannot visit other websites.
No New Tabs	 Disables the opening of new tabs during the exam. Prevents the student from creating new tabs or opening new windows. <i>NOTE:</i> This does not mean that the student will not have access to other web content. If the student has tabs open before the exam begins, they will be able to look at these tabs. When paired with Close Open Tabs, the student is unable to access websites during the exam. There are three settings for Disable New Tabs: Tabs Allowed: Students are permitted to open additional tabs within the Chrome browser. This setting essentially turns off the function of this tool. Disable New Tabs: Students cannot open additional tabs within the Chrome browser. In-Quiz Links Only: Students are permitted to access links provided by the instructor within the quiz.
Disable Printing	 Prevents the student from printing the exam via keyboard shortcut or by right-clicking and printing. When paired with Disable Right Click and Disable Clipboard, your exam is secure and cannot be shared with other students. Note: This exam setting is incompatible with ReadSpeaker, which reads user-highlighted text aloud.

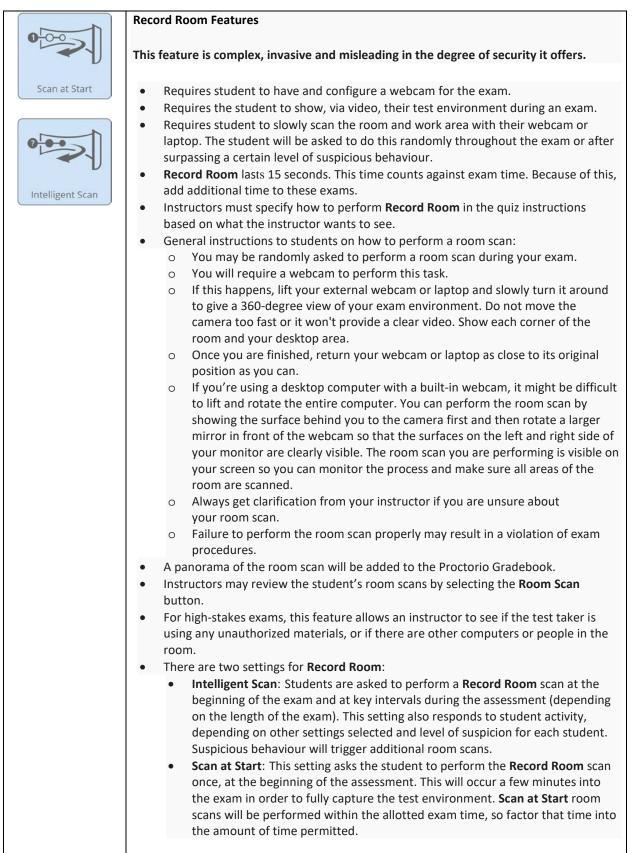
Disable Clipboard	 Blocks the student from using copy, cut and paste. This is a preventive measure to ensure that students do not share exam questions or paste answers from outside sources. When paired with Disable Right Click and Disable Printing, your exam is secure and cannot be shared with others.
Block Downloads	 Prevents students from downloading anything during the exam, including the exam itself and any files from websites or external sources. If the student attempts to download anything, Proctorio will prevent the download from occurring <i>and</i> provide a warning to the student that the exam attempt has been suspended. When the exam attempt is over, the Proctorio gradebook will flag the exam attempt for a suspicious ending, and Proctorio will alert the instructor that the exam attempt ended when the student attempted a download.
Disable Right Click	 Prevents the student from right-clicking within the exam window. Protects against accessing the print menu, page source, and developer tools via the mouse.

Use-with-Caution Features

Record Video	 Requires the student to have and configure a webcam for the exam. Will record the student via webcam during the entire exam. The exam footage will be available to the professor after the exam has been completed and can be viewed through the Proctorio exam video player. To verify that a student has a proper webcam before the exam starts, enable the Verify Video option within the Additional Exam Settings. Advise students well in advance of any exam using this feature to ensure that they have and can set up a webcam.
	 To address invasive privacy issues, advise students to remove any personal or identifying object from the camera view and to ensure privacy of the exam space.
Record Audio	 Will record the sound of the surroundings via microphone during the exam, including student talking to others others talking to the student changes in ambient noise To verify that the student has proper microphone levels before the exam starts, enable the Verify Audio option within the Additional Exam Settings. Audio can be the most complicated hardware to configure on a computer, especially in cases where the user has multiple USB devices or more than one microphone. Students would be responsible for troubleshooting their own computer settings well in advance of the exam.

	This is an Environmental Abnormality tracker (see above).
	 Requires student to have and configure a webcam for the exam.
	 Alerts the instructor when the noise in the room has increased or decreased
Audio Levels	significantly compared to that of the other students.
	When to enable: distance exams
	When not to enable: simultaneous in-person exams
	Flagging:
	 Abnormally high: A student has an abnormally high amount of audio activity if there are noises that are not considered to be ambient white noise. This could occur if the student is relaying questions to another person or if they are receiving answers from another person. Abnormally low: In a group exam, a low amount of audio activity may show that the students are not collaborating to take the exam and may indicate that
	a single student has performed most of the work.
	• How to review: Use the video player to listen to the audio recordings. Proctorio automatically filters out white noise and breathing, which will leave just incidents where audio levels have increased. Use the Proctorio incident log to find specific locations where an increase in audio levels has occurred. This will allow the instructor to determine what audio is coming across and if it is related to the exam or just an external noise.
	Demaine student to be and an firmer such see factly and
Auto ID Check	 Requires student to have and configure a webcam for the exam. Auto ID Check requires the student to hold up their (BCIT) ID card to the camera during the exam pre-checks so that it can be photographed. Proctorio uses machine learning to scan and automatically detect ID cards, then automatically captures the image and displays it in the Proctorio Gradebook for instructor review after the exam. Proctorio automatically highlights ID cards that are suspicious, include IDs that are blurry, damaged, or of an unrecognized format. These IDs will be shown with a red ID icon. There are two ways to verify test taker's IDs: Automatic ID Check—requires test takers to hold their ID cards up to the webcam, and an image is displayed in the Proctorio Gradebook. The form of ID is evaluated by software and flagged for irregularities Live ID Check (do not use)—requires test takers to hold up their ID cards to the webcam to be verified by a Proctorio customer support agent in real-time.
Verify Desktop	 Creates an additional check during the system diagnostic test to ensure that the student's screen recording is functioning properly (Record Screen). Without this option enabled, you may only see the mouse cursor moving over an all-black or solid-coloured screen in the Proctorio Gradebook. The screen-share may be working but is not showing the full contents of the screen (browser, external applications, desktop). This is similar to the Verify Video option, where the student may have a working webcam but has covered it up. This is usually a system limitation due to the student's display settings that can be fixed in most cases, but this would require the student to be able to troubleshoot their computer settings.

Not Recommended Features



Verify Video	 Creates an additional pre-exam check for the student. This check ensures that the student's webcam is properly working by ensuring that a face can be recognized in the video feed. Proctorio runs facial recognition on five photos taken during the system check. This step eliminates false positives that could be generated if the student was not properly framed in the webcam feed or was in a room with bad lighting. False positives create a high suspicion rate. By enabling this option, the test taker has the chance to correct these issues before entering the exam.
Verify Audio	 Creates an additional pre-exam check for the student. This check will generate an audio sample of the white noise of the room as well as the student's talking level. This step eliminates false positives that could be generated if there were a fluctuation in white noise in the student's surroundings. False positives create high suspicion in the Proctorio Gradebook. Enabling this option gives the test taker the chance to find a quieter location before starting the exam. Audio can be the most complicated hardware to configure, especially in cases where the user has multiple USB devices or more than one microphone. Students are responsible for troubleshooting their own computer settings.

Do Not Use Features

Re-entry with Agent	 Do not use this feature. The Quiz tool in the learning hub tracks logins, so student activity is available to the instructor. This Proctorio feature involves giving Proctorio's helpdesk agents the authority to make decisions regarding allowing your student re-entry into their exam. Use other Learning Hub or Proctorio combinations of settings to track student activity if re-entry is a concern.
Verify Signature	 Do not use this feature. This feature actively confirms a student's commitment to academic integrity prior to an exam. If you wish to document this for your students, you have two better options in the Learning Hub:
	 Create a single-question quiz (Yes/No) in your Learning Hub course that validates they have read, understood and will abide by BCIT policies. Then use the Learning Hub's Release Conditions to lock out students who answer "no" until they have spoken to you. Put links to policy in the quiz instructions with a statement such as the following: <i>"By starting this exam, I confirm that I have read, understand and will abide by BCIT policies as they pertain to academic integrity."</i>

	Do not use these features, instead, follow the suggestions below
	Do not use these features. Instead, follow the suggestions below.
Start Times	• Use the quiz settings in the Learning Hub to control exam access start and end times as well as exam duration.
	Control Exam Timing through the Learning Hub Quiz Settings
9	In the Learning Hub quiz tool, you have access to the following comparable settings:
Exam Duration	Start Date (and time)
	• The moment at which the exam becomes available for students.
	End Date (and time)
	• The moment at which the exam can no longer be accessed.
End Times	 Should a student's internet connection fail for a period before the end time, they will be able to log back into the exam and resume.
	 Should a student's internet connection fail after this time, they will not be able
	to log back in.
	• The system will log and show the time of the multiple entries.
	Time Limit + Grace Period
	The total length of time the students have to complete their exam.
	• If a student logged in during the last half hour for their two-hour exam, they
	would be able to continue, but would not be able to log back in again after the
	 end time + grace period passes. This applies to any login, including students who may have been bumped due to
	a flicker in their internet connection.
	Exceeded Time Limit Behaviour
	Allow the student to continue working
	• The student will be able to continue with their exam, but their late answers will
	be flagged, enabling the instructor to decide if they should be included for the exam mark or not.
	exam mark of not.
	Prevent the student from making further changes
	• Once the time limit + grace period have passed, the student will not be able to
	save more questions or submit the exam. The exam will remain "in progress" as a flag for the instructor, who can submit the exam for the student.
	Allow the student to continue working, but automatically score the attempt as zero after
	an extended deadline.

Saved Profiles

Profiles allow you to save your preferred Proctorio exam and behaviour settings across all of your courses. You will have to be logged into Chrome with the extension enabled to use and create profiles. This way, you can quickly set exam settings for everything from open-book quizzes to high-stakes final exams. This will produce consistently measured suspicion levels for similar types of assessments. You may also preset your preferred behaviour settings for gradebook review or to adjust the behaviour settings from within the gradebook later. This eliminates worry

about documenting your favourite settings to ensure that your exams and quizzes are uniform in all of your courses.

Proctorio Behaviour Settings

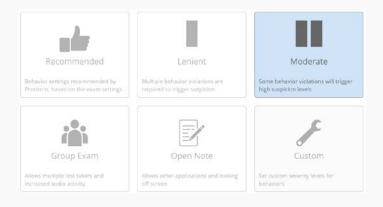
Behaviour settings set the suspicion level of each recorded action during the exam.

Behaviour settings should reflect the type of exam that is being given (for example, not using lockdown features and allowing head and eye movement on an open-book test).

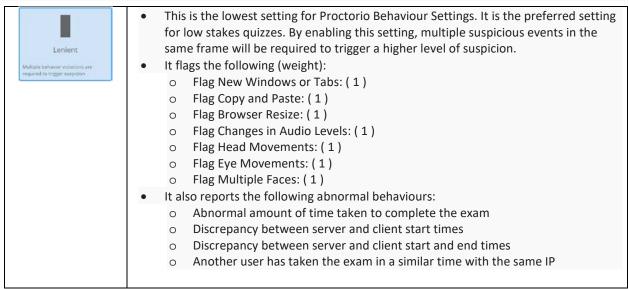
The behaviour settings do not turn on or off the collection of data. This is done through the options listed in the tables above. Instead, they set the weight of the behaviour flags (see below).

You can change your behaviour settings at any time and the **suspicion levels** (see below) will be recalculated instantly. This allows you to dial in the settings after an exam to only see suspicious students in your areas of interest.

For ease of use, Proctorio provides pre-set configurations based on input from other users and analysis of common configurations. These presets are shown as tiles, which are an easy one-click step to change the security level of an exam. Through the custom tile, you can set each behaviour and abnormality setting to meet your needs.



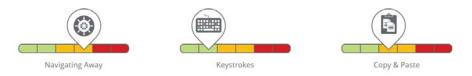
For example:



Moderate Some behavior violations will trigger high surpition levels	 This is the middle setting of the Proctorio Behaviour Settings. It is the preferred setting for exams that carry more weight than a quiz, but less weight than a final exam. By enabling this behaviour setting, some suspicious events will trigger higher level of suspicion, while others will require multiple activities within the same frame to trigger a high level of suspicion. It flags the following (weight): Flag New Windows or Tabs: (3) Flag Copy and Paste: (5) Flag Browser Resize: (3) Flag Changes in Audio Levels: (3) Flag Head Movements: (3) Flag Multiple Faces: (5) It also reports the following abnormal behaviours: Abnormal amounts of navigation away from the page
	 Abnormal copies and pastes
	 Abnormal movement of the head
	 Abnormal movement of the eyes
	 Abnormal number of faces detected
	 Abnormal amount of time taken to complete the exam
	 Discrepancy between server and client start times
	 Discrepancy between server and client start and end times
	 Another user has taken the exam in a similar time with the same IP

Frame Metrics

Sets the weight a suspicious behaviour carries in relation to other behaviours. These settings enable the instructor to adjust the weighing of each of the listed features. For example:



Suspicion Levels

Proctorio is intended to be used to uphold academic integrity by discouraging cheating. However, some incidents will still occur. When these do happen, Proctorio makes the identification of these cases as simple as possible. One way is through the suspicion level. The suspicion level is a percentage that represents low, medium, or high suspicion for an individual's exam attempt.

The suspicion level is a quick calculation based on the aggregation of frames (captured activity) during the exam that were deemed suspicious and the detection of abnormal (deviation from the class norm) behaviour. If the suspicion level shows a large percentage, then this is an exam attempt that should be considered for further review.

The suspicion level will increase or decrease depending on how heavily each **Behaviour Setting** is weighted and which abnormalities are enabled.

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Exam Security with Proctorio

What is Proctorio?

Proctorio is a secure monitoring platform that integrates with Canvas and **ONLY** works within the Chrome web browser. This automated system lets instructors decide which settings to use for each exam with the click of a mouse.

Proctorio offers customizable recording and lock down options in addition to security tools that prevent unauthorized web surfing and/or content capture. All internet browsing can be blocked or specific links within the exam can be whitelisted. Proctorio prevents test distribution by restricting the ability to copy and paste, print, and/or save as PDF, while automatically clearing caches and disabling extensions during the assessment to keep exam content secure.

Don't need all these bells and whistles? Proctorio offers a simple lock-down browser option. For more information, please visit <u>Proctorio Lock-down Browser Tool</u> (<u>https://utexas.instructure.com/courses/633028/pages/proctorio-lock-down-browser-tool)</u>

Instructors and students must have the following installed on their device (onetime task):

- Chrome browser: <u>System requirements</u> (<u>https://support.google.com/chrome/answer/95346?hl=en&ref_topic=3227046</u>) (Windows, Mac, Linux)
- Proctorio browser extension (<u>download</u> (<u>https://chrome.google.com/webstore/detail/proctorio/fpmapakogndmenjcfoajifaao</u> <u>nnkpkei</u>))
 - YES laptops and desktops
 - NO Android/iOS smartphones or tablets

Proctorio has been added to all Canvas courses, disabled by default. To use Proctorio, go to **Settings** > **Navigation** tab > click the three dots to **Enable** [1]> click **Save** [2].

This is Exhibit Bo referred to in the Affidavit of fan Linkletter affirmed before me on 15 Oct 2020. Commissioner for taking Affidavits for British Columbia

Pages Page disabled, will redirect to course home page Scanning Services	+ Enable		
Page disabled, won't appear in navigation	1 Move	Enable this item	
Gradescope Page disabled, won't appear in navigation	↓ move		
Secure Exam Proctor (Proctorio) Page disabled, won't appear in navigation	() 1		
Save			

Resources

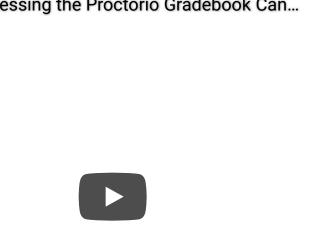
Add to Quiz	Exam Settings	Proctorio Gradebook	Best Practices			
Student Communication						

Reviewing Proctorio Results

- There is no Proctorio outcome that unequivocally indicates academic misconduct.
- All suspicious results must be carefully and thoroughly reviewed before escalating to Student Conduct to determine whether a potential violation has occurred or the results are due to false flags.
- If you are concerned about student conduct online and need guidance on how to evaluate or address the behavior, please <u>email Student Conduct and Academic Integrity</u>
 <u>(mailto:studentconduct@austin.utexas.edu)</u> or visit the <u>Student Conduct and Academic Integrity website</u>
 <u>(https://deanofstudents.utexas.edu/conduct/facultysupportonlineintegrity.php)</u> for more information.

Accessing the Proctorio Gradebook

Accessing the Proctorio Gradebook Can...

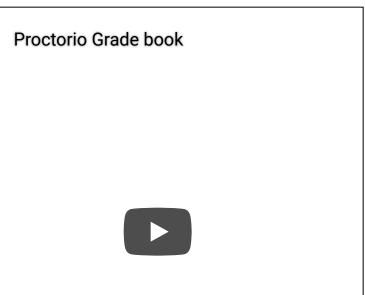


The Proctorio Gradebook will host all of the test takers attempts for an individual exam. You may access the gradebook by navigating to the quiz and selecting "View Proctorio Gradebook" beneath the Speed Grader button.

Once the Proctorio Gradebook is loaded all of the test takers attempts will be displayed, sorted by suspicion level.

- Behavior Settings (https://proctorio.zendesk.com/hc/en-us/articles/200757294-Behavior-Settings)
- Behavior Flags (https://proctorio.zendesk.com/hc/en-us/articles/200861640-Behavior-Flags)
- <u>Proctorio Abnormal Metrics</u> (https://proctorio.zendesk.com/hc/en-us/articles/200273669-Proctorio-Abnormal-Metrics)
- Proctorio Exam Video Player Overview (https://proctorio.zendesk.com/hc/en-us/articles/201832464-Proctorio-Exam-Video-Player-Overview)

Proctorio Gradebook Overview



The Proctorio gradebook displays high level information about each remotely proctored exam to provide a quick visual indication of what should be investigated further.

This is done primarily through the behavior preview, a small timeline that shows suspicion levels throughout an exam attempt as red, yellow or green.

If a professor wants more detail on a particular attempt, clicking on that row in the gradebook allows for the professor to view the Exam Video Player. This is a custom built video player that enables professors to quickly analyze video for suspicious activity. Proctorio provides a number of tools to help professors quickly make informed decisions about their students' activities. You can quickly navigate around the entirety of the exam by using the Exam Timeline. This timeline shows the entire exam along the bottom of the video player. The exam is broken into chunks, and suspicion is calculated to generate the colors along the bottom.

Proctorio accesses the Canvas gradebook to retrieve student names, profile pictures, and the score for the exam.

Proctorio Gradebook columns

Like any other gradebook, the Proctorio gradebook contains the information that was collected during the exam. Proctorio references information from Canvas as well as its behavior information to create a comprehensive exam review system. Each column can be sorted for quick access to the information you need. The last column contains a box which is labeled by color based on the level of suspicion assigned to the exam attempt. Proctorio uses a stoplight color scheme to show suspicion levels. Red indicates a high level of suspicion. Yellow indicates some suspicion, and green indicates little suspicion.

Proctorio Suspicion Level

The suspicion level is a percentage that represents low, medium, or high suspicion for an exam and will increase or decrease depending on how heavily each Behavior Setting is weighted and which Abnormalities are enabled.

The suspicion level is a quick calculation based on the aggregation of frames during the exam which were deemed suspicious and the detection of abnormal behavior. If the suspicion level shows a large percentage, then this is an exam that should be considered for further review.

Proctorio Behavior Preview

The behavior preview is a color coded block that represents low, medium, or high suspicion for an exam. A green block will represent an attempt that had a low suspicion rating. Yellow blocks represent a mid level suspicion rating and red identifies suspicious exams which should be reviewed.

Tab Navigation

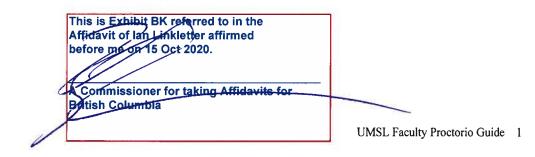
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UMSL Proctorio Faculty Guide

Proctorio is an advanced solution for online test security and remote, automated test proctoring. It is integrated into Canvas so there is no software to install. This introductory guide was adapted from the <u>UM System Teaching Tools Proctorio website</u> and tailored to UMSL by the Center for Teaching and Learning.

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Step 1: Enable Proctorio in Canvas

You must use **Chrome** for your web browser when working with Proctorio. If you do not have Chrome installed, <u>download it here</u>.

First, add the Proctorio tool to your Canvas Course Navigation:

 In your course, navigate to Settings and click on the Navigation tab (see *Figure 1*).

Figure 1, Navigation menu in course Settings

Sections

2) Drag Secure Exam Proctor from the bottom section to the top section, placing it where you wish to occur in the menu (*see Figure 2*).

Secure Exam Proctor

3) Click the **Save** button at the bottom of the screen (*see Figure 3*).

Figure 3, Save button

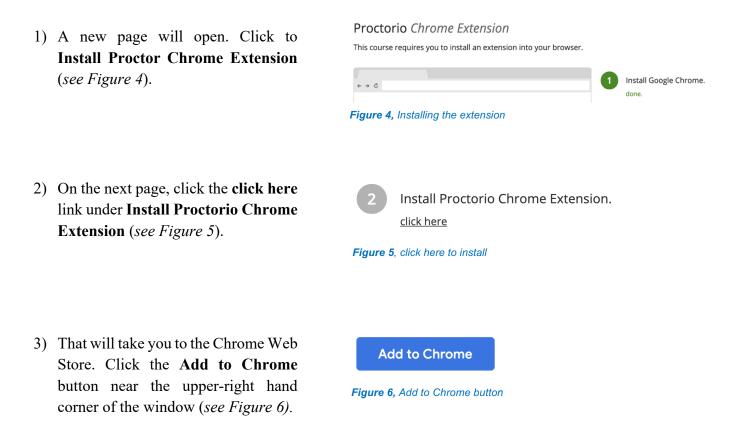
Navigation

Course Details



Step 2: Install Proctorio Chrome Extension

Click the Secure Exam Proctor link in Course Navigation:



4) Wait for it to download. Then, return to the Canvas Secure Exam Proctor tab and refresh the browser page to view the confirmation message that it has been successfully installed (*see Figure 7*).

Secure Exam Proctor Plugin Successfully Installed! Please return to your course.

Figure 7, confirmation message

- 5) Create a Profile to allow easy reuse of these settings (optional).
- 6) Save or Save and Publish the Quiz.

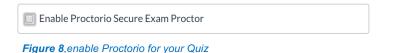
Step 3: Set Up Your Quiz

Create your Quiz the usual way. Required settings:

- 1) Proctorio Chrome Extension must be installed.
- 2) Quiz must have a time limit set. This must be less than 8 hours.

<u>Please note</u>: If the exam lives in non-Canvas courseware, be sure that the availability and time limits in the Canvas quiz match those in the courseware exam itself. Remember, if you make these changes in one system, you need to make them in the other.

- 3) Be sure to choose Classic Quizzes. Proctorio is not yet compatible with the New Quizzes tool.
- 4) In Edit mode, scroll down to Quiz Restrictions and click Enable Proctorio Secure Exam Proctor (see Figure 8).



5) In Edit mode, click the Proctorio Settings tab that will appear to the right of Details and Questions (*see Figure 9*). Please note that it may take a moment for the tab to appear.



Using Proctorio with non-Canvas Courseware

At this time, Proctorio is set up to support Canvas quizzing only. If your exam is delivered on a site outside of Canvas, these instructions present how to do the work-around. In order to use Proctorio with non-Canvas courseware (MyMathLab, Cengage, McGrawHill, etc.), **you will need to set up a Canvas Quiz to start Proctorio AND an exam in your preferred courseware**. Please note that this will create two columns in your gradebook, but only one will be used for grading. Also, some of the features of Proctorio **will have to be disabled**; you will still be able to review your students' screen recordings, web traffic, and behavior during the exam session (*see Step 5: Reviewing Sessions in the Proctorio Gradebook*).

- 1) Before creating the Quiz to start Proctorio in Canvas, please create the test in your courseware and deploy it to Canvas. Depending on the capabilities of the courseware, we recommend:
 - Setting a password for the exam.
 - Setting a time limit and availability dates that will match those in Canvas.
 - Randomizing questions.
- 2) Create a Canvas quiz as directed above but ensure that you do the creation and any later editing in **Chrome** with the **Proctorio Extension** installed.
- 3) In Edit mode, **do not click the box enabling students to see correct answers** there will not be any in the Canvas placeholder quiz anyway, but this will keep the link from appearing to students after the test (*See Figure 10*).

 Let Students See Their Quiz Responses (Incorrect Questions Will Be Marked in Student Feedback)

- 4) Be sure to include **detailed instructions** outlining each step of the process.
- 5) Create at least one question. We recommend that this be **Text (no question)**.
 - a) Add directions instructing students to click the link, wait for the courseware test to open in a new tab, etc.
 - b) Add the link by choosing it from the Assignments tab.
 - c) Add the password.
 - d) Save the question.
 - e) Example: See Figure 11.

Figure 10, do not enable students to see responses

Question

Please click the link below to open your test in a new tab. You will be asked for the password below. You will need to type it, as copy-and-paste is disabled.

Test Link: Cold War Test

Password: Truman

After submitting that test, please return to this tab, submit the quiz, and if alerted by a message that Proctorio is still running (this will be in a red box at the top of the screen), please close it. This will protect your privacy, otherwise, Proctorio may still be recording and locking certain function in your browser until the test timer expires.

Figure 11, example of Text directions for how to access the courseware

Tips for Using Proctorio with non-Canvas Courseware:

- There will be variations in this process between publishers. Each publisher has different features in their courseware and integrates differently with Canvas.
- One suggestion for getting students to return to the Canvas Remotely Proctored Quiz to "submit the quiz" and end the Proctorio session, is to have a **bonus question** in the Canvas placeholder quiz. You may wish to set up Canvas to show one question at a time; however it would not be a good idea to set the quiz to prevent backtracking, as that could lock a curious student out of the link to the courseware exams.
- When reviewing the recorded exam sessions in Proctorio, you may decide that there are too many **false positives suggesting that students may have cheated**. Remember that you can change the Behavior and Anomaly Detection settings (*see Step 4: Proctorio Exam Settings*). Those that detect **Navigating Away** from the quiz will generate false positives because students must do that to access the courseware exam!
- An alternative to this process would be to check with your publisher representative to see if test banks are available to import the questions into Canvas rather than to send students to the Publisher's website to complete the exam. Hosting the exam directly in Canvas would allow full utilization of Proctorio's Lock Down settings.
- Please contact <u>elearning@umsystem.edu</u> if you have further questions. However, you may consider contacting your publisher representative to determine if there are other features that may be of use. Because of the number and complexity of courseware systems integrated with our Canvas environment, it is not possible for the Office of eLearning to offer comprehensive support for all of their features and functionality.

Step 4: Proctorio Exam Settings

The three major sections of the Settings tab are Exam Settings, Behavior Settings, and Exam Metrics.

1) Exam Settings (*Figure 12*): Exam settings encompasses Recording Options, Lock Down Options, Verification Options, and In-Quiz tools. These will set the requirements for your students when taking the test. Please note that all of these settings, including video recording, are optional.

Proctorio Exam Settings

Exam settings can not be changed once the first test taker has started the exam.

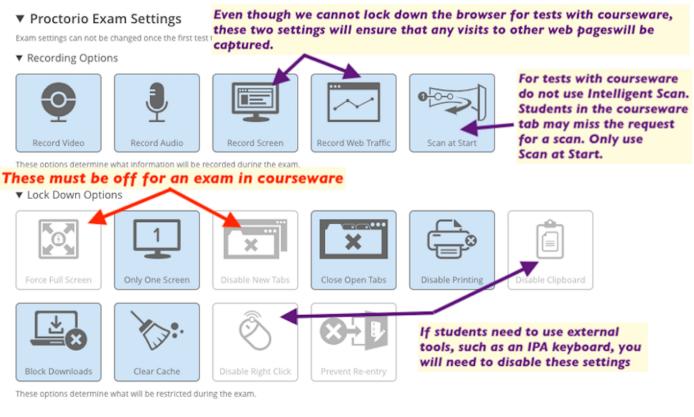
- ► Recording Options
- ► Lock Down Options
- Verification Options
- ► In-Quiz Tools
- ► Exam Settings Summary

Figure 12, Proctorio Exam Settings menu

Recommended Proctorio Exam Settings (see Figure 13)

- Record Video = On
- Record Audio = On
- Record Screen = On
- Record Web Traffic = On
- Scan at Start = On
- Force Full Screen
 - Normal Canvas Quiz = On
 - Non-Canvas courseware exam or exam with on-screen resource(s) = Off. If this option is enabled, students will not be able to access the test in non-Canvas courseware and/or access supplemental resources (e.g., e-book) in side-by-side tabs.
- Only One Screen = On
- Disable New Tabs
 - Normal Canvas Quiz = On
 - Non-Canvas courseware exam or exam with on-screen resource(s) = Off. If this option is enabled, students will not be able to access the test in non-Canvas courseware and/or access supplemental resources (e.g., e-book) in additional tabs.

- Close Open Tabs = On
- Disable Printing = On
- Disable Clipboard = On (unless students need to be able to copy and paste to complete the exam)
- Block Downloads = On
- Clear Cache = On
- Disable Right Click = On (unless students need to be able to copy and paste to complete the test)
- Prevent Re-entry = Off (this feature is problematic if internet connections are unreliable)
- Verify Video = On
- Verify Audio = On
- Verify Desktop = On
- Auto ID Check = On if desired
- Verify Signature = Off (this feature is problematic on computers that do not employ a stylus)
- Calculator = As desired
- Whiteboard = As desired



Verification Options



Verify Video will ensure the webcam is working, pointed in the right direction, and their face is clearly visible.

▼ In-Quiz Tools



This option allows test takers to use a scratch pad on-screen

Figure 13, recommended Proctorio Exam Settings. Please note that if you enable **Force Full Screen** or **Disable New Tabs**, Proctorio will block students from accessing non-Canvas courseware and/or supplemental digital resources (such as an e-book) in additional tabs.

2) Behavior Settings: Behavior settings are a set of pre-made profiles that determines how different metrics are weighed to determine suspicious behavior during the test session. The security levels are: Recommended, Lenient, Moderate, Group Exam, Open Note, and Custom.

<u>Proctorio Frame Metrics</u>: These behavior settings can be adjusted by sliders (*See Figure 14*).

These behavior settings allow you to set the weight of suspicious behaviors using preset configurations.

Proctorio Frame Metrics

The severity of each metric sets the weight of a suspicious behavior in relation to the other behaviors. These metrics are calculated with each image. Each characteristic is derived for every image, regardless if the setting is enabled or not. The severity of these metrics can be changed at any time which will result in a re-calculation of the suspiciousness of a Proctorio proctored exam.

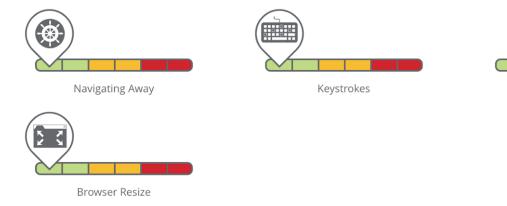


Figure 14, optional Proctorio Frame Metrics

Copy & Paste

<u>Proctorio Exam Metrics</u>: These settings allow you to decide what kinds of abnormalities to highlight when evaluating a student's behavior during the test. They are grouped into Computer Based Abnormalities, Environmental Abnormalities, and Technical Abnormalities (*see Figure 15*).

Proctorio Exam Metrics

Abnormalities compare one test taker's actions to the rest of the exams in the class. This will highlight statistically significant differences in a test taker's behavior.

Computer Based Abnormalities



Abnormal clicking will highlight test takers who interacted with the quiz page less than the rest of the class

Environmental Abnormalities

Environmental abnormalities track changes in the test taker's testing environment

Technical Abnormalities



Start Times will highlight test takers whose LMS start time does not match the Proctorio start time



<u>In-Quiz Tools</u>: This option allows students to use an on-screen basic or scientific calculator and/or basic notepad with text annotation and drawing features.

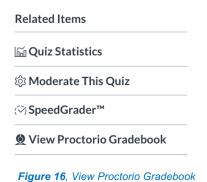
Step 5: Reviewing Sessions in the Proctorio Gradebook

The Proctorio Gradebook provides a visual overview of the behaviors and anomalies noted by Proctorio's algorithms for all test takers, initially sorted by **Suspicion Level**. Depending on the Proctorio Exam Settings you have enabled, here you can review many aspects of individual test taker sessions, including:

- Video and audio recording of test session
- Panorama of test environment
- Screen recordings of computer activity during the test
- Test's geographic location
- Statistics on computer and network performance

The first time you access the Proctorio Gradebook, you will be shown an overview video explaining all of the features in detail.

To access Proctorio Gradebook, go to your Canvas course site, click on the desired quiz and then click the **View Proctorio Gradebook** link on the right (*see Figure 16*).

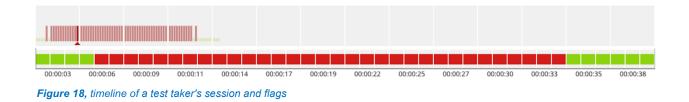


Suspicion Level ratings are displayed with **green**, **yellow**, and **red flags**, with green representing the lowest (best) ratings, yellow for moderate ratings, and red for the highest (worst) ratings (*see Figure 17*).

Name	Submission Time	Attempt	Score	Annotations	Abnormalities	$oldsymbol{ u}$ Suspicion Level
Student, Test	05/11/2020 01:29:49 pm	3	1	0	3	62%
Student, Test	05/11/2020 01:23:27 pm	1	1	0	3	22%
Student, Test	05/11/2020 01:27:13 pm	2	1	0	0	7%

Figure 17, list of test taker sessions and Suspicion Levels

Clicking on an individual test taker's session will take you to the applicable timestamps in the test recording (*see Figure 18*).



Please note there must be more than 3 test sessions before Proctorio will begin calculating Abnormalities.

Note on Proctorio and Accessibility

Students who rely on keyboard navigation will not be able to use Proctorio. Some features of Proctorio will also not work with some screen readers. The Signature, ID Card, and Room Scan options should not be used if you need Proctorio for students with vision impairments. They will also encounter issues with Face Verification.

To allow students to bypass using Proctorio:

- 1) Go to the quiz or test in your course.
- 2) Click Moderate Quiz (remember, it may take a moment for Proctorio Settings to appear).
- 3) Uncheck the box for any students you wish to exempt from Proctorio in the column at the right of the page (*see Figure 19*).



Figure 19, disable Proctorio for a test taker

Syllabus Statement

Please adapt the following verbiage for your syllabus. Please note that the statement in bold must be included in your syllabus in order for you to use Proctorio:

Some assessments (such as tests and/or quizzes) in this course require the use of Proctorio Learning Integrity Platform. Proctorio is an online, remote proctoring system that uses advanced machine learning and identity-verification technology to ensure test integrity.

Taking assessments with Proctorio requires the use of the Google Chrome Browser; you cannot use any other browser. You must have a laptop or desktop computer with a webcam and a microphone; you cannot use a smartphone or tablet. You must have a stable internet connection to take the assessment. Please review the <u>Taking Proctorio Tests</u> website to ensure that your hardware and software meet the minimum requirements. UMSL recognizes that not all students may be able to meet the minimum requirements. If you do not have access to the minimum technology requirements or have disabilities that require the use of a screen reader or keyboard navigation shortcuts, please inform your instructor before the quiz or test so that accommodations may be made.

You will have an opportunity to take a practice assessment with Proctorio before you take a graded assessment. If no Proctorio practice assessment is included in this course, please check your campus' Online Student Orientation Course for one (some of the settings in the practice assessment may differ from the actual ones in your course). You should do this ahead of your first real assessment with Proctorio, as required adjustments may take a few minutes and take valuable assessment time.

Please be aware that:

- You, your computer, and physical test taking environment may be recorded.
- As you may be recorded, please dress appropriately and choose a private location that you are comfortable being the backdrop in a recording.
- You may be asked to show a picture ID to the camera.
- You will need a quiet place to take the assessment both for your concentration and as interruptions (voices, another person on camera, etc.) may be flagged for potential cheating.

If you have concerns about your privacy or data security, please see Proctorio's statement on <u>Personal Data Protections</u>.

See the Taking Proctorio Tests page in the Keep Learning website to learn how to:

- install the Proctorio extension for Chrome;
- set up your assessment environment; and
- complete the pre-assessment checks.

Tips

- Please note that students are not able to take the Proctorio version of the test in a physical testing facility. The <u>Testing Center</u> does not have webcams and microphones, and therefore cannot run Proctorio in the testing labs.
- Proctorio will automatically generate and manage the test's access code for the Remotely Proctored version of the test. You must submit the required information to the <u>Testing</u> <u>Center</u> separately if you would like to offer an in-person proctoring option for your students.
- Remember that it may take a moment for the Proctorio Settings and Proctorio Gradebook tabs to appear in Edit and Moderate this Quiz. If the tabs still do not appear after a reasonable amount of time, one suggestion to "refresh" is to click on the main Proctorio tab. The confirmation message in Figure 7 should appear confirming the plug-in is currently running.
- Please consider setting a longer testing window (we recommend 2-3 days) and **please do not set a testing window where all students will be testing at the same time**. Large numbers of students starting a test at the same time may raise red flags (indicating possible collusion), putting more strain on the Proctorio servers. This could result in longer wait times and connection errors for students. It is also impossible for the Testing Center to administer an in-person version of the exam to an entire class at once.
- Proctorio may not prompt the test taker for a panorama scan of the testing environment **until they have already begun the test**. Please take this into consideration when setting the test's time limit and when you are reviewing sessions.
- Please only consider the use of Proctorio and Testing Center services for **high-stakes examinations**. Alternate assignments (unproctored quiz, take-home exam, alternative project, etc.) should be considered for assessments that allow multiple open-resources and materials, including the Internet. Such assessments complicate the proctoring process greatly for both automated machine proctoring and human proctoring. Some uniformity is required for quality control and to reduce the number of false positives reported by Proctorio Gradebook or physical testing centers.
- <u>Research on the use of remote proctoring and students' experience with test taking</u> have found that there were decreased incidents of cheating compared to unproctored tests, and had no effect on students' test performance, however, please note that test takers generally

have a more **negative reaction to remote proctoring**. One suggestion to ease student concerns is to remind them that only you have access to reviewing sessions. Also, the Proctorio Chrome Extension can be uninstalled between each session if students have privacy concerns.

- For the best user experience, Proctorio recommends displaying all questions at once rather than one at a time. A slow connection speed and frequent page changes could cause issues, such as an unwanted loading delay between each question. Long exams with large images, media, or other embedded content could be an exception to this; if you must enable these settings it is recommended to take loading time into consideration when setting the test's time limit.
- Make sure that students are given the opportunity and encouraged to take a practice quiz with Proctorio before an exam for credit is deployed. This will ensure that the entire class, including those with accessibility concerns, will be ready to use Proctorio or have an alternative in place.

Getting Help

For more detailed guides, including video tutorials, please consult the <u>UM System Teaching Tools</u> <u>Proctorio website</u>.

More documentation and videos on using Proctorio may also be found on Proctorio's Support Site. To access it, click on the **Proctorio Extension icon in Chrome**, then choose **Help and Support**.

Please contact <u>elearning@umsystem.edu</u> if you have questions.



This is Exhibit BL referred to in the Affidavit of fan Linkletter affirmed before me on 15 Oct 2020. Commissioner for taking Affidavits for Bitish Columbia

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Proctorio Gradebook Overview - Canvas

The Proctorio Gradebook displays high-level information about each remotely proctored exam to provide a quick visual indication of what should be investigated further. You can then go into an individual student's attempt for additional information.

1. Click on **Quizzes** from the left side panel.

	Home	
Account	Announcements	ø
(A) Dashboard	Assignments	
9	Discussions	
Courses	Grades	
	People	
Calendar	Pages	Ø
Ð	Files	Ø
Inbox	Syllabus	
Help	Outcomes	Ø
	Quizzes	
	Modules	ø
	Conferences	
	Collaborations	
	Settings	

- **2.** Choose the quiz you wish to review and click on it.
- **3.** Choose **View Proctorio Gradebook** from the right-side panel.

Related Items	
ណ៍ Quiz Statistics	
ि Moderate This Quiz	
⇔SpeedGrader™	
🖉 View Proctorio Gradebo	ok

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4. The new page will populate displaying the Proctorio Gradebook. It contains the information that was collected during the remotely proctored exam.

Pro	octorio Gra	debook	Proctorio Settings	Proctorio Map	Display Options					
roo	torio	Exam	Results							
		Name	S	ubmission Time	Attempt	Score	Annotations	Abnormalities	↓ Suspicion Level	
Ø		Harris, Ca	ilvin 0	3/12/2018 12:17:35 pm	1	5	0	2	100%	P
Ś	100	Flanders,	Ned 0	3/12/2018 12:17:35 pm	1	5	0	2	100%	<u>e</u>
ø		Tucci, Sta	nley 0	3/26/2018 04:02:07 am	1	5	0	1	57%	
ď	(3)	Dern, Lau	ra 0	2/22/2018 12:35:25 pm	1	5	0	1	25%	
ø		Cooper, S	heldon 0	2/21/2018 06:09:17 pm	1	5	0	1	17%	
ø,	2F)	Lanninste	r, Tyrion 0	3/04/2018 05:56:11 pm	1	5	0	1	17%	

- **5.** The Proctorio Gradebook contains the following information:
 - Student Name
 - Submission Day/Time
 - Attempt Number
 - Score
 - Annotations
 - Abnormalities
 - Suspicion Level

The suspicion level is a percentage that represents low, medium or high suspicion for an exam. The suspicion level is a quick calculation based on the aggregation of frames during the exam which were deemed suspicious and the detection of abnormal behavior. The Proctorio Gradebook will color code and list students by a suspicion score to highlight the suspicious attempts for review.

The suspicion indicators will be listed from highest level, with percentage ranking (indicated in red), to the lowest level (indicated in green), which enables the instructor to quickly scan a class or assessment group and identify those that may need further review.

- 6. Proctorio Settings in the Proctorio Gradebook will display two options:
 - Proctorio Behavior Settings
 - Proctorio Exam Settings (the Exam Settings will become locked after an exam has been started by a test taker).



Proctorio Gradebook	Proctorio Settings	Proctorio Map	E Display Options	C Export Options						
Proctorio Be	ehavior Settin	gs								
	F									
Recomm	nended		Lenient	Mc	derate	Group Exam		Open Note	Custom	
Behavior settings rec Proctorio, based on t		Multiple behav required to trig	vior violations are gger suspicion	Some behavior v high suspicion le	olations will trigger vels	Allows multiple test takers and increased audio activity	Allows othe off screen	r applications and looking	Set custom severity levels fo	or behavior

The **Proctorio Frame Metrics** will compare one test taker's actions to the rest of the exams in the class.

Customize Behavior Settings
 Proctorio Frame Metrics
 The severity of each metric sets the weight of a suspicious behavior in relation to the other behaviors. These metrics are calculated with each image. Each characteristic is derived for every image, regardless if the setting is enabled or not. The severity of these metrics can be changed at any time which will result in a re-calculation of the suspiciousness of a Proctorio proctored exam.
 Learn more about frame metrics
 Navigating Away
 Keystrokes
 Copy & Paste
 Copy & Paste
 Audio Levels
 Head and Eye Movement
 Suspicious IDs

Abnormalities will be shown in the Proctorio Gradebook and the details can be viewed under the exam video player. If you want this data to be collected, ensure the Record Video and Record Audio exam settings are enabled for the assessment. The abnormalities are controlled by the Behavior Settings, which turn each abnormality on or off.

When combined with behavioral, environmental, and technical abnormalities, the machine learning within Proctorio's platform is able to strategically identify the students that need review, and then easily flag and identify specific violations in an exam attempt. Proctorio flags suspicion based on the instructors criteria when applying the behavior settings.



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Computer Based Abnormalities











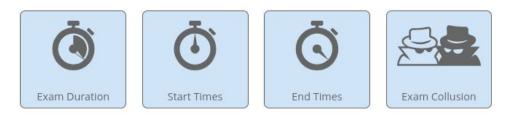




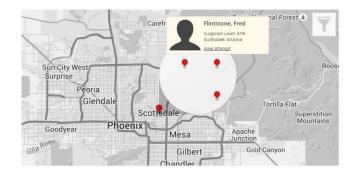
Environmental Abnormalities



Technical Abnormalities



7. The **Proctorio Map** will display where all the test takers have taken the exam. Depending on the location, it could display local locations or tests taken globally.



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8. **Display options** will provide a variety of ways to change how Proctorio Gradebook is displayed. FERPA controls will hide the test-taker's personally identifiable information in case information in the Proctorio Gradebook needs to be exported and/or shared.

Display potential hardware issue events		Learn more abou	t detected potential hardware issue events.
Display Suspicious IDs		Learn more abou	it suspicious ids.
Gradebook Tour	Start Tou	IF	
Sort by Last Name			
Display Help Icons			
Infinite PDF			
Show unmatched attempts		Learn more abou	t unproctored attempts.
FERPA Controls		Hide ID	Learn more about FERPA controls.
		Hide Names	
		Hide Grades	
		Hide Video	
		Hide Screen	
		Hide Location	

9. Export Options will allow you to export the current quiz to a PDF. Proctorio provides several options to customize the PDF.

Gradebook PDF Report

Download the Gradebook Information for all test takers in PDF format.
Include the following sections:
Exam Information
Proctorio Exam Settings
Proctorio Behavior Settings
Test taker Results
Attendance Report
Generate PDF

ID Image Export

Download all test taker ID images. Each ID will be watermarked with your name and a timestamp.

Download ID Images

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10. Proctorio Exam Results

If you need more details on a particular attempt, clicking on that row in the gradebook will display the Exam Video Player. You will be able to see a timeline that shows suspicion levels throughout an exam attempt as red, yellow or green.

	Name	Submission Time	Attempt	Score	Annotations	Abnormalities	↓ Suspicion Lev	el							
	Student, Shelby Demo	03/12/2020 02:43:08 pm	1	0	0	0	46	× 😑							
							0 1 C O	Marchit South () E Handre General Card and Angel General Card General Angel Angel South () South () South () South () South () South () South () South () South () South () South () South () South () South () South () South () South () South () South () Sou		0					「日日」の「日日」の「日日」の「日日」の「日日」の「日日」の「日日」の「日日」
m	n nn du						. IN LUCUL IN LUC					_			
							_		 		 -			_	

Proctorio Exam Video Player Icons

The recording can be controlled with video playback controls. Each button contains a title tag. This shows a small description if you hover over the button for a few seconds.



The **Incident Log** will display any potential incident based on the instructor's settings. Each flag has its own messaging in the incident log. The Incident Log can be viewed by clicking the Proctorio icon at the top of the information toolbar on the right side of the screen.



The Incident Log automatically scrolls during play to keep up with the video player.

Elapsed Time	Incident
00:00:00	Audio recording available
00:00:01	Audio levels in the room increased above the threshold
00:00:04	
00:00:06	Test taker was looking away from the quiz page



The **Display Room Scan** tool will display the student's room scan attempts when the Record Room option is enabled.



During the room scan, the student is prompted to record a 360-degree video of their exam environment. Once completed the student resumes their attempt.

To playback the room scan, simply click and drag the cursor to the right. If there were multiple room scans you can select the individual attempt by selecting the small dots.

The **Display screen recording** will allow the instructor to view exactly what the test-taker was seeing on their monitor.



This can include other programs, websites, etc.

The **Display Location** Information will record location and internet information when the test taker begins their remotely proctored exam.



The geo-location data can be accessed from the globe icon within the Proctorio exam video player toolbox on the right side.

The **Display Annotations** option will allow the instructor to leave comments on the test taker's video on a frame by frame basis. These comments can be exported along with the PDF for future reference in case of a grade inquiry.



Annotations can be color coordinated to highlight their relative suspicion. The color of the annotation can be selected by clicking on the desired color box. The annotated frame will show up in the timeline at full height and in the color that was selected. This will also cause the section in the overall timeline to turn red. This is to give an indication that this area of the video should be looked at.

The number of annotations for a video is displayed on the annotations icon in the toolbox.



To add the annotation, simply click the annotations icon in the Proctorio exam video player toolbox on the frame you wish to leave a note for. Enter your message and click the "Save Annotation" button.

Updating an annotation

To make a change to an existing annotation, go to the frame with the annotation and click the annotations icon. This will load the previous annotation into the text area for editing. When complete, click the "Save Annotation" button.

Deleting an annotation

To delete an annotation, go to the frame with the annotation and click the annotations icon. This will load the previous annotation into the text area for editing. Erase all the content within the text area. When complete, click the "Save Annotations" button.

Incident Log FIIter



There are three view states:

- Proctorio Incidents Only
- Professor Annotations Only
- Both Proctorio Incidents and Professor Annotations

Display Identification

Enabling the Verify ID option will require the test taker to hold up his or her ID card to the camera during the exam pre-checks. The image can be reviewed in the Proctorio exam video player in the Gradebook.



Proctorio uses machine learning to scan and automatically detect ID cards such as driver's licenses and test taker's IDs. When Proctorio detects an ID, it will automatically capture the image and display it in the Proctorio Gradebook.

Proctorio will automatically highlight ID cards that are suspicious. That could include IDs that are blurry, damaged or of an unrecognized format. These IDs will be shown with a red ID icon.



Display PDF export options

You can export the current quiz to a PDF file. Proctorio provides options to customize the PDF. The only page that is required is the Summary page.



You can customize the PDF enabling and disabling different pages:

- Incident Log
- Abnormalities
- Exam Settings
- Professor Annotations

Exam Review - Attempt Ended Messaging

Proctorio records the reasons why exam attempts ended to give full context as to what happened during the exam.

The attempt ended when the test taker submitted the exam. Learn more about this alert.

Attempts that were ended for suspicious reasons will contain a red flag within the Gradebook row.

Computer Performance Index

The index will give you the full details about the test taker's computer and internet connection when going through the System Prechecks, as well as, throughout the exam.

To display all the collected data click anywhere on the Computer Performance Index to expand it.





The Proctorio Computer Performance Metrics are a measure of the test-taker's machine when taking an exam. These values take into account the computing power of the machine and the internet connection.

Web Traffic Index

This option will record any web page visited during the exam. When you enable this option, the URL of the website will be collected as well as a screenshot of the page that the test taker is visiting.

To ensure that these events are recorded in the Incident Log, the Navigating Away behavior setting must be set to have a weight of one or more.

Abnormalities

Abnormal behaviors are calculated with respect to the rest of the class and are factored into the suspicion level. Test taker's who have behaviors that are slightly different from the rest of the exam session will be highlighted.

There must be more than three attempts to calculate the exam abnormalities. It is encouraged to only use this information after all exams have been completed.

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Proctorio Exam Set-up (/toolsets/carmencan)

Proctorio Lockdown Options (/toolsets/carmencanvas/guide exam-set/proctorio-lockdown-r Proctorio Recording Options (/toolsets/carmencanvas/guide exam-set/proctorio-recording-r Proctorio Verification Options (/toolsets/carmencanvas/guide exam-set/proctorio-verification

exam-set)

(http://osu.edu)

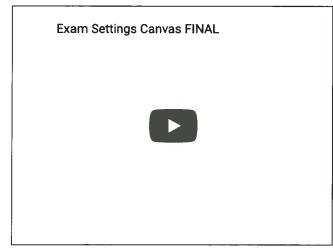
ABOUT - TOOLSETS - TEACHING TOPICS (/TEACHING-TOPICS)

LEARNING OPPORTUNITIES (/LEARNING-OPPORTUNITIES)

HELP (/HELP)

Home (/) | Toolsets (/toolsets) | CarmenCanvas (/toolsets/carmencanvas) | Instructional Guides (/toolsets/carmencanvas/guides) |
Proctorio Exam Set up (/toolsets/carmencanvas/guides/proctorio-exam-set)

Proctorio Exam Set-up



During an exam session, Proctorio monitors activity and then assigns each submission a percentage score. The higher the percentage, the higher probability that academic misconduct occurred.

Please note that a high score does not automatically mean that academic misconduct occurred. A siren outside, a loud noise downstairs, a reflection in a mirror, etc. could all trigger potential Proctorio warnings even though no misconduct occurred.

For this reason, Proctorio settings should be closely considered before an exam begins. Please note that very high levels of Proctorio scrutiny will result in high Proctorio suspicion levels. As you become accustomed to Proctorio results and your Proctorio settings, you will be able to determine when it is appropriate to check Proctorio flags.

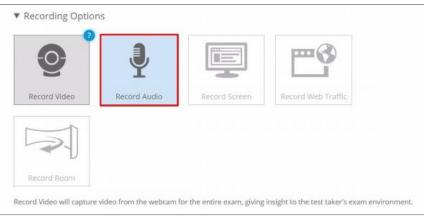
Exam Set-up

If you have not already enabled Proctorio in your Carmen quiz, see <u>Enable Proctorio in a Carmen quiz (/toolsets/carmencanvas/guides/getting-started-proctorio/enable-proctorio-carmen-quiz</u>)

- 1. From within the quiz click the Proctorio Settings tab.
- 2. To enable or disable an option, click on the associated tile. An enabled option will turn lightfulue.
- 3. After making your selections, save and publish the exam.

This is Exhibit BM referred to in the Affidavit of tan Linkletter affirmed before the on 15 Oct 2020.

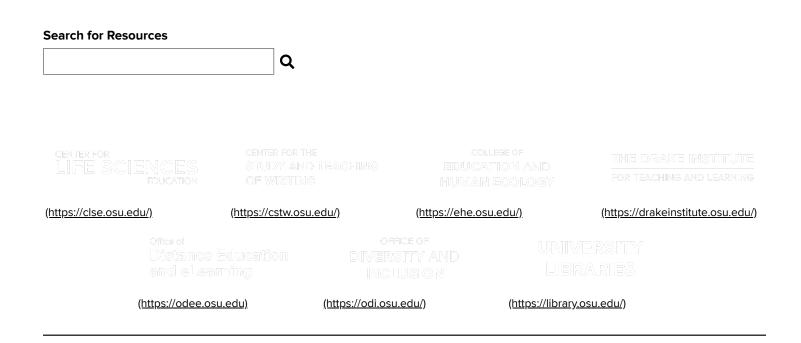
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There are three categories of exam settings:

- Lockdown options (/node/323) set the restrictions on the student's computer during the exam. This
 will prevent students from using unauthorized materials.
- Recording options (/node/324) dictate what information will be collected during the exam.
- <u>Verification options (/node/325)</u> are used to verify the student's identity and that their hardware is not just working, but is set up correctly.

Proctorio Lockdown Options ►



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Proctorio Lockdown Options

Lock Down Options

Lockdown Options set the restrictions on the student's computer during the exam. This will prevent students from using unauthorized materials.

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1 Force Full Screen 20nly One Screen **3**Disable New Tabs 4 Close Open Tabs 5 Disable Printing 6 Disable Clipboard 7 8 This is Exhibit BM referred to in the Affidavit of tan Linkletter affirmed before my on 15 Oct 2020. 9 Prevent Re-entry emphissioner for taking Affidavits for sh Columbia These options determine what will be restricted during the exam.

1. Force Full Screen: This option will open the exam in full-screen mode and is a standard lockdown browser function. Forcing full screen will not let the student leave the exam to use other websites or programs.

When paired with Only One Screen, Proctorio creates an effective preventive measure, ensuring students do not use outside resources on their computer during their exam.

The student will not be able to minimize the exam or navigate away from the exam page (e.g., use other programs) or the exam will be immediately submitted. The length of time before a student is removed from an exam can be adjusted to suit different test requirements.

There are three settings for Force Full Screen:

- a. *Lenient (30 seconds)* Allows students to be out of Full Screen for 30 seconds before being kicked out of the exam.
- b. Moderate (15 seconds) Allows students to be out of Full Screen for 15 seconds before being kicked out of the exam.
- c. Severe (immediate) Students are kicked out of the exam immediately upon exiting Full Screen.
- 2. Only One Screen: This option will force students to unplug any other monitors prior to starting their exam. This ensures that there are no notes or websites on another monitor during the exam. When paired with *Force Fullscreen*, Proctorio creates an effective preventive measure, ensuring students do not use outside resources on their computer during their exam.
- 3. **Disable New Tabs**: This option disables the opening of new tabs during the exam. This prevents the student from creating new tabs or windows to access sites after the exam has begun.

However, it does not mean that the student will not have access to other website content. If the student has tabs open before the exam begins, then they will be able to look at these tabs.

There are three settings for Disable New Tabs:

When paired with Close Open Tabs, the student is unable to access websites during the exam.

- a. Tabs Allowed: Students are permitted to open additional tabs within the Chrome browser.
- b. Disable New Tabs: Students cannot open additional tabs within the Chrome browser.
- c. In Quiz Links Only: Students are permitted to access links provided by the instructor within the quiz.
- 4. Close Open Tabs: This ensures there are no tabs open prior to starting the exam. This will force students to close any other websites they may have open, such as a YouTube page with course lectures. When paired with *Disable New Tabs*, the student cannot visit other websites during the exam.
- 5. **Disable Printing**: This setting will prevent the student from printing the exam via keyboard shortcut or right-click and print.

This is a preventive measure to ensure students cannot share exam questions or paste answers from outside sources.

When paired with *Disable Right Click* and *Disable Clipboard* your exam is secure and cannot be shared with other students.

6. Disable Clipboard: This prevents students from copying and pasting, ensuring that exam content is not lifted from or inserted into the quiz page. This is a preventive measure to ensure students cannot share exam questions or paste answers from outside sources.

When paired with *Disable Right Click* and *Disable Printing* your exam is secure and cannot be shared with other students.

- 7. **Clear Cache**: This will remove all traces of the exam from the student's computer after the exam has completed. This will prevent high-tech means of exam distribution.
- Disable Right Click: This setting prevents the student from right-clicking within the exam window. This feature protects against accessing the print menu, page source, and developer tools via the mouse.
- 9. **Prevent Re-entry**: This setting allows instructors to choose whether students may re-enter the exam, re-enter the exam with approval from Proctorio, or submit the exam in one sitting without exception.

It may be enabled to prevent students from receiving potentially unauthorized help off-camera while the remote proctor is not recording.

- Option 1: Allow Re-entry permits students to re-enter the exam.
- Option 2: Re-entry with Agent authorizes Proctorio staff to allow students back into the exam.
- Option 3: No Re-entry denies re-entry of the exam regardless of the reason.

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Proctorio Exam Set-up (/toolsets/carmencany

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Proctorio Recording Options

The recording options dictate what information will be collected during the exam.



 Record Video: This option will turn on the student's webcam and record the entire exam. The video will automatically be analyzed for head movements, eye movements, and mouth movements. To verify that a student has a proper webcam before the exam starts, enable the Verify Video option within the Additional Exam Settings.

- 2. **Record Audio**: This option will turn on the student's microphone and record the entire testing session. The audio can be reviewed to determine if the student is talking to someone in the room and potentially relaying questions or answers. To verify that the student has proper microphone levels before the exam starts, enable the *Verify Audio option* within the *Additional Exam Settings*.
- Record Screen: This option will capture the student's desktop, so you can see exactly what they
 were looking at throughout the exam. This will show if the student is using an unauthorized program
 or leaving the quiz for any reason.

Proctorio will take screenshots when the following are triggered:

- · Student answers a question
- · Student clicks the mouse

- Student copies values
- Student pastes values
- Student resizes their browser
- Student leaves the exam page

The screenshots are available within the video player by accessing the Exam Replay mode.

Only one screen can be recorded. It is highly recommended that this option be paired with the Only One Screen lockdown setting (/node/323).

4. Record Web Traffic: This option will capture any website that the student goes to during the exam. Proctorio will record the URL as well as a screen capture of the site as they saw it. This is a great way to ensure students are only going to authorized websites during an exam.

The webpages will be made available in the *Incident Log* of the Proctorio exam video player. To ensure these events are recorded in the incident log, the **Flag New Windows or Tabs** behavior setting must be **set to have a weight of one or more**.

A screenshot is taken in case a student is accessing materials that are behind a login, such as using a web chat application or browsing a forum. The screenshot will provide a view of the page exactly how the student saw it.

5. Record Room: This setting prompts the student to show you his or her test environment during an exam. When this feature is enabled, the student is required to slowly scan the room and work area with his or her webcam or laptop. The student will be asked to do this randomly throughout the exam or after surpassing a certain level of suspicious behavior.

Record Room will last for 15 seconds. This time does count against exam time. Because of this, Proctorio recommends adding additional time to exams when Record Room is enabled.

Protocols for performing a room scan during Record Room are institution and instructor specific. That is, Proctorio recommends that instructors specify how to perform Record Room in the quiz instructions based on what the institution or instructor wants to see. Proctorio offers these general instructions to students on how to perform a room scan:

How do I perform a room scan?

A panorama of the room scan will be added to the Proctorio Gradebook. Instructors may review the student's room scans by selecting the Room Scan button.

This is a great feature for high stakes exams. It allows an instructor to see if the test taker is using any unauthorized materials, or if there are other computers or people in the room.

There are three settings for Record Room:

- a. *Record Room Off* Instructors may leave Record Room disabled so that Record Room is never triggered either at the beginning or during the exam.
- b. Intelligent Scan Students are asked to perform a Record Room scan at the beginning of the exam and at key intervals during the assessment (depending on the length of the exam). This setting will also respond to student activity depending on other settings selected and level of suspicion for each student. Suspicious behavior will trigger additional room scans. Students will perform room scans within the allotted exam time, so it is advisable to factor that time into the amount of time permitted.
- c. Scan at Start This setting will ask the student to perform the Record Room scan once, at the beginning of the assessment. This will occur a few minutes into the exam in order to fully capture the test environment. Room scans will be performed within the allotted exam time, so it is advisable to factor that time into the amount of time permitted.

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Proctorio Verification Options



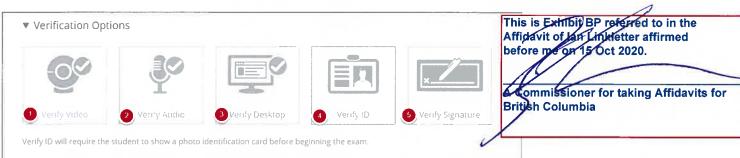
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 Proctorio Verification Options (/toolsets/carmencanvas/guide exam-set/proctorio-verification

Verification options are used to verify the student's identity and that their hardware is not just working, but is set up correctly. This ensures that the data in the gradebook is of high quality.



1. Verify Video: This option will ensure that the student is clearly visible in the webcam feed. This will verify that the webcam is collecting images, the lighting is proper, and that the student is not pointing the camera at the ceiling.

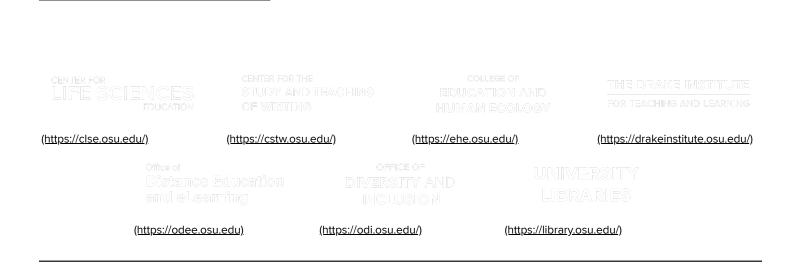
- 2. Verify Audio: This option makes sure that the audio levels in the room are appropriate and that the microphone levels are adequate. This will ensure that Proctorio can distinguish the white noise of the room from a talking level and that the student is not taking the exam in a noisy environment.
- 3. Verify Desktop: This option creates an additional check during the system diagnostic test to ensure the student's screen recording is functioning properly.

- 4. Verify ID: This option will require students to hold up their identification to the camera during the exam pre-checks in order to be photographed. The image can be seen in the Proctorio exam video player. A university issued BuckID is the preferred ID for use with Proctorio. If students are unable to obtain a BuckID, their driver's license or passport may be used as an alternative.
- 5. Verify Signature: This option is an added feature for actively confirming a student's commitment to academic integrity prior to an exam.

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Proctorio Recording Options

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Proctorio Gradebook	This is Exhibit BO referred to in the Affidavit of lan kinkletter affirmed before me on 15 Oct 2020.
103 - Canvas Gradebook Location	A Germissioner for taking Affidavits for British Columbia

The Proctorio gradebook displays high-level information about each remotely proctored exam to provide a quick visual indication of what should be investigated further.

This is done primarily through the behavior preview, a small timeline that shows suspicion levels throughout an exam attempt as red, yellow or green.

If a professor wants more detail on a particular attempt, clicking on that row in the gradebook allows for the professor to view the *Exam Video Player*. This provides access to all exam footage and all information collected by Proctorio.

Proctorio accesses the CarmenCanvas Gradebook to retrieve student names, profile pictures, and the score for the exam. 397

You may access the gradebook by navigating to a quiz and selecting it. On the next page simply click **View Proctorio Gradebook** beneath the SpeedGrader button.

Once the Proctorio gradebook is loaded, all of the test takers' attempts will be displayed, sorted by <u>suspicion level (/toolsets/carmencanvas/guides/proctorio-suspicion-level</u>).

▼ Gradebook Columns

The Proctorio gradebook contains the information that was collected during the exam. Proctorio references information from CarmenCanvas as well as its behavior information to create a comprehensive exam review system.

Each column can be sorted for quick access to the information you need. Learn more.

The Proctorio gradebook contains:

- Student name
- Attempt number
- Score
- Annotations
- Abnormalities
- Suspicion level
- Behavior preview

The last column contains a box that is labeled by color based on the level of suspicion assigned to the exam attempt. Proctorio uses a stoplight color scheme to show suspicion levels. Red indicates a high level of suspicion. Yellow indicates some suspicion, and green indicates little suspicion.

Sorting Gradebook Columns

Proctorio provides the ability to sort each column in the Proctorio gradebook. To do so, click on the column header that you wish to sort by. To change the sort option (from ascending to descending or vice versa), simply click the column header again.

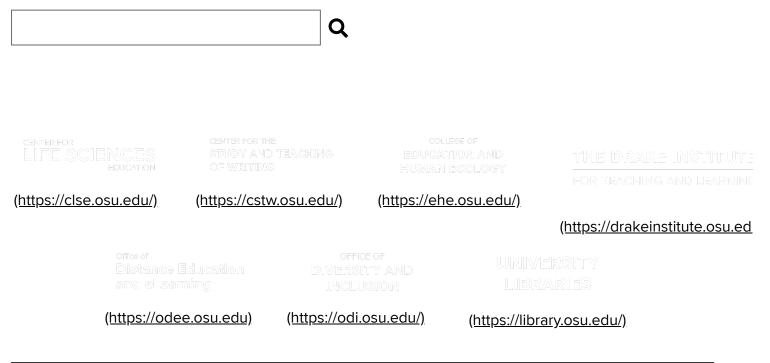
There is a visual indication of which sorting method is currently active and on which column. The column that is being sorted will have a up or down pointing triangle to the right side.

An upwards pointing triangle is sorting by ASCENDING. This puts the smallest value on top. A downwards pointing triangle denotes sorting by DESCENDING. this puts the largest value on top.

Sorting can be an effective way of finding the most suspicious students or grouping students to view each of their attempts.

The default sort option is by <u>398</u> <u>suspicion level (/toolsets/carmencanvas/guides/proctorio-suspicion-level)</u>, descending. This shows the most suspicious students at the top of the gradebook.

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Proctorio Suspicion Level

Proctorio's first goal is to uphold academic integrity by discouraging cheating. However, some incidents will occur. When these do happen, Proctorio makes the identification of these cases as simple as possible. One of the ways is through the suspicion level.

Instructors should note that there is no Proctorio outcome that unequivocally indicates academic misconduct.

The suspicion level is a percentage that represents low, medium, or high suspicion for an exam.

The suspicion level is a quick calculation based on the aggregation of frames during the exam which were deemed suspicious and the detection of abnormal behavior. If the suspicion level shows a large percentage, then this is an exam that should be considered for further review.

The suspicion level will increase or decrease depending on how heavily each Behavior Setting is weighted and which Abnormalities are enabled.

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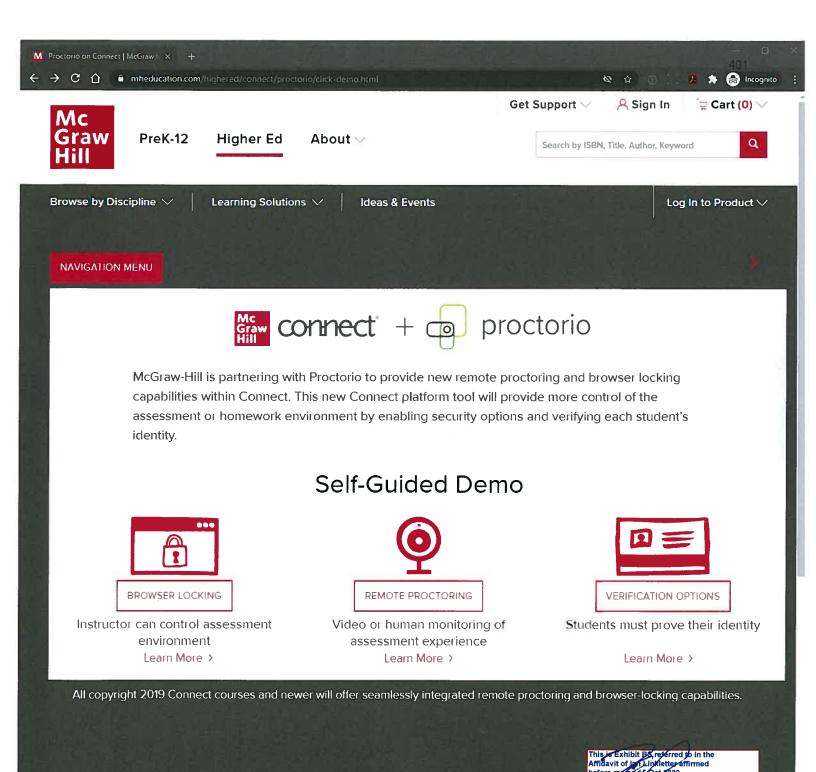
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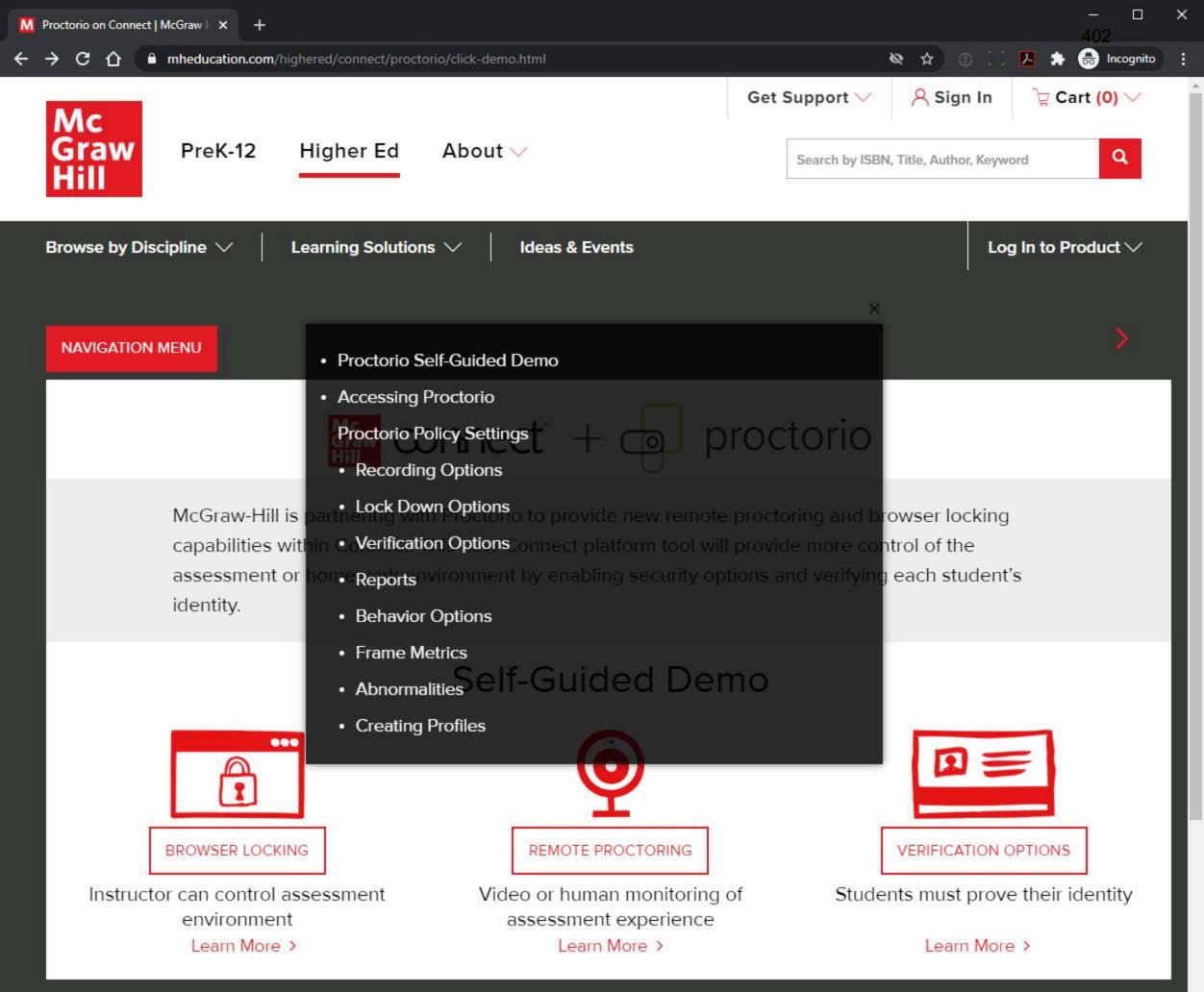
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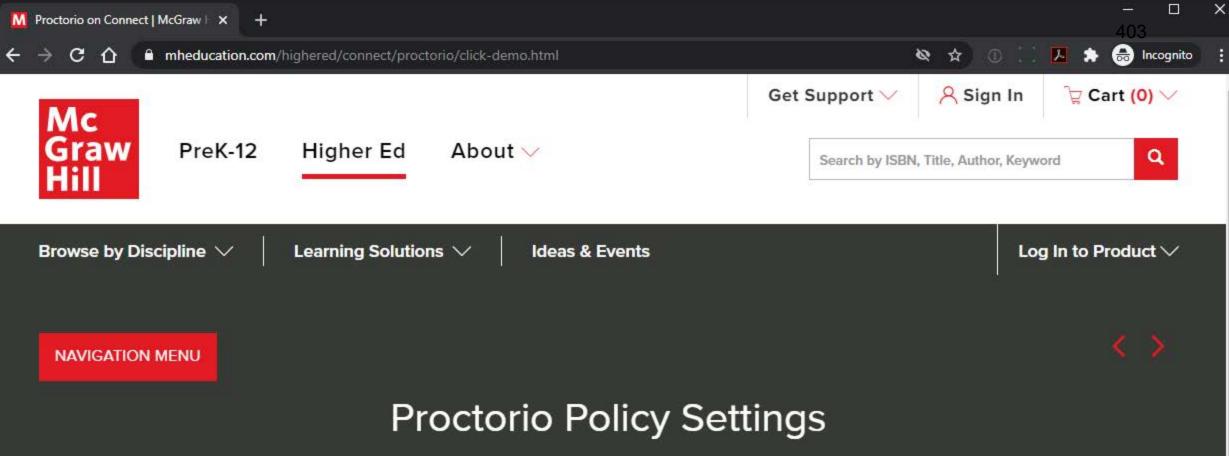


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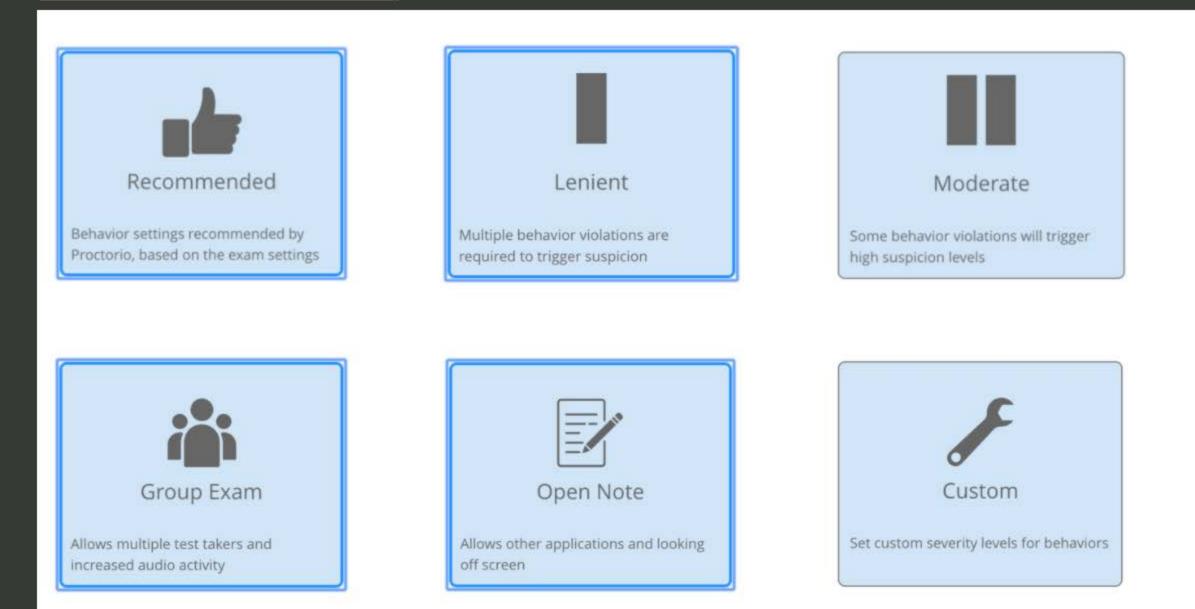


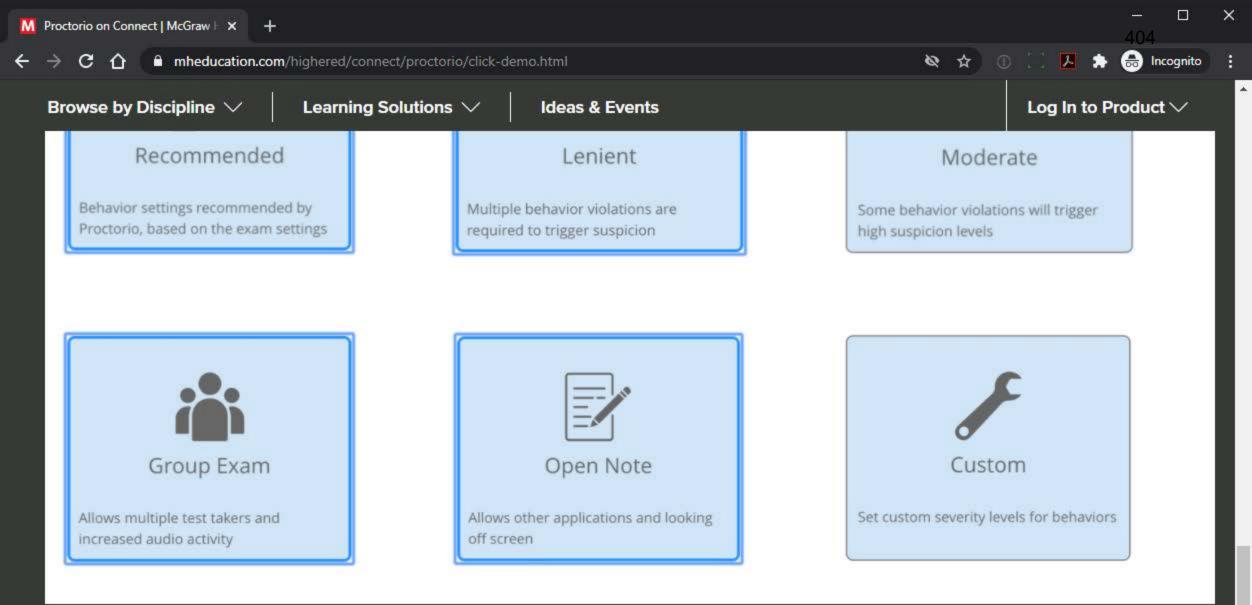
Behavior Settings

Before or after setting up the exam, the following Behavior Settings can be adjusted to determine what information shows up in the reports and the severity levels of this information.

Behavior Settings allows instructors to set the weight of suspicious behaviors using the preset configurations or customize to their preferred metrics.

Click on each option to learn more.

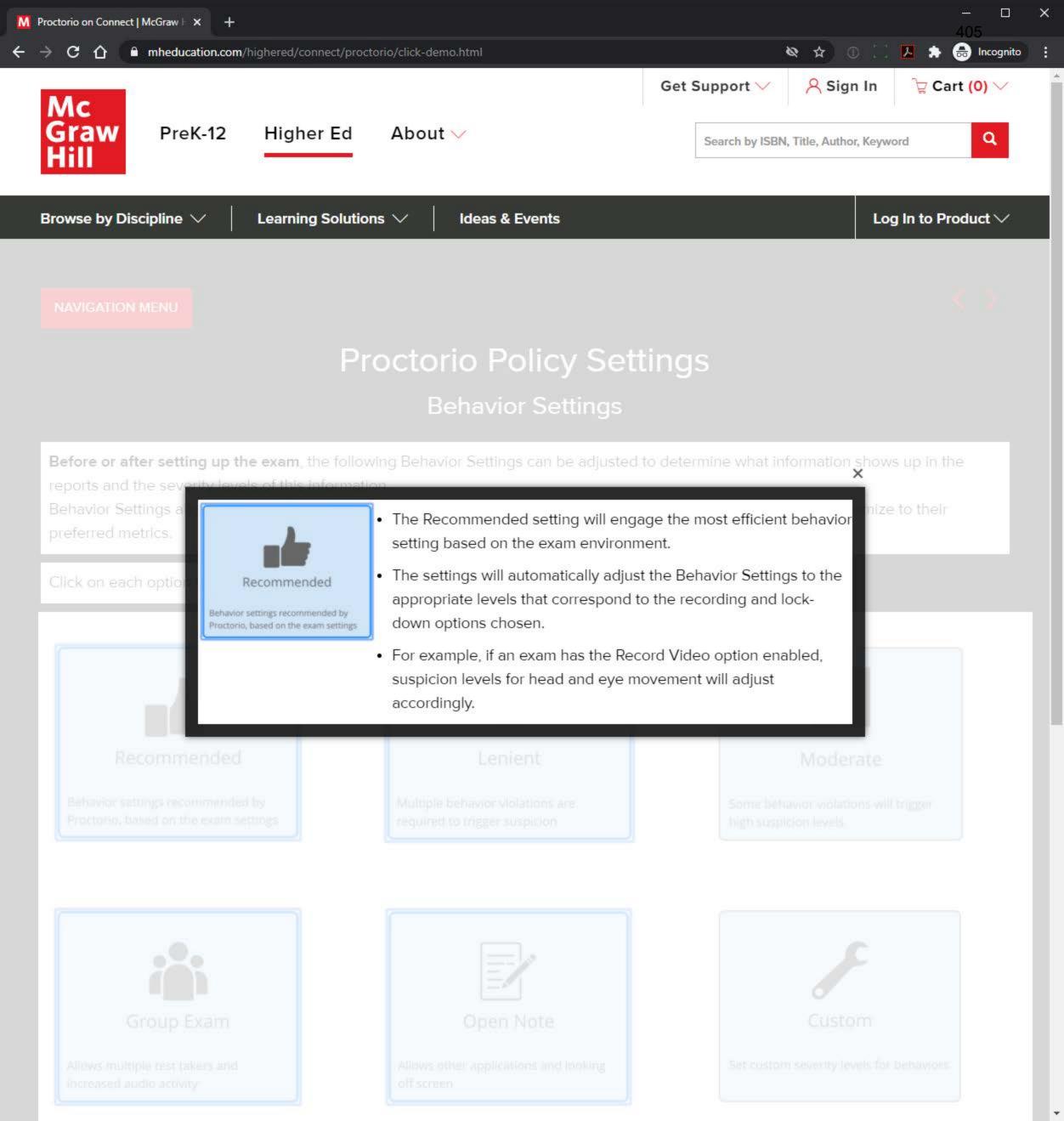


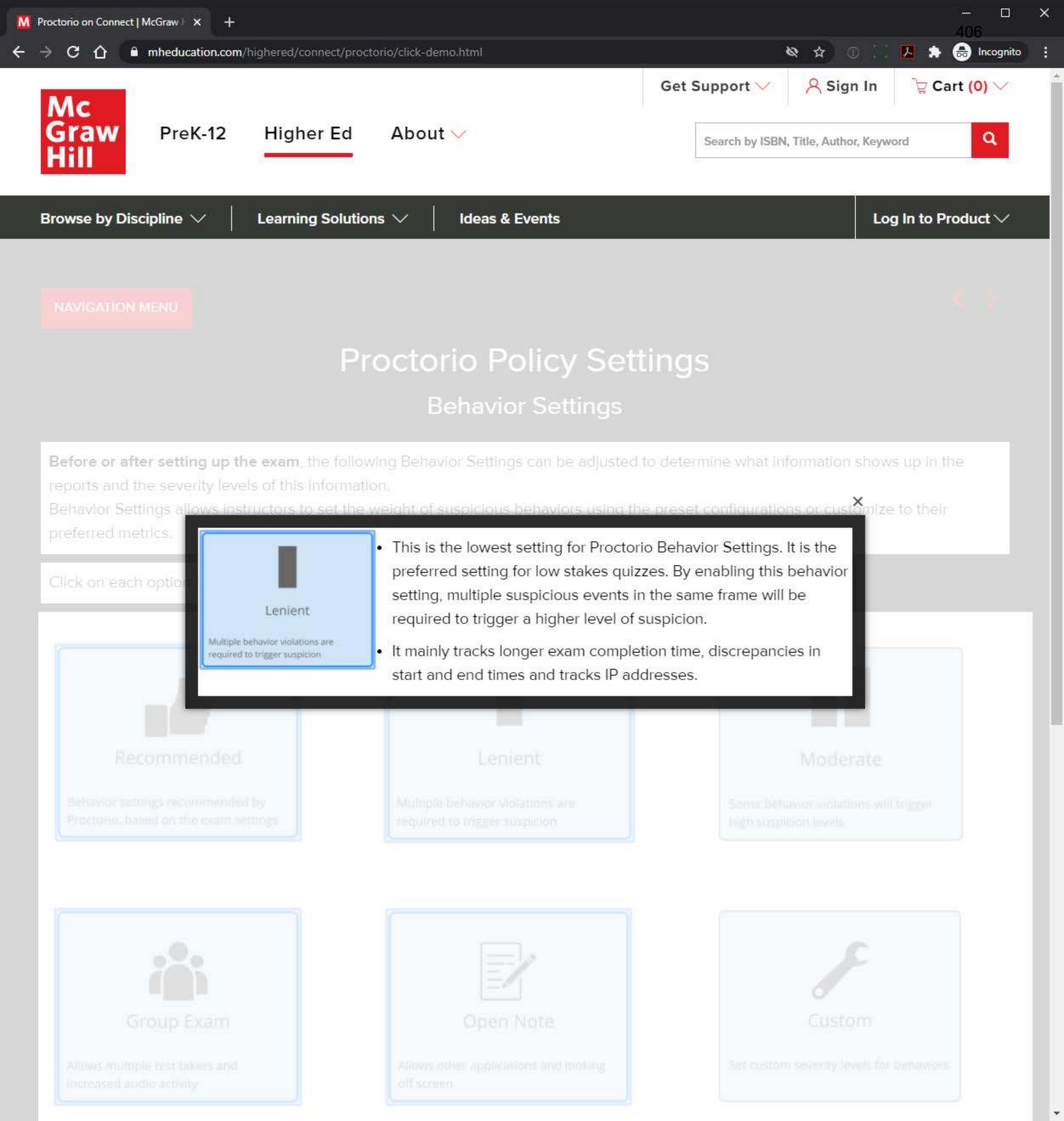


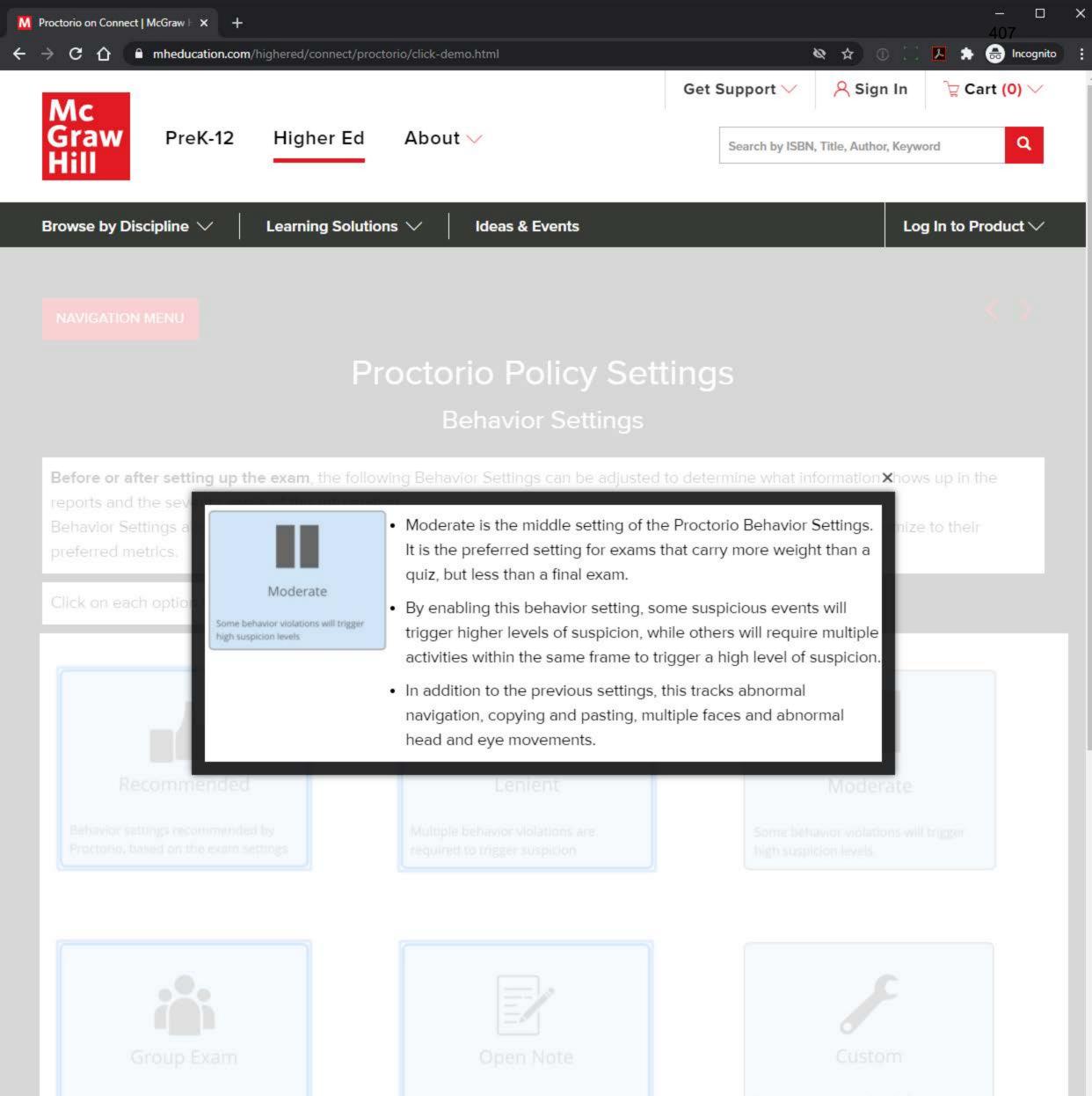
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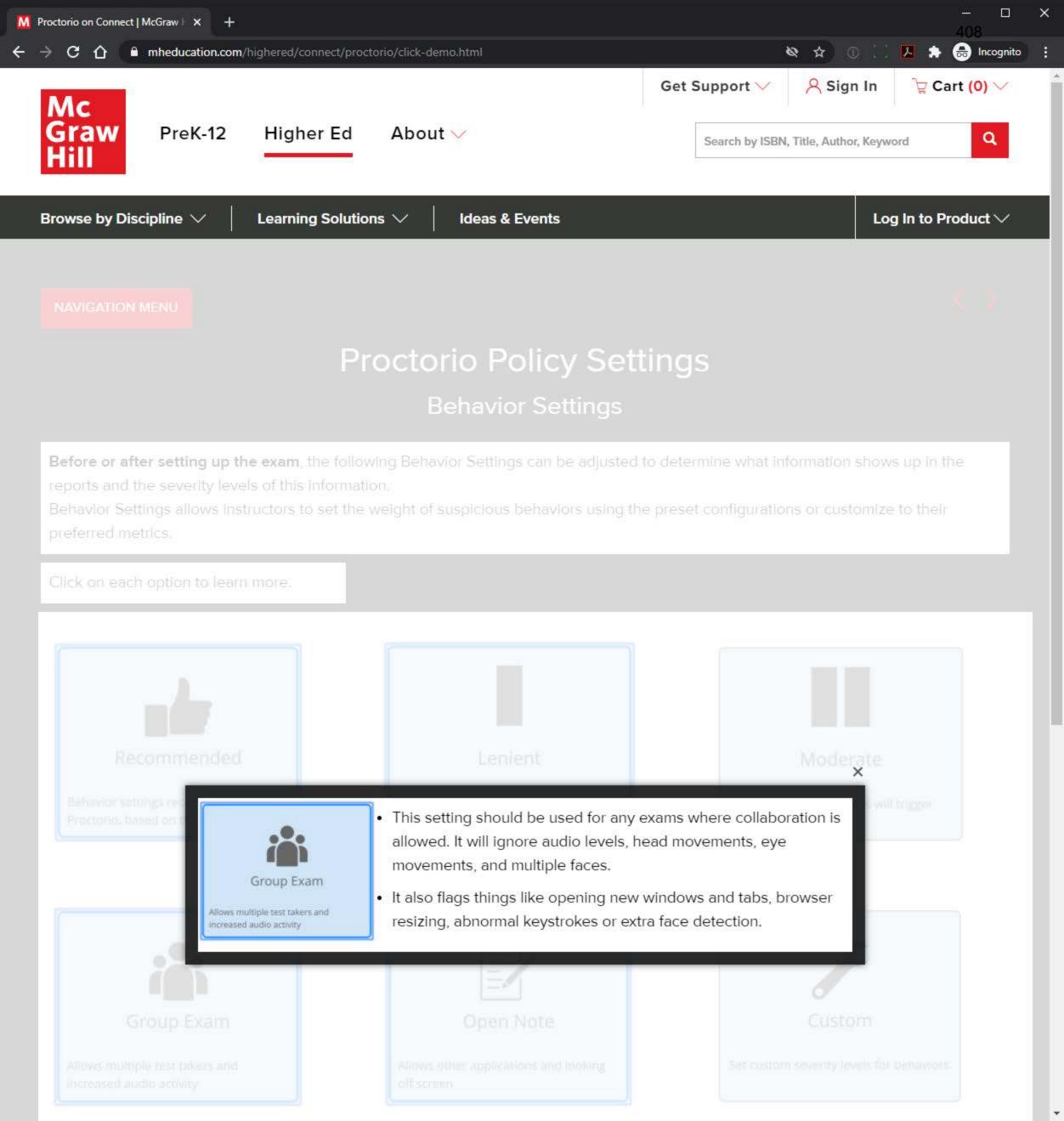
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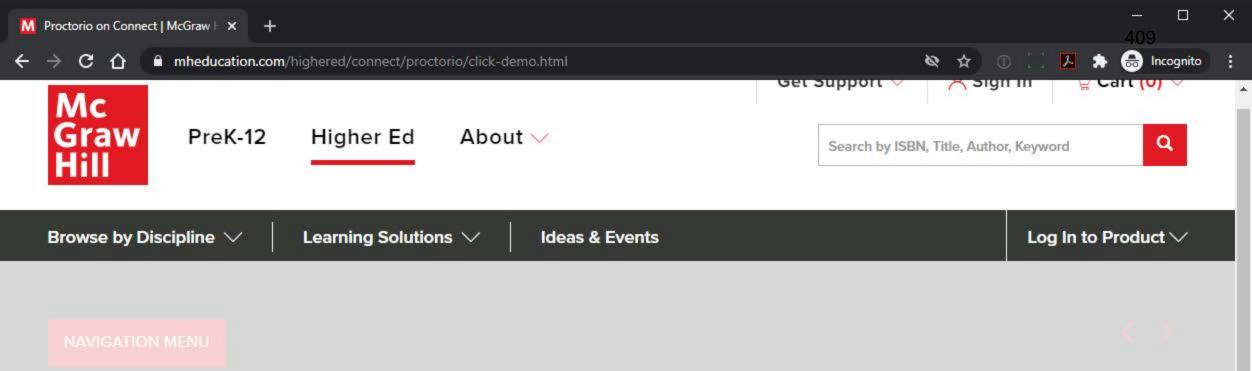
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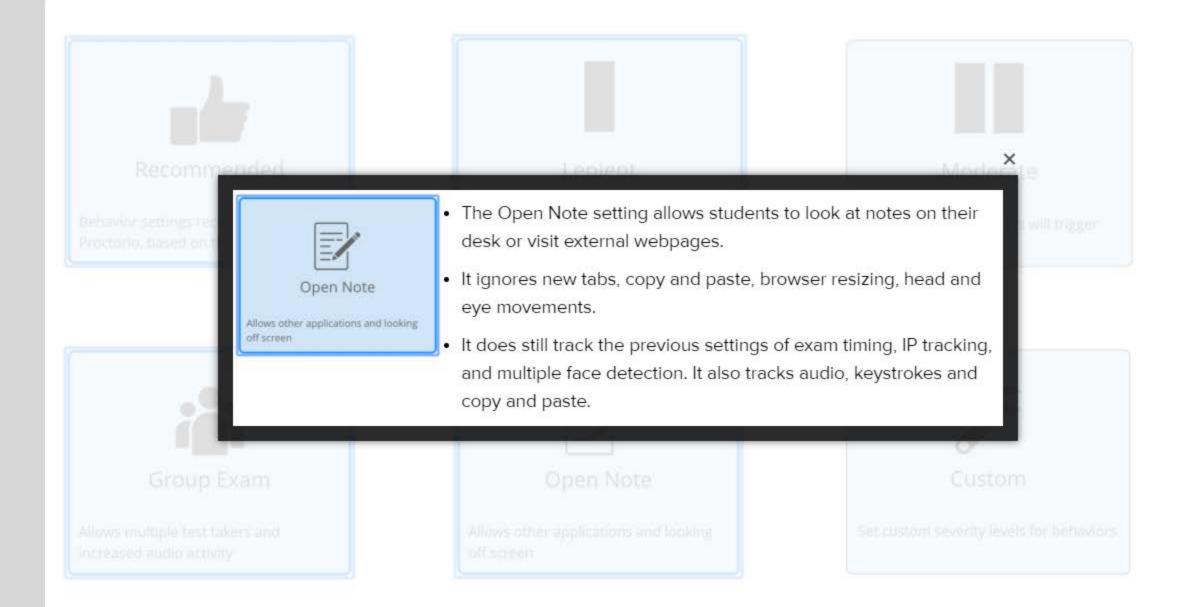
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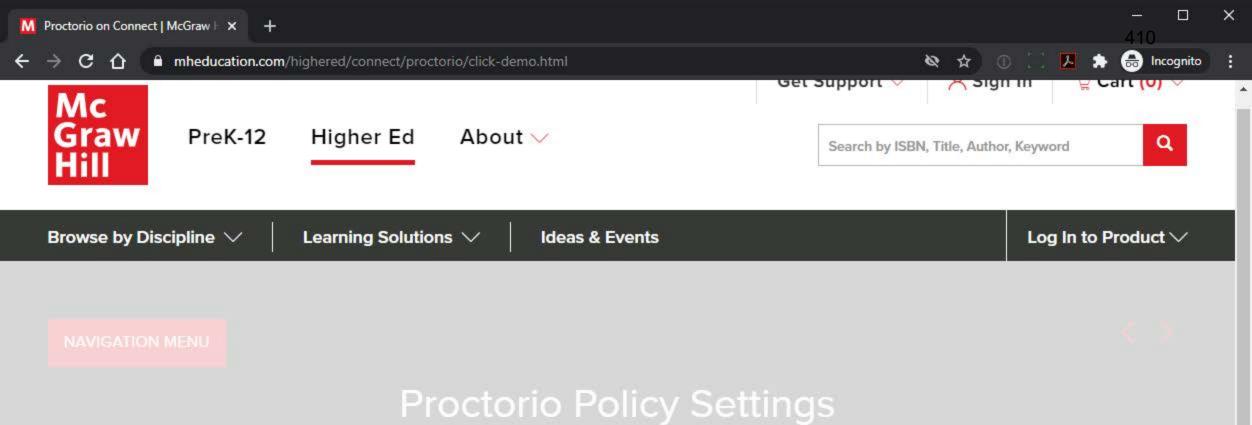
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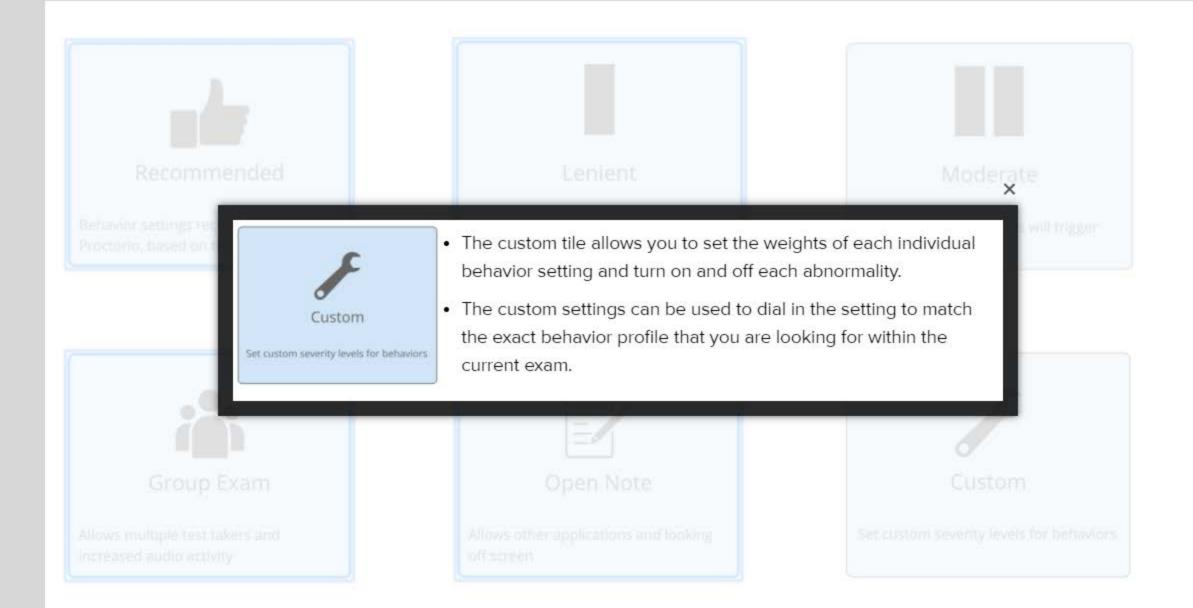


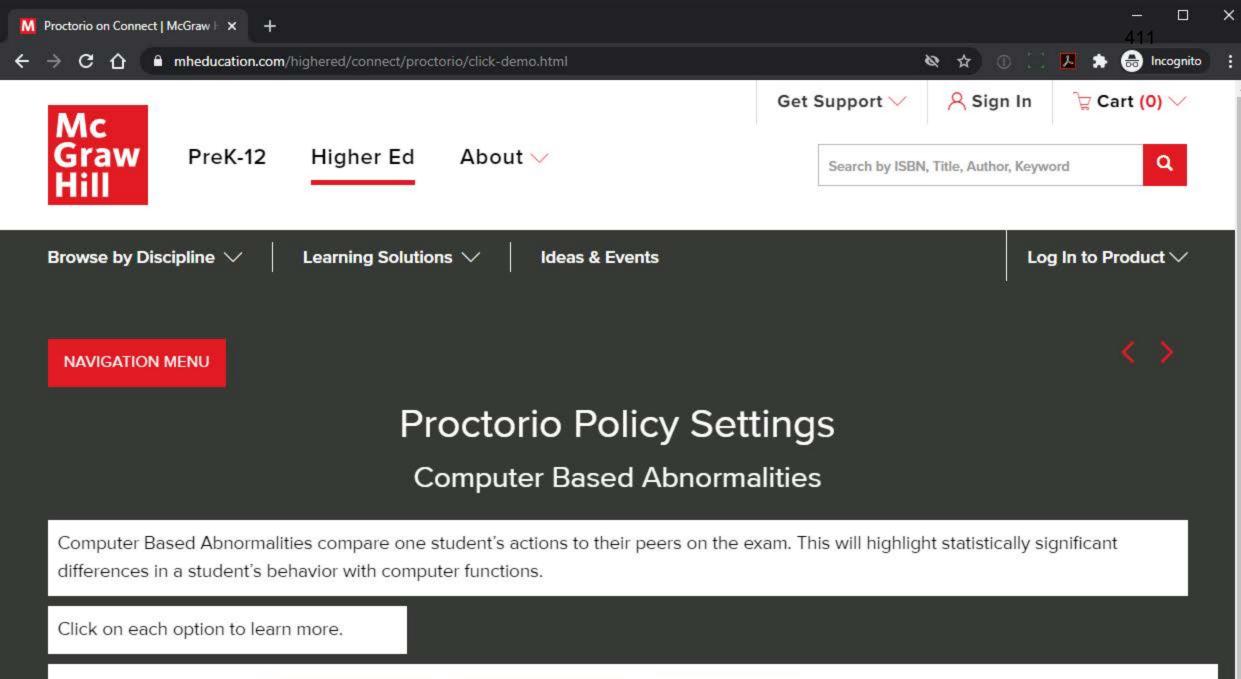
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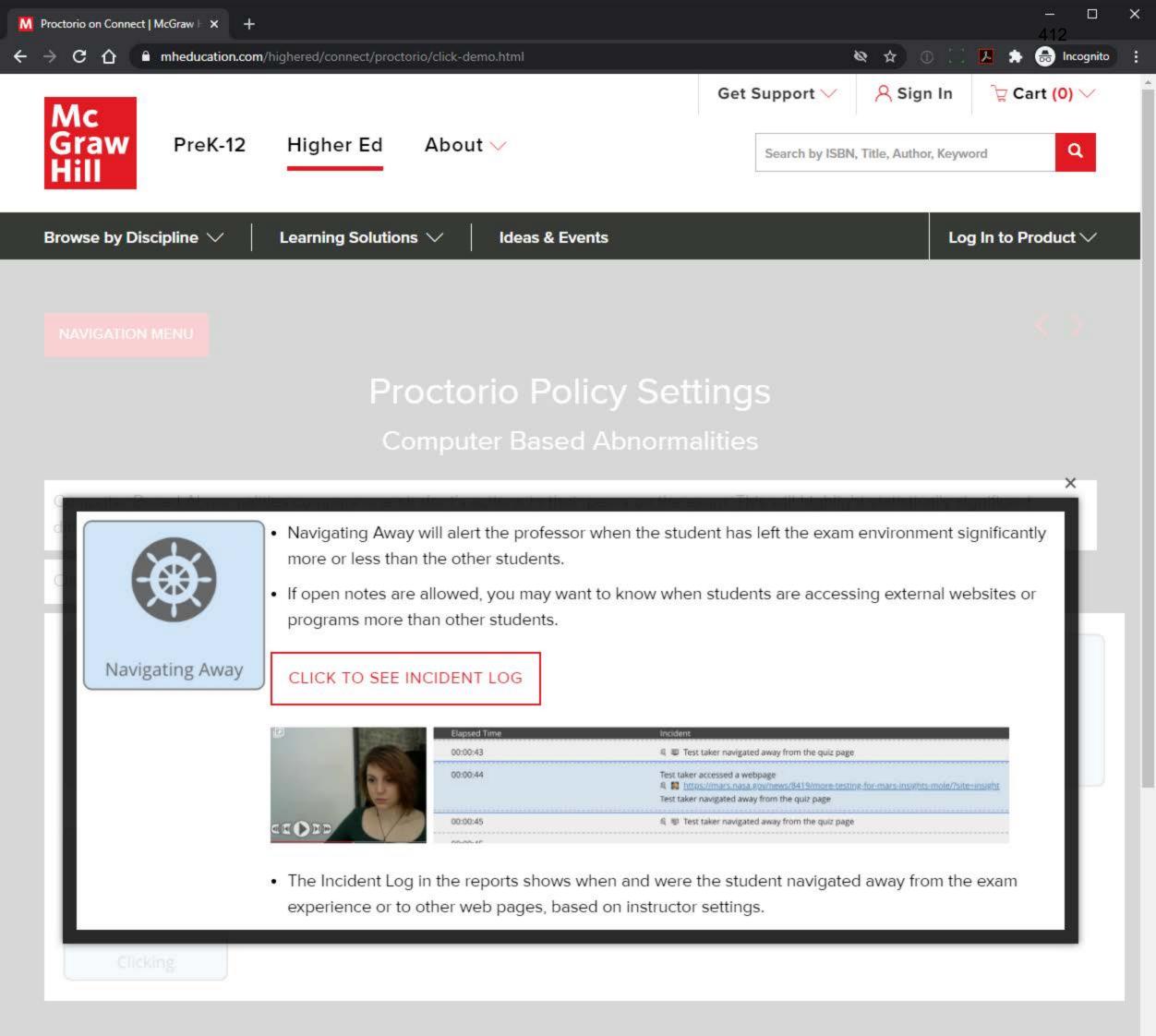
Click on each option to learn more.

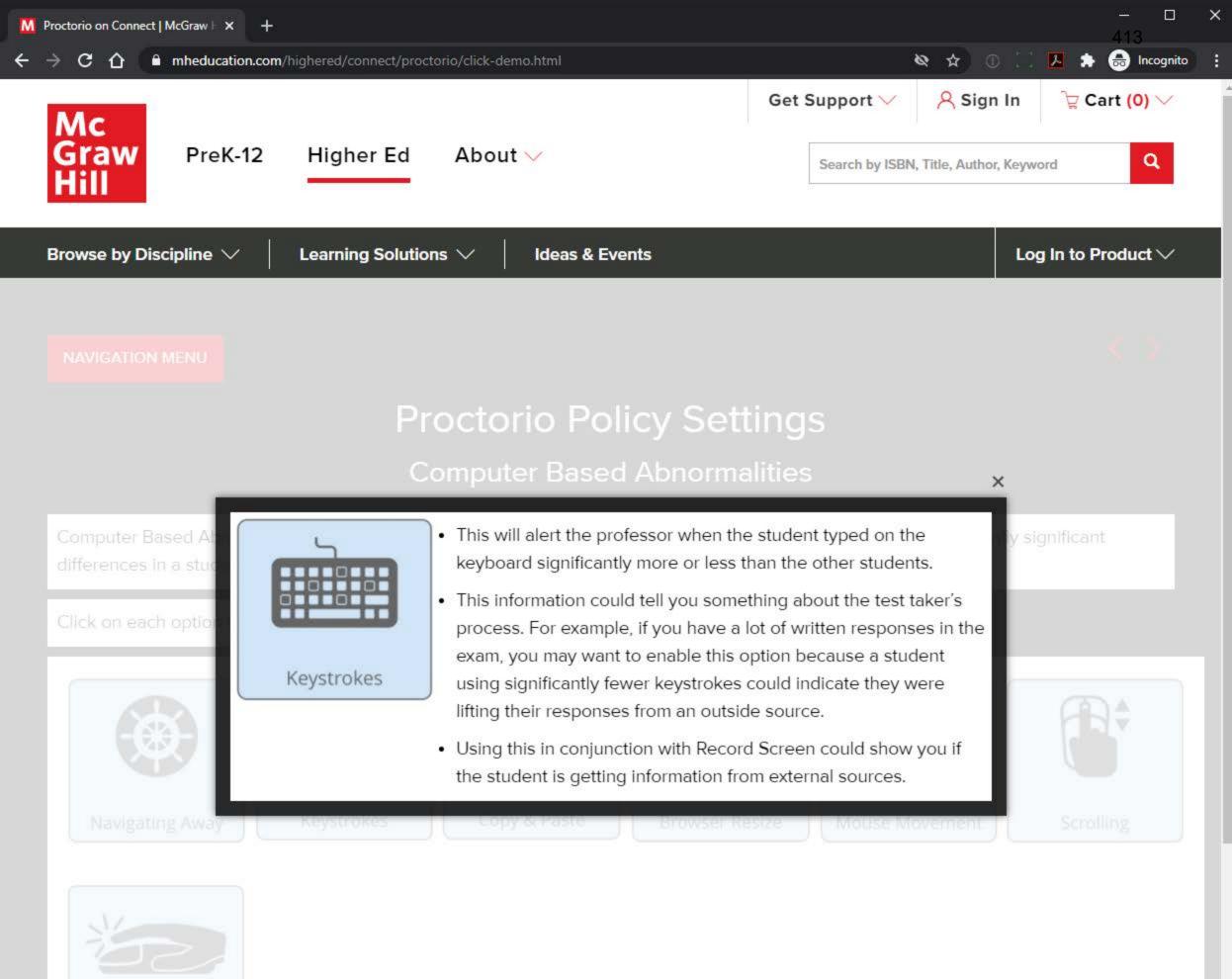




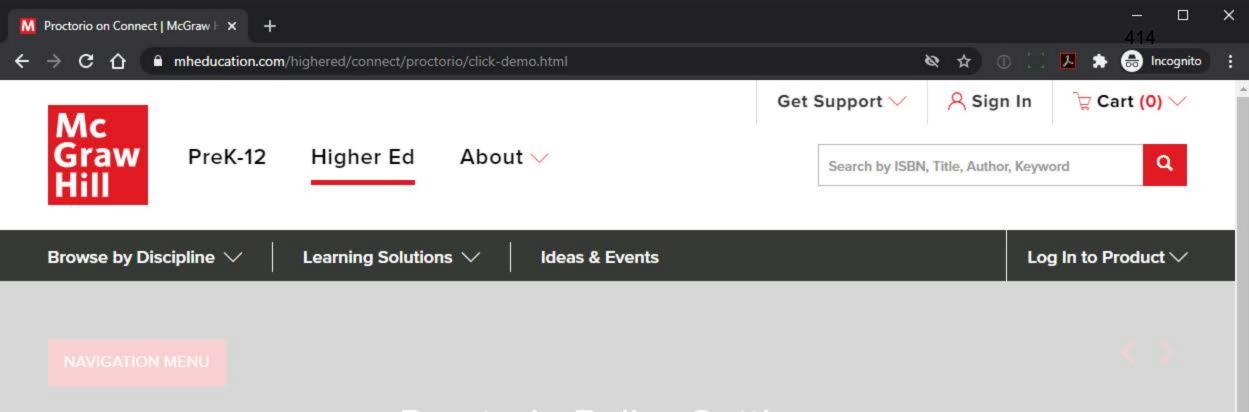


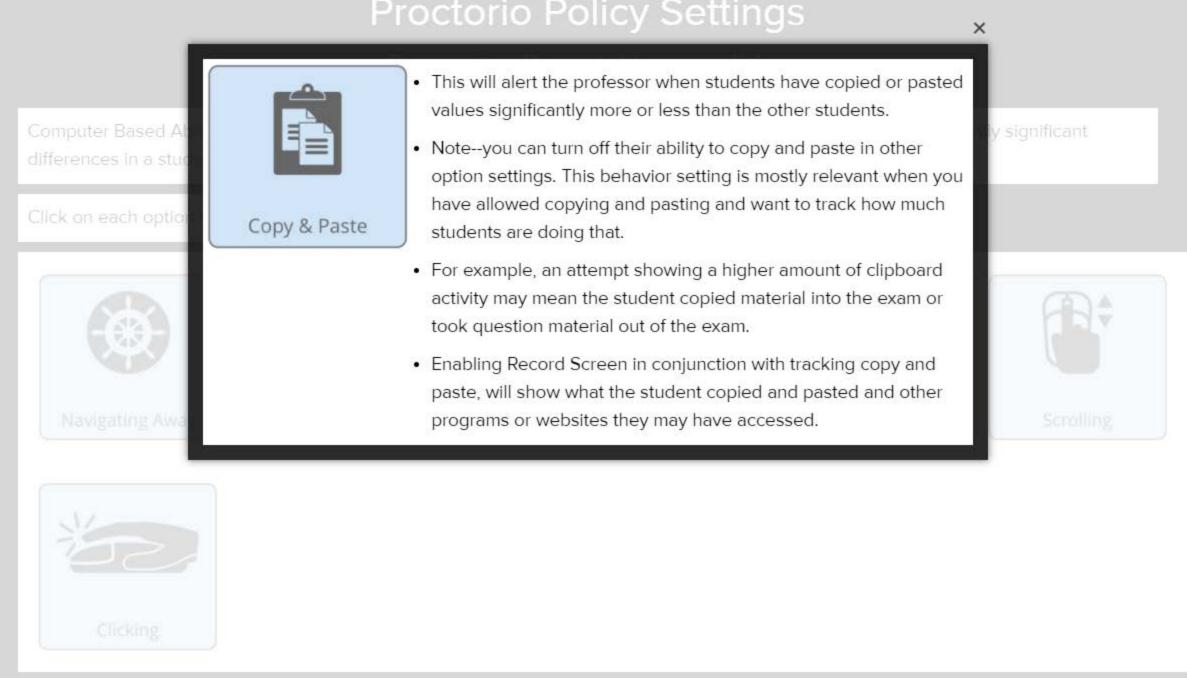


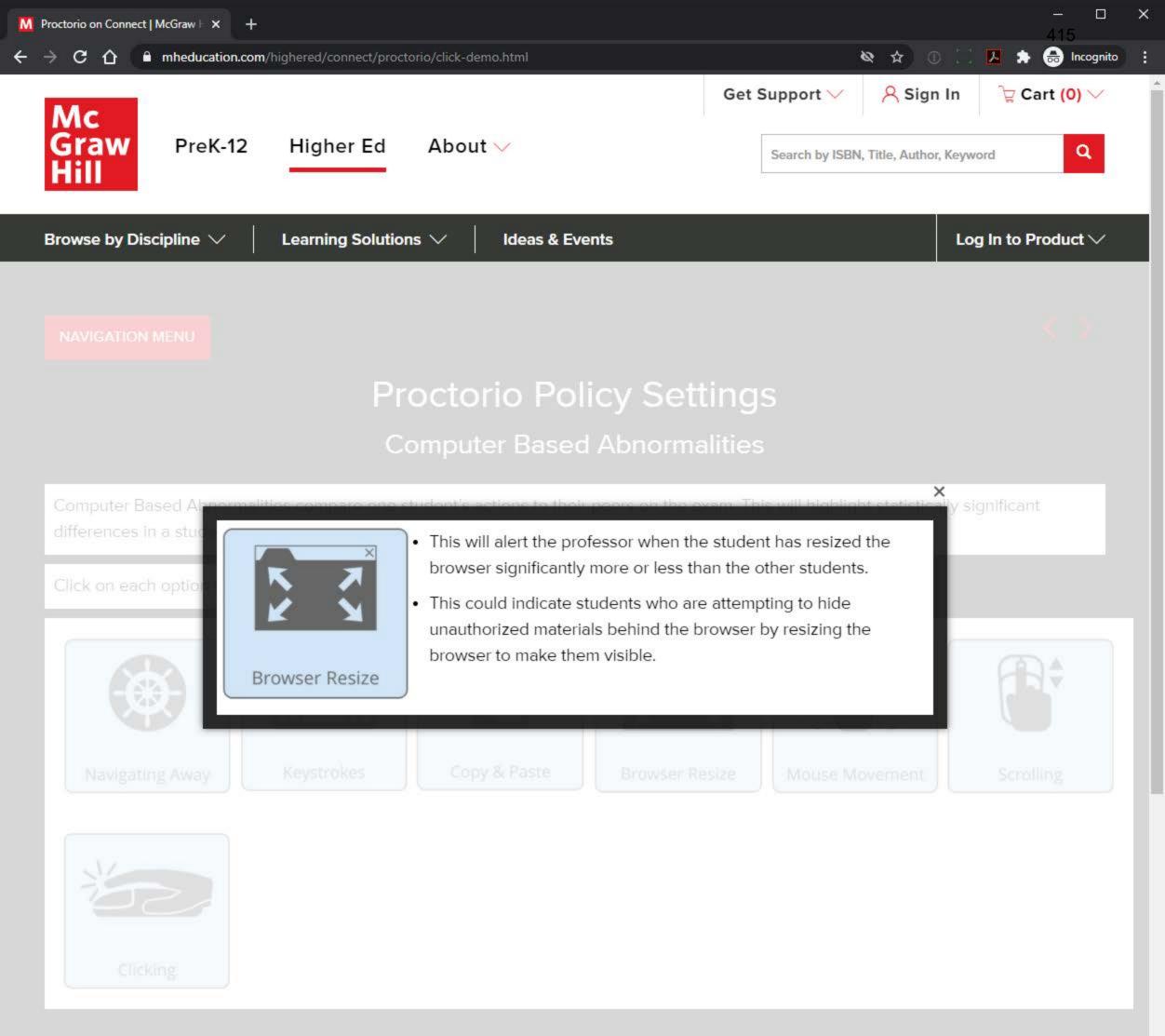


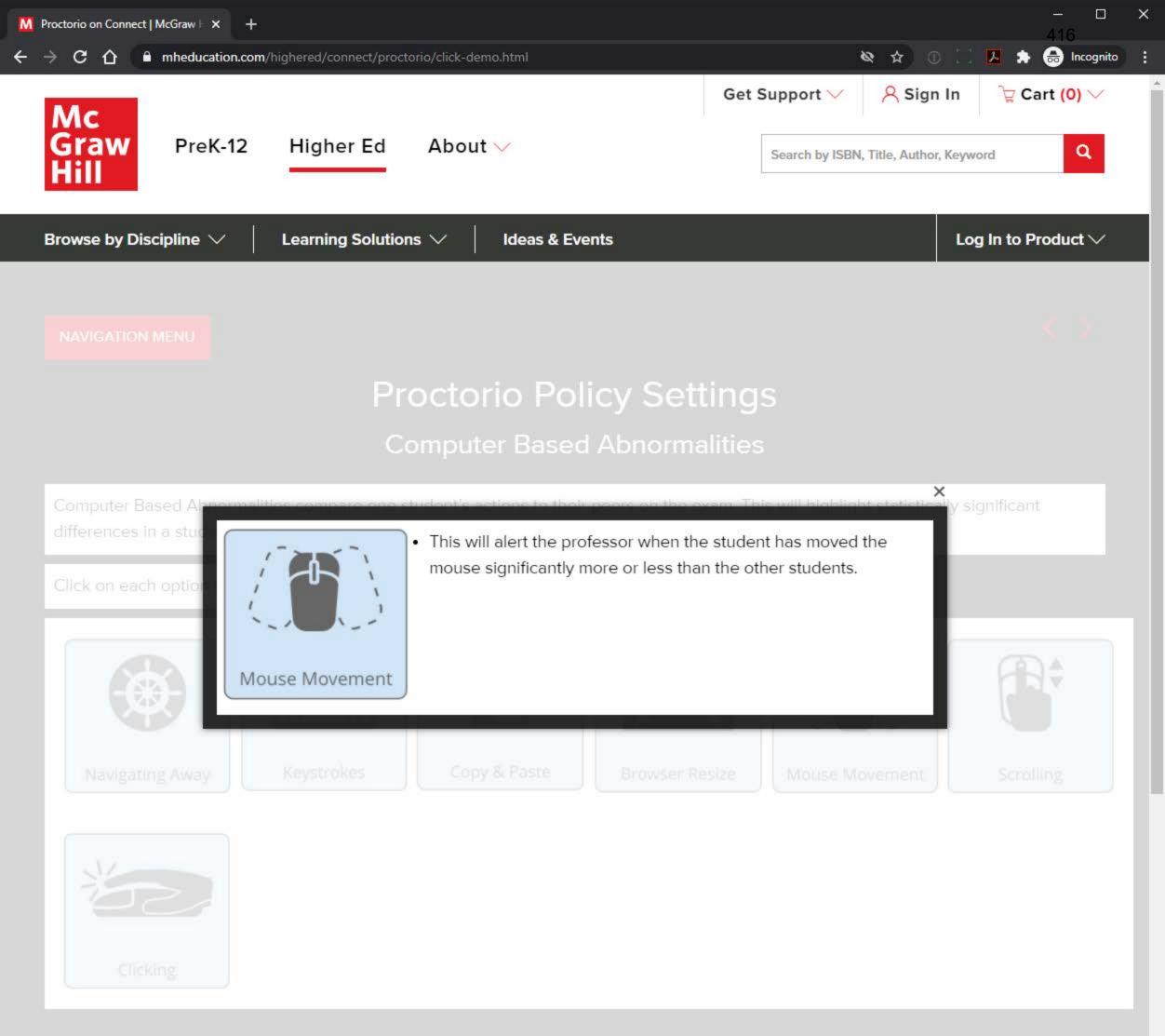


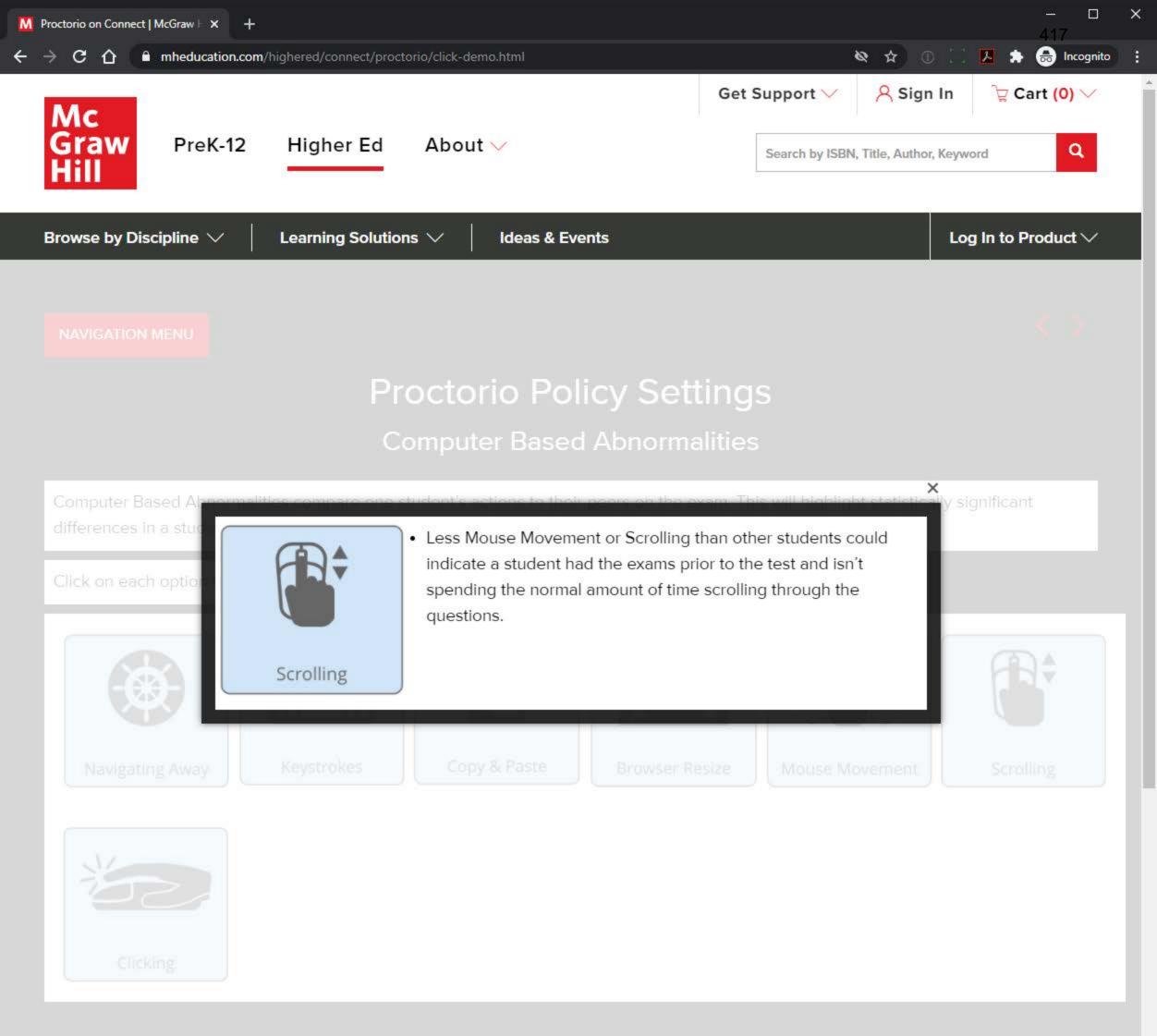
Clicking

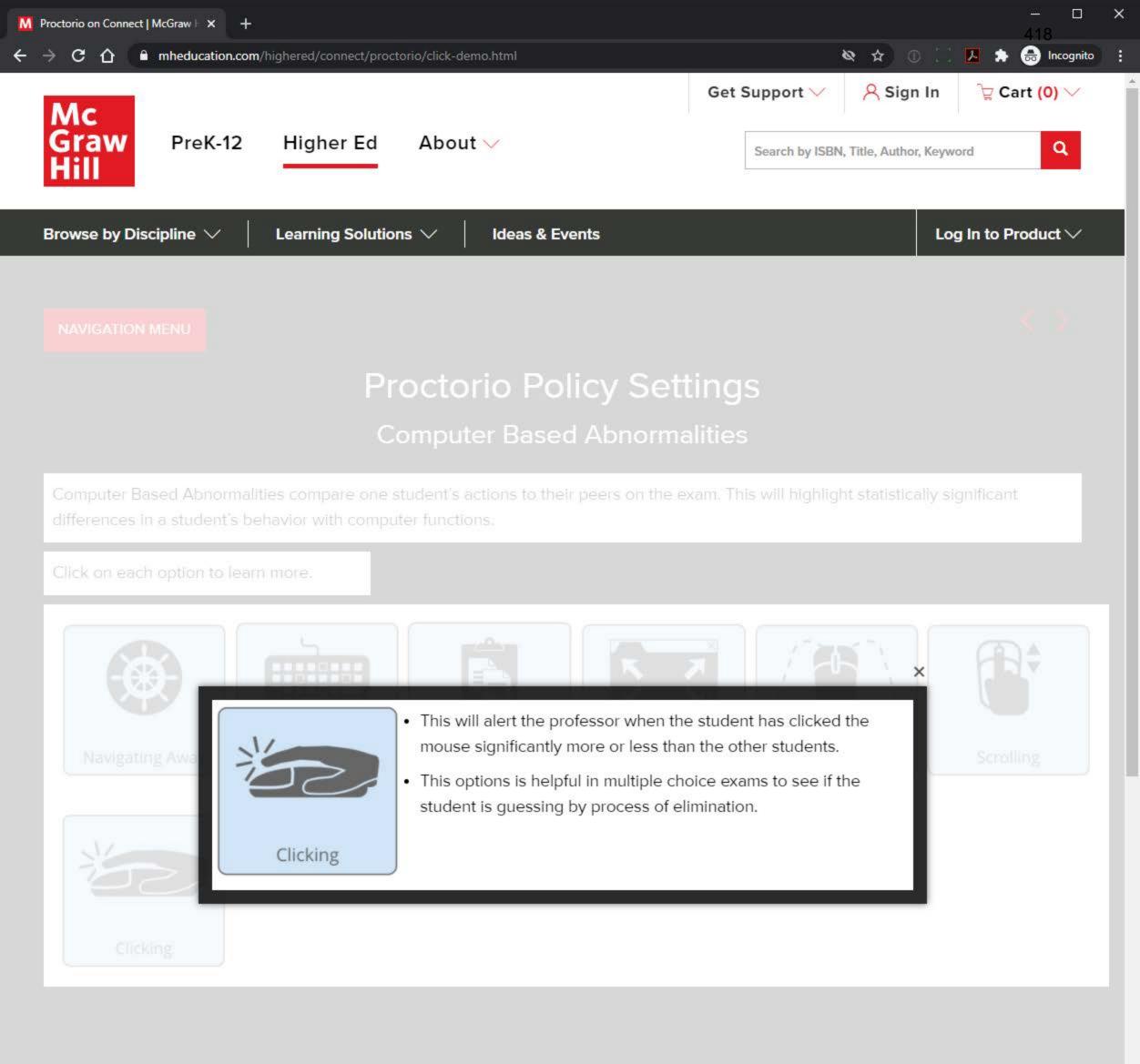


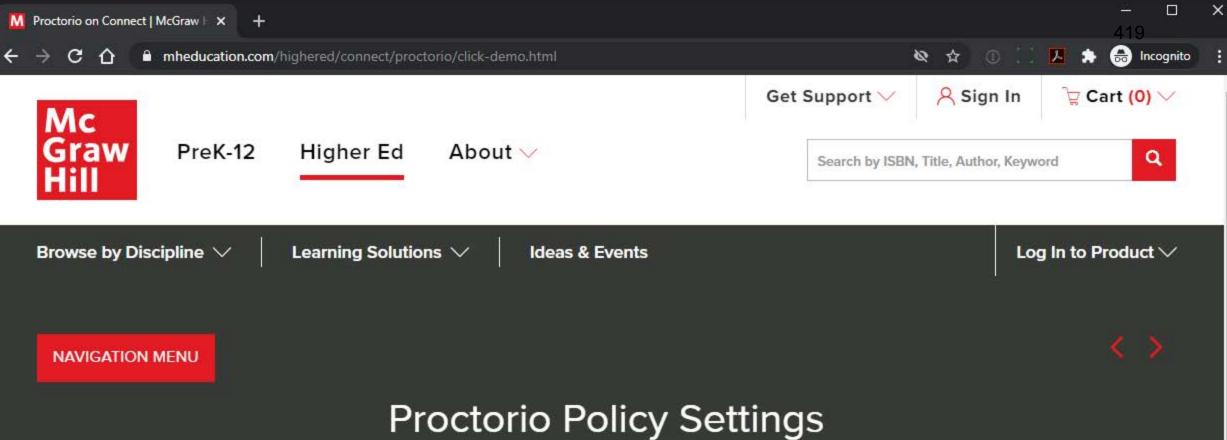












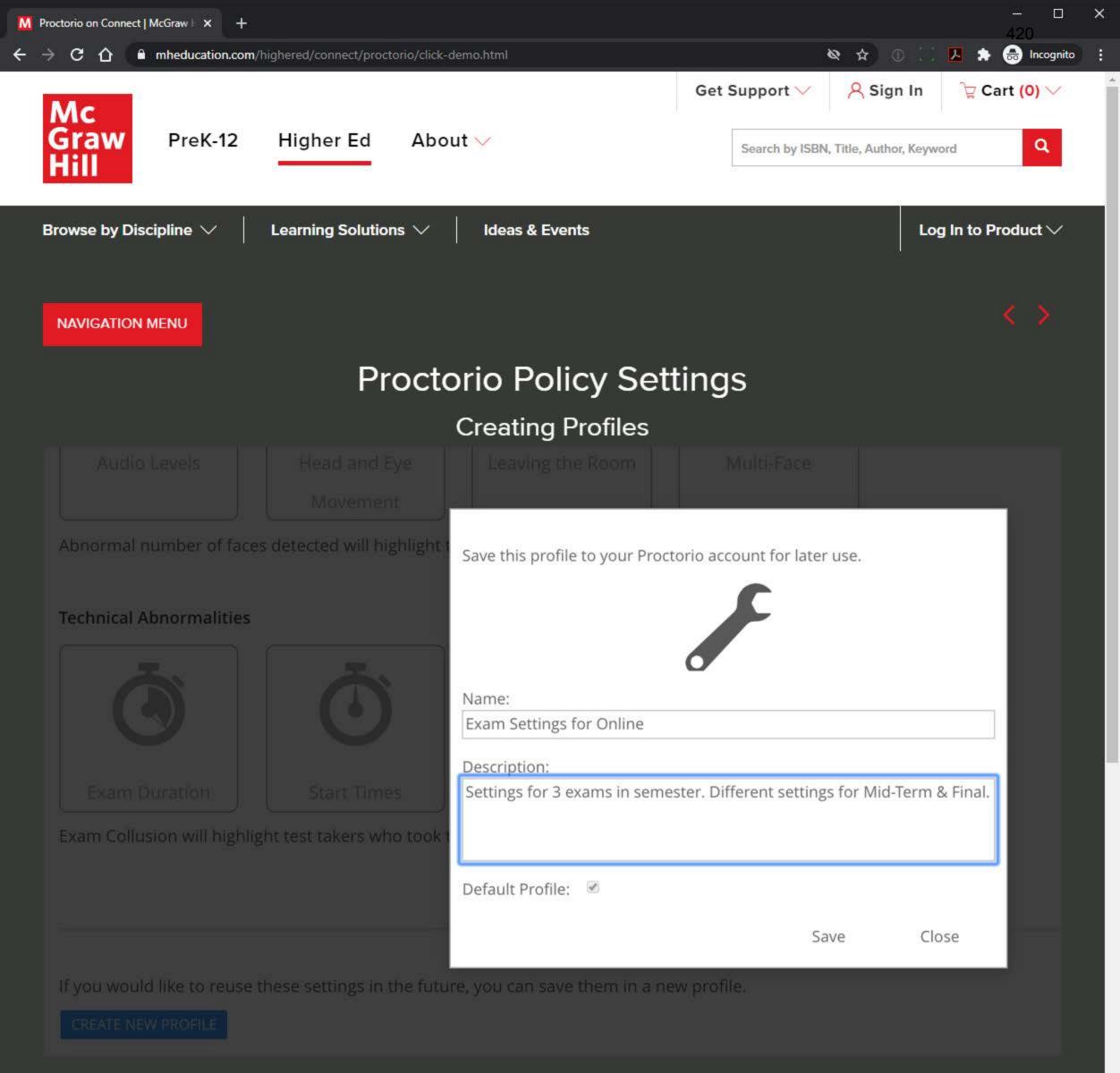
Inclorid Folicy Setting

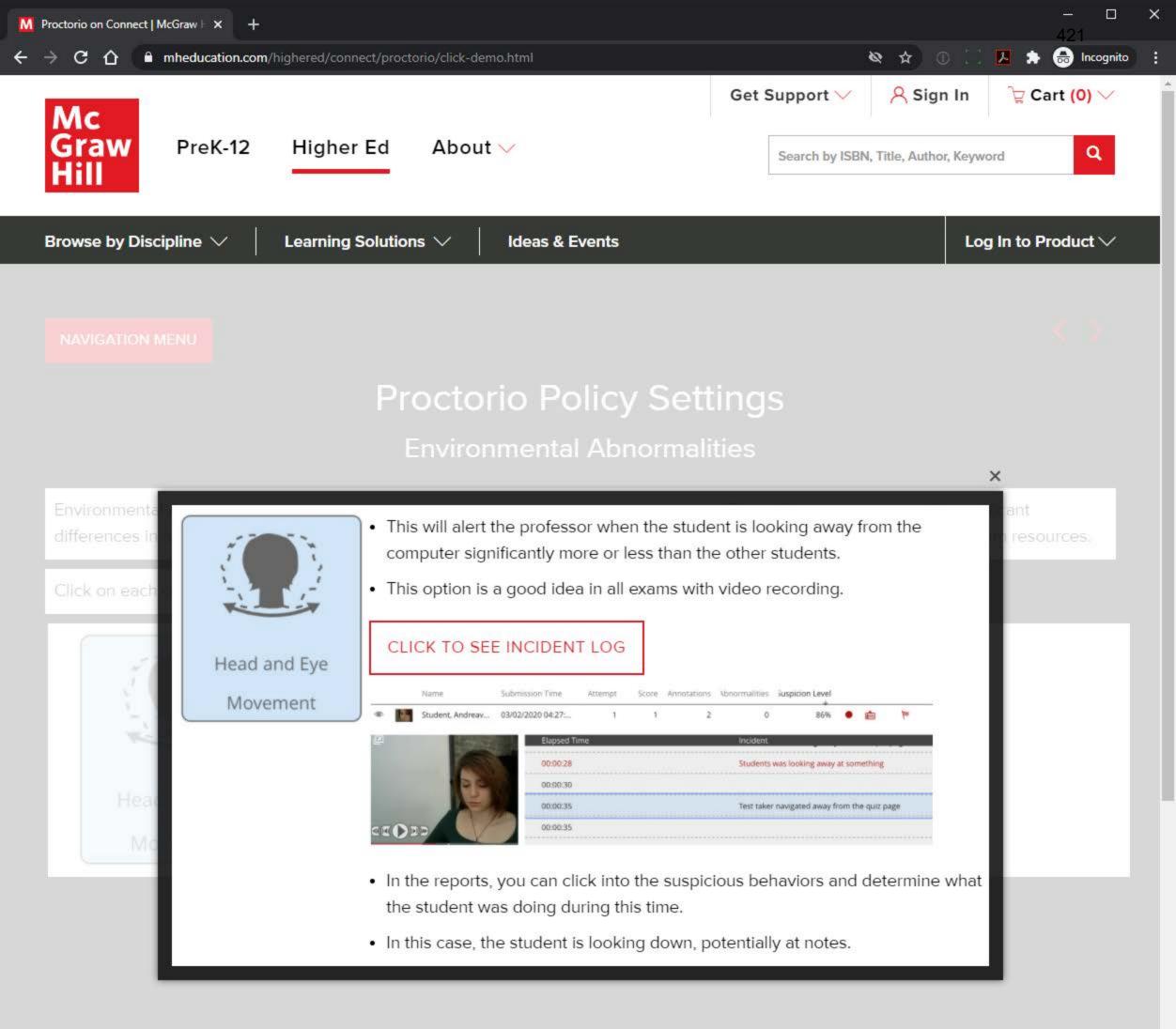
Creating Profiles

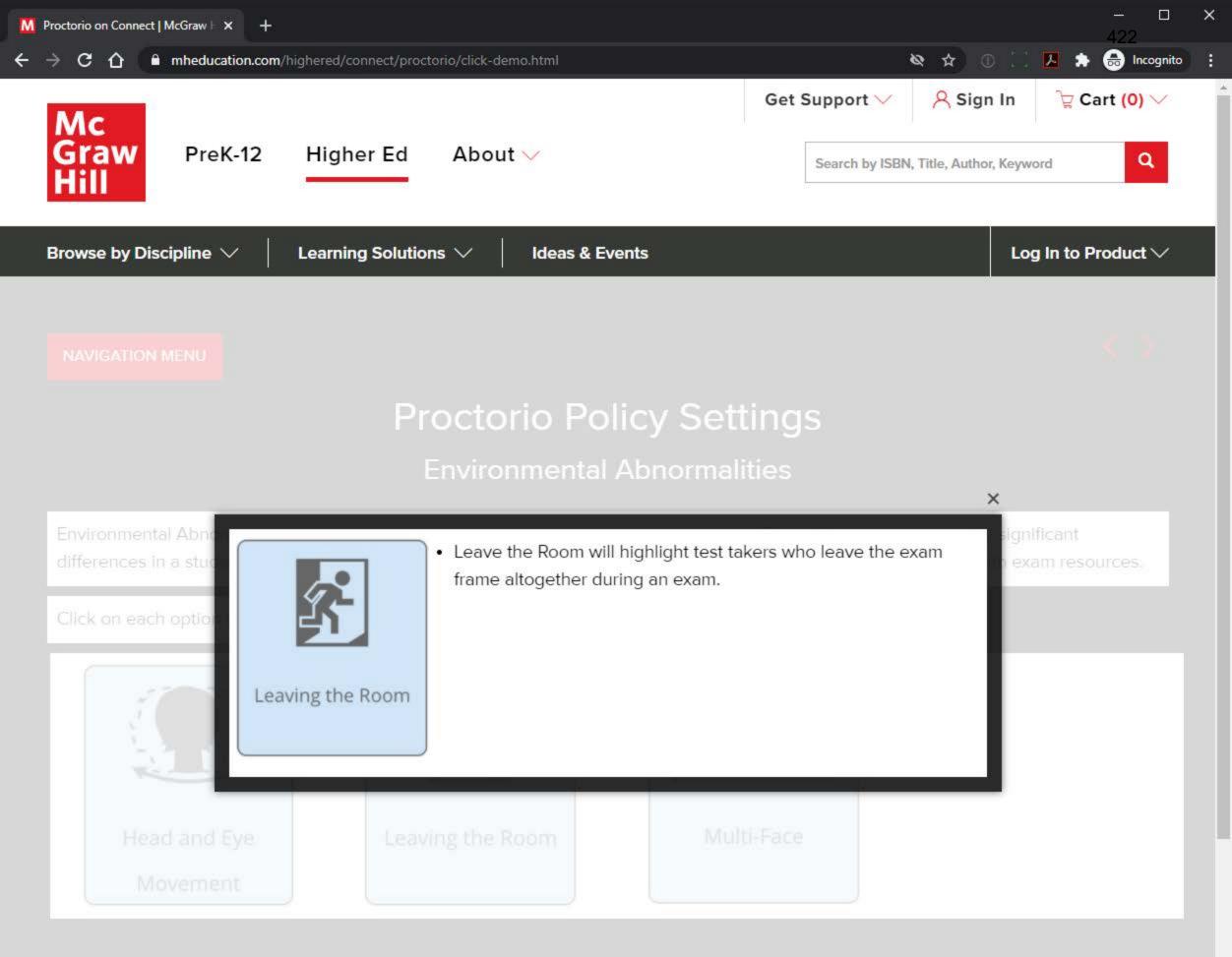
- Profiles can be created within your Connect Proctorio settings so that you can have your own defaults of the consistent settings you
 select for similar assessment types.
- Instead of choosing settings individually, you will be able to select your default and have all of your Proctorio settings apply to the assessment at once.

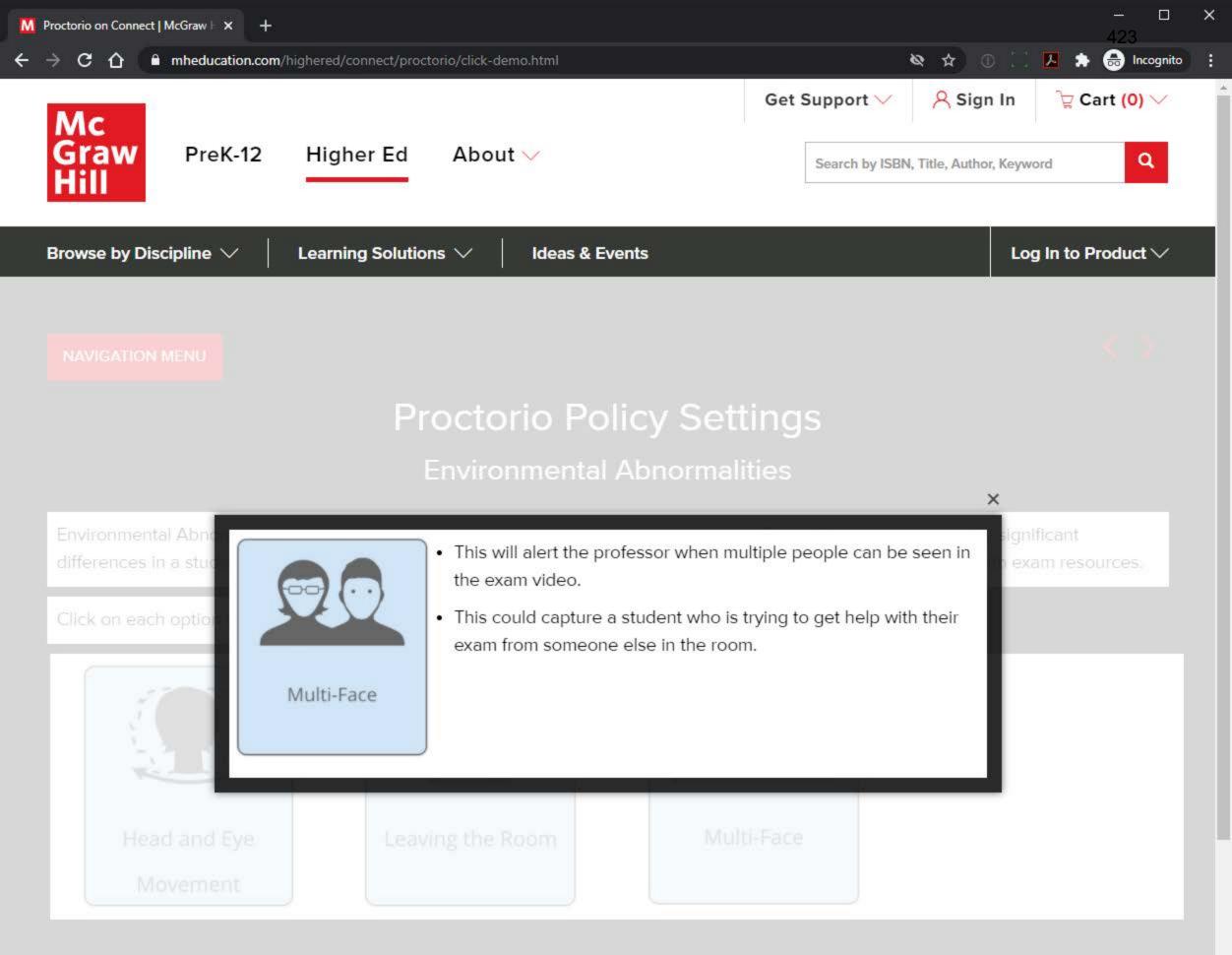
If you would like to reuse these settings in the future, you can save them in a new profile.

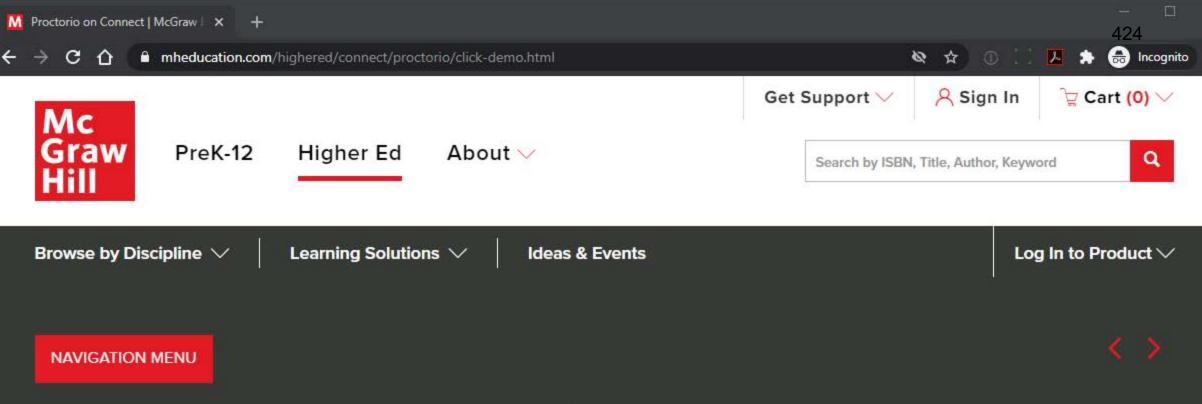
CREATE NEW PROFILE











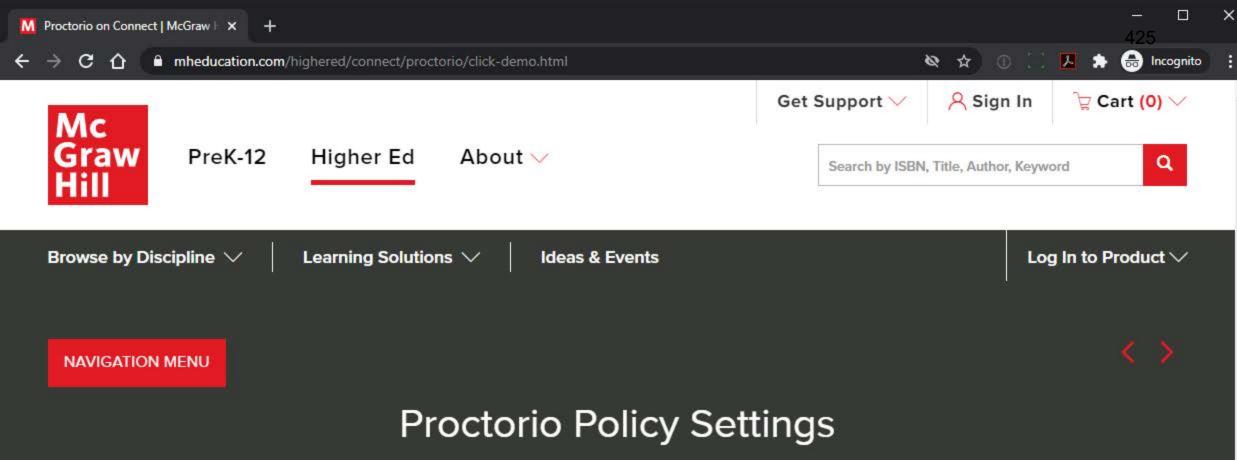
Proctorio Policy Settings

Frame Metrics

 In this version of the report, more students are now showing as needing to be reviewed because the severity measure of certain metrics was increased.

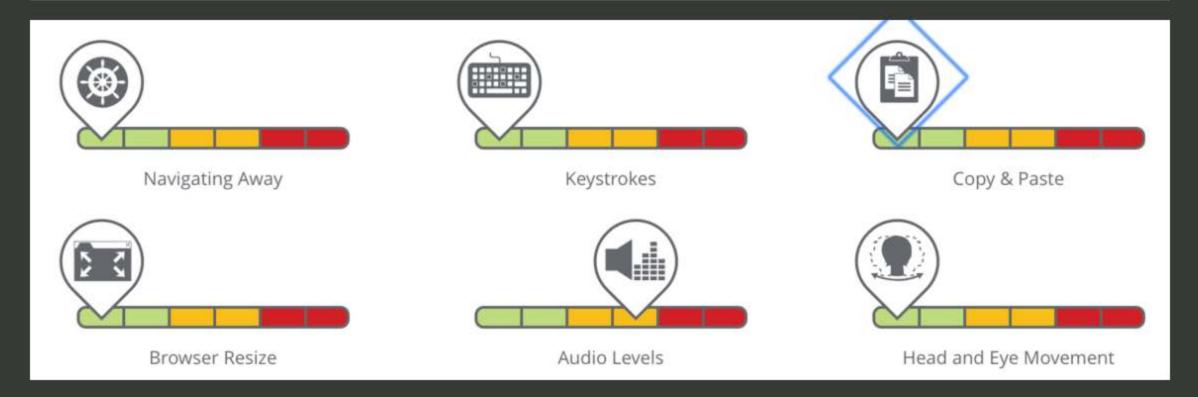
Proctorio Exam Results

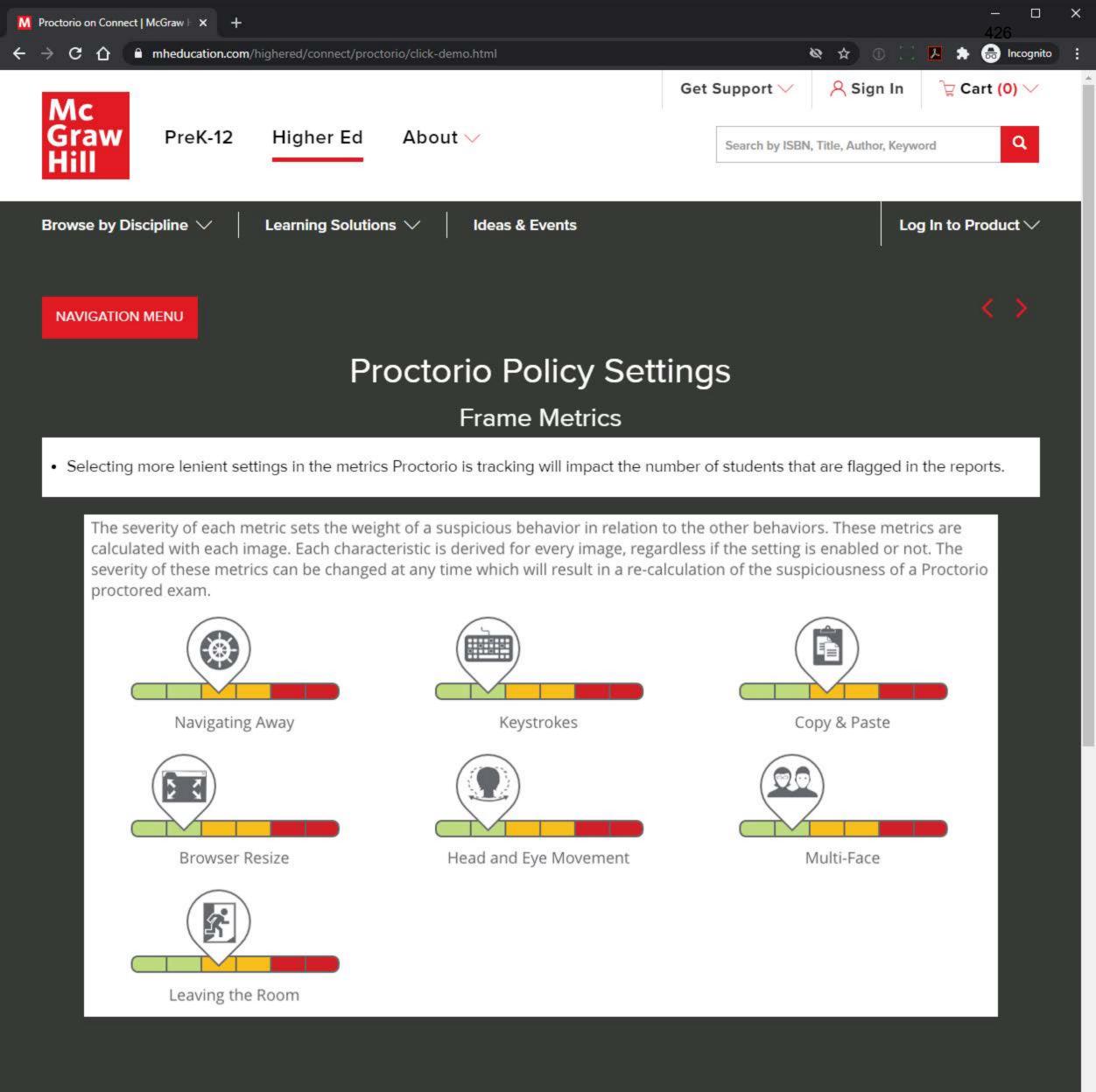
		Name	Submission Time	Attempt	Score	Annotations	Abnormalities	Suspicion Level			
۲	E.	Student, Andreav	03/02/2020 04:27:	1	1	2	0	86%	٠		las
۲	6	Student, Vuk De	03/02/2020 09:23:	1	3	0	1	39%	٠	Ð	
۲	<u>9</u>	Student, Alekhya	02/27/2020 10:36:	2	3	0	0	35%	•		
۲	<u> (</u>	Student, Alekhya	02/27/2020 10:31:	1	3	0	0	21%	٠		
۲	0	Student, Ana De	03/02/2020 09:18:	1	3	0	1	16%	•	ß	a (
۲		Student, Javan D	02/28/2020 04:39:	1	3	0	0	10%	٠		
۲	(TA)	Student, Mhe Pa	03/16/2020 05:55:	2	3	0	0	5%	٠		

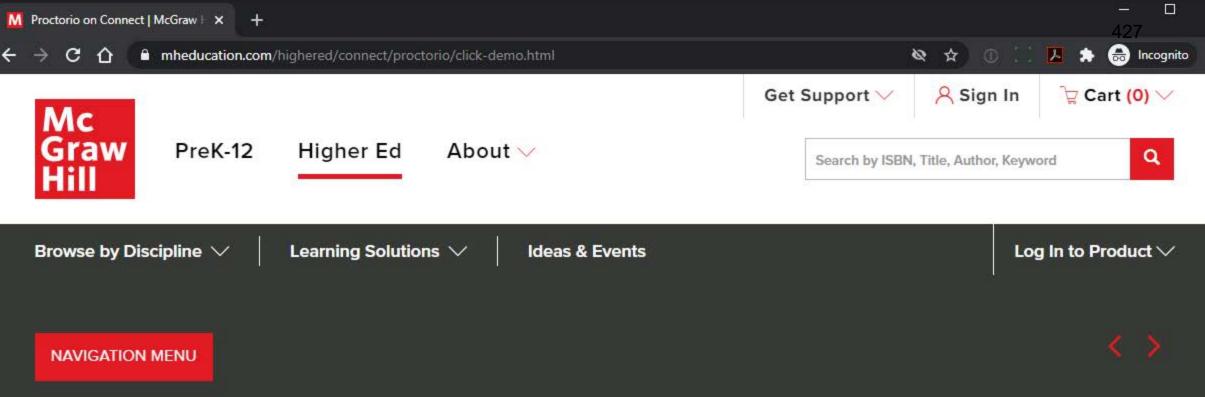


Frame Metrics

- The Proctorio behavior flags are controlled by the presets and can be modified here.
- Setting the weight of these flags determines how critical a particular behavior is in relation to the other flags.
- These settings can be changed any time, even after the exam is complete. Changing the weight of the flags will trigger an automatic re-grade of the suspicion levels for each student in the reports.
- This allows you to adjust things if you feel the report is raising too many students as highly suspicious. It could mean that your settings
 are too severe and need to be dialed back.







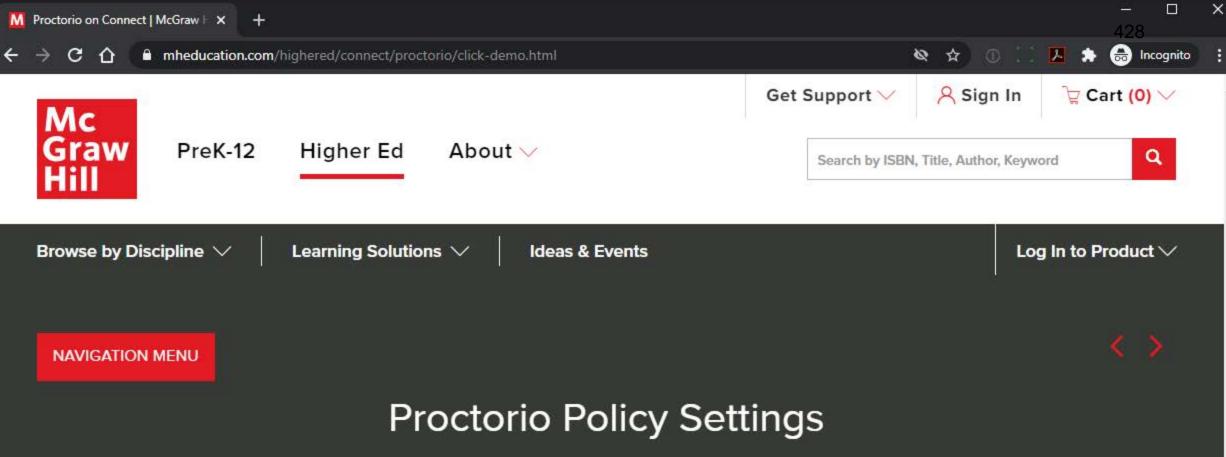
×

Proctorio Policy Settings

Frame Metrics

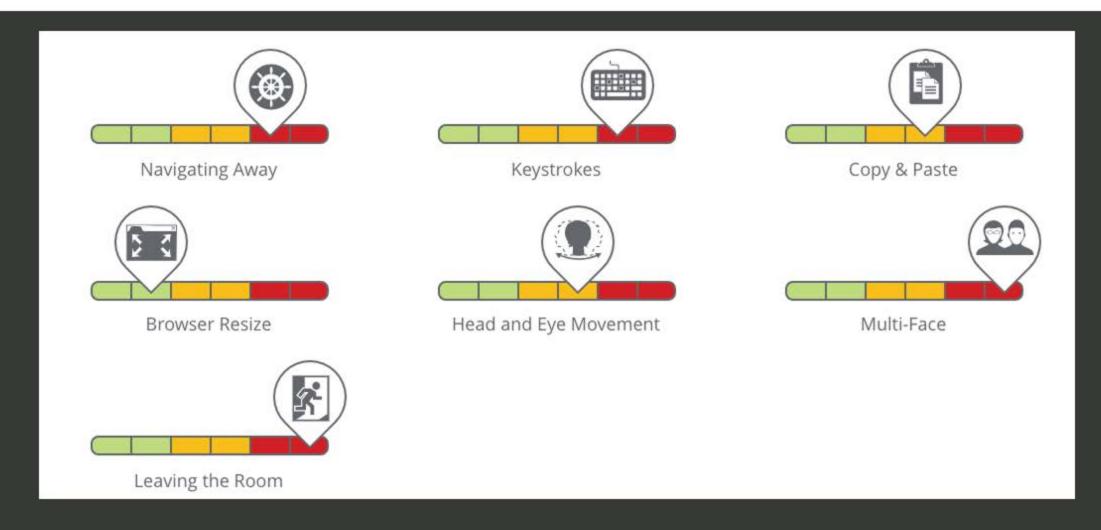
 Because the settings were dialed down to be more lenient, only one student has been flagged in red for review, although there are some visual indicators that show that other students may need to be reviewed.

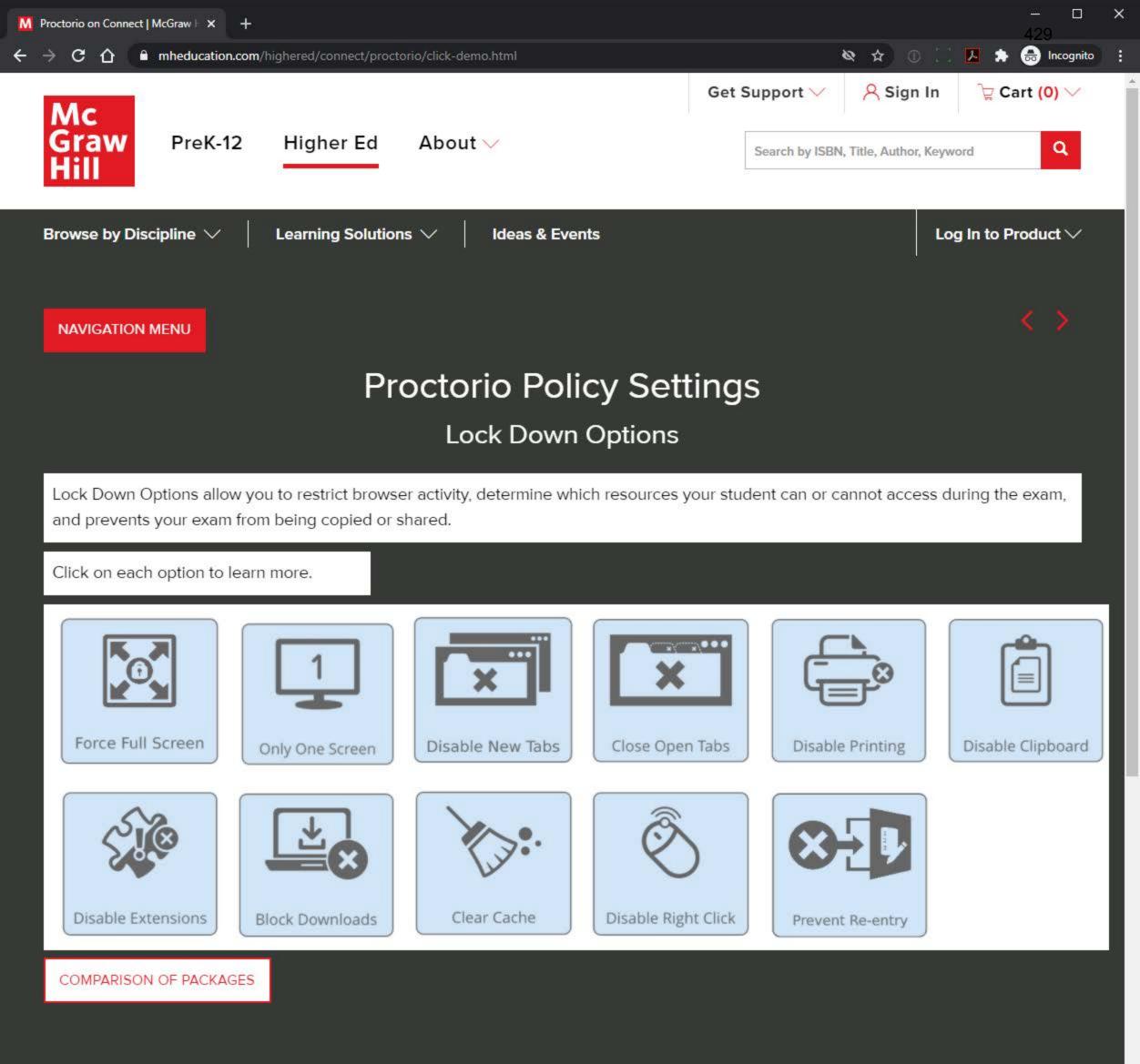
Proc	Proctorio Exam Results										
		Name	Submission Time	Attempt	Score	Annotations	Abnormalities	Suspicion Level			
۲	3	Student, Andreav	03/02/2020 04:27:	1	1	2	0	69%	•		ka
۲	t,	Student, Vuk De	03/02/2020 09:23:	1	3	0	1	18%	•	£	<u>a</u>
۲		Student, Ana De	03/02/2020 09:18:	1	3	0	1	16%	۲	E	۵
۲		Student, Alekhya	02/27/2020 10:36:	2	3	0	0	12%	•		
۲		Student, Alekhya	02/27/2020 10:31:	1	3	0	0	9%	٠		
۲		Student, Javan D	02/28/2020 04:39:	1	3	0	0	5%	•		

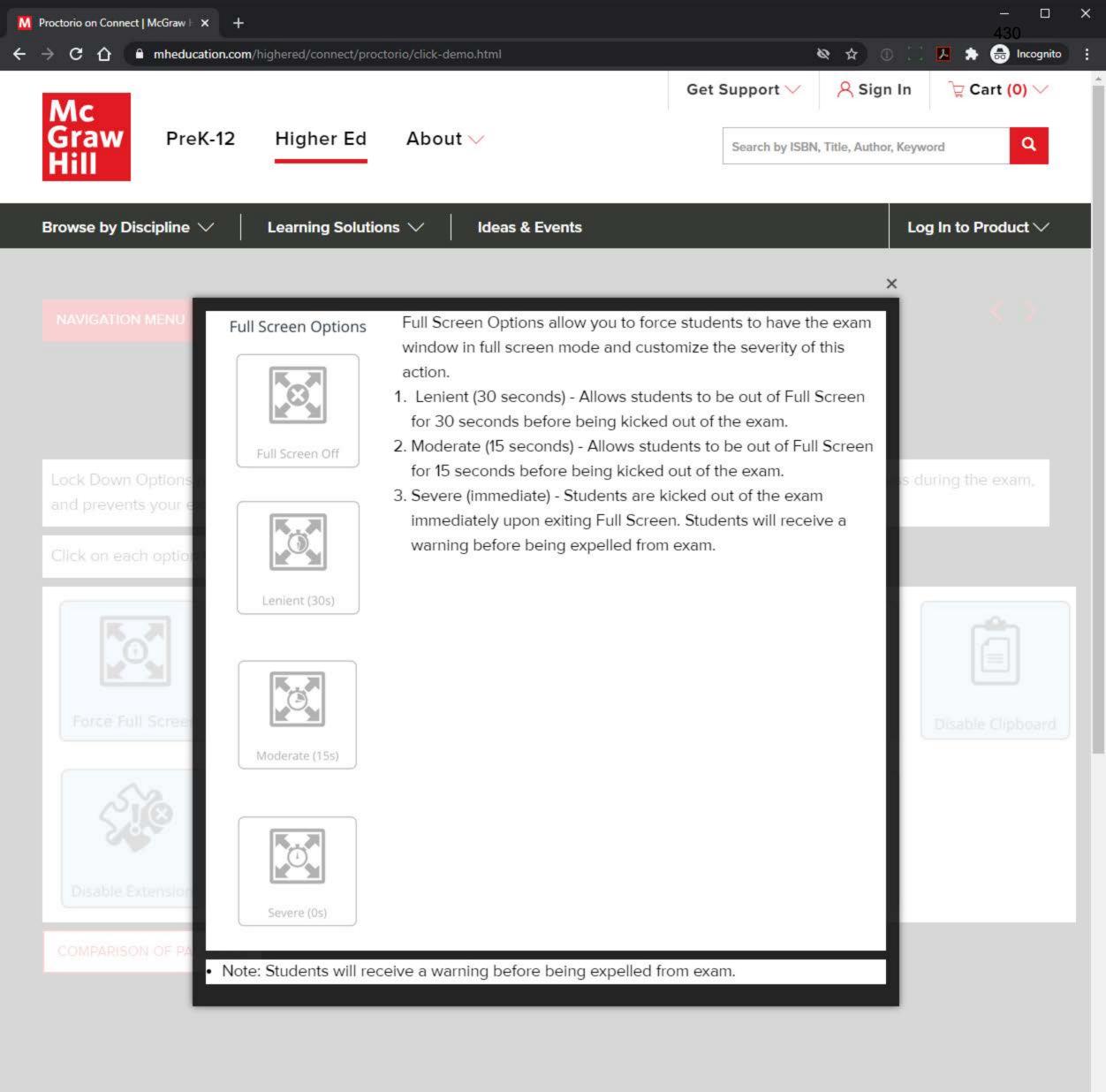


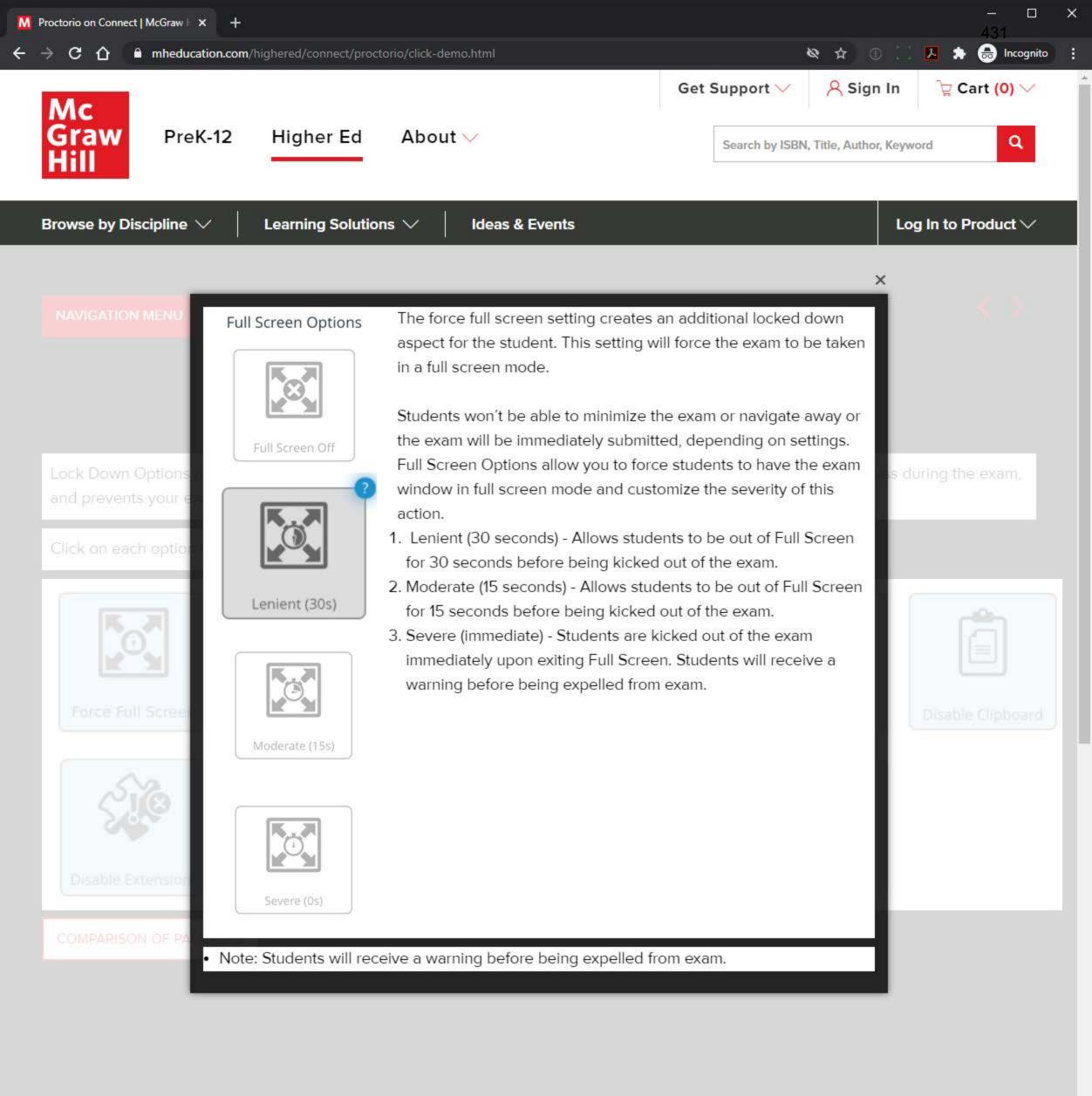
Frame Metrics

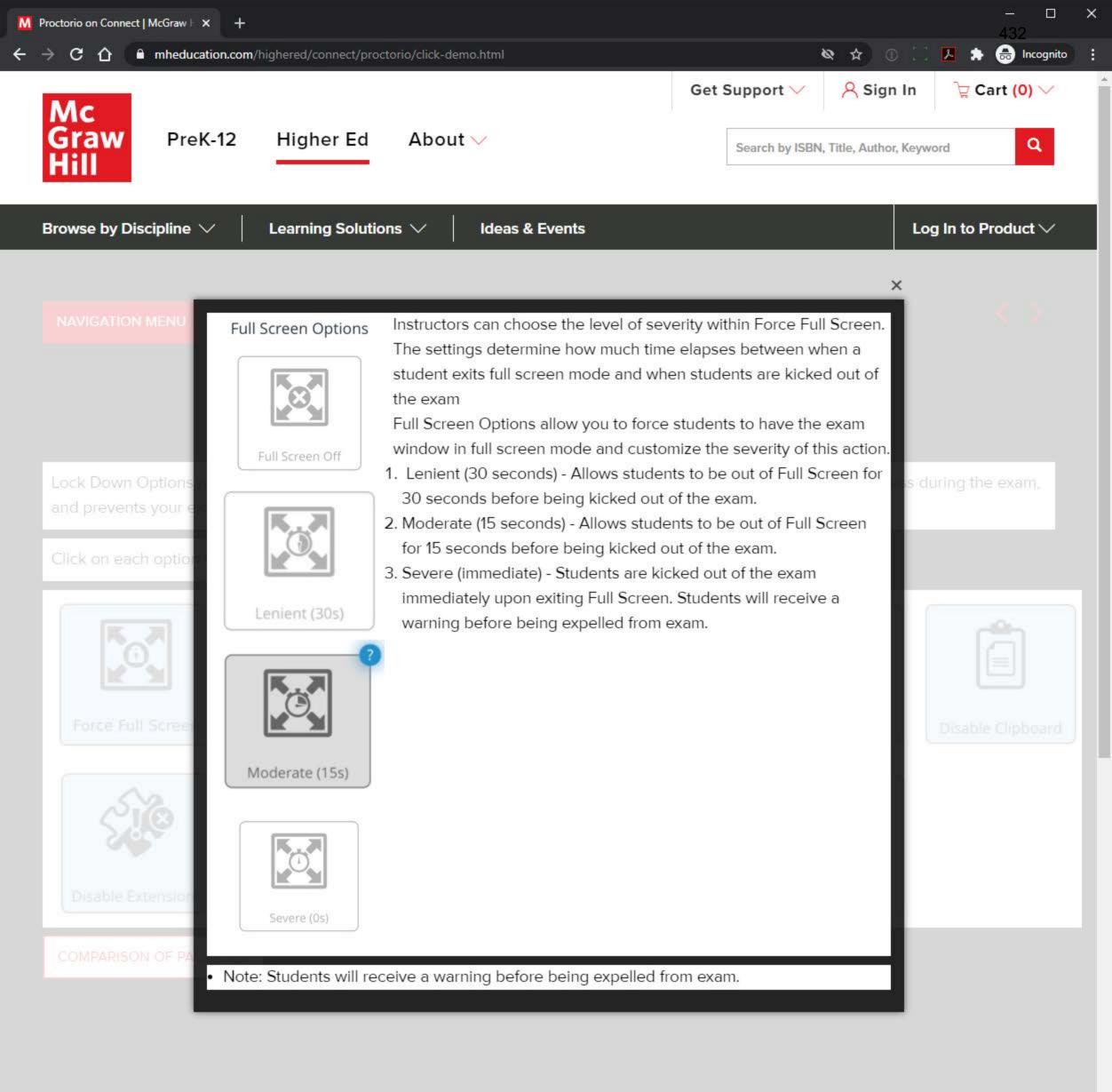
- If the severity level is dialed up in the frame metrics, the reports will echo the changes.
- These levels can be adjusted at any time—before or after the exam was administered.

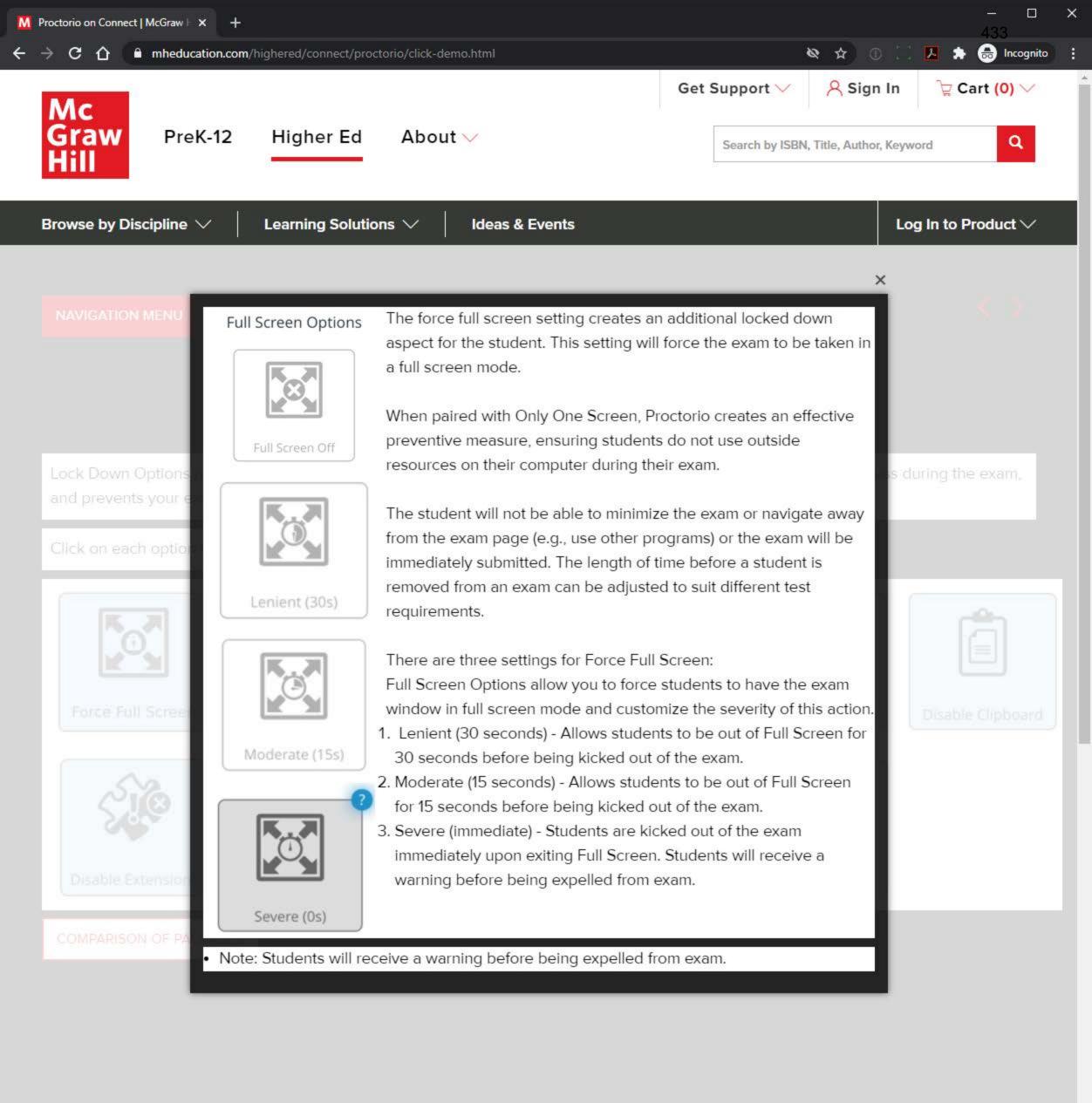


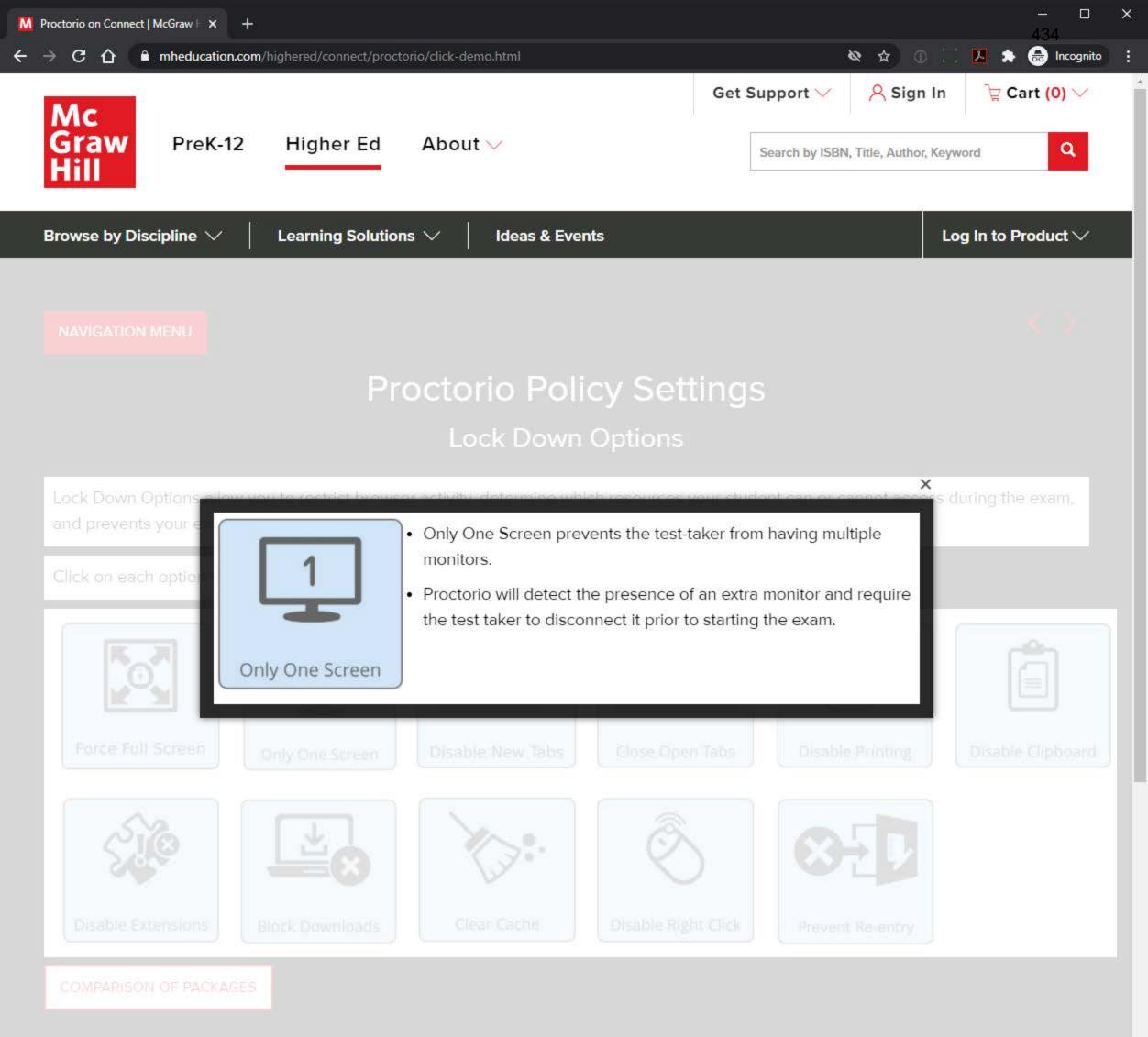


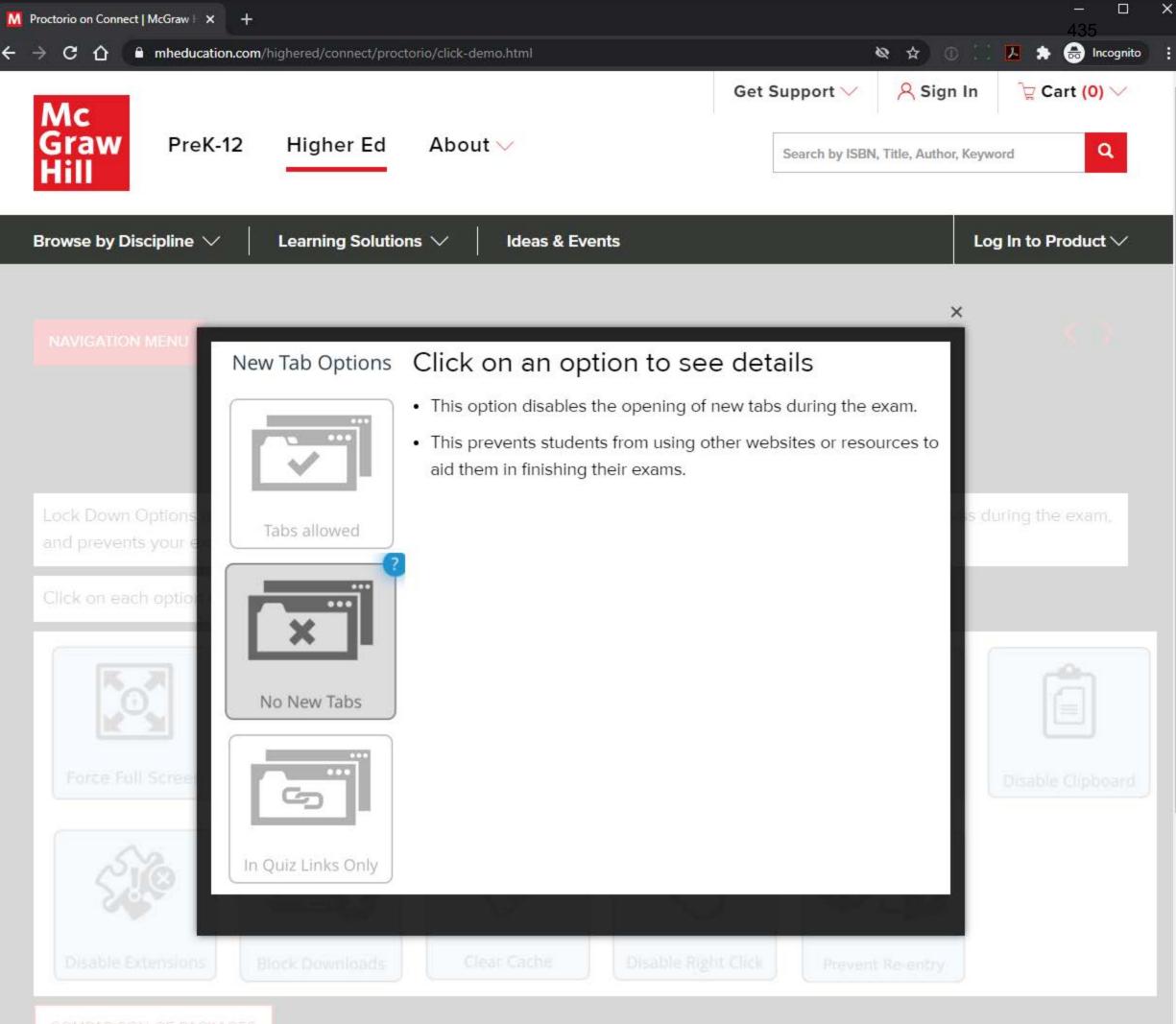




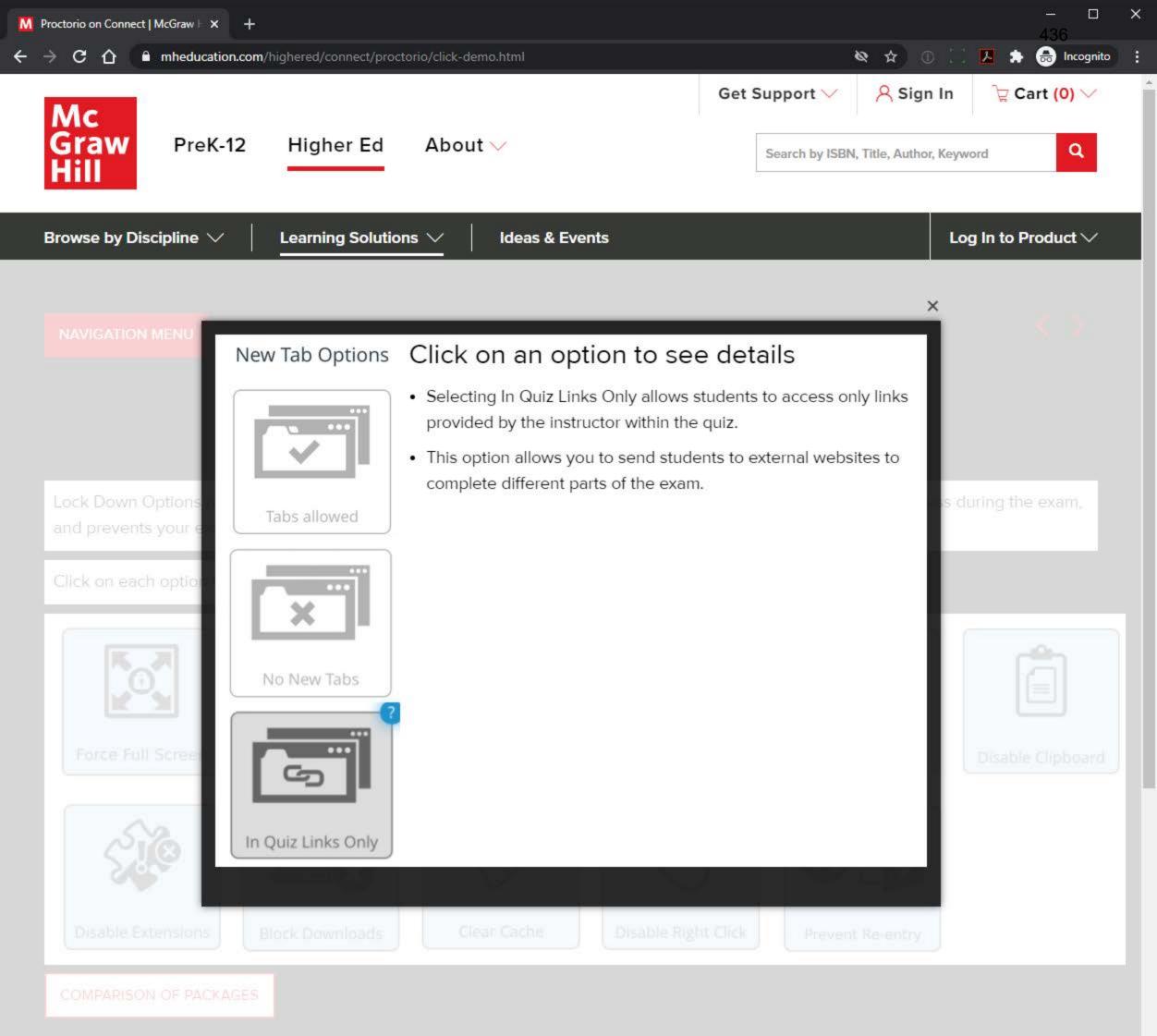


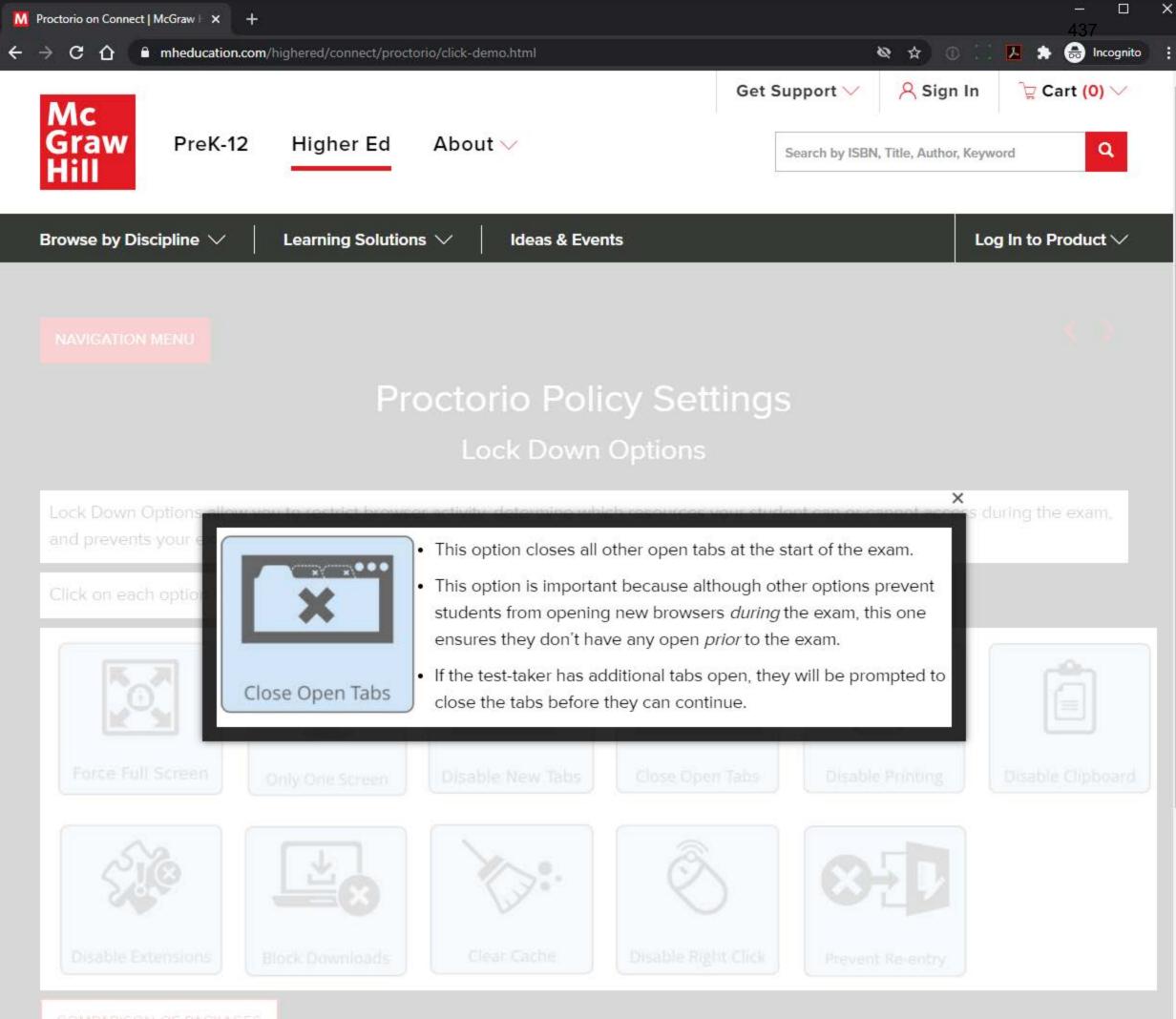




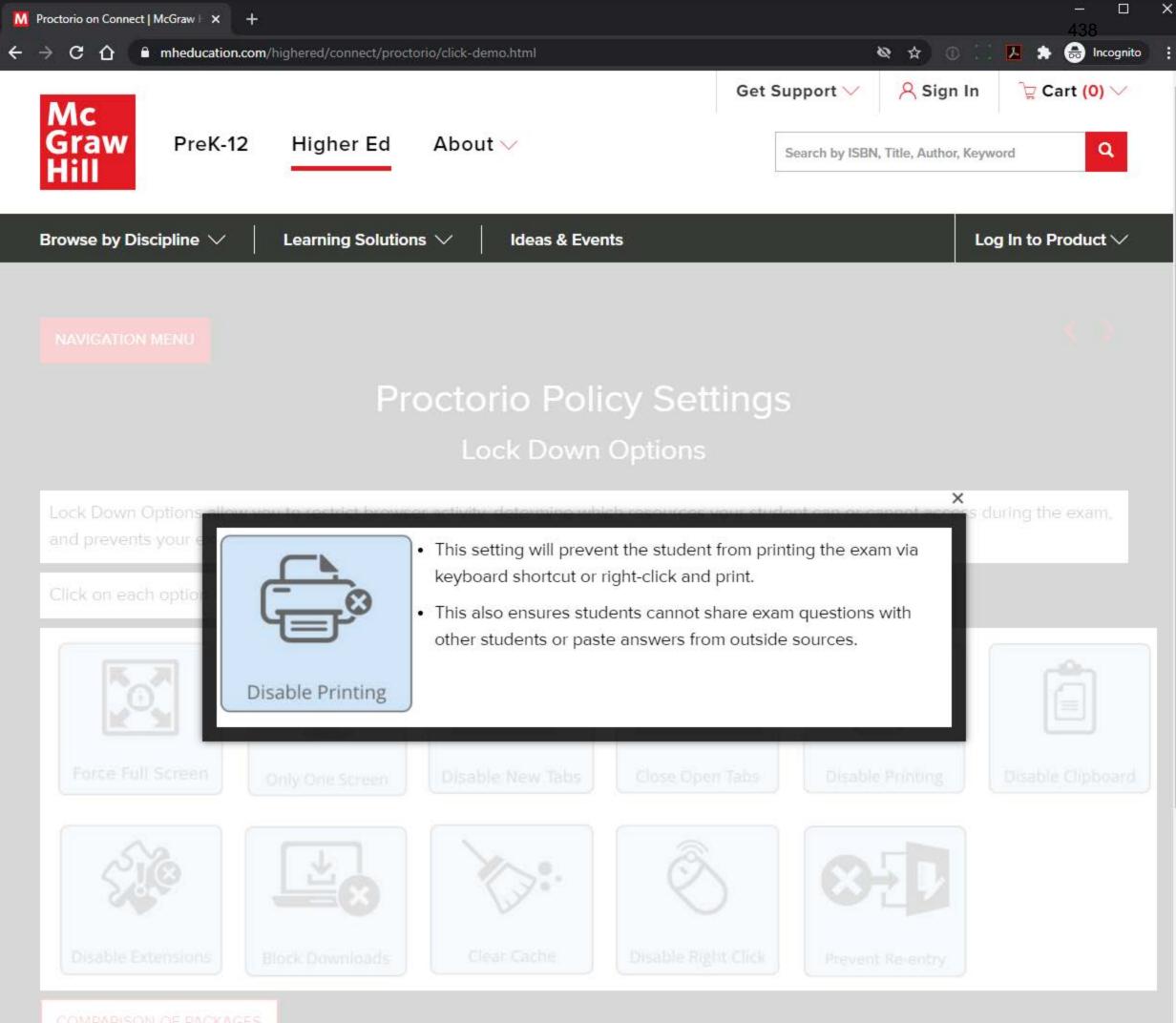


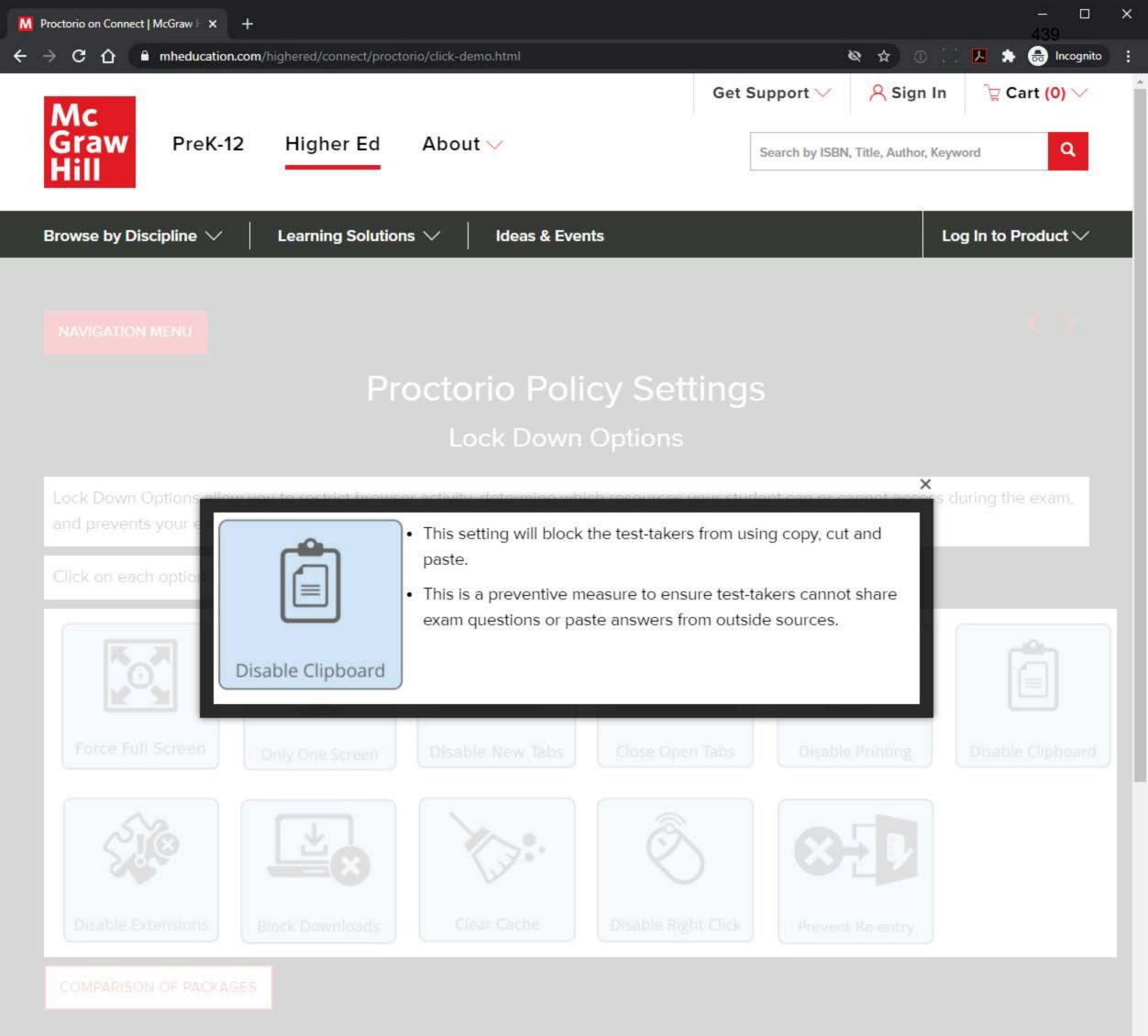
COMPARISON OF PACKAGES

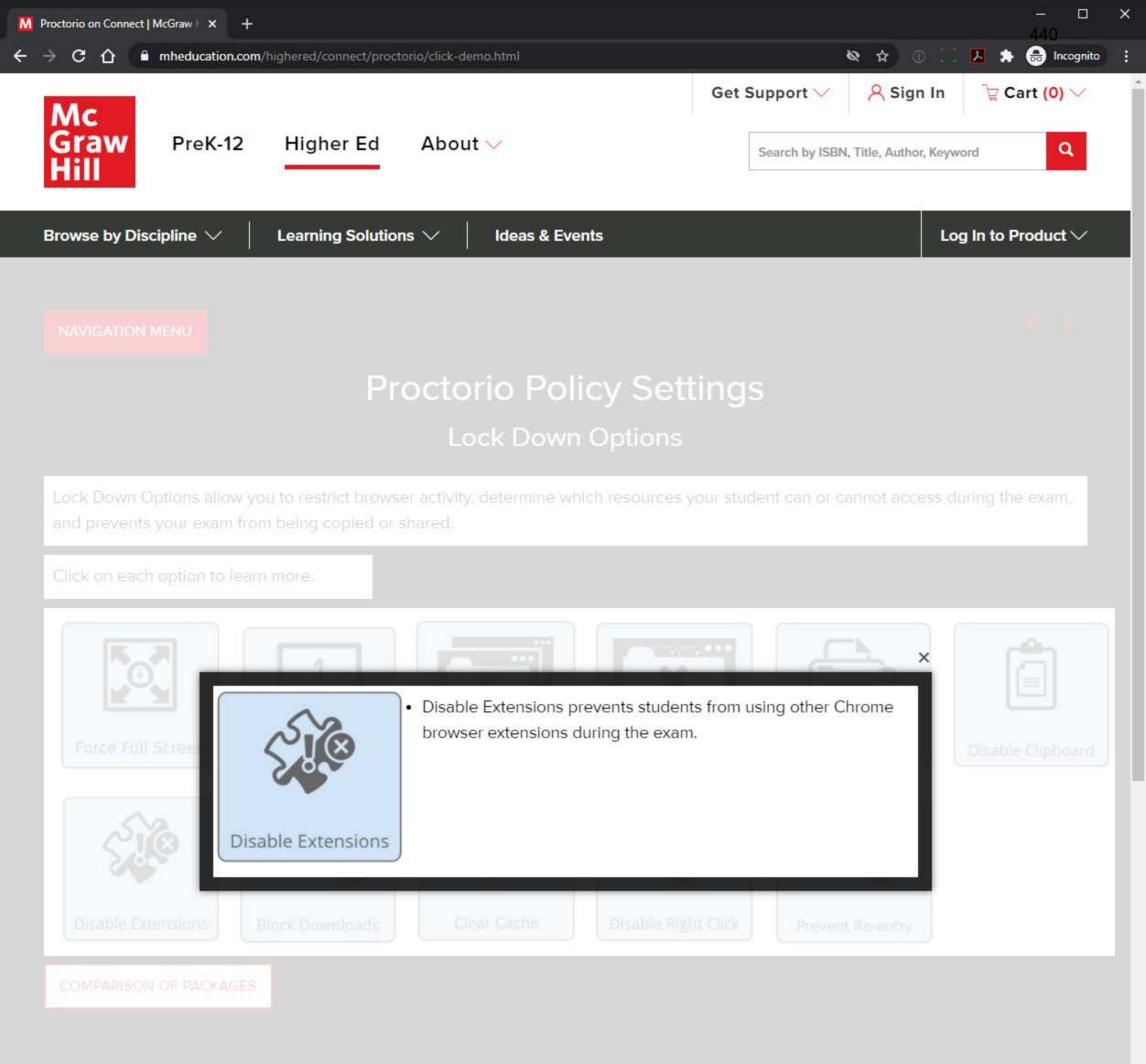


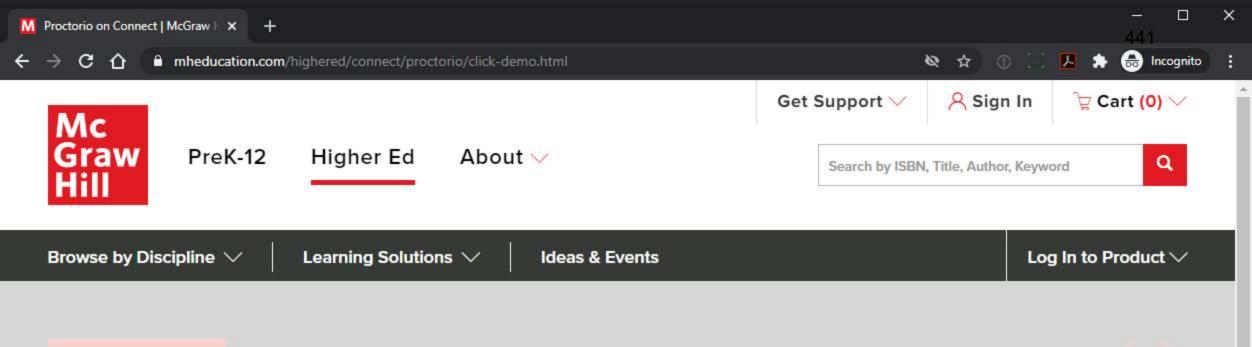


COMPARISON OF PACKAGE







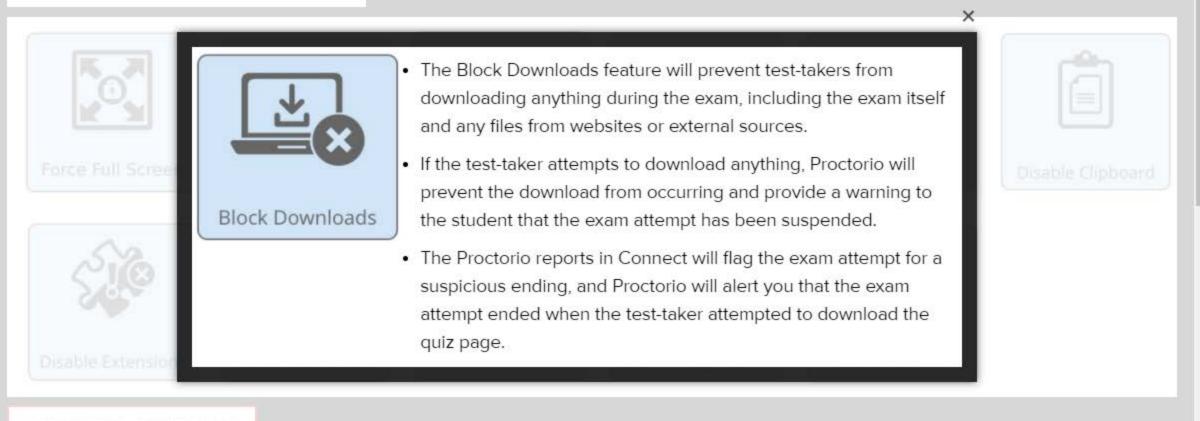


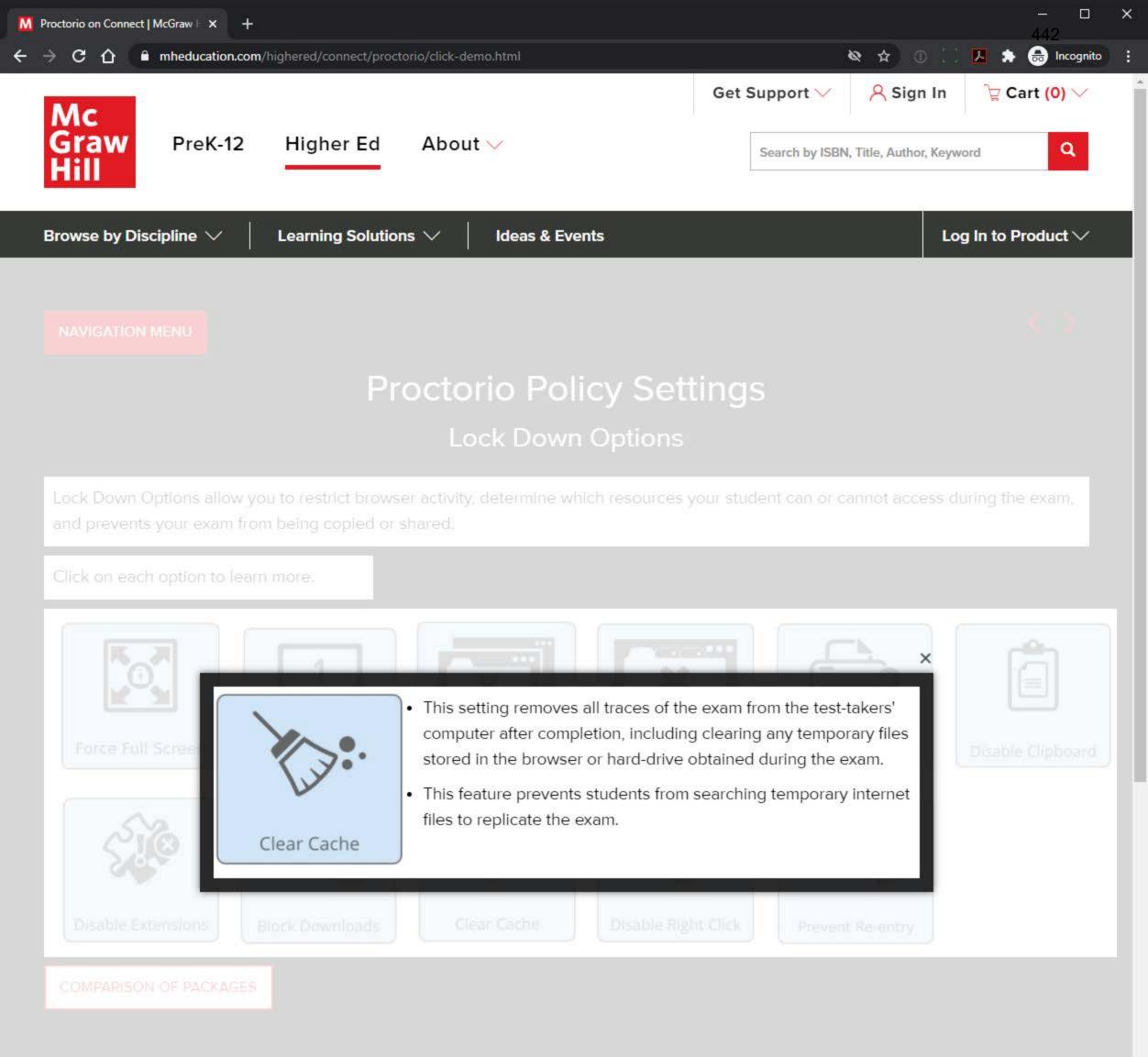
Proctorio Policy Settings

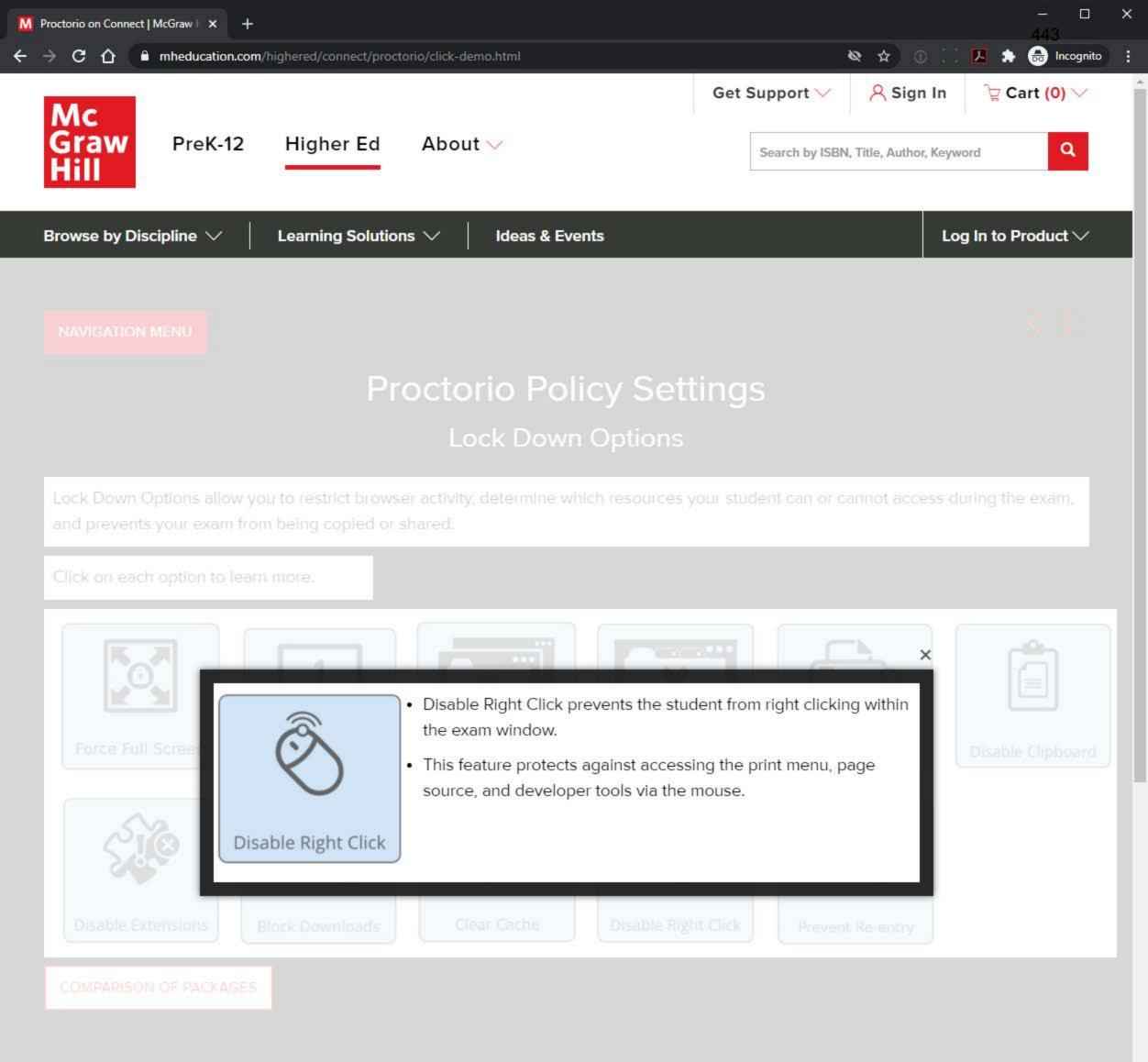
Lock Down Options

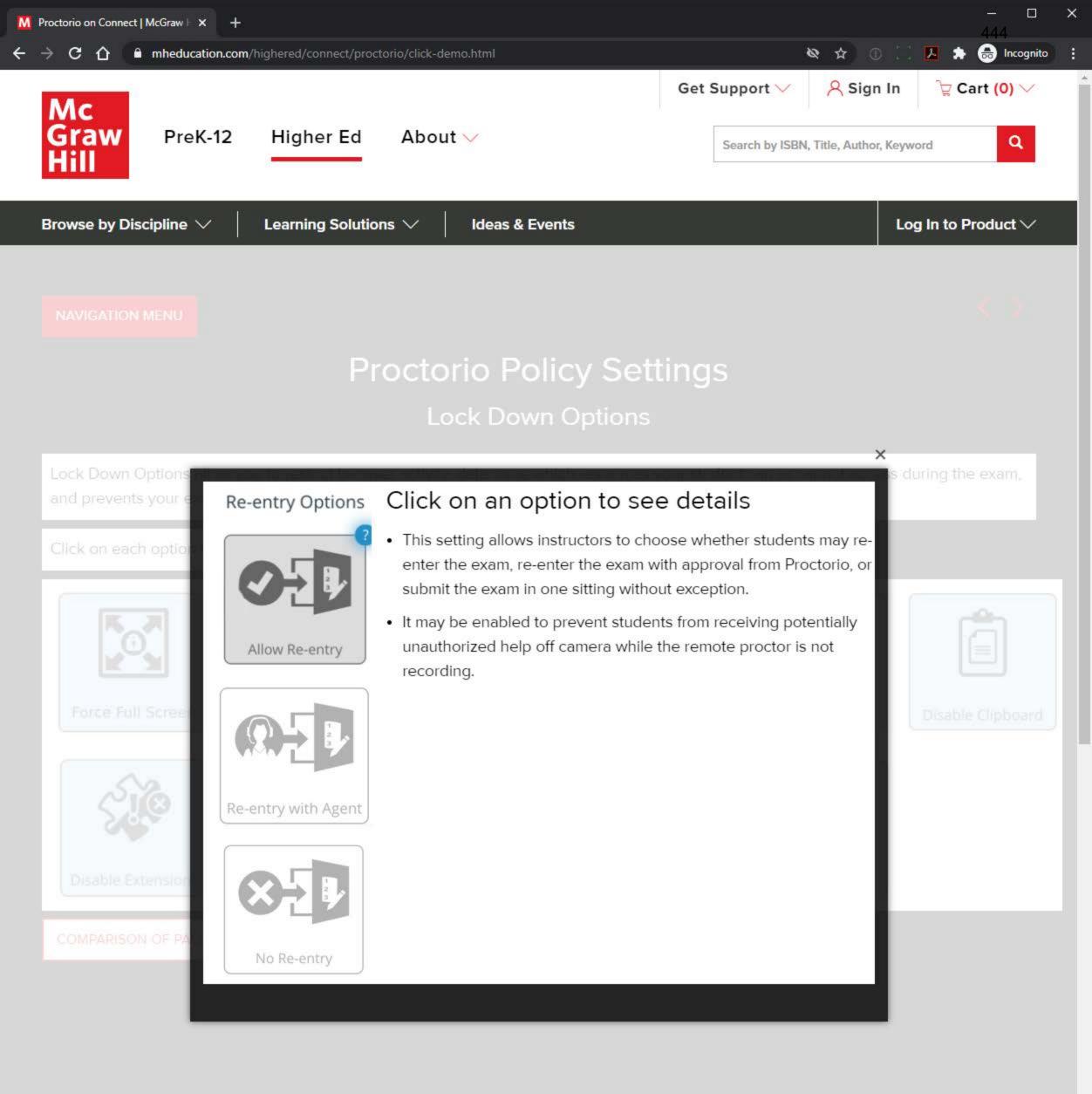
Lock Down Options allow you to restrict browser activity, determine which resources your student can or cannot access during the exam, and prevents your exam from being copied or shared.

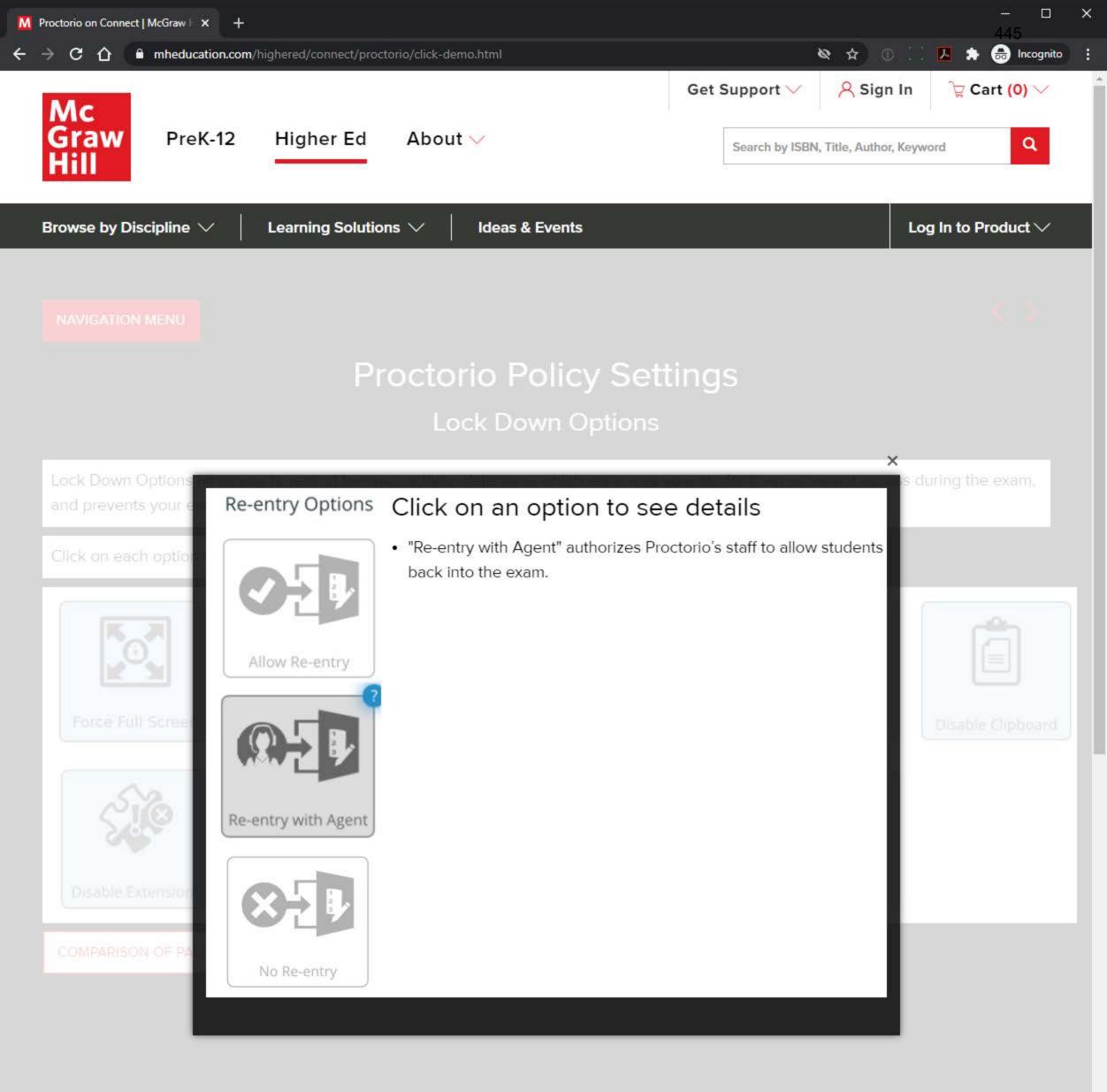
Click on each option to learn more.

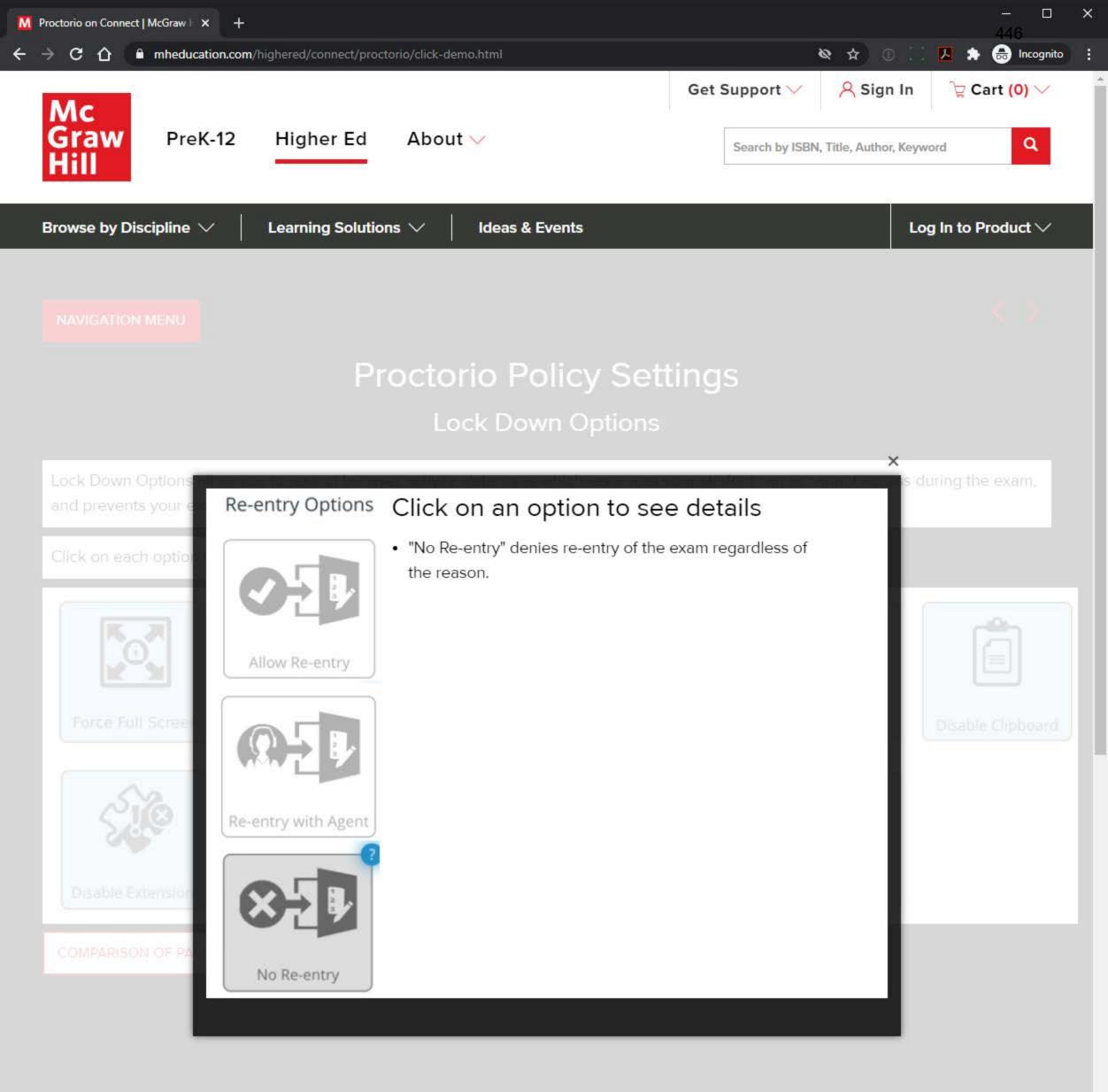


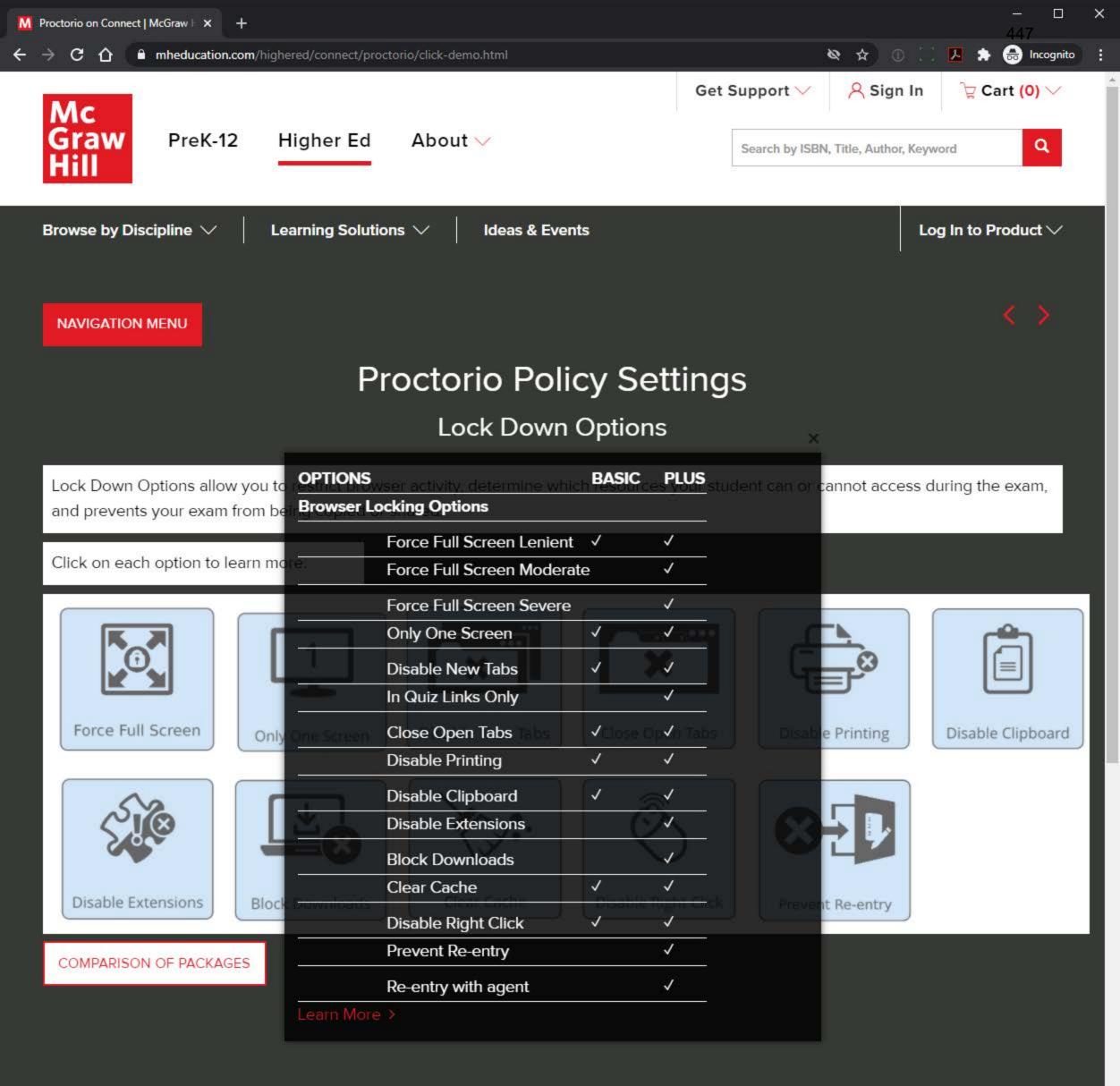


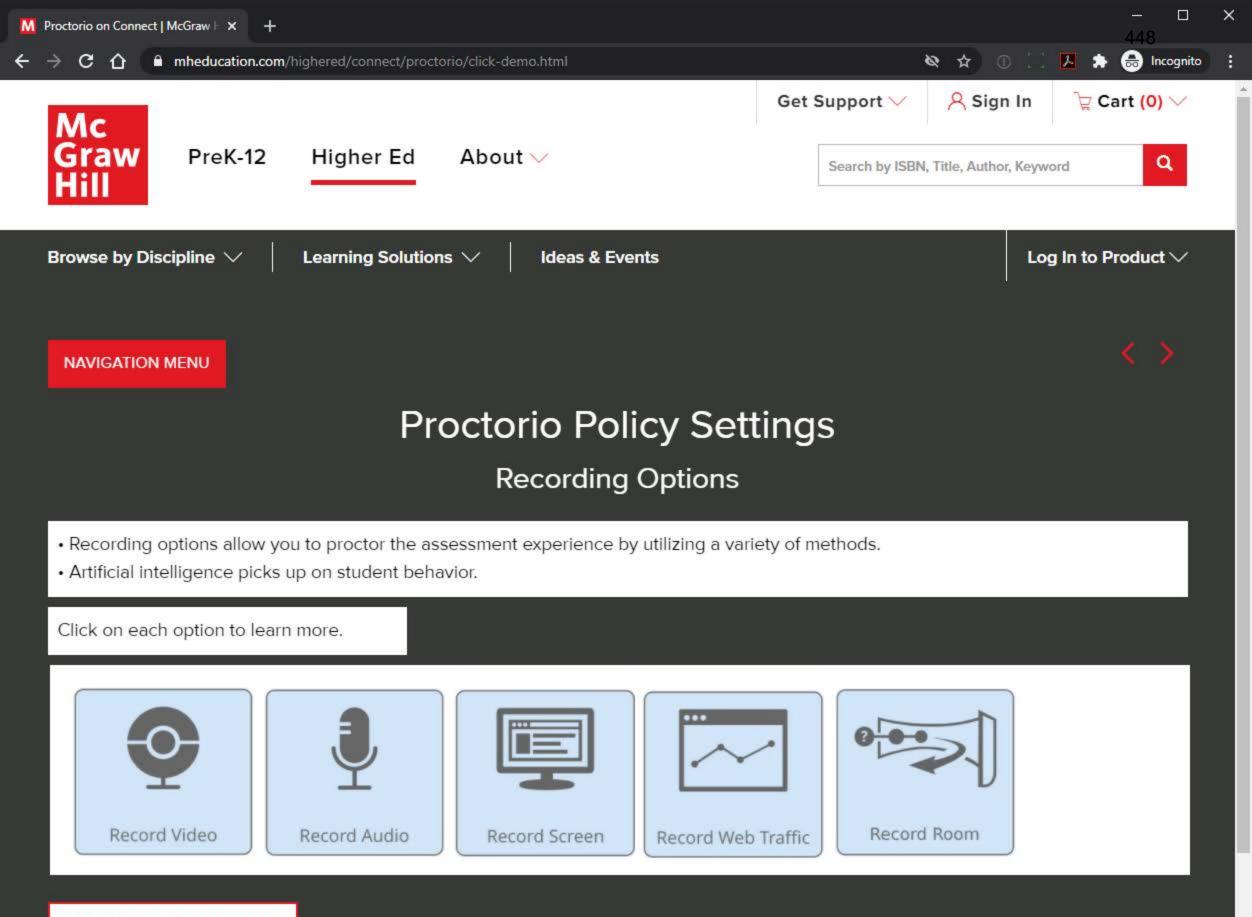


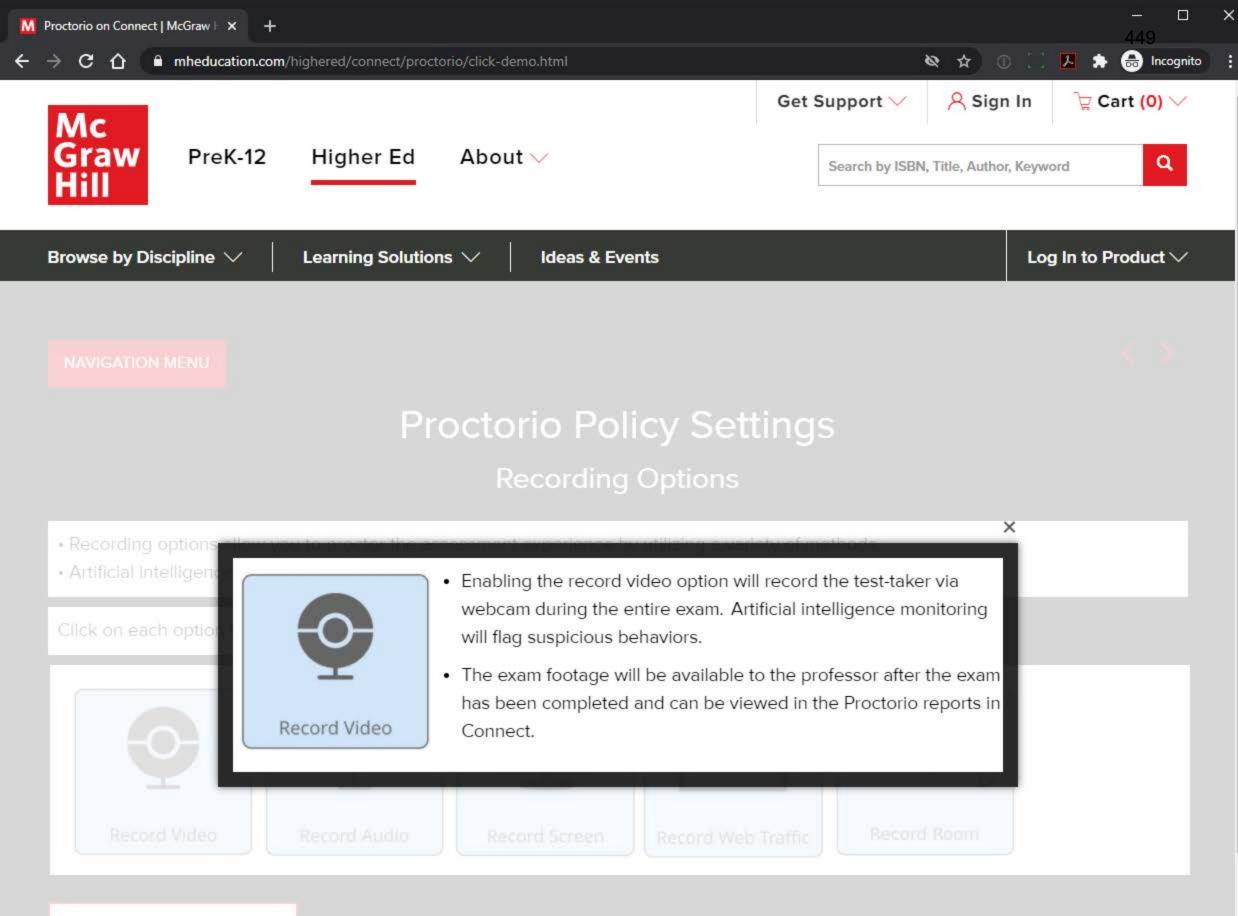


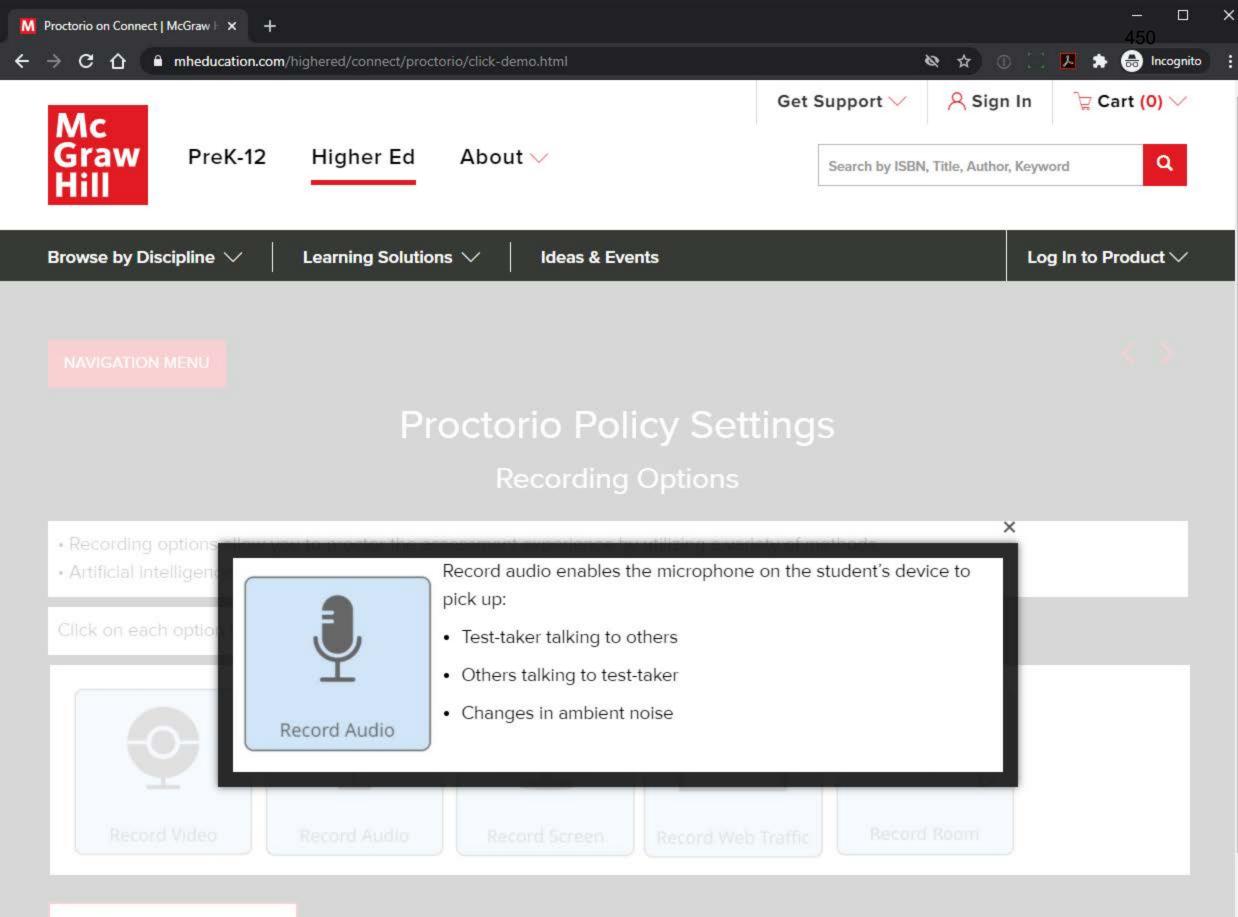


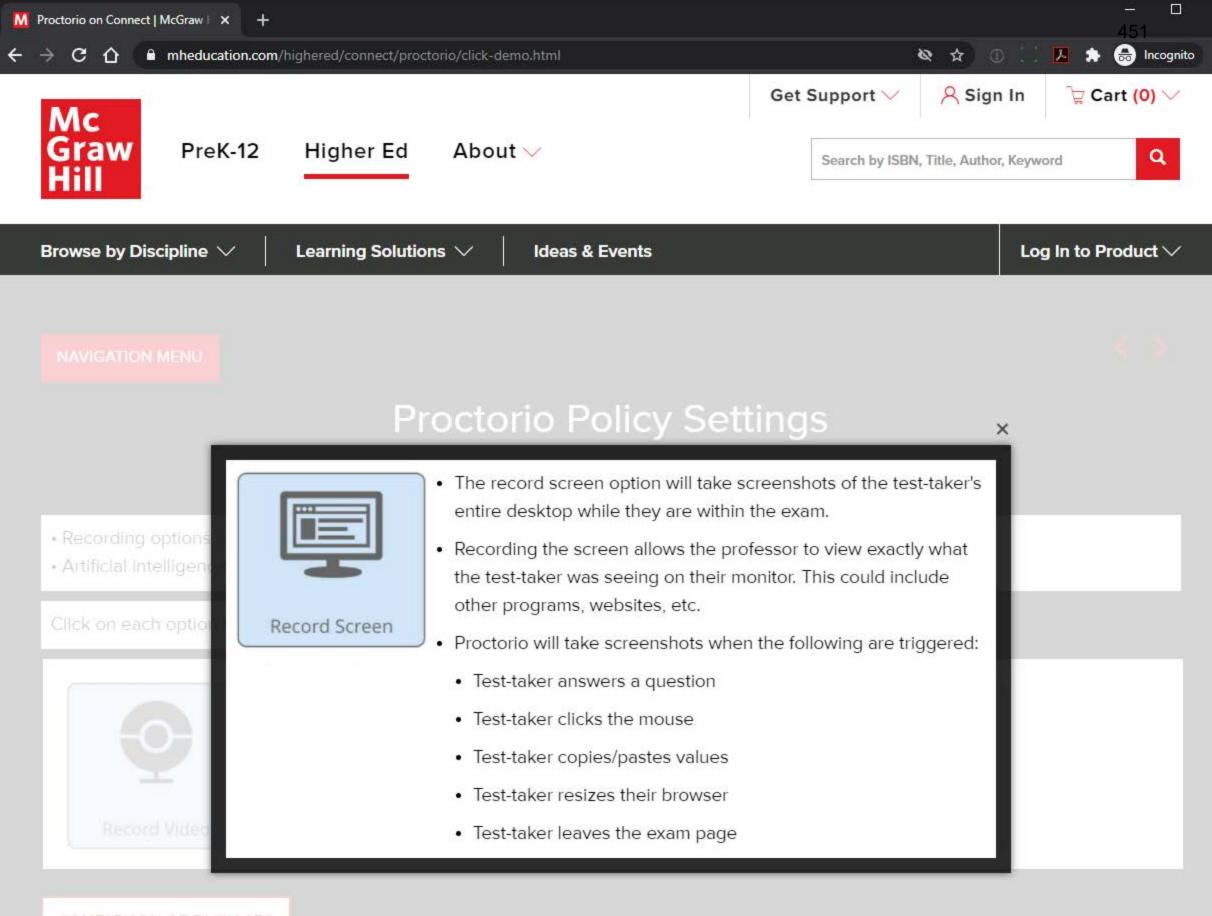




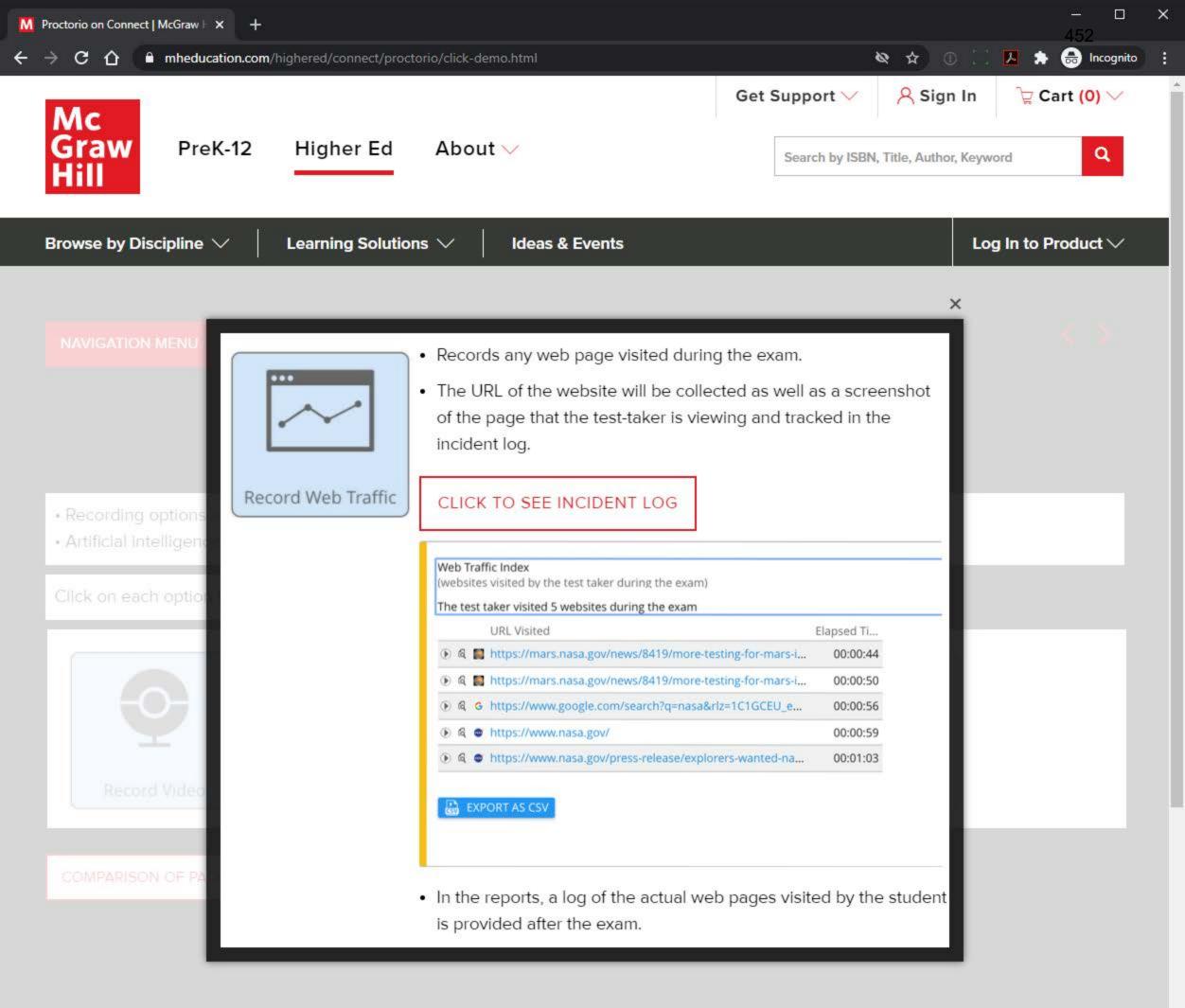


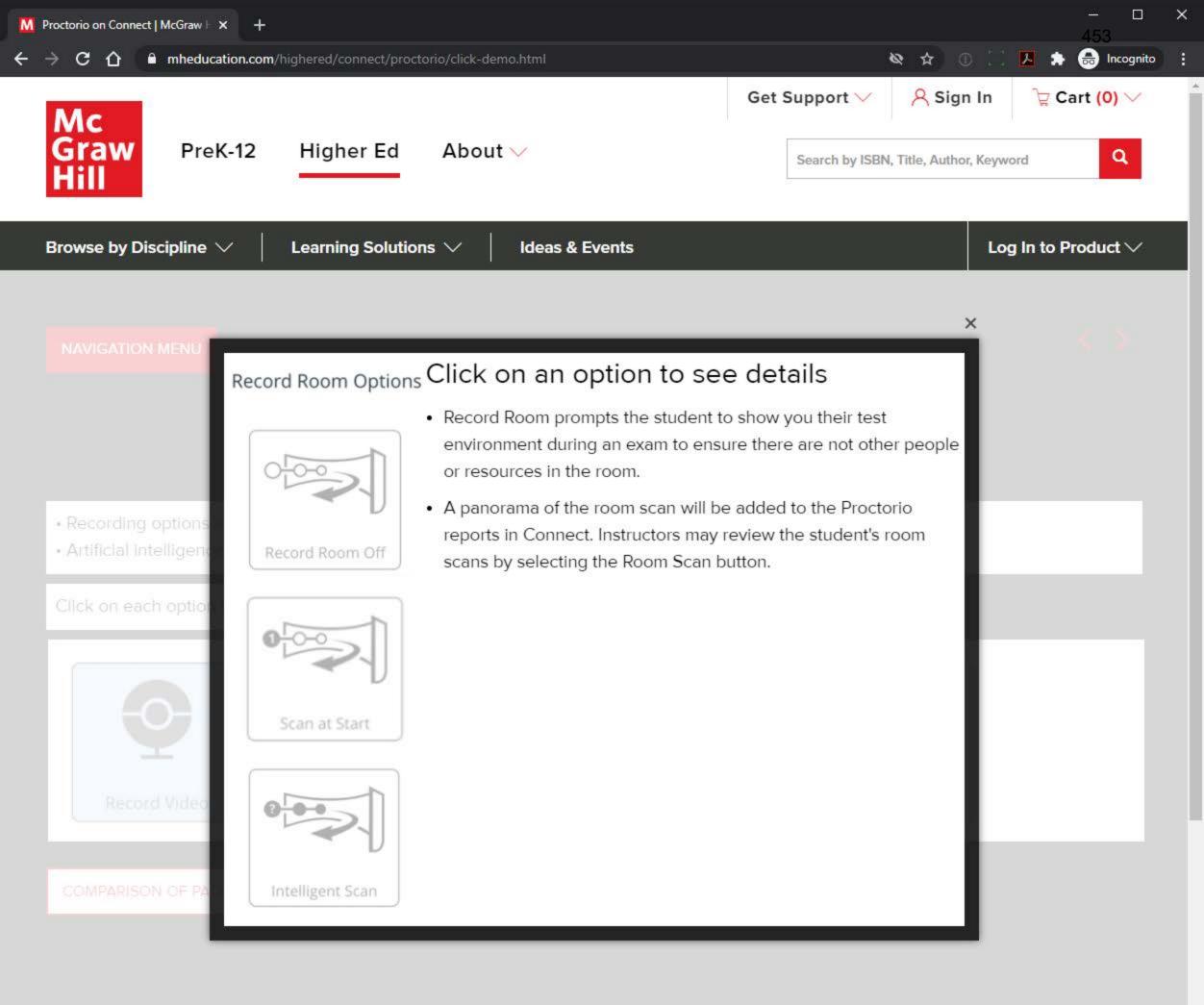


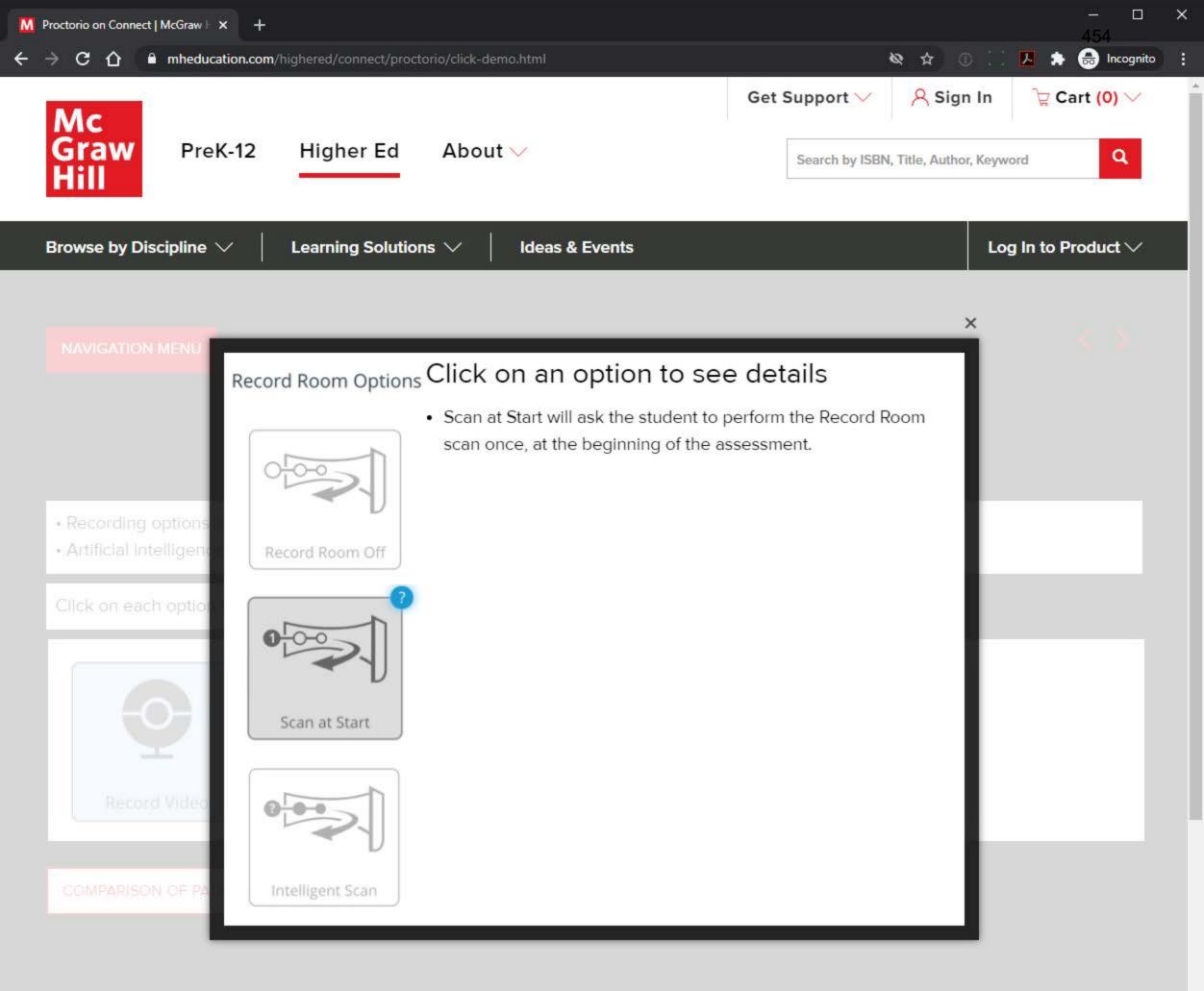


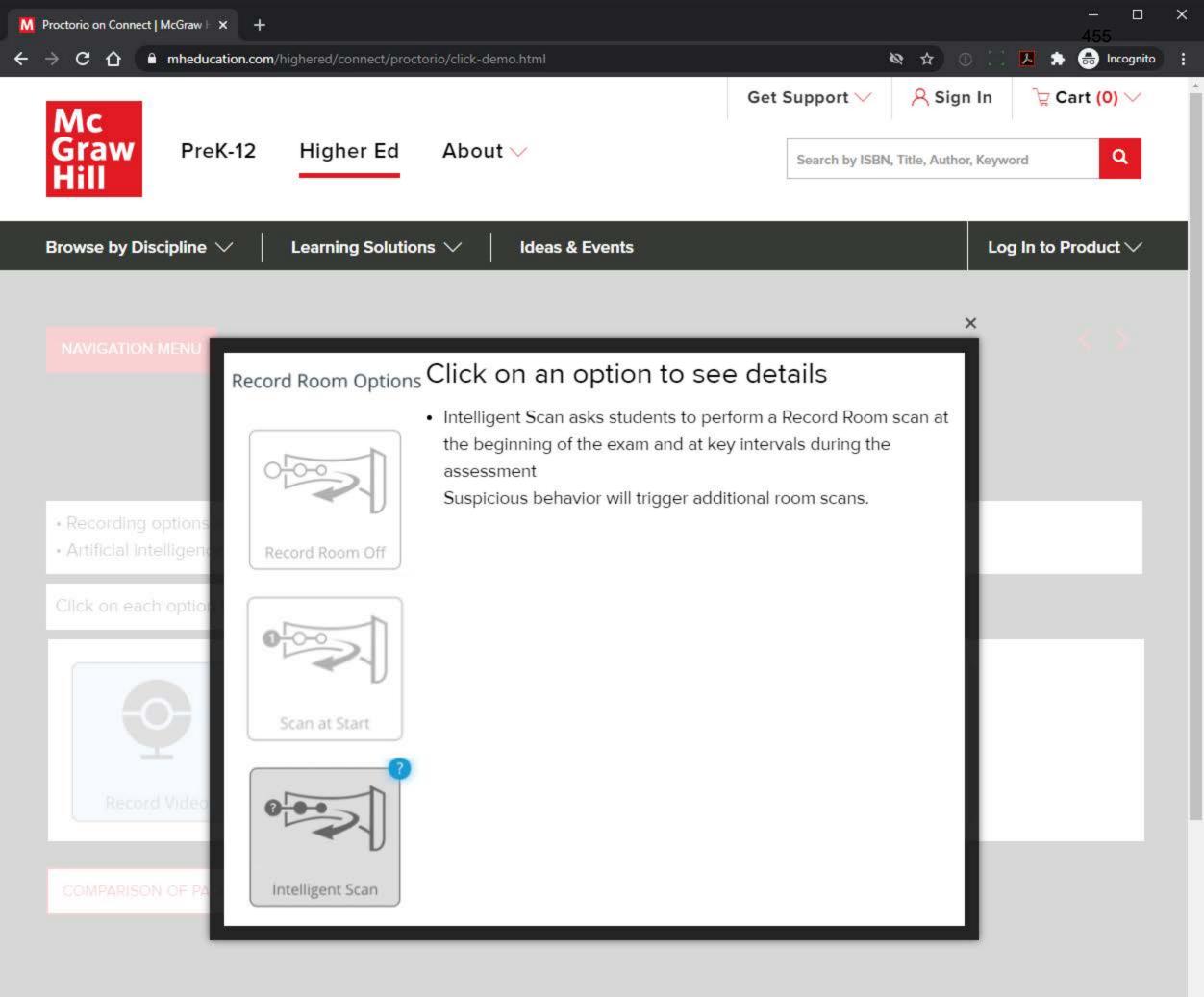


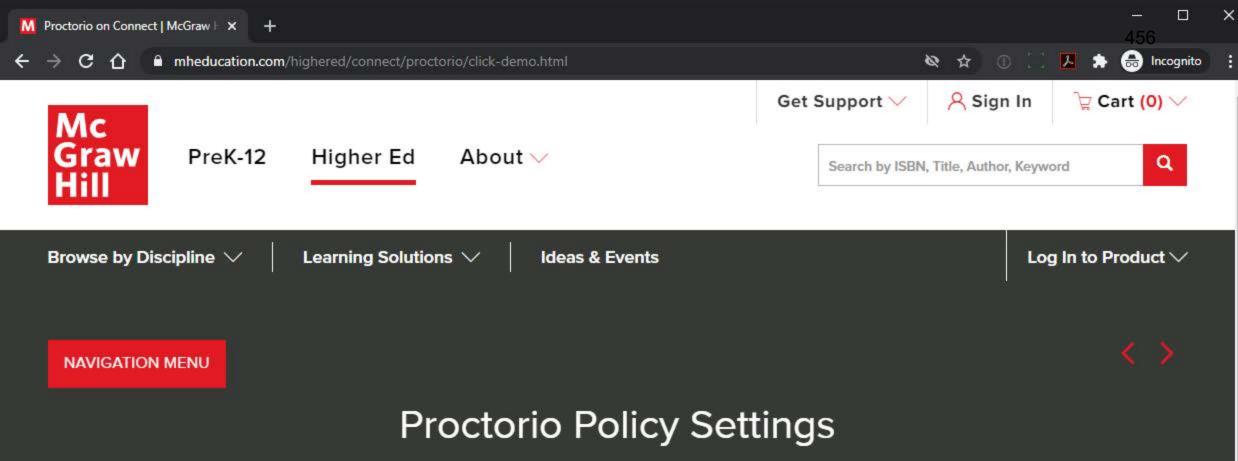
×











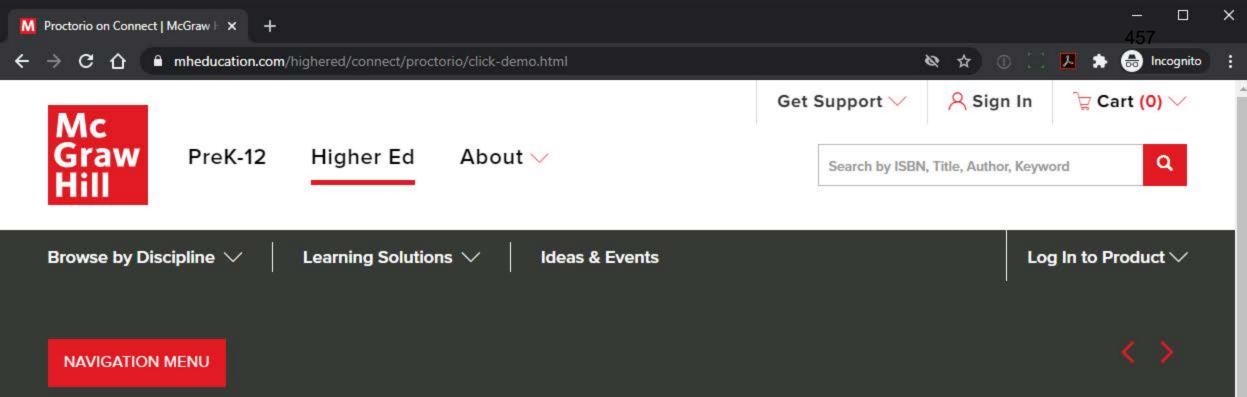
Recording Options

• Recording options allow you to proctor the assessment experience by utilizing a variety of methods.

• Artificial intelligence picks up on student behavior.

Click on each option to	learn more.
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	OPTIONS		BASIC	PLUS	
	REMOTE F	PROCTORING	1		
	Y	Record Video	~	v~~	
-		Record Audio		~	
Record Video R	oibuA broze	Record Screen		R√cord Web Traffic	Record Room
	-	Record Web Traff	fic	1	
		Record Room		✓	
COMPARISON OF PACKAGES		Live Proctoring		Premium Upgrade O	ption
	Learn More	er 👌			



Reports

Basic Reports

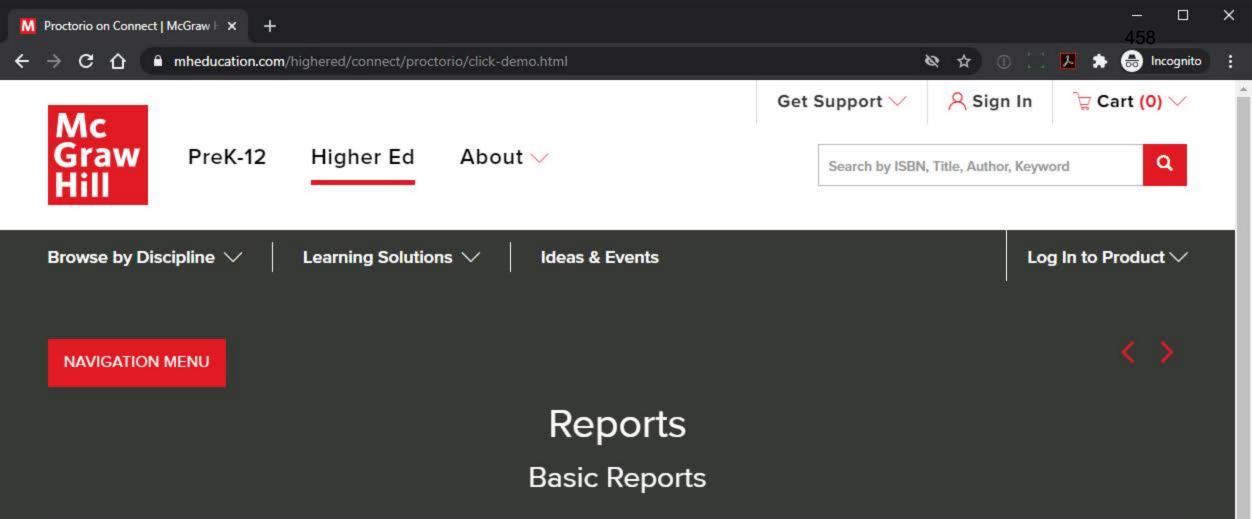
....

The Basic, free report will show when the students submitted the exams and how many attempts they used.

Proctorio Exam Results

		Name	Submission Time	Attempt
۲	E	Student, Andreav	03/02/2020 04:27:	1
۲	Q.	Student, Vuk De	03/02/2020 09:23:	1
۲	60	Student, Alekhya	02/27/2020 10:36:	2
۲		Student, Alekhya	02/27/2020 10:31:	1
۲		Student, Ana De	03/02/2020 09:18:	1
۲		Student, Javan D	02/28/2020 04:39:	1
۲	123	Student, Mhe Pa	03/16/2020 05:55:	2

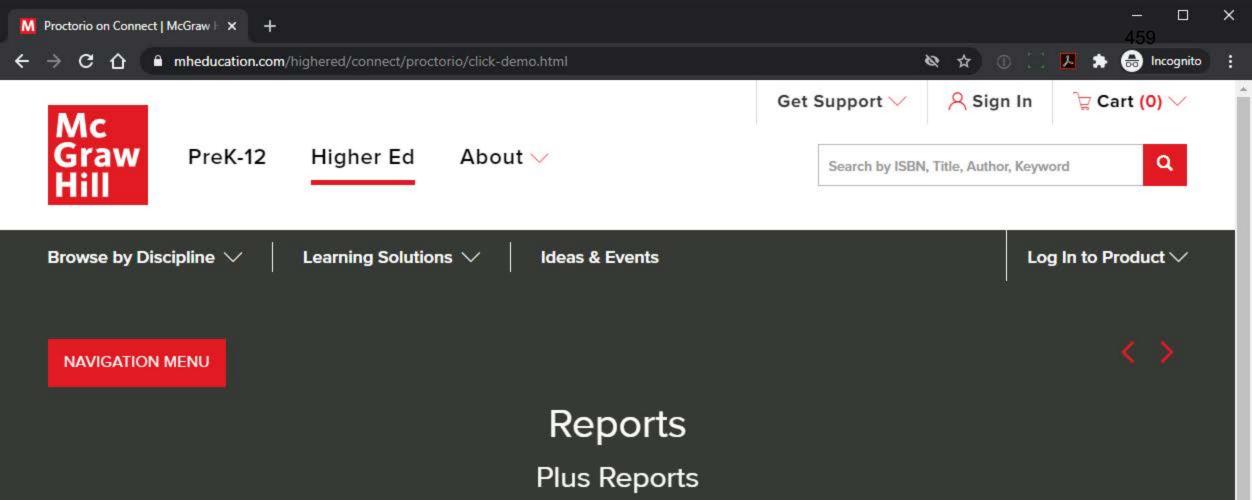
👷 Proctorio Gradebook 🛛 🏟 Proctorio Settings 👘 Proctorio Map 🛛 🗮 Display Options 🛛 🗹 Export Options



• If you click into the individual student and select the globe icon on the right, you will be able to see the individual student's IP address to see where they are taking the exam from.

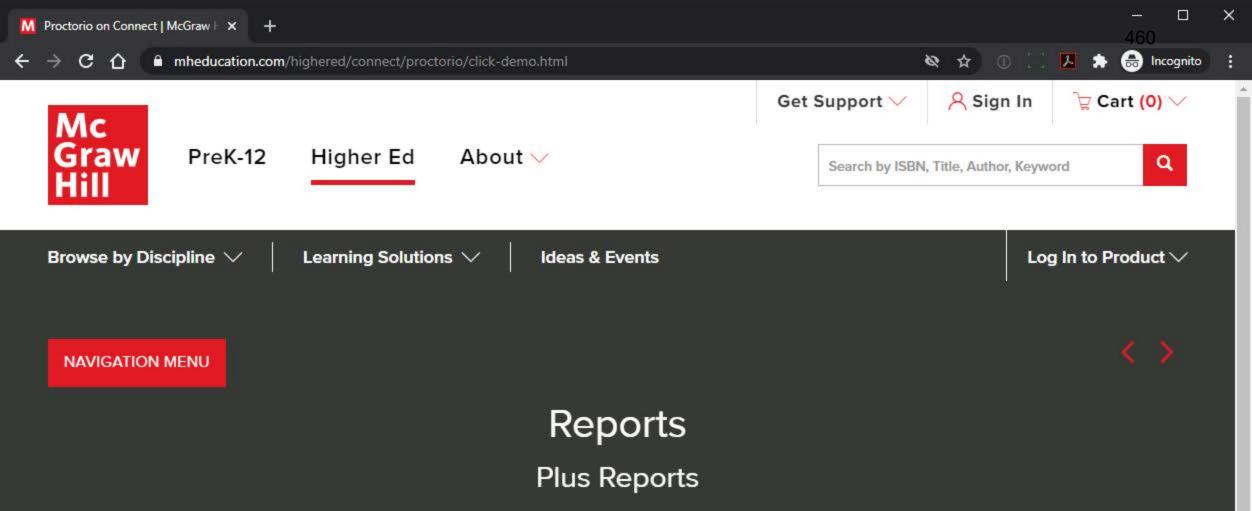
- This could help you identify if it is actually the student taking the exam or if they may be having someone else take the exam for them.
- The video will also be available to show the student's image as they are taking the exam in case it's needed to provide in cases of academic integrity concerns.

@ Procto	ario Gradebook	Proctorio Set	tings 👘 Proctorio Map	Display Options	Export Option	15					
Proct	orio Exam	Results									
	Name		Submission Time	Attempt							
۲	Student, A	ndreav Demo	03/02/2020 04:27:31 am	1							
•	Student, V	uk Demo	03/02/2020 09:23:19 am	1							
					de, Belgrade, N/A Serbia 50048 8166, 20.4721)		Metro code: N/A Radius: 12 [mi] nezone: Europe/Be			ternet	
		Ē		Danube							
00:00:0	03 00:00:06	00:00:09	00:00:12 00:00:15	00:00:18 00:	00:21 00:00:24	00:00:27	00:00:30	00:00:33 00:	00:36 00:00:39	9 00:00:42	00:00:45

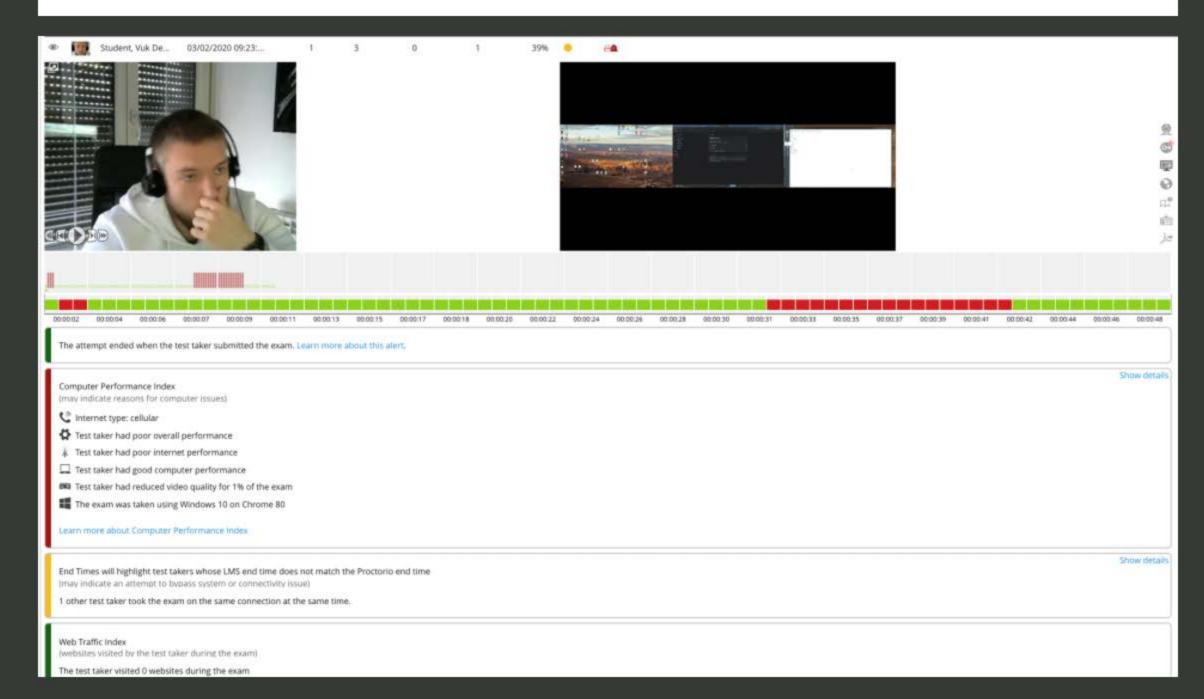


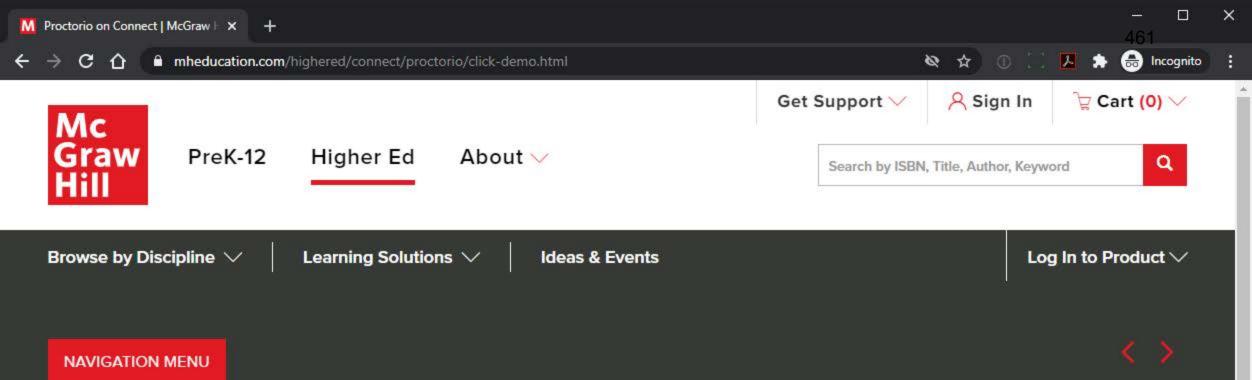
- The Reports in the Plus version will show, at a glance, which students are suspicious because of their behaviors.
- The colors indicate the level of concern red being the most concerning and green being the least.
- Depending on the instructor settings, there are also icons that will show if there are suspicious activities from the students.
- Clicking on an individual student from the report will allow you to drill down to the details of the student's exam experience.

Proc	Proctorio Exam Results											
		Name	Submission Time	Attempt	Score	Annotations	Abnormalities	Suspicion Level				
۲	T	Student, Andreav	03/02/2020 04:27:	1	1	2	0	86%	•		١	88
۲		Student, Vuk De	03/02/2020 09:23:	1	3	0	1	39%	•		-	
۲	()	Student, Alekhya	02/27/2020 10:36:	2	3	0	0	35%	•			
۲		Student, Alekhya	02/27/2020 10:31:	1	3	0	0	21%	•			
۲		Student, Ana De	03/02/2020 09:18:	1	3	0	1	16%	٠		2	
۲	E.	Student, Javan D	02/28/2020 04:39:	1	3	0	0	10%	٠			
۲	1	Student, Mhe Pa	03/16/2020 05:55:	2	3	0	0	5%	٠			

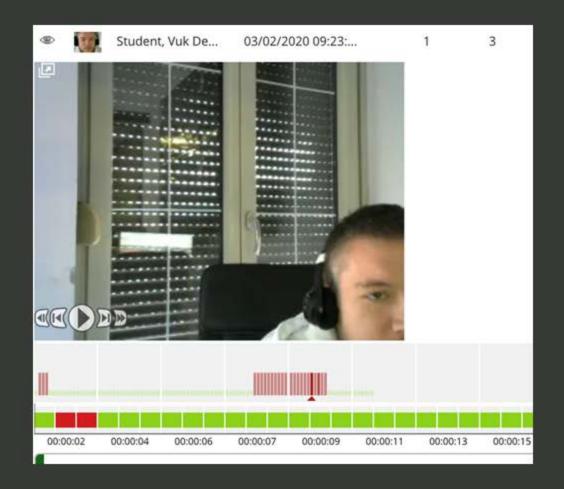


- This test taker was denoted as yellow in the reports—a medium suspicion level—based on the instructor's settings.
- The log shows that he was flagged because he had poor video quality and internet connection for part of the exam and that another user took the exam from the same connection at the same time.

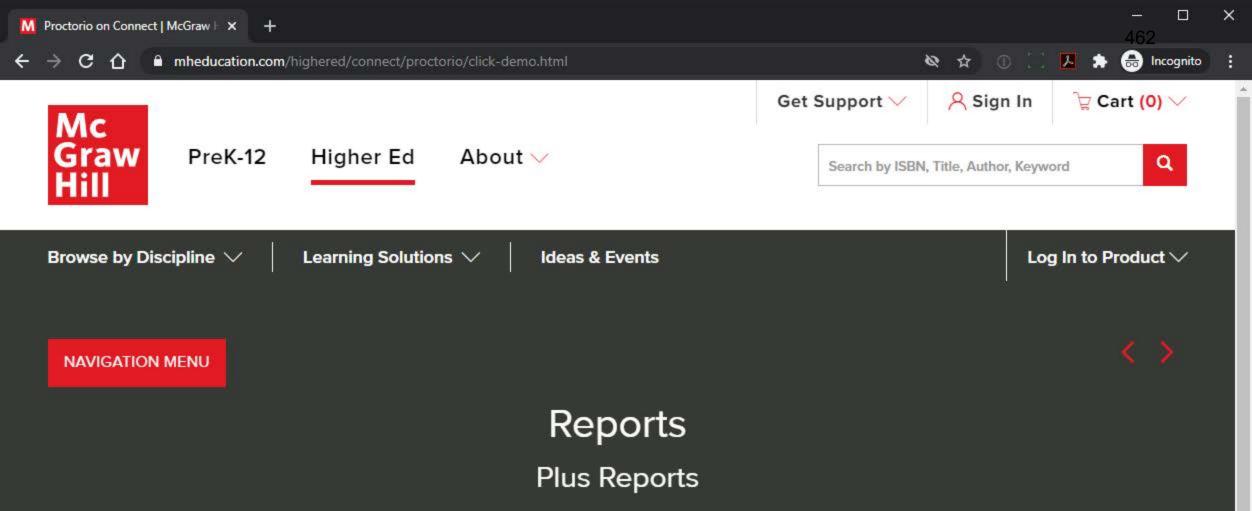




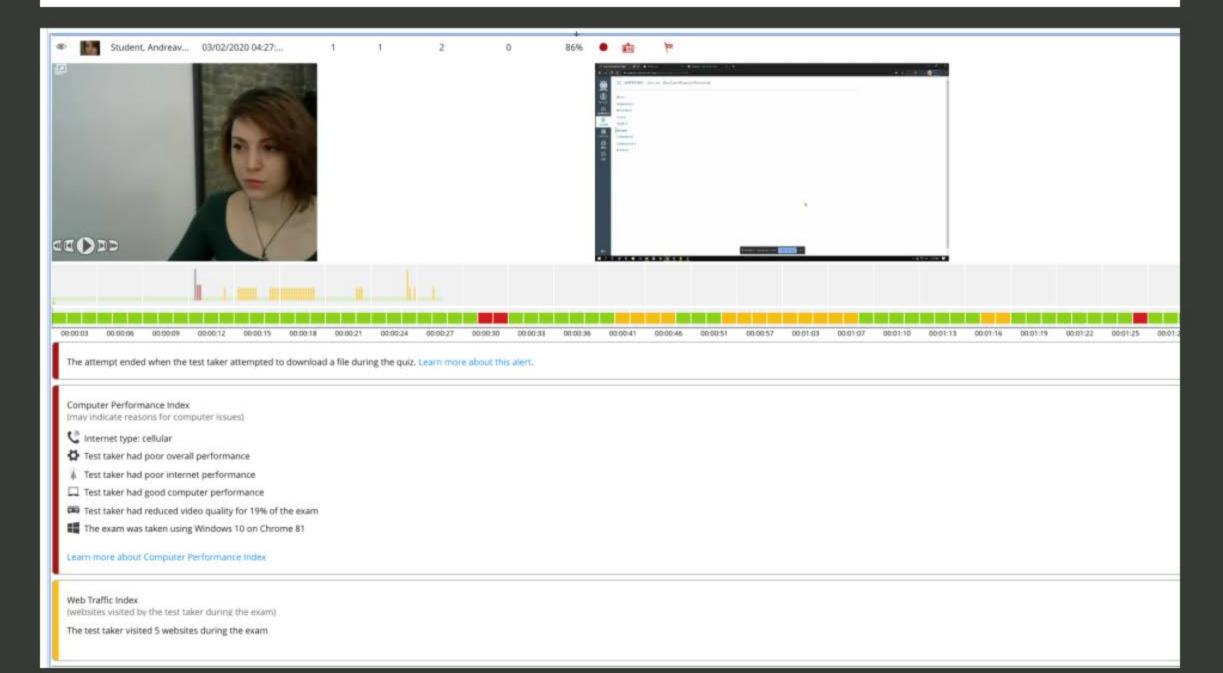
Reports Plus Reports

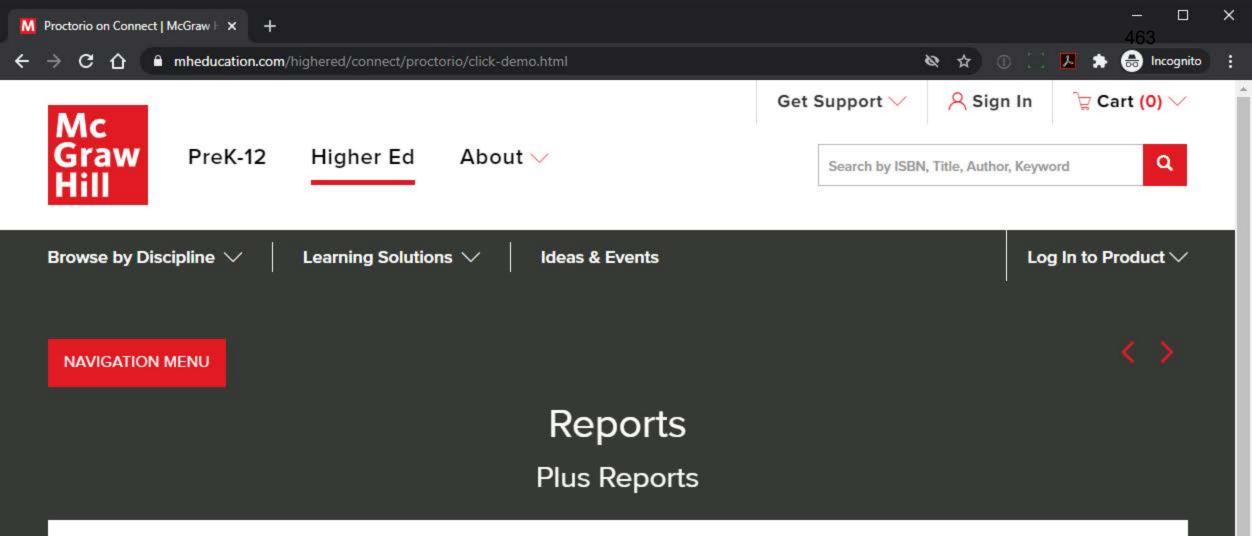


Clicking into the progress bar, allows you to skip to parts of the video that are flagged to see what the student was doing.
In this case, it appears he is not looking directly at the exam screen.

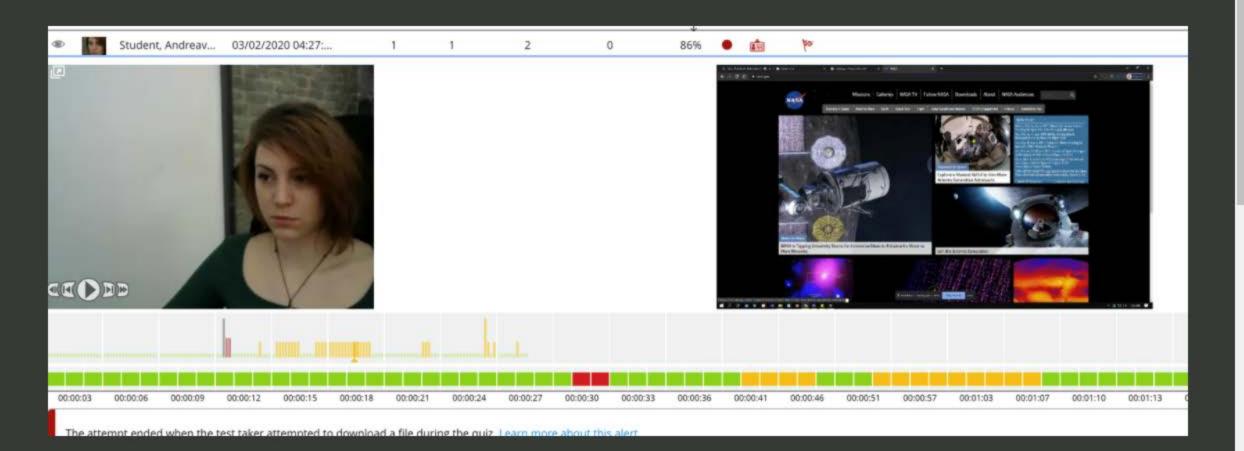


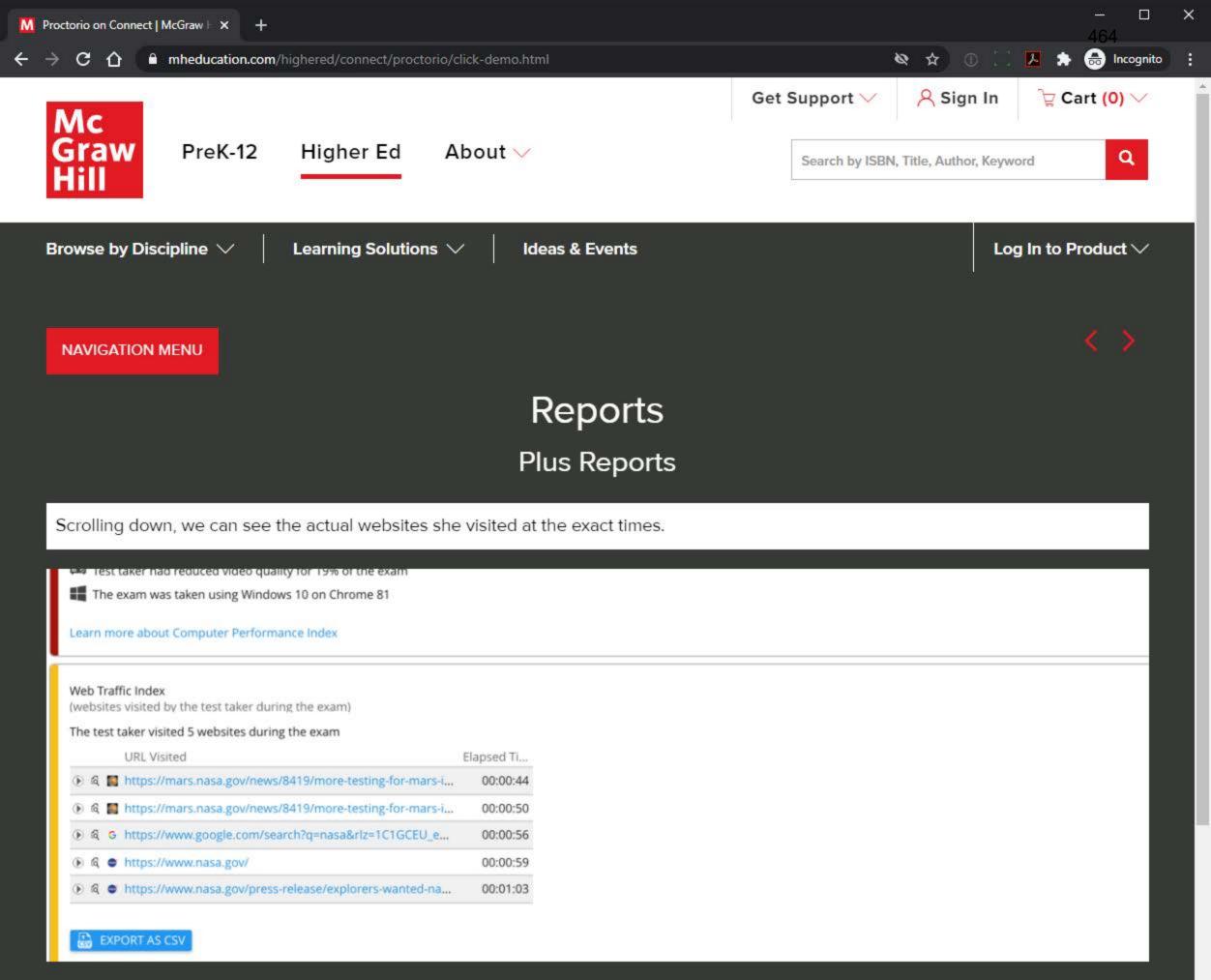
- This test taker was denoted at a high level of suspicion based on the instructor settings.
- This student was flagged for a number of reasons including a spotty internet connection, the fact that she visited 5 other websites during the exam, and that she attempted to download a file during the exam.

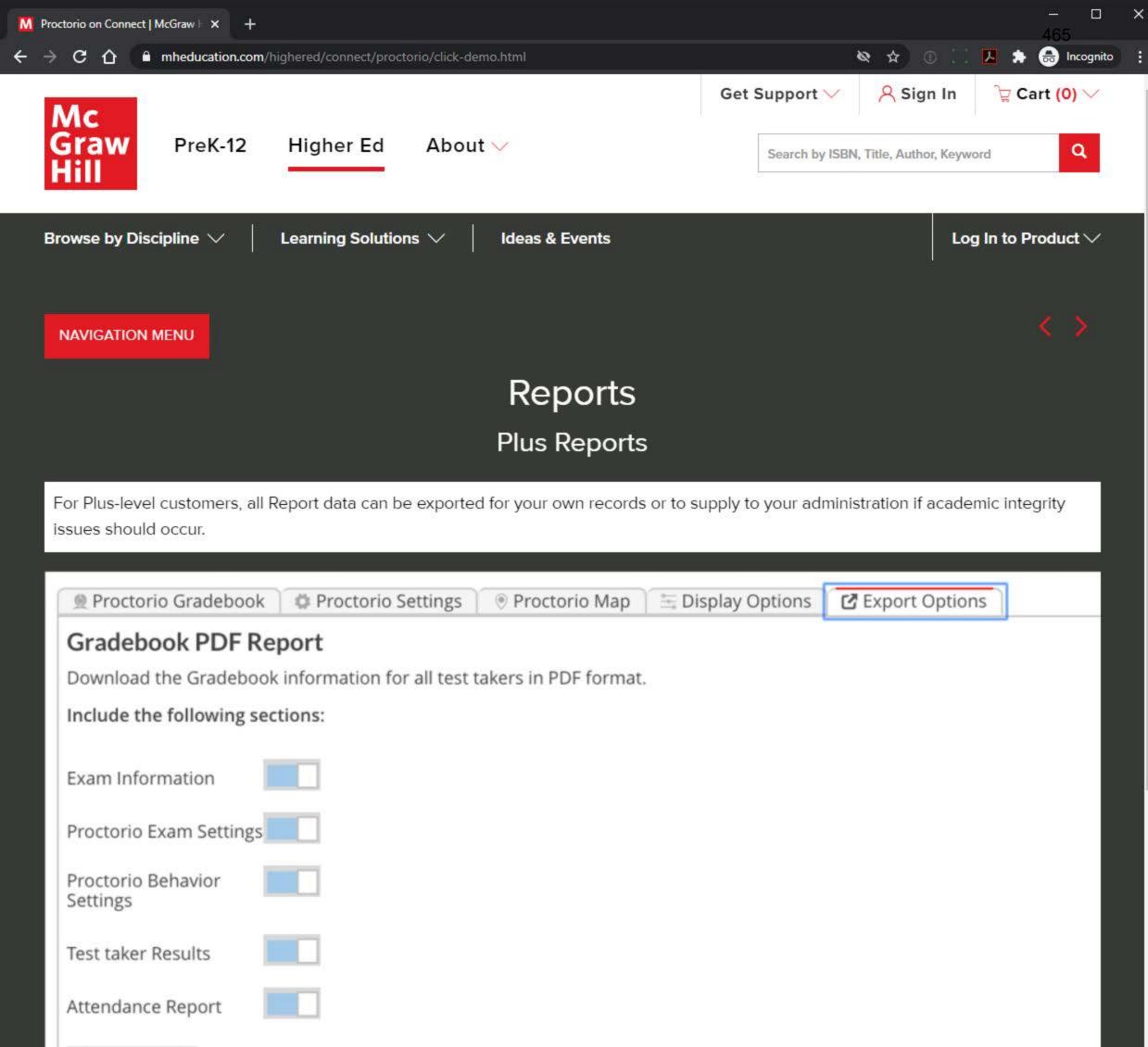


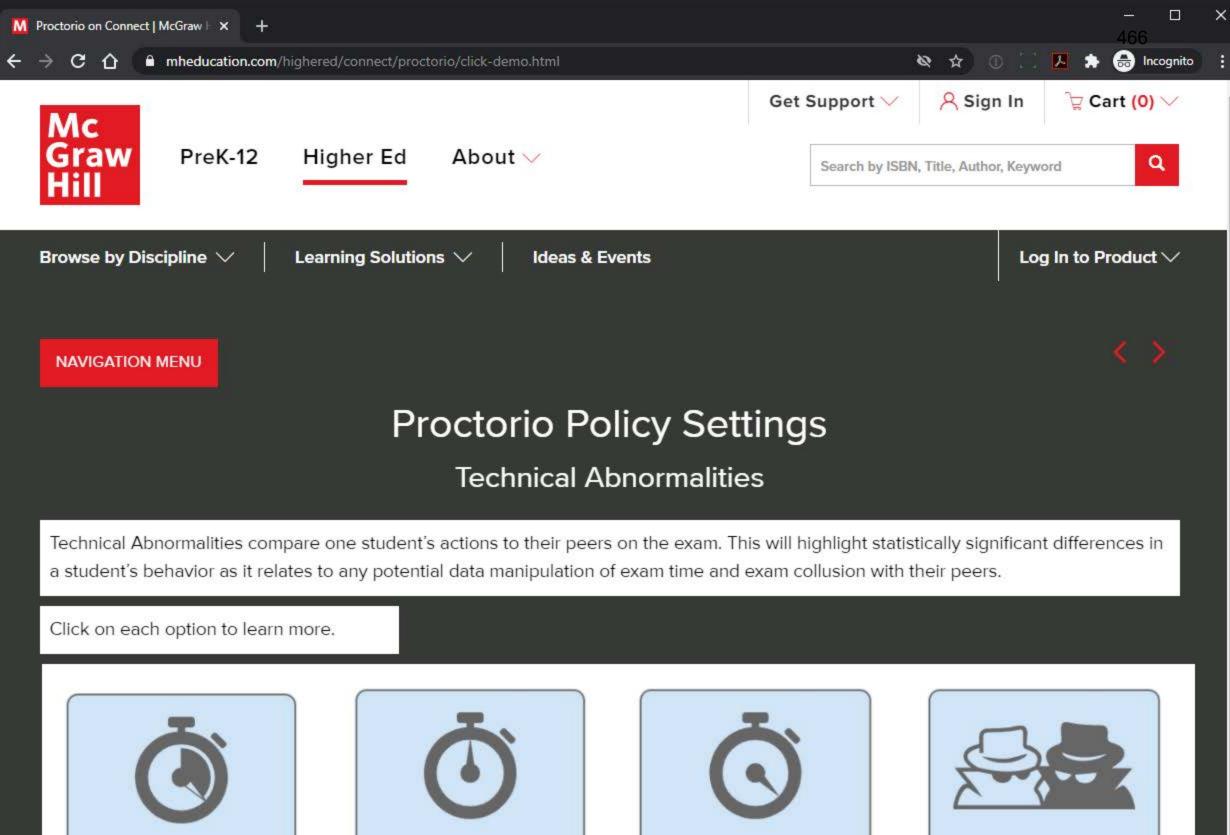


- By clicking into this students' progress bar, we can see she accessed another webpage by the image shown.
- We can see she accessed another web page by the image shown from her screen recording.

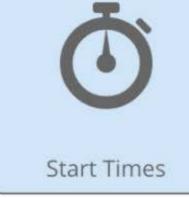




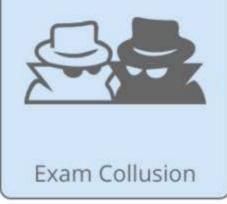


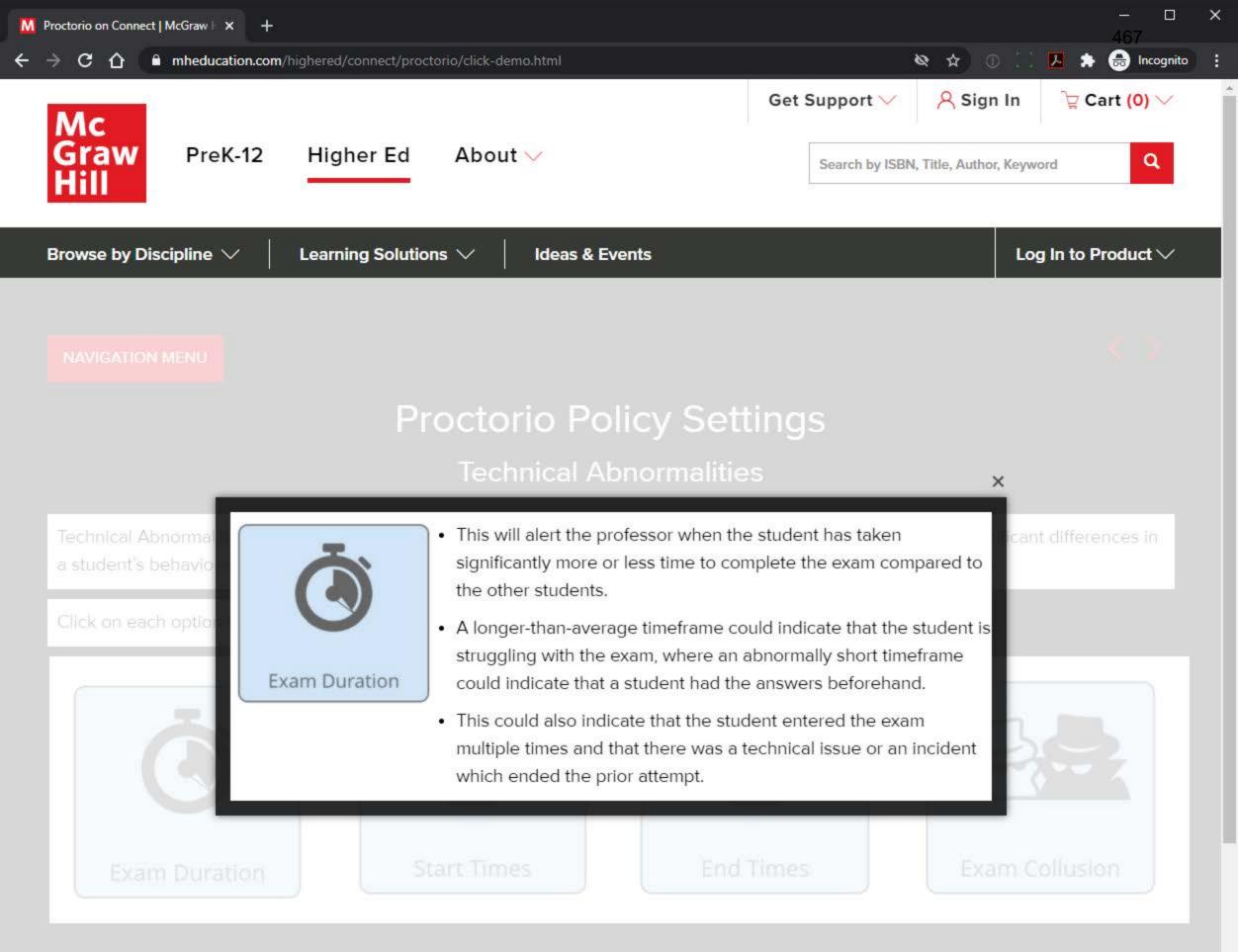


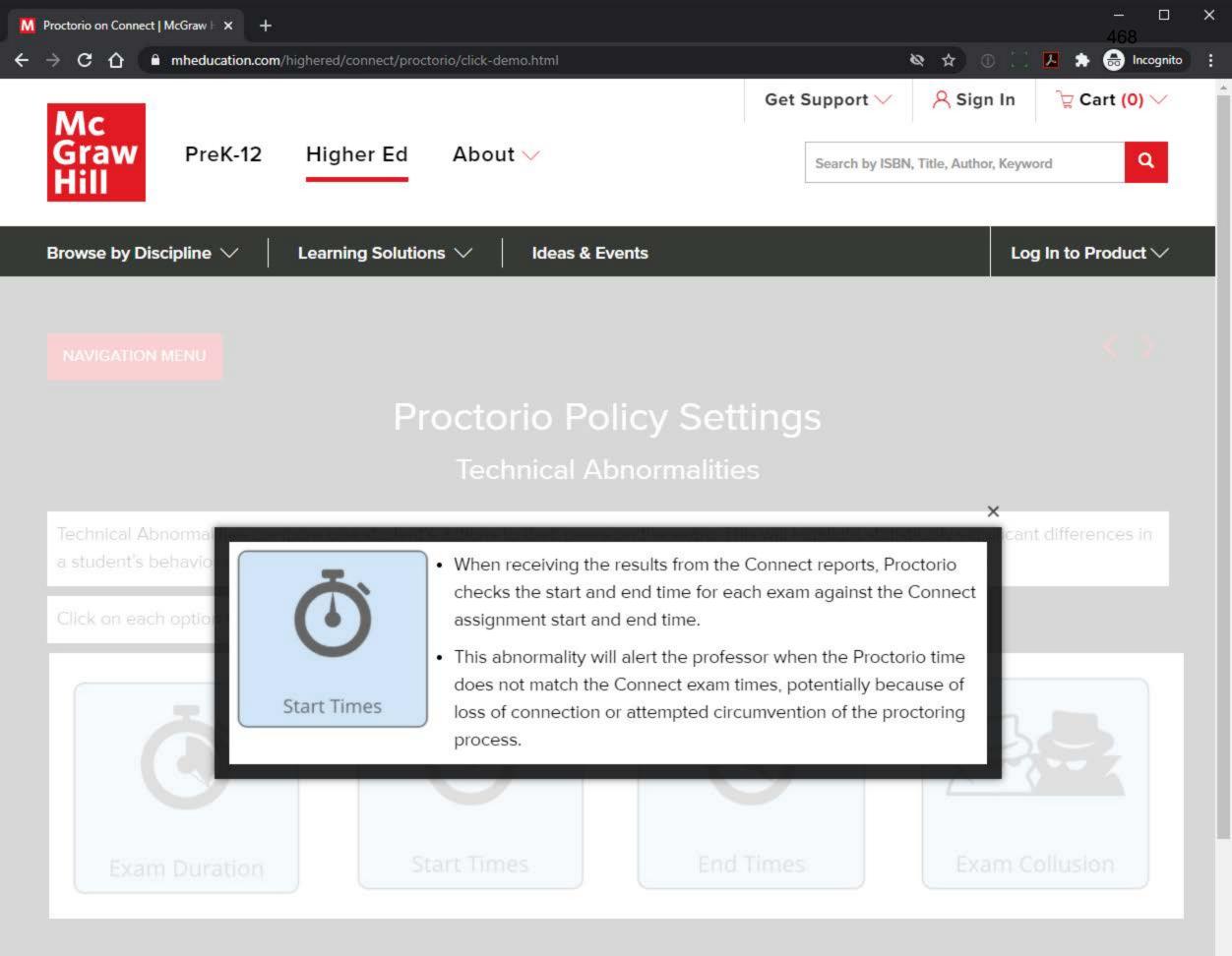
Exam Duration

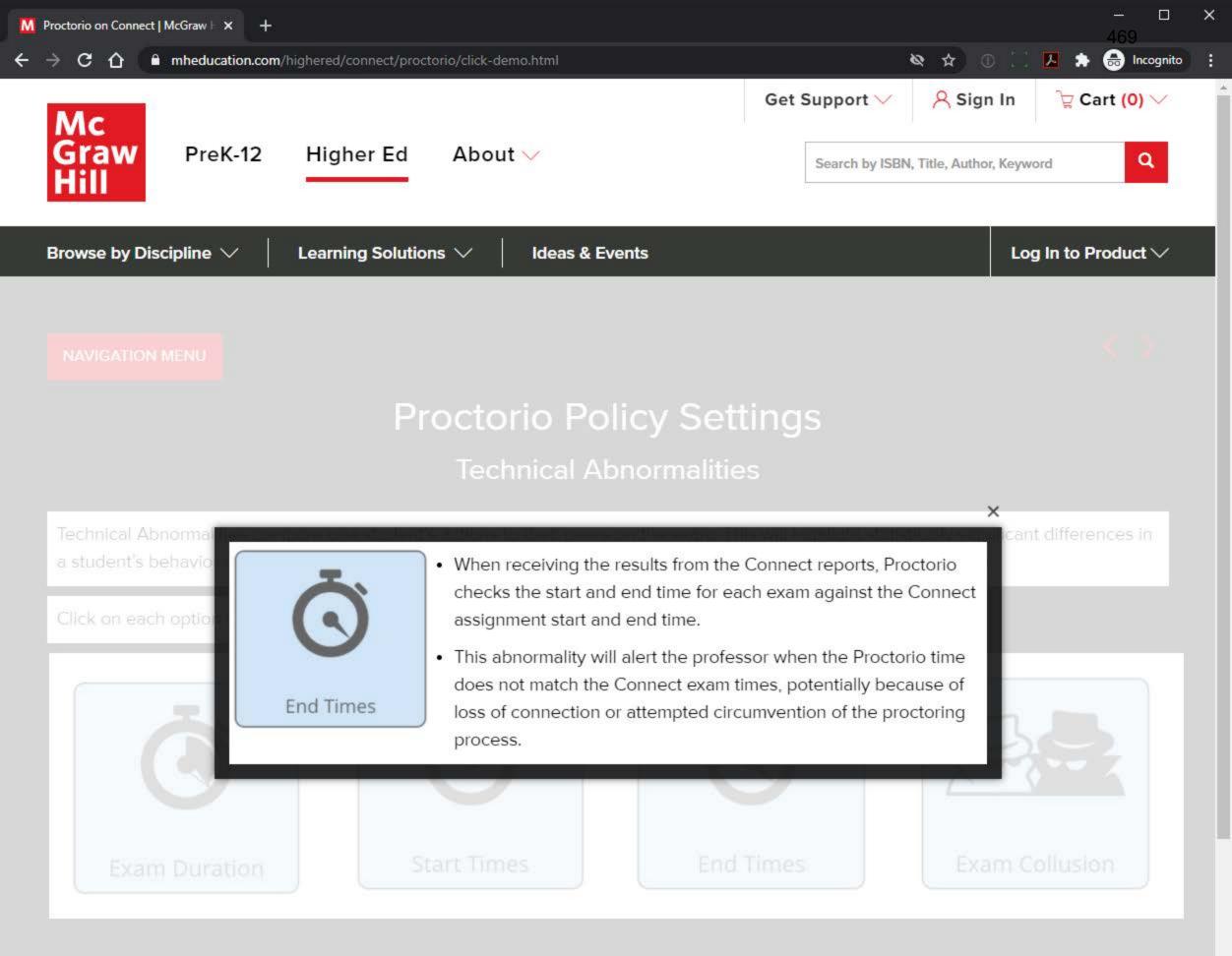


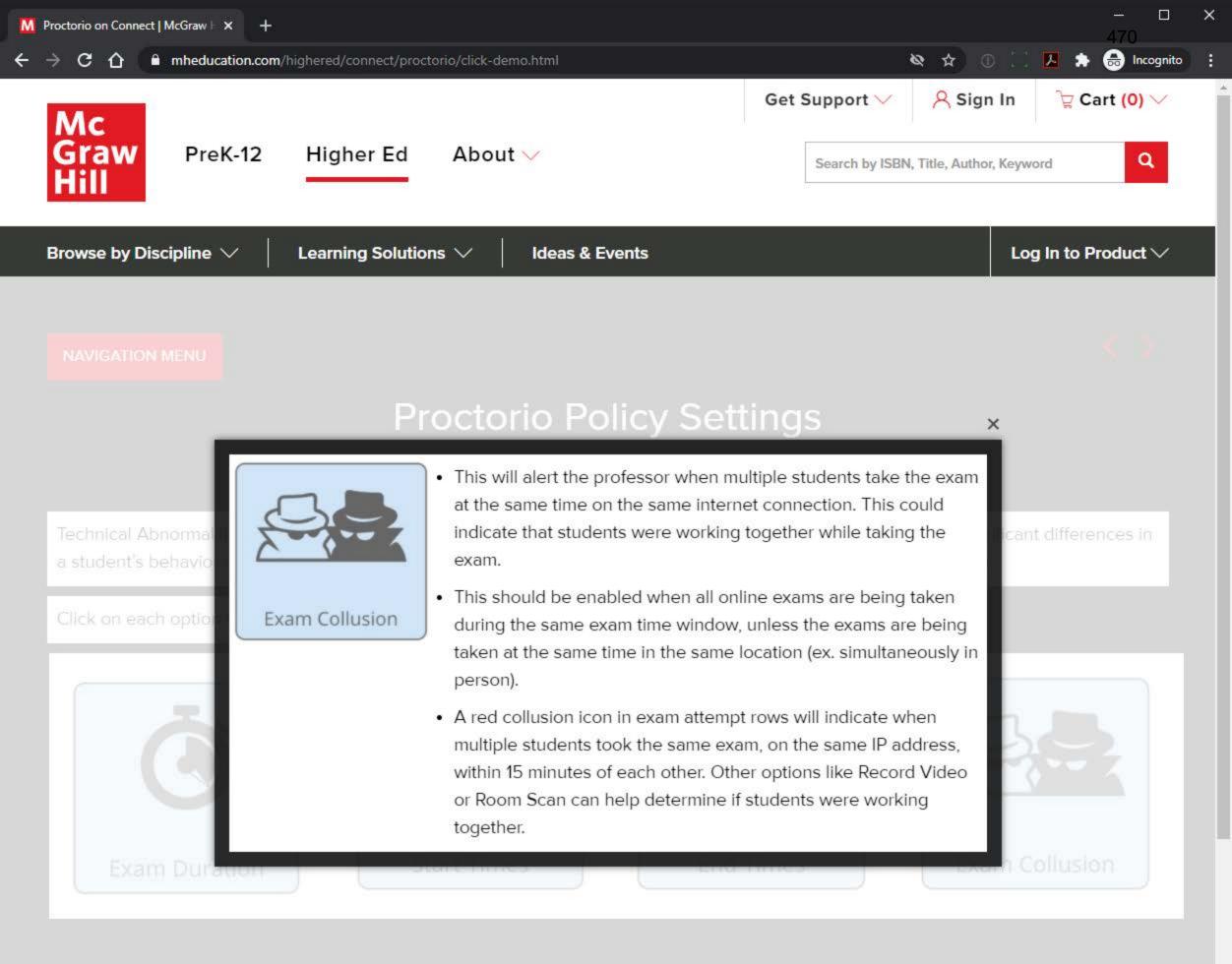


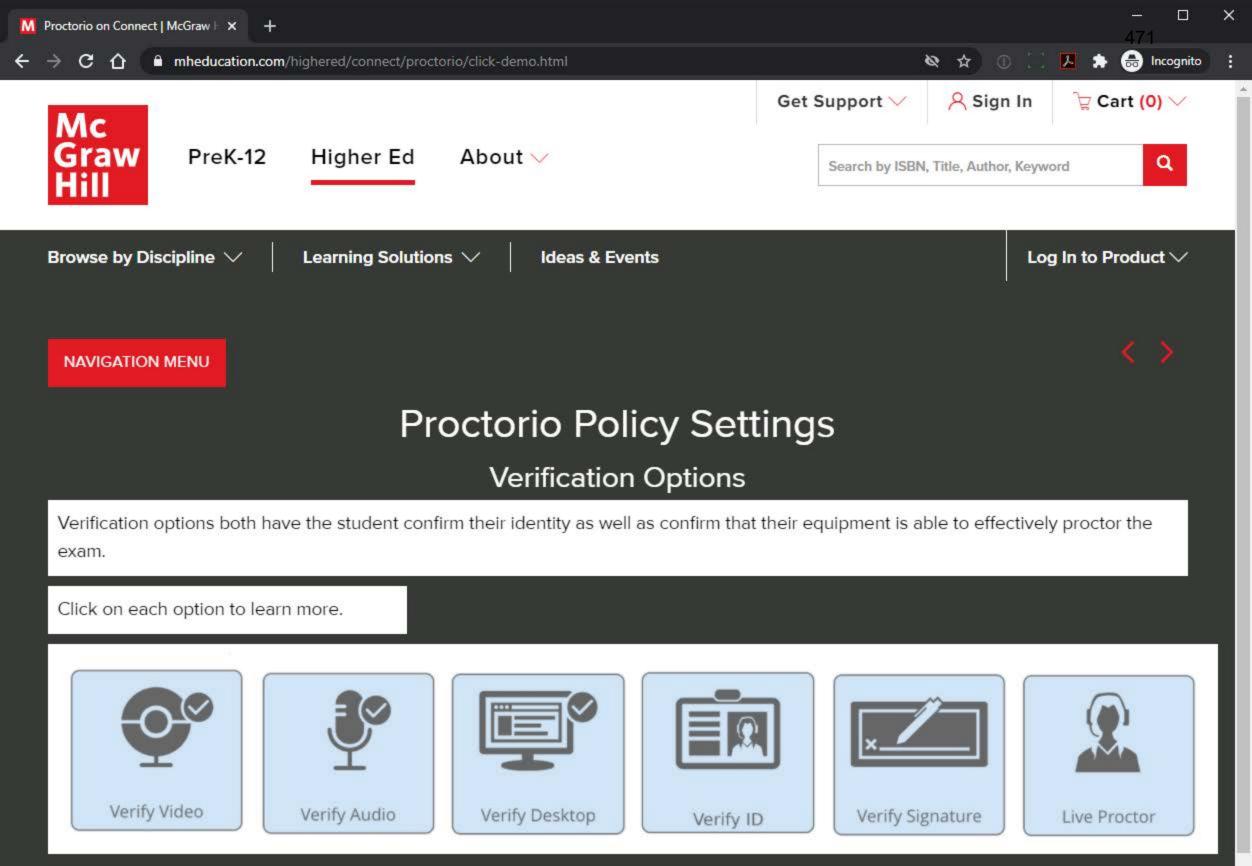


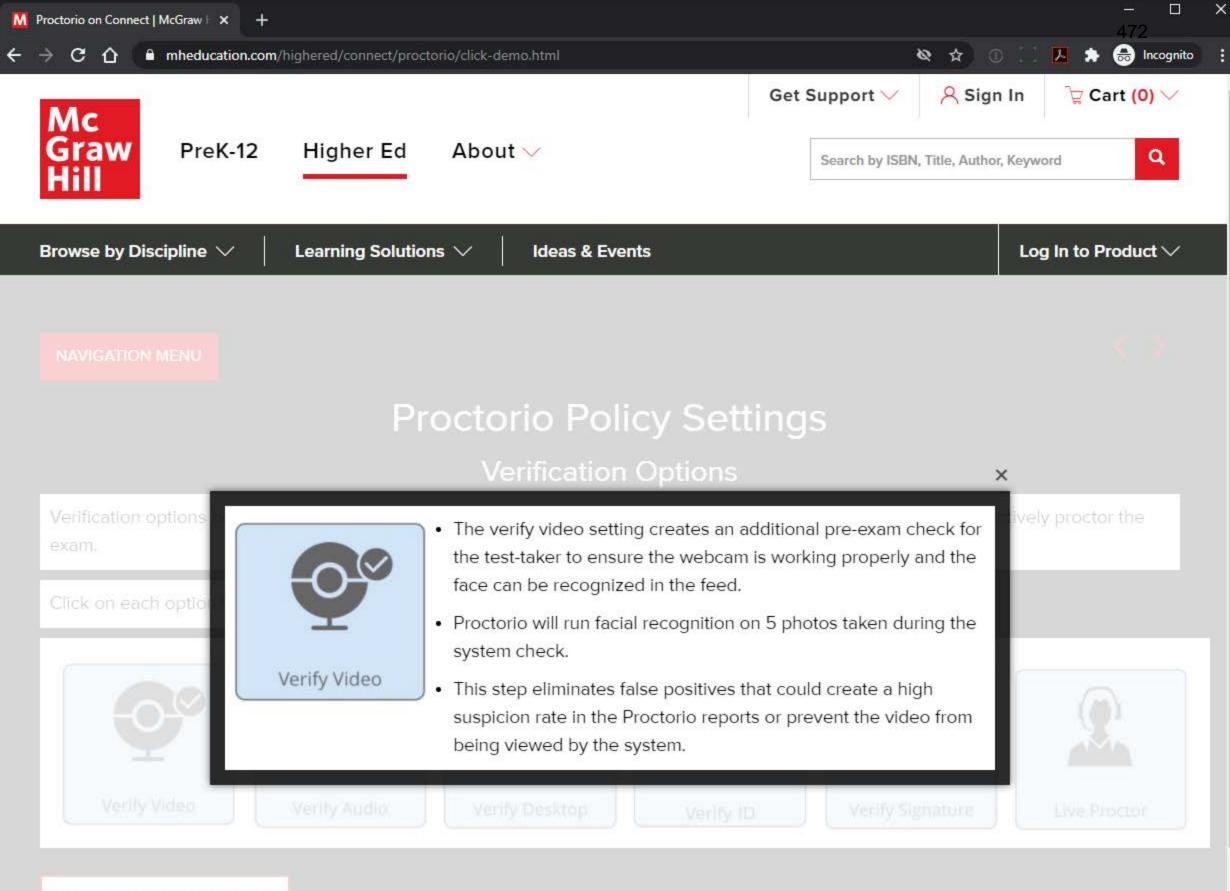


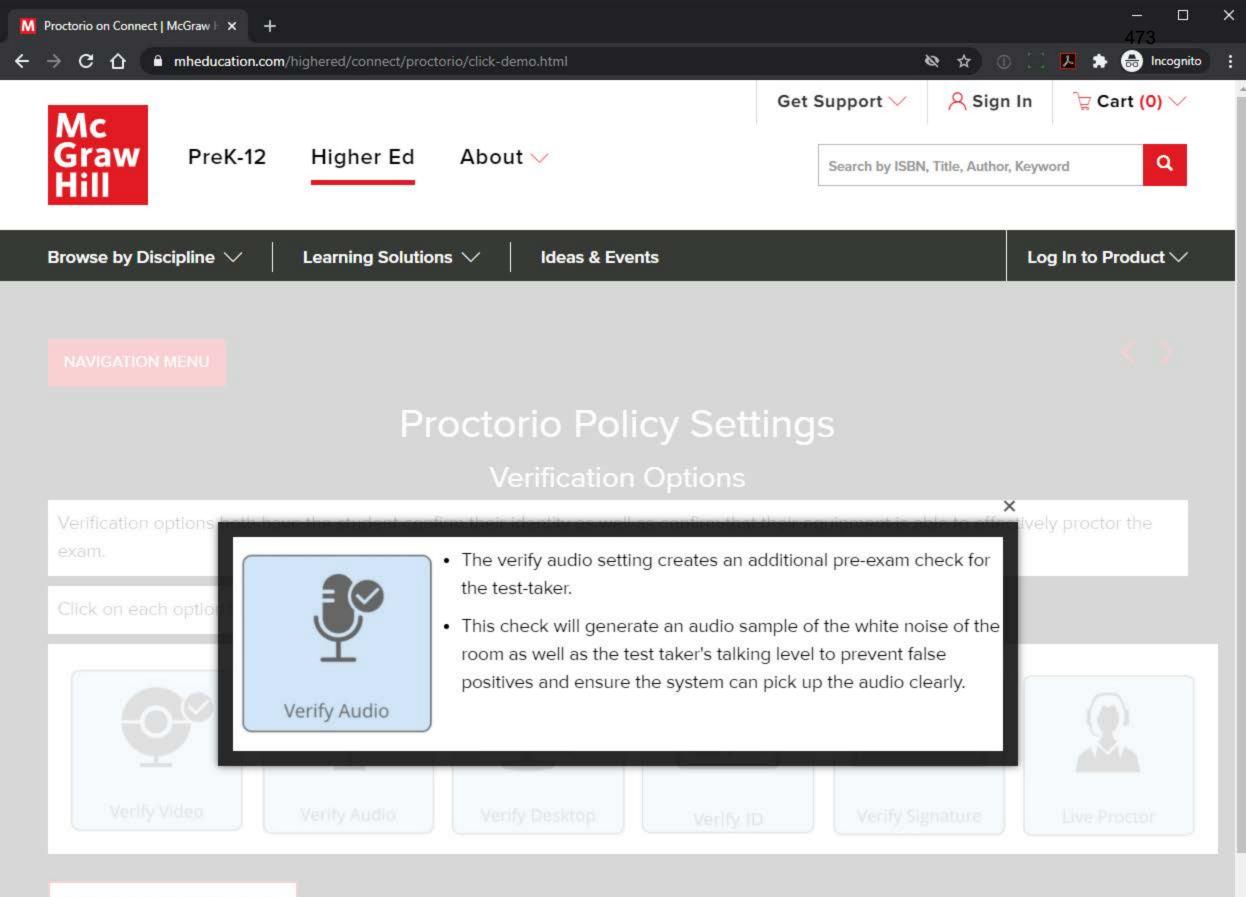


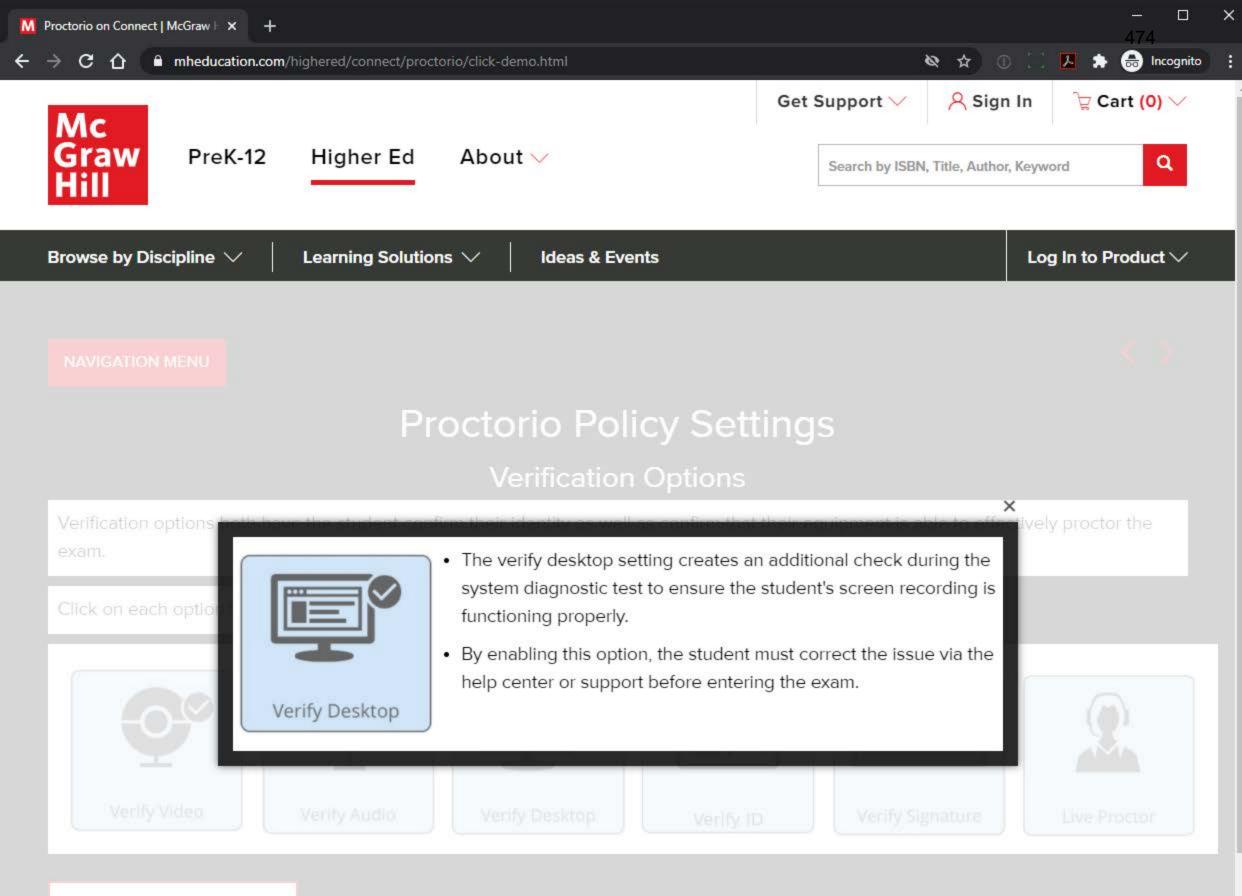


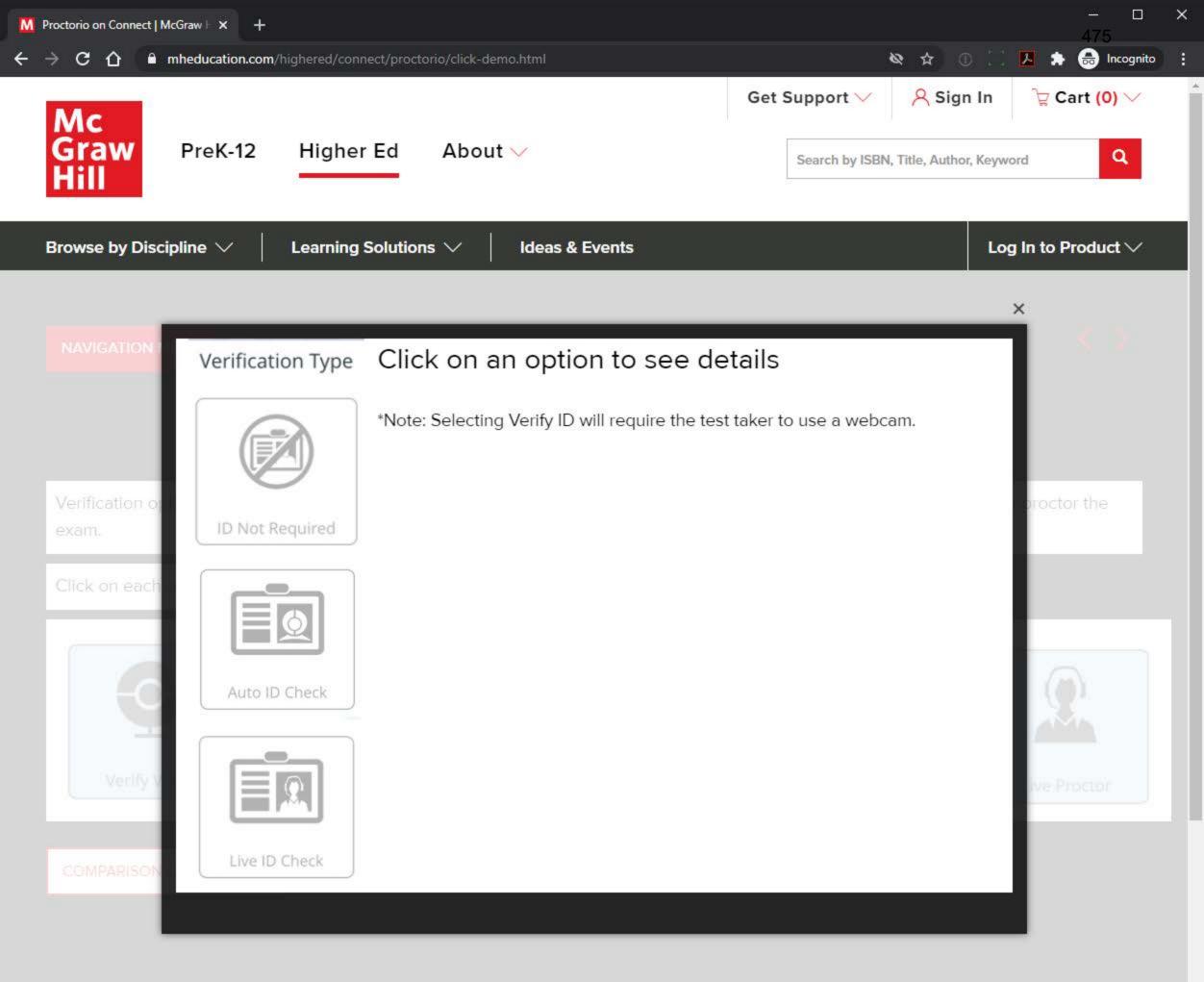


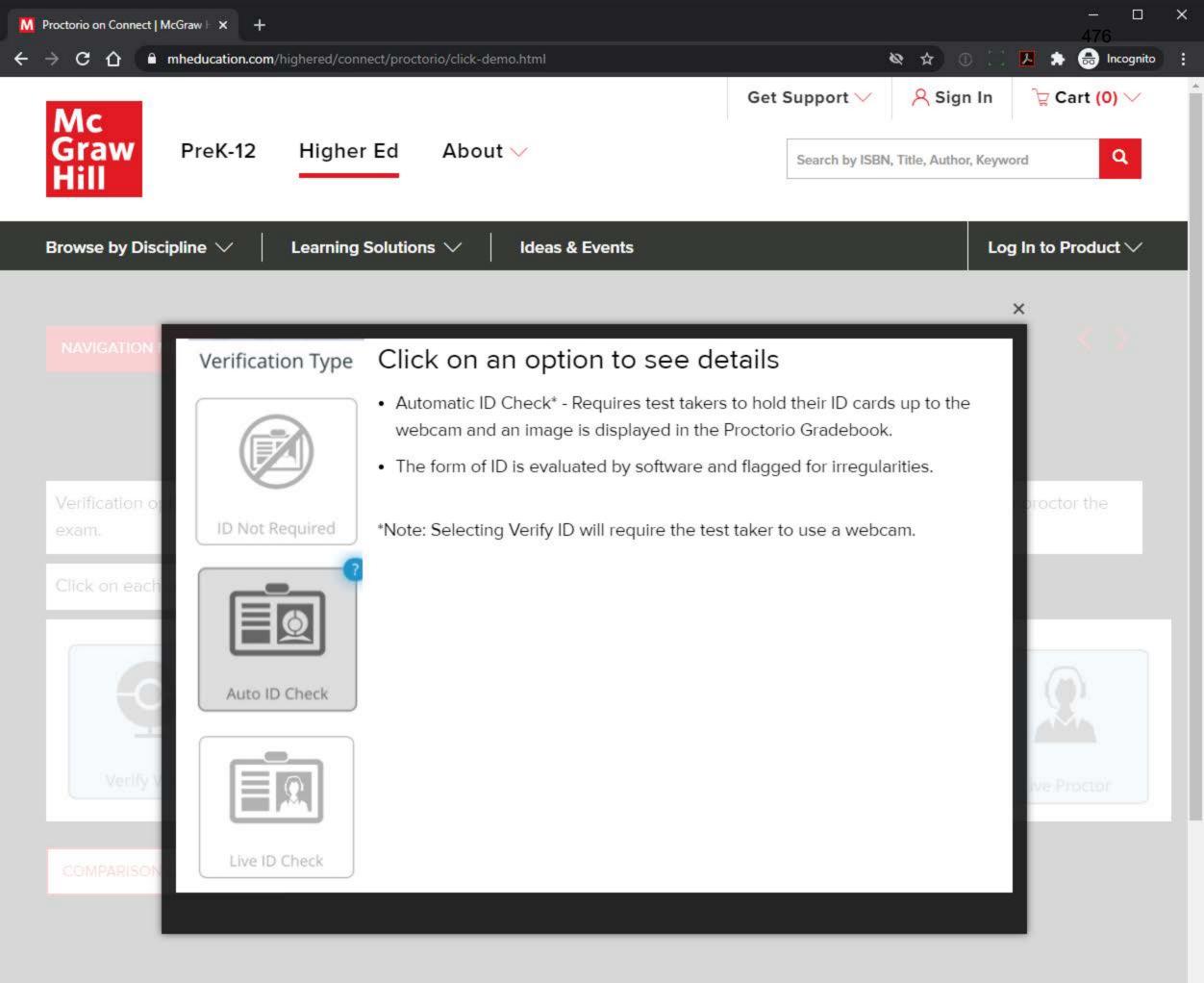


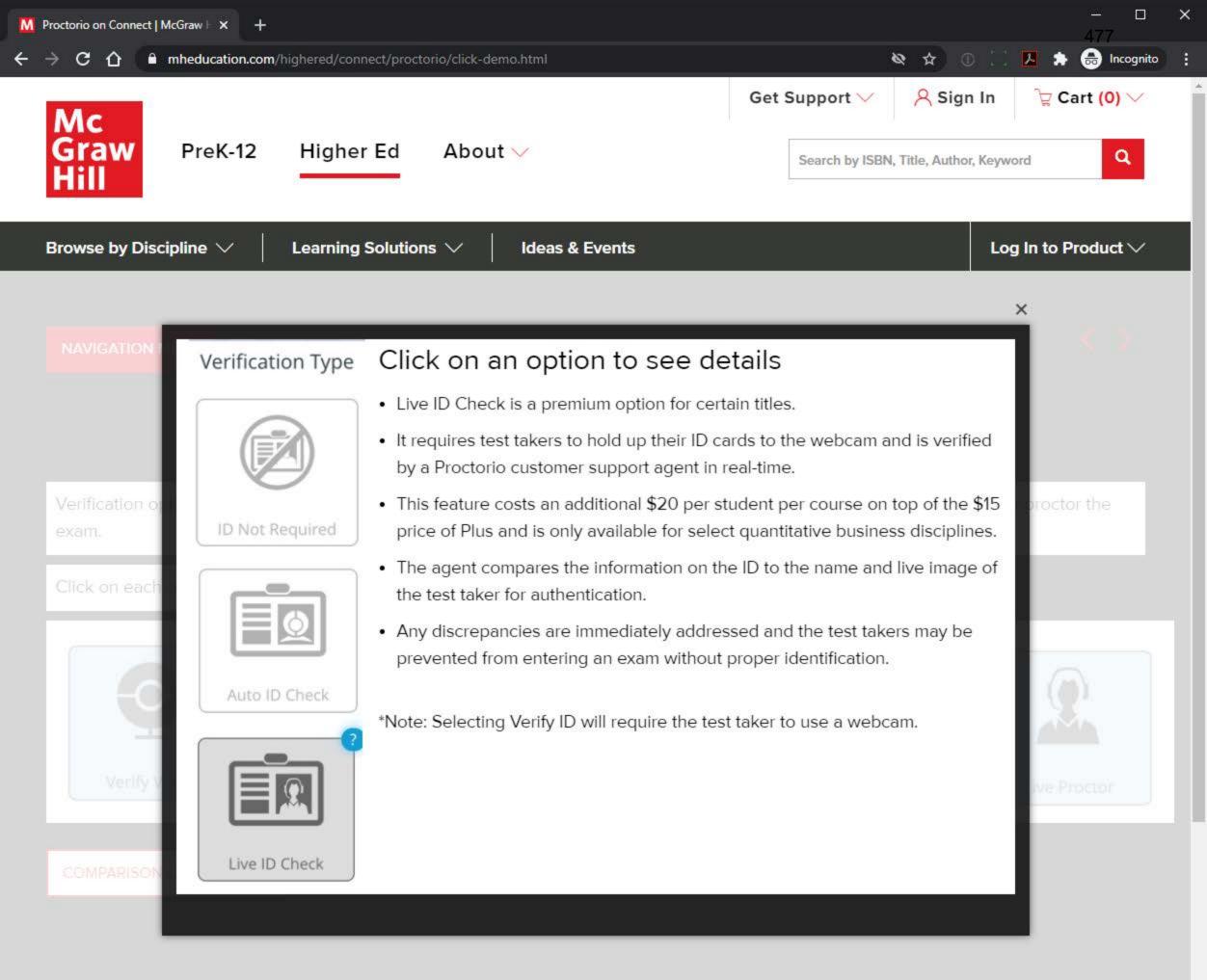


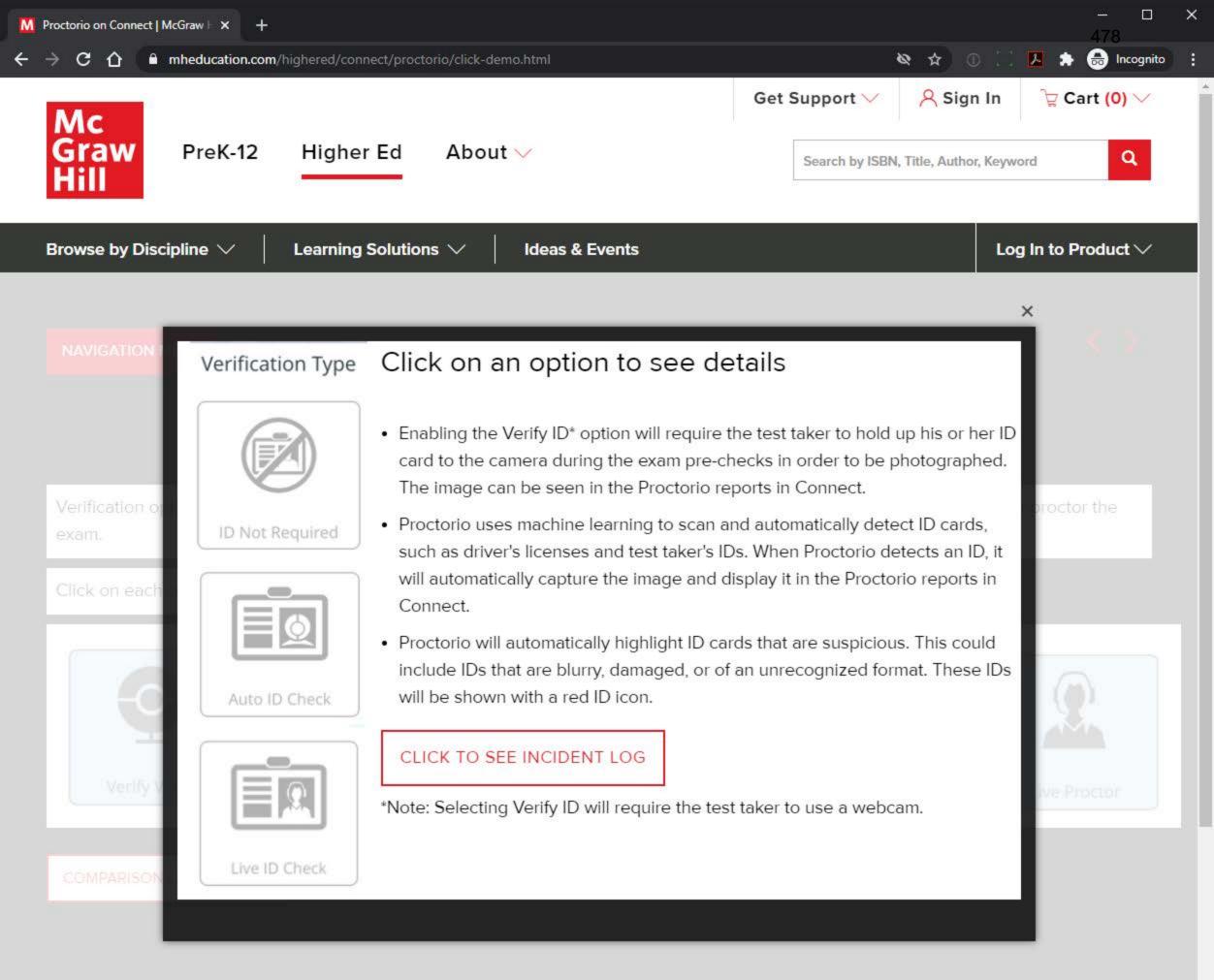


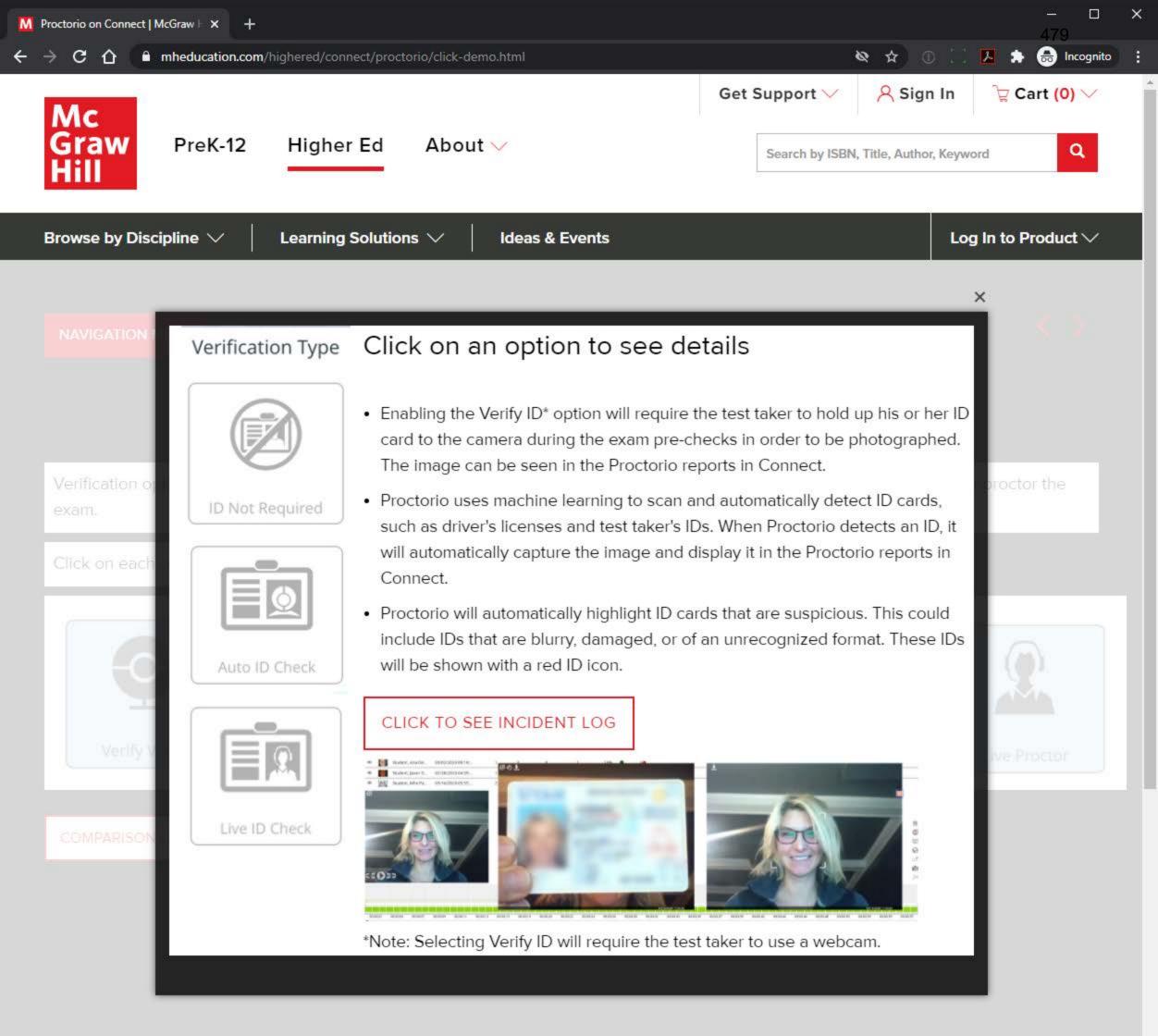


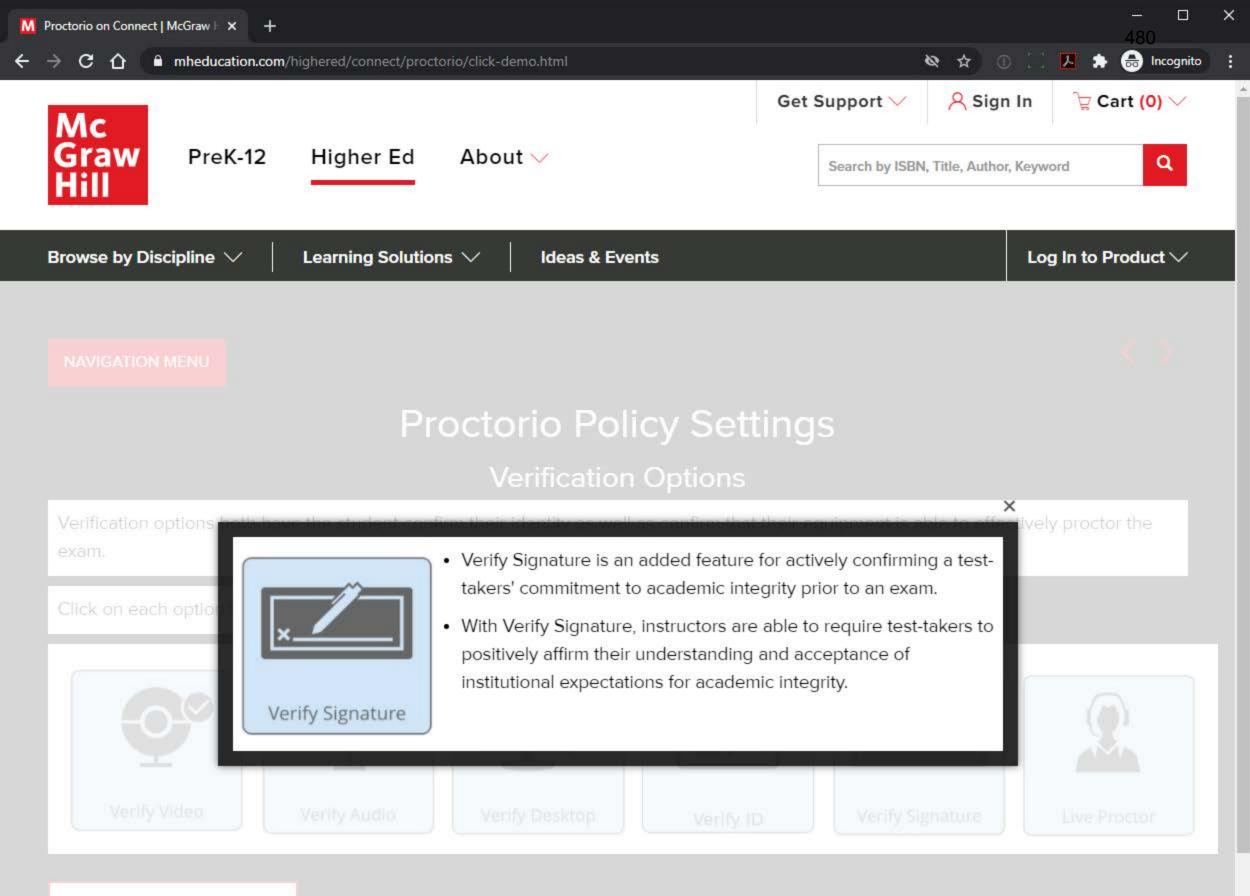




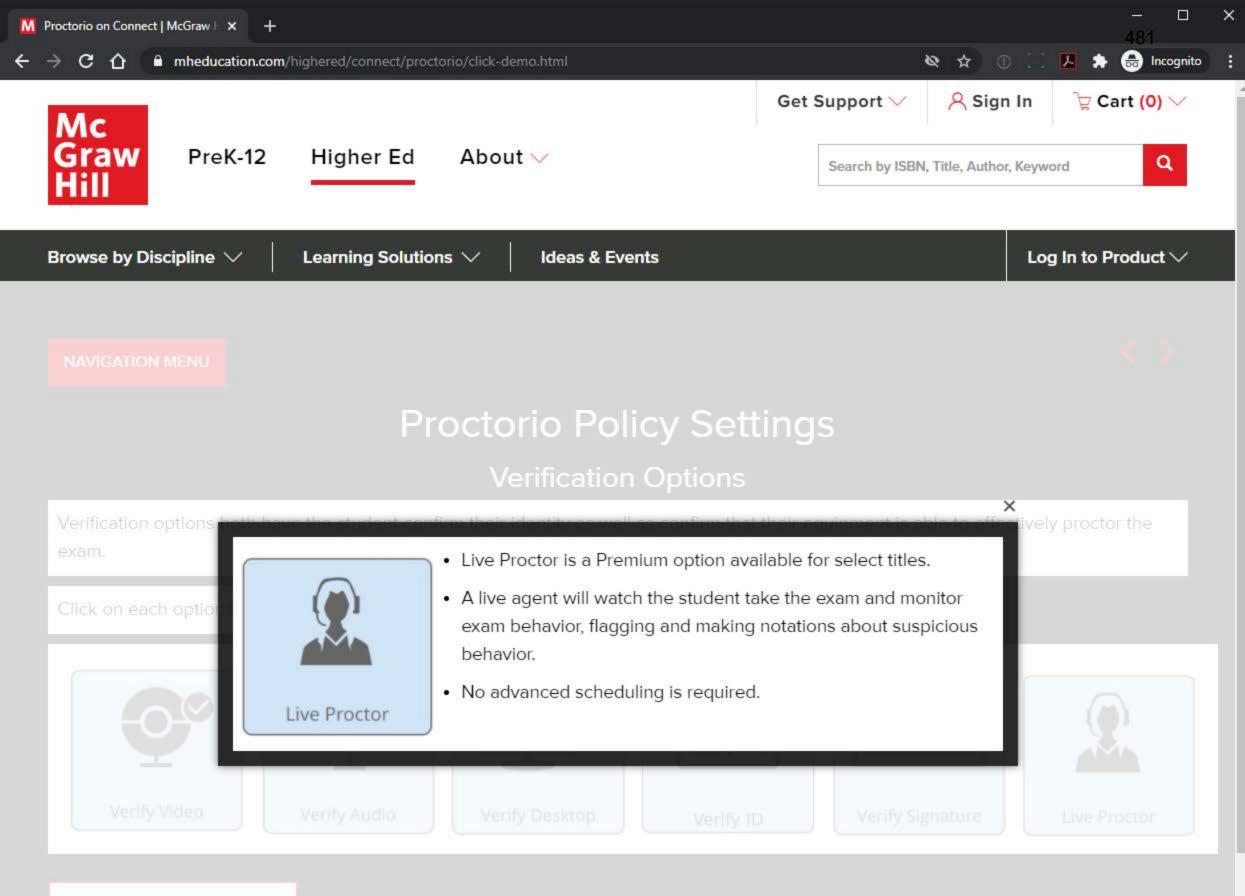




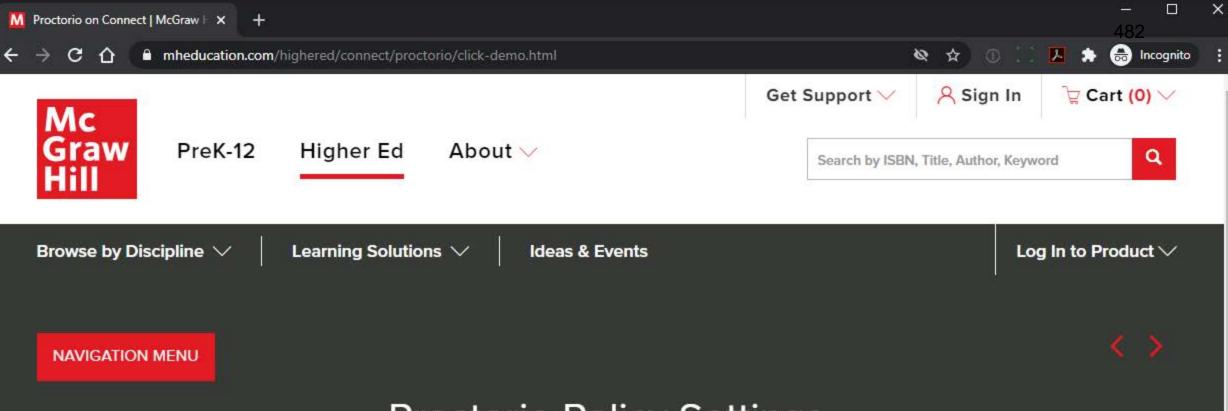




COMPARISON OF PACKAGE



COMPARISON OF PACKAGES



Proctorio Policy Settings

Verification Options

Verification options both have the student confirm their identity as well as confirm that their equipment is able to effectively proctor the exam.

Click on each option to learn more.

BASIC	PLUS		
erify Video			
erify Audio	1	ar fu Signature	Live Proctor
erify Desktop			Live Proctor
erify Identity	✓		
erify Signature	~		
ve ID Check	Premium Upgrade Option		
	erify Video erify Audio erify Desktop erify Identity erify Signature	erify Video erify Audio erify Desktop erify Identity erify Signature	erify Video / erify Audio / erify Desktop / erify Identity / erify Signature /

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Proctorio v Linkletter

Pinos, Timothy <tpinos@cassels.com>

Wed 9/2/2020 2:22 PM

Eye File

To:Linkletter, Ian <ian.linkletter@ubc.ca>;

Cc:Hellrung, Layne <Ihellrung@cassels.com>;

4 attachments (8 MB)

2020-09-02 12-36.pdf; Notice of Civil Claim dated Aug. 31, 2020.PDF; Notice of Application dated Aug. 31, 2020.PDF; Affidavit #1 of J. DeVoy (witnessed remotely).PDF;

Mr. Linkletter:

We are counsel to Proctorio Inc.

Please find enclosed an injunction order which was issued against you in the British Columbia Supreme Court earlier today.

Please comply with this order. In particular, you will need to take down the screen shot of the Proctorio Academy course material in your August 29 Tweet. Further we would ask that you remove the links to and references to the 7 Help Center videos which you tweeted on August 23 and 24.

I also enclose copies of the Notice of Civil Claim, the Notice of Application, and the Affidavit of John Devoy which were used on the application this morning.



Cassels Brock & Blackwell LLP | cassels.com Suite 2100, Scotia Plaza, 40 King St. W. Toronto, ON M5H 3C2 Canada

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	6
	This is Exhibit BT referred to in the
	Affidavit of Jap Linkletter affirmed
	before me on 15 Oct 2020.
1	Commissioner for taking Affidavits for
\propto	British Columbia
	X
1	
/	

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From:	Pinos, Timothy
To:	Joe Arvay
Cc:	Sally Yee
Subject:	RE: Proctorio v Linkletter
Date:	Wednesday, September 9, 2020 2:19:43 PM
Attachments:	image001.png

Joe: Nice to hear from you. I am happy for you to take reasonable time you to get up to speed. The only matter currently outstanding with Mr. Linkletter and the injunction is my request that he take down the screen shot of the Proctorio Academy, which when I last looked was still up. I will forward my email regarding this.

Perhaps when you are briefed a good start would be to have a call to discuss. I am generally around, though working from home.

Yes, I am in Toronto, but am called in BC too. If you could copy Layne Hellrung, my colleague in our Vancouver office, on correspondence.

Cheers

Tim



Cassels Brock & Blackwell LLP | cassels.com Suite 2100, Scotia Plaza, 40 King St. W. Toronto, ON M5H 3C2 Canada

From: Joe Arvay <jarvay@arvayfinlay.ca>
Sent: Wednesday, September 09, 2020 2:19 PM
To: Pinos, Timothy <tpinos@cassels.com>
Cc: Sally Yee <syee@arvayfinlay.ca>
Subject: Proctorio v Linkletter

This is Exhibit BU referred to in the Affidavit of Ian Einkletter affirmed before me on 15 Oct 2020.

A commissioner for taking Affidavits for British Columbia

Mr. Pinos I have just been retained by Mr. Linkletter in the above noted matter. I will obviously need to time to take instructions. I assume that since you now have an interim injunction in place that you will not be pressing me for a response but if I am wrong about that please let me know. I see from the website that you are in the Toronto office. Is that still the case?....In any event this looks like an interesting case and look forward to a cordial relationship.

Joe

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information intended only for the person(s) named above. Any other distribution, copying or disclosure is strictly prohibited. Communication by email is not a secure medium and, as part of the transmission process, this message may be copied to servers operated by third parties while in transit. Unless you advise us to the contrary, by accepting communications that may contain your personal information from us via email, you are deemed to provide your consent to our transmission of the contents of this message in this manner. If you are not the intended recipient or have received this message in error, please notify us immediately by reply email and permanently delete the original transmission from us, including any attachments, without making a copy.

File No: 20471

11 September 2020

VIA EMAIL

Cassels Brock & Blackwell LLP Suite 2100, Scotia Plaza 40 King Street West Toronto ON M5H 3C2

Attention: Timothy Pinos

Dear Mr. Pinos:

Re: *Proctorio, Incorporated v. Linkletter* SCBC Vancouver Registry No. S208730

We represent Ian Linkletter, the defendant in this action.

I have your email of September 9, 2020 and your email to my client of September 2, 2020 in which you ask him to remove certain tweets he made on August 23, 24, and 29, 2020. In your emails you refer to the *ex parte* order obtained September 2 that restrains Mr. Linkletter "from downloading, disseminating, copying, recording, posting, transferring, or sharing" four categories of information.

We have serious doubts about the scope of this order, including whether it requires the deletion of anything previously posted, and whether it captures the contents of the screenshots in the tweets referred to. However, in the interest of avoiding costly litigation on this point, we have advised our client to delete these tweets which we understand he has done.. While these deletions are done on a <u>without prejudice</u> basis to our defence to the claim or the injunction, we do however intend to rely on your emails and this response to be <u>with prejudice</u> in our defence to the claim or the injunction.

Yours truly,

ARVAY FINLAY LLP

Per: Joseph J. Arvay, Q jarvay@arvayfinlay. Direct 604.283.9018

JJA/JT/sy

his is Exhibit BV	referred to in the
ffielavit of lan Lin	Nefetter affirmed
efore me on 15 C	oct 2020.
	or taking Affidavits for
British Columbia	

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No. S208730 Vancouver Registry

IN THE SUPREME COURT OF BRITISH COLUMBIA (BEFORE THE HONOURABLE MR. JUSTICE GIASCHI)

> Vancouver, BC September 2, 2020

BETWEEN:

PROCTORIO, INCORPORATED

Applicant

AND:

IAN LINKLETTER

Respondent

PROCEEDINGS IN CHAMBERS

COPY

This is **Exhibit BW** referred to in the Affidavit of lan Linkletter affirmed before my on 15 Oct 2020.

Commissioner for taking Affidavits for British Columbia

Glaucia R. Fadigas de Souza, RCR / Charest Reporting Inc. 16th Floor, 885 W. Georgia Street, Vancouver, BC V6C 3E8 Phone: 604-669-6449 Fax: 604-629-2377

No. S208730 Vancouver Registry

IN THE SUPREME COURT OF BRITISH COLUMBIA (BEFORE THE HONOURABLE MR. JUSTICE GIASCHI)

> Vancouver, BC September 2, 2020

BETWEEN:

PROCTORIO, INCORPORATED

Applicant

AND:

IAN LINKLETTER

Respondent

PROCEEDINGS IN CHAMBERS

Counsel for the Applicant:

T. Pinos L. Hellrung

Glaucia R. Fadigas de Souza, RCR / Charest Reporting Inc. 16th Floor, 885 W. Georgia Street, Vancouver, BC V6C 3E8 Phone: 604-669-6449 Fax: 604-629-2377

PROCEEDINGS IN CHAMBERS SEPTEMBER 2, 2020					
PROCEEDINGS					
Witness	Proceedings	Page			
	Submissions by Mr. Pinos	1			
	Order	9			
	Reporter certification	10			
	EXHIBITS				
Exhibit	Description	Page			
	No exhibits marked.				
	i				

1 2 3		September 2, 2020 Vancouver, BC
4 5		(PROCEEDINGS COMMENCED AT 10:21 A.M.)
6 7 8	THE	CLERK: Calling the next matter on the list My Lord number 4 for 30 minutes, Proctorio
8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 3 24 25 26 27	MR.	<pre>incorporated versus Linkletter. PINOS: Good morning, My Lord. My name is Timothy Pinos, P-i-n-o-s, and I have with me Mr. Layne Hellrung, H-e-l-l-r-u-n-g. This is a without notice application for an adjournment or interlocutory injunction against the defendant, Ian Linkletter, to [indiscernible] him from infringing the copyright of the plaintiff and otherwise breaching protection measures to online material, ie, publishing them on Twitter and via the social media. You should have in front of you the application record binder containing the notice of application. The affidavit of John Devoy, D-e-v-o-y, the notice of civil claim and a draft order which was submitted for vetting and I believe has been vetted by the registrar. I'd like to just briefly review the facts. The material is moderately it's not terribly voluminous and the facts in this case are pretty</pre>
28 29 30 31	THE	straightforward. COURT: Okay. Yes, please. Counsel, please take me through it. This is a late addition to the list.
32 33 34		PINOS: Okay. COURT: I have not had any opportunity to review it whatsoever so
35 36 37	MR.	PINOS: I'm happy to give you the more complete summary but I think it will be more efficient than walking through the affidavit page by page.
38 39 40 41 42 43 44 45 46 47		COURT: Well PINOS: My client, Proctorio, has developed software for online proctoring or invigilation of exams and assessments. What the software does is it sits beside the software of the university or college administering the examination on line to the student and basically tracks what's going on in the computer in terms of web pages access and get things done and also uses the microfilm and camera of the computer of the student to monitor

what's going on. So it replaces the kind of 1 2 vigilator who would sit in front of the room 3 while you were writing an exam in an exam hall in 4 a university or college. 5 The university defines how it wants to use 6 the software in terms of whether they want the 7 software to monitor the microphone camera, the 8 computer activities or all of them, and 9 determines the extent to which the software 10 records some or all of the activity for each to 11 review by the instructor or institution and the 12 software can be set to flag certain conduct such 13 as the presence of a second person in the room, 14 accessing emails, accessing their websites 15 forwarded by the institution. So my client is the facilitator for proctoring record and all 16 17 stages. It's up to the university to do with the 18 record of that invigilation as it sees fit in the 19 circumstances. And my client -- one of my 20 client's -- Canadian clients is the University of 21 British Columbia and this is -- this relates to 22 an employee at the University of British 23 Columbia. 24 The defendant is employed by the university 25 as an educational technologist or learning 26 assistant. And by virtue of his position at the 27 university he has access to my client's software 28 in that case. 29 This injunction application doesn't relate 30 to the software per se but rather relates to the 31 support and training material that my client 32 makes available to its university and college 33 clients as part of the licensee of the software, 34 and those are referred to in the affidavit of 35 Mr. Devoy as the help centre and the Proctorio academy. 36 37 The help centre contains a number of web 38 pages which include various embedded videos that 39 illustrate the functionality of the software and 40 provide information to administrators and 41 instructors on how to use and configure the 42 software. The help centre is -- the sections of the 43 44 help centre we're concerned about are accessible 45 only to administrators and instructors. There is 46 a separate help section for students to assist 47 them in using the software, but the -- as

sections of the help centre which are available 1 2 to the university administrators and instructors, 3 disclosed functionality of the software, the 4 approach of Proctorio's programming the software, 5 6 and how it can be used, all of which our client regards as is confidential and proprietary 7 information. And it created the information. 8 It's Proctorio's information. 9 The other area of concern is what's called 10 the Proctorio academy. This is a companion to 11 the help centre which actually offers interactive 12 courses in how to configure and use the software 13 for administrators and instructors, and they can 14 use this in addition to or as an alternative to 15 the reference material on the help centre. And, 16 likewise, the Proctorio academy material, which 17 also includes videos, is considered to be 18 [indiscernible] confidential information of my 19 client. 20 In the case -- we'll be getting to this 21 later with respect to [indiscernible] ownership 22 with respect to information, the material on the 23 help centre was actually developed by my client's employees in their ordinary course of employment 24 and, therefore, is the property of Proctorio. 25 26 The academy material was produced by the contract 27 of Proctorio but by contract is owned by 28 Proctorio. 29 And last but not least, users of the academy 30 are explicitly required to agree to terms of use 31 for which the user is required to agree to before accessing, which includes a prohibition on 32 33 republication or redissemination of the academy 34 material. 35 In the case of each of the help centre and 36 the academy, the matter -- Proctorio has 37 technological protections in place that ensure 38 only qualified administrators and instructors of 39 licensed institutions can access the help centre 40 material and the academy course material and they 41 are not available to students or other members of 42 the public. 43 So what has the defendant done here. The 44 defendant has a Twitter account in which he has, 45 over the last couple of months, began publishing 46 comments and criticisms of online proctoring 47 companies including my client. My client has no

problem with that and is not taking action with 1 2 respect to any of those or seeking an order with 3 respect to his expression of his opinion with 4 respect to the efficacy or appropriateness of 5 online Proctorio software. And in fact 6 [indiscernible] says that Proctorio welcomes 7 comments and criticisms as part of its efforts to 8 continue to improve the software. 9 What my client complains about, and this is 10 set out in the -- summarized in the affidavit of 11 Mr. Devoy at paragraph 33 --12 Just a second. THE COURT: 13 -- is that --MR. PINOS: 14 THE COURT: Just a second. 15 MR. PINOS: Yeah. And 32 is a good place to start. 16 THE COURT: Yes. 17 MR. PINOS: Yes. So commencing on August 23rd last 18 week, Mr. Linkletter began posting links to 19 videos which form part of the help centre and 20 which are restricted to instructors. And those 21 videos are hosted on YouTube but are not 22 otherwise accessible or searchable by members of 23 the public and they can only be reached by a link 24 that my client has control over and which they 25 manage the video in the help centre page. 26 And at paragraph 33 there's a list of seven 27 videos which Mr. Linkletter posted links to on 28 Twitter and which are embedded in the help centre 29 application documentation. Exhibit D is a 30 printout of his full Twitter feed from mid August 31 to last Sunday, that covers it. 32 My client monitors social media discussion 33 of his software and became aware of 34 Mr. Linkletter's publication of these links. Ιt 35 responded to them by deactivating the links, in 36 other words, moving the relevant video to 37 somewhere else that was not accessed by the link 38 and in order to prevent members of the public 39 reading Mr. Linkletter's Twitter account but 40 accessing those videos. He then subsequently 41 created new links to those videos and then 42 reattached them to the help centre documentation 43 and the academy course materials so they could 44 still be used by authorized licensees and 45 qualified administrators and instructors. 46 THE COURT: The idea being the links that he published 47 would no longer work.

The links he published no longer 1 MR. PINOS: Yeah. 2 work. 3 THE COURT: Right. 4 MR. PINOS: But because he has -- in the --5 educational technologist at UBC had employed at 6 UBC he has access to the Proctorio help pages and 7 so would have access to the reinvented videos if 8 he so chose as an employee of UBC in the 9 educational field. 10 And then at paragraph 34 we produce a number 11 of comments that Mr. Linkletter Tweeted in which 12 he acknowledges where he got the video from, the 13 help documentation page, from the instructor help 14 documentation along with highly critical remarks 15 about Proctorio and includes a suggestion that it 16 would be a good thing for someone to locate the 17 source code of Proctorio software and share it, 18 and he talks about deleting the company. 19 So Mr. Devoy deposes he became aware of 20 those Tweets and took the steps I have just 21 described to deactivate the links and then 22 attached them to new links so that they can still 23 be used by institutions including UBC and 24 qualified administrators of instructors. 25 The second thing he did is pick up a page 26 paragraph 42 of the Devoy affidavit. On August 27 29, Mr. Linkletter posted a screen shot from the 28 academy course material, so this isn't the link. 29 This is actually a picture of a screen in the 30 academy course material which describes certain 31 functionality of aspects of the software. And as 32 Mr. Devoy indicates this is forbidden by the 33 terms of service you have to consent to to be 34 able to get into the academy course material. 35 And Mr. Devoy went and opposed to the fact that 36 given his conduct in continuing to post the links 37 and this material, even though he knows that 38 Proctorio has found out about them and 39 deactivated the links, he just goes on and posts 40 new ones, the anticipation or apprehension on the 41 part of my client that he may continue to do this 42 as part of his --43 THE COURT: Sorry, on Exhibit D, which part of Exhibit 44 D is the screen shot? There's multiple pages 45 here. 46 MR. PINOS: It is -- I'll find it for you in. 47 MR. HELLRUNG: My Lord, it's Mr. Hellrung. At Exhibit

1 2 3	тнг	D it's page 22 and then it goes over to the top at 23. That's of the actual record. COURT: Just one minute here.
4		PINOS: Yeah. If you look right at the bottom of
5 6		page 22 which is page 1 of 7 of the actual
6 7		Twitter printout you'll see entry of August 29 where Mr. Linkletter "all of us have to demand
8		transparency. How exactly does this non-magical
9		software work? Why is Proctorio hide this
10		information. Their own course" capitalized
11 12		"on how Proctorio works was censored this week after I shared some of the videos," and then
13		there's a screen shot of it there showing text of
14		some blank video pages.
15 16		COURT: Okay. It's not a very good all right. PINOS: So that's the those are the essential
17	1411.	facts and Mr. Devoy also makes it clear that
18		deposed to the fact that the material on the
19		[indiscernible] and in the academy are restricted
20 21		and not available to the public. So the order we're seeking against
22		Mr. Linkletter is an order restraining the
23		disclosure of the health centre or the academy
24 25		course material or facilitating unauthorized access to that material. We're not seeking to
26		restrain anything he's saying or otherwise doing
27		and I've said my client has, you know, no
28 29		intention to try and muzzle him, and none of this publication of this material is necessary for his
30		criticisms of my client which have continued
31		unabated before and after the publication of this
32 33		information. So passing and I'll be coming back to a
34		couple of the facts as I talk about the legal
35		test. As Your Lordship knows the test for an
36 37		injunction is whether there is a serious or [indiscernible] to be tried and whether there's
38		irreparable harm and what the balance of
39		convenience is. Looking at those factors as a
40 41		whole to determine whether it is just and
41		convenient to grant an injunction of the <i>Law and Equity Act</i> .
43		In terms of the issue to be tried, the first
44 45		issue is copyright infringement and the essential
45 46		elements are ownership of the copy right and the infringement doing something with the copyrighted
47		material that only the owner has the right to do.

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And in the notice of application we indicate that under the *Copyright Act* the rights of an owner of copyright include the right to reproduce or publish, that would apply to the screen shot, but also the making available right which is a fairly new right from a statutory standpoint, but basically takes account modern technology and the whole notice of linkages that providing a link to copyright is material without the authorization of the owner of that copyright is also an infringement of copy right, so that's the first issue to be tried.

13 The second issue is a separate set of 14 provisions in the Copyright Act that makes it an 15 offence under the Copyright Act to circumvent 16 technological protection applied to copyrighted 17 material. And as Mr. Devoy describes both the 18 help centre and the academy course material are 19 protected by measures that use the linkage 20 between my client's software and the university 21 or college's own educational platform to be able 22 to know who's accessing help centre material, to 23 be able to direct them to either the students 24 that are -- or the administrator instructor's 25 section of the help centre, and regulate access 26 that way. And by effectively going behind the 27 vetted videos, copying the links to the 28 non-published YouTube videos, were restored. 29 Mr. Linkletter has circumvented the technological 30 protections which my client applied to ensure 31 that only authorized persons get to see the 32 videos about the functionality and configuration 33 of the software.

34 And the last but not least is that the third 35 issue is confidential information. My clients 36 treat all of this functionality information as 37 confidential, treat it as confidential, it's 38 protected by the technological protection 39 measures and the confidentiality of the material 40 is emphasized in both the agreements with the 41 [indiscernible] institutions, in this case UBC, 42 and in the terms of use applied to the academy 43 course material which Mr. Linkletter would have 44 had to agreed to before he could get in and take 45 those screen shots. 46

With respect to irreparable harm, My Lord, the reference of Mr. Devoy in this regard is

detailed and specific. There's irreparable harm 1 2 from the public -- making available to the public 3 of this information on a number estate fronts. 4 The first estate front is with respect to 5 the use of the software itself. Mr. Devoy 6 deposes that if the manner in which the software 7 is configured how the software works and looks 8 for conduct which may or may not exhibit signs of 9 inappropriateness for an exam-taker, whether it's 10 extra or assistance or looking at unauthorized 11 sources, et cetera. The disclosure of the 12 specifics of that functionality and how the 13 software gets configured could enable students to 14 "game" the software and adjust their behaviour to 15 avoid that functionality in the circumstances. 16 The secondary of irreparable harm -- and 17 that would devalue the effectiveness of the 18 software and if that -- the valuation became 19 known -- it became known throughout the client 20 base would reduce the value of the software to 21 the institutions and its attractiveness is the 22 original proposition for my client. So that's 23 one very specific aspect of harm. The other aspect of harm relates to 24 competitors. Mr. Devoy deposes to the fact that 25 26 although this is a relatively new market area it 27 has grown significantly over the last ten years 28 and obviously with the pandemic and explosion of 29 online learning is increasing by leaps and 30 bounds. And the, you know, like in any other 31 area, competitors try to copy each other's 32 features. But they actually know what those 33 features are in order to copy them and describing 34 the functionality of the Proctorio software with 35 respect to, for example, how it tracks what the 36 student is doing in terms of physical movements 37 during an exam, how attracts what students are 38 doing in terms of computer activity during the 39 exam, et cetera, that's a road map for potential 40 competitor to know what my client's features are, 41 what the secret sauce so to speak makes the 42 software work, and would enable a competitor to 43 copy those --44 THE COURT: Mr. Pinos? Mr. Pinos? 45 MR. PINOS: Yes. 46 THE COURT: I'm looking at your order, 1(c). Why is 47 1(c) needed?

Order

1	MR.	PINOS: In the draft order?
2	THE	COURT: Yes.
3 4		PINOS: Well, that goes to his [indiscernible] to people to try and find the source code. Or it
		would relate to, for example, him publishing
5 6 7		instructions on how to get at the URLs or the
7		links to the embedded videos to allow someone
8		else to publish them. So it goes with the
9		infringement of copyright and really addresses
10		the aiding and abetting the infringement of
11		copyright.
12	THE	COURT: I see. All right. Well, Mr. Pinos, I am
13 14		satisfied that the order requested should be granted without belabouring the point. I think
15		you have shown that there is a serious issue to
16		be tried, that there is irreparable harm to the
17		plaintiff and that the balance of convenience
18		favours the granting of an interim injunction in
19		the circumstances of this case.
20		I note that paragraph 2 of the requested
21		order gives the defendant five days clear notice
22 23		to the plaintiff to set aside this injunction
23		which is appropriate and there is an undertaking to abide by any court order the court may make as
25		to damages.
26		So I am prepared to sign the order that has
27		been vetted. Madame Clerk, do you have a pen
28		there? And I shall fill in the dates here. I
29		have signed the order, sir.
30	MR.	PINOS: Thank you very much, My Lord.
31		Mr. Hellrung will be attending to the court later
32 33		this morning to complete [indiscernible] and get a copy of [indiscernible].
34	тнг	COURT: Thank you.
34 35		coonti. Inamic you.
36		
37		
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39 40		
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Reporter certification

MR. PINOS: Thank you very much, My Lord. Have a good day. THE COURT: Thank you. (PROCEEDINGS ADJOURNED AT 10:48 A.M.) REPORTER CERTIFICATION I, Glaucia R. Fadigas de Souza, RCR, Official Reporter in the Province of British Columbia, Canada, do hereby certify: That the proceedings were transcribed by me from audio provided of taped proceedings, and the same is a true and correct and complete transcript of said recording to the best of my skill and ability. IN WITNESS WHEREOF, I have hereunto subscribed my name on this 14th day of October, 2020. Glaucia R. Fadigas de Souza, RCR 25 Official Reporter

4	Act [4] - 6:42, 7:2,	audio [1] - 10:12	2:19, 8:15, 9:19	containing [1] - 1:20
1	7:14, 7:15	August [4] - 4:17,	circumvent [1] - 7:15	contains [1] - 2:37
1 [1] - 6:5	action [1] - 4:1	4:30, 5:26, 6:6	circumvented [1] -	
			7:29	continue [2] - 4:8,
1(c [1] - 8:47	activities [1] - 2:8	authorization [1] - 7:9		5:41
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10:48 [1] - 10:5	addition [2] - 1:30,	available [6] - 2:32,	clear [2] - 6:17, 9:21	contract [2] - 3:26,
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	addresses [1] - 9:9	8:2	CLERK [1] - 1:6	control [1] - 4:24
2	ADJOURNED [1] -	avoid [1] - 8:15	client [17] - 1:39, 2:15,	convenience [2] -
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2 [2] - 1:1, 9:20	adjournment [1] -		3:47, 4:9, 4:24, 4:32,	convenient [1] - 6:41
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22 [2] - 6:1, 6:5	adjust [1] - 8:14		7:30, 8:19, 8:22	8:31, 8:33, 8:43,
23 [1] - 6:2	administering [1] -	balance [2] - 6:38,	client's [5] - 2:20,	9:33
23rd [1] - 4:17	1:43	9:17	2:27, 3:23, 7:20,	copying [1] - 7:27
29 [2] - 5:27, 6:6	administrator [1] -	base [1] - 8:20	8:40	copyright [7] - 1:15,
	7:24	BC [1] - 1:2	clients [3] - 2:20, 2:33,	6:44, 7:3, 7:9, 7:10,
3	administrators [7] -	became [4] - 4:33,	7:35	9:9, 9:11
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No. S208730 Vancouver Registry

IN THE SUPREME COURT OF BRITISH COLUMBIA

BETWEEN:

PROCTORIO, INCORPORATED

PLAINTIFF

AND:

IAN LINKLETTER

DEFENDANT

AFFIDAVIT

Arvay Finlay LLP

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